RESEARCH ON TRANSFER ALIGNMENT FOR INCREASED SPEED AND ACCURACY

A THESIS SUBMITTED TO THE GRADUATE SCHOOL OF NATURAL AND APPLIED SCIENCES OF THE MIDDLE EAST TECHNICAL UNIVERSITY

 $\mathbf{B}\mathbf{Y}$

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IN PARTIAL FULFILLMENT OF THE REQUIREMENTS FOR THE DEGREE OF DOCTOR OF PHILOSOPHY IN MECHANICAL ENGINEERING

SEPTEMBER 2012

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ABSTRACT

RESEARCH ON TRANSFER ALIGNMENT FOR INCREASED SPEED AND ACCURACY

KAYASAL, UĞUR PhD., Department of Mechanical Engineering Supervisor: Prof. Dr. M. Kemal ÖZGÖREN September 2012, 206 pages

In this thesis, rapid transfer alignment algorithm for a helicopter launched guided munition is studied.

Transfer alignment is the process of initialization of a guided munition's inertial navigation system with the aid of the carrier platform's navigation system, which is generally done by comparing the navigation data of missile and carrier's navigation data. In the literature, there are different studies of transfer alignment, especially for aircraft launched munitions.

One important problem in transfer alignment is the attitude uncertainty of lever arm between munition's and carrier's navigation systems. In order to overcome this problem, most of the studies in the literature do not use carrier's attitude data in the transfer alignment, only velocity data is used. In order to estimate attitude and related inertial sensor errors, specific maneuvers of carrier platform are required which can take 1-5 minutes.

Especially for helicopter launched munitions, the transfer alignment should be completed in limited time duration. In order to have a rapid transfer alignment, attitude data should be included in transfer alignment with proper handling of lever arm uncertainty. Also, mechanical vibration of helicopter is another important problem compared to the aircraft launched systems. In aircrafts, lever arm uncertainty due to wing flexure is the main problem, whereas both lever arm uncertainty due to rotor based vibration and flexibility are the source of error. The helicopter's mechanical vibration results in another problem; performance of MEMS based inertial sensors degrade with the presence of vibration. Modeling and compensation of vibration induced inertial sensor errors should also be done in a helicopter based transfer alignment

The purpose of this thesis is to compensate the errors arising from the dynamics of the Helicopter, lever arm, mechanical vibration effects and inertial sensor error amplification, thus designing a transfer alignment algorithm under real environment conditions. The algorithm design begins with observability analysis, which is not done for helicopter transfer alignment in literature. In order to make proper compensations, characterization and modeling of vibration and lever arm environment is done for the helicopter. Also, vibration based errors of MEMS based inertial sensors are experimentally shown. The developed transfer alignment algorithm is tested by simulated and experimental data

Keywords: Inertial Navigation Systems, Rapid Transfer Alignment, Observability Analysis

YÖNELİM AKTARIMI'NIN HIZININ VE HASSASIYETININ ARTTIRILMASI ICIN ARASTIRMA

KAYASAL, Uğur Doktora, Makina Mühendisliği Bölümü Tez Yöneticisi: Prof. Dr. M. Kemal ÖZGÖREN

Ağustos 2012, 206 sayfa

Bu tezde, helikopterden fırlatılan bir güdümlü mühimmatın hızlı yönelim aktarımı üzerine çalışılmıştır.

Yönelim aktarımı, güdümlü mühimmatın ataletsel seyrüsefer sisteminin, taşıyıcı platformun seyrüsefer sistemi verileri yardımıyla, genellikle her iki Navigasyon sisteminin verilerinin karşılaştırılmasıyla başlatılması işlemidir. Literatürde özellikle uçaktan fırlatılan mühimmatlar için birçok yönelim aktarımı çalışması yer almaktadır.

Yönelim aktarımındaki önemli bir sorun, mühimmat ve platform Navigasyon sistemleri arasındaki yönelim belirsizliğidir. Bu sorunu aşabilmek için, yönelim aktarımında sadece platformun hız verisi kullanılırken yönelim bilgisi kullanılmaz. Yönelim ve ilgili ataletsel sensör hatalarının kestirimi için, platformun 1-5 dakika arası süren özel manevralar yapması gerekmektedir.

Özellikle helikopterden firlatılan mühimmatlar için yönelim aktarımı kısıtlı bir süre içerisinde tamamlanmalıdır. Hızlı bir yönelim aktarımı yapabilmek için, yönelim bilgisinin moment kolu belirsizliğinin uygun biçimde ele alınmasıyla yönelim aktarımına dahil edilmesi gerekmektedir. Ayrıca, helikopterin mekanik titreşimi de uçaktan fırlatılan mühimmatlara göre önemli bir sorundur. Uçaklarda esas sorun kanat esnemesinden dolayı kaynaklanan moment kolu belirsizliği iken, helikopterler rotor kaynaklı titreşim ve esneme esas sorun kaynaklarıdır. Helikopterin mekanik titreşimi bir diğer soruna daha yol açmaktadır; MEMS tabanlı ataletsel sensörlerin performansi titresim altında düsmektedir. Helikopter'de yapılan yönelim aktarımında, titresime bağlı ataletsel sensör hatalarının da karakterize edilmesi ve modellenmesi gerekmektedir.

Bu tezin amacı, Helikopter dinamiğinden kaynaklanan moment kolu, titreşim ve ataletsel sensör hata artmasının telafisinin yapılarak gerçek çevresel ortamda çalışabilen bir yönelim aktarımı algoritması elde etmektir. Algoritmanın tasarımı, literatürde daha önce yapılmamış olan, helikopter yönelim aktarımı için gözlenebilirlik analizi yapılarak başlamaktadır. Gerekli hata telafilerinin yapılabilmesi için moment kolu ve titreşim etkilerinin karakterizasyonu ve modellemesi yapılmıştır. Ayrıca, MEMS tabanlı ataletsel sensörlerin titreşime bağlı hataları deneysel olarak belirlenmiştir. Geliştirilen yönelim aktarımı algoritması, benzetim ve deneysel verilerle test edilmiştir.

Anahtar Kelimeler: Ataletsel Seyrüsefer Sistemi, Hızlı Yönelim Aktarımı, Gözlenebilirlik Analizi

To my family...

ACKNOWLEDGMENTS

I would like to express my gratitude to my supervisor Prof. Dr. M.Kemal Özgören for his never ending guidance, patience, advice, understanding, and support throughout my research.

I also would like to thank my Thesis Examining Committee members Prof.Dr. Ozan Tekinalp and Asst.Prof.Dr. İlhan Konukseven for their comments.

I am grateful to my colleagues in Roketsan for their invaluable comments and suggestions.

Dr. Sartuk Karasoy and Mr. Bülent Semerci are kindly acknowledged for their support in this thesis.

I would like to thank all members of my family for their guidance, encouragement and support.

Finally, I would like to thank to my wife Esen and my daughter Özge for their everlasting support, patience and love. Without their support, this work could not be completed.

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LIST OF SYMBOLS AND ABBREVIATIONS

Symbol	Definition
i	Inertial frame
b	Body frame
n	Navigation frame
C_b^n	Direction cosine matrix between navigation and body
	frame
ϕ	Roll attitude
θ	Pitch attitude
Ψ	Azimuth attitude
W	Angular rates
a	Linear acceleration
S_{x}	Scale factor error in x axis
m_{xy}	Misalignment error between x and y axis
ω^{b}_{ib}	Angular rate between inertial and body frame, defined
	in body frame
$\delta \omega_{_{in}}^{^{n}}$	Error in angular rates between inertial and navigation
	frame, defined in navigation frame
δC^n_b	Direction cosine matrix Error
γ^{n}	Misalignment vector defining the error in DCM
Ω	Magnitude of Earth rotation rate
R	The length of semi major axis
r	The length of semi minor axis

f	The flattening of semi minor axis
e	The major eccentricity
L	Latitude
1	Longitude
h	Altitude
g	Gravity vector
V	Velocity
ε	Position errors
X _k	State vector
$\mathbf{Z}_{\mathbf{k}}$	Measurement vector
A_k	System matrix
$\mathbf{H}_{\mathbf{k}}$	Measurement matrix
W _k	Process Noise vector
V _k	Measurement Noise vector
P _k	State Covariance Matrix
Q_k	Process Noise covariance matrix
R _k	Measurement Noise covariance matrix
K _k	Kalman Gain
$ ilde{w}$	Cross product matrix form
Φ	State Transition matrix
Q	Observability matrix
$C^n_{b,slave}$	Slave DCM
$C^n_{b,master}$	Master DCM
C_{slave}^{master}	Master to Slave DCM
C(m,k)	Penalty function
δ(k)	Error Probability
m	Sample Size
k	Order of Markov Process

σ^2	Innovation variance
η	Estimated innovation
R _t	Autocorrelation

Definition
Inertial Navigation System
Inertial Measurement Unit
Global Navigation Satellite System
Fiber Optic Gyro
Ring Laser Gyro
Micro Electro Mechanical System
Root Mean Square
Artificial Neural Network

Throughout the text,

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CHAPTER 1

INTRODUCTION

1.1 Motivation

Navigation is the determination and calculation of position, velocity and attitude information of a dynamic platform. Inertial navigation systems (INS) obtain these navigation states by integrating data from an inertial measurement unit (IMU), which contains accelerometers and gyroscopes. Nowadays, almost of all of the aircrafts, helicopters, ships and guided missiles are equipped with an INS.

Inertial navigation systems have a lot of advantages; high accuracy in short durations, high dynamic bandwidths, immunity to jamming and spoofing etc. But, most important point in inertial navigation arises from the nature of INS; navigation states (position, velocity and attitude) are calculated by integrating linear acceleration and angular velocity measured by IMU. This integration, the navigation mechanization requires initial conditions to obtain real navigation states. Thus, INSs are also known as deduced reckoning type navigations systems. Any error in initial conditions will degrade the navigation performance, resulting in accumulated error behavior especially in position. Thus, the initialization of an INS should be done very accurately.

There are many different methods of this initialization procedure, basically dependent on whether the initialized system is stationary or moving [1].

On the move alignment is very critical for aircraft and helicopter launched guided munitions. The main objective of this thesis is to obtain an initialization algorithm for helicopter launched guided munitions, known as transfer alignment.

Transfer alignment is the initialization of a moving inertial navigation system (INS) with the aid of a higher accuracy already aligned INS [1,2]. The reference INS is generally called "master" and the aligned one is called "slave". Mostly, transfer alignment is done in guided munitions, where the host platform has the master INS and munition has the slave. Transfer alignment is not only the alignment and initialization of the slave INS, but also a pre-flight calibration of inertial sensors, accelerometer and gyroscopes can be done. [2]

Transfer alignment can be done with different methods and procedures [5]. Most used ones are;

- 1. One shot alignment
- 2. Parameter matching
 - a. Velocity matching
 - b. Integrated velocity matching
 - c. Attitude matching
 - d. Velocity and attitude matching

The simplest but the most inefficient type is one shot alignment as it does not involve any estimation process, just starting the slave INS with master INS navigation states which can lead to serious navigation errors due to synchronization, dynamic misalignment etc. This method is used in very short range terminal guided munitions. Transfer alignment consists of two stages;

- 1. One shot coarse initialization
- 2. Main transfer alignment phase

One shot coarse initialization is the one time step transfer of navigation states, position, velocity and attitude from the host platform to the munition. Thus, the munition's INS can start from realistic initial value set instead of dead reckoning from zero velocity or attitude values.

One shot initialization is followed by the main phase, the transfer alignment itself, where the parameter matching between master and slave INS is done through an estimation procedure. The matched parameters are generally, velocity, attitude or both. Usually, the master is a high accuracy navigation grade INS, thus has a negligible error behavior with respect to the slave INS. So, in the transfer alignment, the measurement difference between the master and slave INSs is a function of the slave INS attitude, velocity and inertial sensor errors. With the use of an estimation filter, a measurement series is used to find these errors. [3]

The slave INS navigation and inertial sensor errors are estimated by Kalman filtering or alternative iterative stochastic estimation method dependent on the alignment case [3]. Within the estimation, both estimated errors and their related uncertainties are obtained. Dependent on the design of the alignment algorithm, the estimated errors are used to correct the slave INS. Maximum theoretical achievable accuracy in the transfer alignment is limited by master INS. In most of cases, the master INS has performance better than 1 Nautical mile per hour position and 1 mil attitude accuracy.

As it is explained previously, the parameter matching methods involve coarse one shot alignment and a fine alignment through estimation algorithms. Most popular parameter matching methods are velocity and integrated velocity matching methods. In these methods, attitude is only used in one shot phase and estimated through velocity measurement. The main reason of not using attitude in the estimation phase is the uncertainty in attitude information due to dynamics of lever arm between the master and slave navigation systems.. This method is used where limited information about the dynamic misalignment is available as the method is robust against dynamic misalignment.

In the real transfer alignment case, the alignment performance is affected by various physical and environmental restrictions, such as mounting misalignments, deflections, vibration etc. These misalignments can be divided into two types [3, 4];

- 1. Static
- 2. Dynamic

Static errors are a result of manufacturing tolerances and mounting errors of equipment leading to misalignments between different items of equipment on the host platform. Generally, static errors can be measured and compensated, thus becoming a smaller error source.

In the literature, there are lots of studies for aircraft based transfer alignment algorithms [1, 2, 3, and 4], in which the main problem is the wing flexure. As the airframe is not perfectly rigid and it has flexibility due to the aerodynamic loading on the wings and launcher where the guided munition is loaded. The dynamic misalignment increases significantly in the presence of platform maneuvers. Also, vibration arising from engines, rotors or aerodynamic loading can contribute to dynamic misalignment [4].

The velocity matching requires lengthy platform maneuvers to complete the alignment, thus increasing the transfer alignment duration. The required maneuver usually takes 1 to 5 minutes to be completed in order to obtain accurate alignment estimation. The reason of this requirement is to obtain observability of azimuth attitude error estimation. Usually, s or c turn type maneuvers, which are basically

level maneuvers with a specific heading change profile is performed in the alignment [6]. This heading change is required to estimate both azimuth error and gyro errors such as bias and scale factor error. Mathematically, the alignment maneuvers generate an acceleration to estimate attitude errors, especially azimuth error. The accuracy of azimuth error estimation is proportional to the agility of the maneuver up to a limit.

For helicopter launched guided systems, the transfer alignment of guided system should be completed in a limited time duration, which limits the use maneuvers for attitude estimation. In order to initialize the guided munitions' INS, attitude information should be included in the transfer alignment process. But as in the aircraft launched systems, the attitude uncertainty between master and slave INS' is a serious problem. If this lever arm attitude uncertainty is not taken into consideration, the alignment performance will be directly reduced. In the transfer alignment, the estimation filter, generally Kalman Filter takes measurements from master INS (velocity and attitude) and uses them to find the alignment solution. The measurements are compensated for rigid body static lever arm to be used in the Kalman Filter. If the flexible lever arm dynamics is not compensated, the Kalman Filter will assume that the measurements are unbiased. The slave INS is not aware of its own attitude; it only takes measurement of master INS, which is actually incomplete due to the flexure.

As explained before, the attitude certainty in aircraft based transfer alignment is the wing flexure, which is directly affected by wing structure and loading. Generally, this flexibility based attitude is in low frequencies (1-10 Hz) in aircraft launched guided munitions. In the helicopters, this attitude uncertainty arises from the mechanical vibration driven by rotor and tail blades.

Angular measurements, comprising attitude or angular rate, traditionally have not been used due to the difficulty in obtaining data of sufficient quality from the platform INS of some host platform. The advent of strapdown INS aboard platform resolves this problem. Again, attitude and angular rate essentially comprise the same information. However, angular rate measurements can be severely disrupted by wing flexure and vibration, especially during roll maneuvers, whilst use of attitude measurements provides smoothing.

It was shown that the use of attitude matching, as well as velocity matching, increases the observability of the INS attitude errors, especially azimuth error, thus reducing the maneuver requirement. Attitude and velocity matching is also called rapid transfer alignment as the alignment duration is reduced [6]. Attitude matching is mainly developed for the platforms where the dynamic misalignment between master and slave is relatively low [7, 8].

The attitude measurement consists of both dynamic and static misalignment and the rigid body motion of the platform. In order to obtain highest accuracy from transfer alignment, these two motions must be separated. The effect of dynamic misalignment can be handled as a white noise in Kalman Filtering [8]. By this way, stability of the alignment can be obtained but steady state accuracy and convergence rate will be affected.

Another common solution is to implement additional Kalman filter states that model the dynamic misalignment. [9, 10]

1.2 Literature Survey and Current Applications

In the literature, almost all of the transfer alignment studies are given for aircraft launched systems. As explained in the previous part, there are very limited studies for helicopter launched systems.

In most of the aircraft launched munitions' transfer alignment, velocity matching is used [2, 5, 6, 9, 10, and 11]. The reason choosing velocity matching arises from the fact that there is a high dynamic misalignment issue, as the aircraft wings are highly flexible structures. Using attitude information from host platform may result in high error as there is a considerable uncertainty due to this flexibility. In order to design a stable and robust alignment algorithm against these misalignment uncertainties, the attitude information of the aircraft is not used as a measurement. Although recent studies showed that the dynamic misalignment can be deterministically modeled up to certain level of accuracy [26], most of the studies prefers velocity matching as this models can be very complicated [2]. As stated above, the system becomes robust, but the platform becomes dependent to specific transfer alignment maneuvers [5, 9, 10 and 11]. These maneuvers generally take 1-3 minutes to be completed and impose tactical constraints to the pilot.

Detailed derivation of velocity matching method is given in references 9, 10 and 11. In reference 2, the misalignment problem is considered as two parts, static and dynamic. The static misalignment is modeled as random constant, where as dynamic misalignment is modeled as a second order Markov process. In this study, it is stated that stochastic model only give an idea about the dynamic misalignment, they are not highly accurate without detailed experimental data. In reference 5, velocity and integrated velocity matching methods are analyzed. Integrated velocity method is used to damp the vibration effects in Kalman filtering process as they have a lower noise level due to the integration of velocity. However, the integrated velocity method is shown to be slower with respect to the velocity matching method.

In reference 10, the aircraft's position and velocity information are used as measurement. In the designed algorithm, the misalignment between master and slave INS is not modeled in the Kalman filter. IMU errors are also not modeled in the filter, only slave INS errors (position, velocity and attitude errors) are modeled. The transfer alignment algorithm is completed by a specific s type maneuver including a 25 degrees bank angle which is completed in approximately 5 minutes. In this reference, convergence rate is shown to be proportional to the agility of the maneuver, but as a consequence of this agility, the degree of dynamic misalignment increases agile maneuvers may yield in a higher

convergence rate, but as the maneuver becomes more agile, the wings have a higher degree of flexibility increases. In the end of the transfer alignment, an attitude error of ~ 0.5 mrads is obtained in flight tests.

In reference 11 and 12, velocity matching method is tested by a fighter jet flight data; offline navigation data is used to inspect the accuracy of the designed algorithm. In these studies, effectiveness of different types of maneuvers are analyzed. In the Kalman filter of transfer alignment, both slave INS error states and inertial sensor biases are modeled. Similar to reference 10, all proposed transfer alignment maneuvers are completed in 3-5 minutes. It is shown that IMU bias estimations are very sensitive to wing deflections. If the dynamic misalignment effects are higher than a certain level, the bias estimations become unusable. This false bias estimation has two sources, dynamic behavior of attitude error estimation and vibration sensitive biases of the IMU. In order to overcome this problem, a low dynamic S turn maneuver is used, where the wings have a relatively less dynamic behavior but the observability of the attitude states are decreased.

Reference 6 briefly explains the traditional transfer alignment algorithm used in Joint Direct Attack Munition (JDAM), an aircraft launched guided bomb. Similar bias estimation problems stated above is also seen in this reference. Again, an s type maneuver is used to overcome dynamic misalignment issues.

In reference 13, different INS error models are compared for traditional transfer alignment. Results using the three different error models described are also presented, which shows that all models have approximately the same accuracy.

Attitude and velocity matching method, namely Rapid transfer alignment is first derived in reference 7. It is stated that if the transfer aligned guided munition is to be launched in very short time, likely less than 10 seconds, velocity and attitude matching method is very useful. In reference 7, 14 and 15, the dynamic misalignment is only modeled as white noise. With this noise model, the filter

stability robustness is increased but the attitude estimation of accuracy is degraded, where the attitude accuracy with rapid transfer alignment is 5-10 mrads

In Reference 16, a rapid transfer alignment algorithm is design while the dynamics misalignments are also taken into consideration. Dynamic misalignment and vibration profile is model as first and second order Markov processes which are derived from pre-recorded flight data. In dynamic misalignment modeling, each axis have a high frequency second order Markov process for vibration, a low frequency second order Markov process for flexibility, and a first order Markov process with a very high cutoff frequency. Totally 31 states are augmented into the estimation filter. As the optimal filter design has a very high computational load, most of these states are neglected in the final design, only three first order Markov process is used for dynamic misalignment and lever arm rates are totally discarded. Attitude accuracy is 1-2 mrads in the flight tests with a 20 degrees wing rock maneuver lasting 5s.

In reference 17, three different transfer alignment maneuvers are compared in rapid transfer alignment; no maneuver, wing rock and s type. It is shown that wing rock and s type maneuver have the same accuracy, but wing rock maneuver is completed in a shorter time. In no maneuver case, scale factor error estimations are not observable, which is not the case in wing rock.

In references 17-19, the rapid transfer alignment algorithm designed for an aircraft launched missile is first tested on a land vehicle test setup. The two INSs are placed in the van, one of them is a navigation grade INS (master INS) and the other is a tactical grade (slave INS). In the rapid transfer alignment, the wing rock is manually initiated. Roll and pitch misalignments are estimated before the wing rock starts, and azimuth error is partially estimated prior to the maneuver and rapidly estimated after the wing rock maneuver. After the land vehicle tests, final tests are conducted on the aircraft.

In references [3, 20-23], rapid transfer alignment with different state combinations are analyzed. In each of these references, slave INS errors and inertial sensor bias errors are modeled. In 21-23, static and dynamic misalignments are separately modeled; static misalignment is as random constant and dynamic misalignment as a first order Markov process. In all this references, dynamic misalignments are for stability and robustness of the filter, not for high accuracy attitude estimation.

Reference 3 states that host platform's maneuvers are required to separate the estimation of attitude errors and accelerometer bias states in velocity matching. With rapid transfer alignment, only a simple low agility maneuver is enough for observability. Also, the dynamic misalignment is modeled as a function of acceleration of the maneuver. First or higher order Markov processes discarding this acceleration dependent flexibility are shown to have a stability problem in the Kalman filter in roll maneuvers, resulting in decreased accuracy.

Reference 4 and 24 gives different approaches in augmentation of dynamic misalignment for ship launched missiles. In reference 4, the host platform's dynamics are augmented into the Kalman Filter states as a direction cosine matrix partial matching, especially for pitch misalignment. Dynamic misalignment is assumed to be small and dependent on the angular rates of the host platform, ship. With a proper formulation, the dynamic misalignments are decoupled from the attitude measurement and augmented into the state vector. In Reference 25, Kalman filter equations are re-derived for time correlated measurement and system noise without increasing the size of the filter state vector, thus reducing the computational load. This formulation was specifically derived for vibration induced noise of the slave IMU.

Reference 25 and 26 gives a deterministic modeling approach in dynamic behaviors. In reference 26, deterministic modeling of flexibility and vibration of the aircraft's wings is compared with white noise modeling. It is shown that if an accurate model of the dynamic misalignment can be obtained, the transfer alignment performance can be significantly improved. In references 27 and 28,

finite element based models are improved by real flight data, which is obtained by a network of inertial sensors placed in proper positions of the wing to obtain the related characteristics.

As stated above, vibration induced errors, g^2 dependent biases of the slave IMU (both accelerometers and gyros) are also important in the performance of the transfer alignment. The g^2 dependent biases result in a distinct error in the bias estimation of transfer alignment. Besides, noise of the IMU becomes higher in the presence of a high level of mechanical vibration [29, 30]. As these vibration induced biases and noises are generally not accurately calibrated, transfer alignment algorithm should be designed to be able to handle this error. [6]

Maneuver planning is another issue especially in traditional velocity matching based transfer alignment. Transfer alignment maneuver should be chosen such that all of the modeled error parameters of slave INS and IMU should become observable at the end of the alignment.

Some of the error parameters of inertial sensor such as scale factor error and dynamic misalignments are time and maneuver dependent, thus the estimated system becomes a linear time variant system. References 31 and 32 give a detailed derivation of the observability analysis of piece wise time constant systems with application to transfer alignment. In these references, important conclusions are obtained;

- The observability of the transfer alignment algorithm at a specific maneuver segment of the depends on all preceding segments
- The order of maneuver segments has no effect in final observability of the transfer alignment
- Repetition of a maneuver segment has no effect in increasing the accuracy

Reference 33 and 34 gives a detailed observability analysis of the inertial navigation system for different types of maneuvers, especially for different phases of flight, from take-off to landing.

A different approach in observability analysis in inertial navigation system is given in Reference 35. The use of Lyapunov transformation is given for transforming the INS error model and sufficient conditions for the observability is analytically derived.

Error analysis of transfer alignment algorithm is done, by analyzing the observability of the transfer alignment maneuvers in references 36 and 37. Effect of maneuvers on the observability is shown in these references and what type of maneuvers make which states observable is given.

The level of host aircraft maneuver during transfer alignment affects performance because changes in the attitude and/or trajectory are needed to observe separately the states estimated by the Kalman filter. Most importantly, when the velocity and attitude are constant, the effects of attitude errors and accelerometer biases on the navigation solution cannot be separated if the relative orientation is unknown. When attitude and velocity measurements are used, the error sources can be observed separately by changing attitude. However, if only velocity measurements are used, a trajectory change is necessary. Once the attitude errors are calibrated, the gyro bias can then be estimated. Estimation of other inertial sensor errors such as scale factor and cross-coupling errors requires further maneuvers. The measurement noise can reduce the effects of dynamics on state estimation and system noise can degrade state estimates, thus the states become stochastically unobservable. Consequently, to improve the quality of state estimates, there must be sufficient agility in the maneuver to overcome the noise effects [3, 38-41]. In references 39-41, the condition for stochastic observability is given, which is derived from Riccati Equation of covariance time update, with the assumption of no process noise and a priori knowledge. Basically, if the covariance matrix is positive definite and bounded for some t>0, then the system is uniformly completely stochastic observable. In reference 41, a different approach in stochastic observability is given. In references 41-43, stochastic observability of the transfer alignment for different maneuvers such as constant axial acceleration maneuvers.

In reference 44, a different approach of transfer alignment is proposed by using artificial neural networks (ANN). For this purpose, the multilayer perceptron is trained using the outputs of a master IMU. Thus, the neural network filter takes the measurements from the slave IMU and after correction gives measurements close to the master IMU. The initial position and velocity vector needed by the slave IMU may be taken directly from the master INS. Then, the slave INS may start operating independently. In this reference, first some background information on INS initialization problem and training methodology developed are presented. Then, discussion on the neural network filter structure and on the training algorithm is given.

Neural networks are used in inertial navigation system where modeling of some of the states or their characteristics is complex or unreliable. In reference 45, neural network is used to estimate the static misalignment in stationary initial alignment of the INS. Reference 46 uses neural network in improving the performance of the INS/GPS navigation system where GPS signals are temporarily unavailable. In reference 47, neural network is used to provide noise statistics of the states and thus update the Kalman Filter noise covariance matrices. In [44-47], it is shown that neural network is not superior to Kalman filtering in estimation if the mathematical model of the system is accurate, but it is powerful when there is lack of information in the model. In reference 48, gyro bias instability is modeled by neural networks and compared with traditional Markov models and shown to be superior.

1.3 Drawbacks of the Current Applications

As explained in the previous part, almost all of the transfer alignment studies are done for aircraft launched systems. There are a few studies for helicopter launched guided munitions, which are indeed not highly detailed studies.

The trajectories and estimation states are only given for aircraft launched systems. Especially, there is no detailed study of observability for helicopter launched systems. Besides that, the observability analyses are only done to determine whether the system is fully observable or not. A degree of observability analysis is not done to determine which states are specifically observable. Effect of trajectory dynamics of the host platform on the observability is not done for helicopter launched systems.

There are some studies that deal with wing flexure in transfer alignment, but there is not any study for dynamic misalignment and vibration problem in helicopter launched systems. In helicopters, the dynamic misalignment has both low and high frequency components. Current studies in the literature never studied for modeling and compensation of the effects of dynamic misalignment in the helicopters.

The helicopters have a significantly higher mechanical vibration level with respect to aircrafts due to rotor blade rotation. This vibration certainly affects the performance of MEMS inertial sensors, but there are limited studies for this error behavior. The inertial sensor performance can significantly change in the presence of mechanical vibration

1.4 Objectives of the Thesis

In this thesis, following contributions will be added to the rapid transfer alignment algorithm;
- Observability analysis for Helicopter based Transfer Alignment
- Characterization and modeling of vibration environment for Helicopter launched Guided munitions.
- Characterization and modeling of vibration induced errors of Inertial sensors
- Characterization and modeling of flexibility in the lever arm between master and slave INS for Helicopter launched guided munitions

Observability analysis is very critical for maneuver and state selection for helicopter launched guided munitions. The transfer alignment maneuver shall be such that total time for transfer alignment is very short while all the states in the transfer alignment are estimated. Also, the states that cannot be estimated under any condition should be eliminated from transfer alignment in order to reduce the computation load of the algorithm.

In the literature, observability analysis of transfer alignment is either done by deterministic approach [13 - 24] or stochastic [38-43]. In this thesis, observability of transfer alignment for specific maneuvers will be analyzed by both approaches to see which maneuver makes which state(s) observable. Besides, maneuver analyzes of transfer alignment is for aircraft launched guided munitions, there is no study for maneuver selection and optimization for helicopter launched systems. In this thesis, transfer alignment maneuver and observability analysis will be concentrated on helicopter launched munitions

In rapid transfer alignment, one of the main problems that result in degradation of performance and convergence speed is the dynamic misalignment between master and slave INS. Attitude information is transferred to the slave INS with rigid body compensation, but slave INS is unaware of the dynamic misalignment, which results in a dynamic uncertainty in the estimation. This problem is solved in the literature by treating this misalignment as [3];

• White Noise

Markov Process

White noise modeling is a general solution to this problem; its main advantage is robustness in the filtering process. But, if the dynamic misalignment is treated as a white noise, the steady state error of attitude is increased and convergence rate is reduced [3]. The other method involves state augmentation of dynamic misalignment as a first or second order Markov process. The parameters of the Markov process should be arranged with experimental data. This approach is slightly better than white noise modeling but it can cause stability problems in the Kalman Filter [16-22].

In this thesis, both a neural network and state augmentation based approach in dynamic misalignment compensation are investigated;

- A navigation grade high accuracy INS will be placed to the original place of slave INS with proper mass/inertia arrangements to observe the same mechanical vibration and flexibility profile.
- Real flight data will be recorded by both INSs.
- As both INSs are navigation grade, the attitude of both host platform and launcher is accurately obtained.
- A proper network with sufficient layer structure will be trained by the difference of these two INSs
- Order and related parameters of the linear system for state augmentation will be determined.
- Trained neural network's parameters will be recorded and used in the transfer alignment
- Transfer alignment performance of uncompensated dynamic misalignment, white noise modeled and neural network compensated will be compared

Comparison of state augmentation and neural network is given with respect to uncompensated flexible lever arm effects in helicopter. Another issue in transfer alignment is the amplification of inertial sensor errors under high vibration levels, which is known as vibration rectification or g^2 dependent errors [29, 30]. This error behavior has two distinct components; bias and noise

MEMS based gyro or accelerometer's output has an increase in the bias (offset) and noise level dependent on the level of (g RMS) random vibration. This shift is generally not dependent on a specific frequency as the natural frequency of MEMS inertial sensors are 10-20 KHz and most of the host platforms in transfer alignment does not have significant vibration level in this spectrum.

Another important thing is that the vibration level of the host platform may not constant during flight. Frequency components and amplitudes may be different in different maneuvers. Thus, noise of the inertial sensors may not be white.

In this thesis;

- Host platform's launcher vibration is recorded for all flight phases.
- Recorded data is analyzed in time and frequency domain for all the phases of the flight
- Inertial sensors of the slave INS is tested on vibration table with the obtained profile, again for all phases of the flight
- Bias and noise characteristics during the vibration is analyzed
- Kalman Filter noise characteristics is designed such that noise variance of the inertial sensors are not constant, rather it will be changing with the helicopter dynamics
- Bias shift during vibration is analyzed by vibration table experiments and modeled in the Kalman Filtering
- Both Noise and bias shifts are also modeled
- Performance of transfer alignment of uncompensated vibration effects, white noise modeled and adaptive variance of noise and bias is compared.

For the performance analysis of the designed rapid transfer alignment algorithm, experimental data will be used to see the effectiveness of the developed compensation and adaptation methods

1.5 Outline of the Thesis

Chapter 1 gives an introduction and brief information about this thesis study.

In Chapter 2, fundamental information about inertial navigation systems are presented. Inertial navigation mechanization equations, linear error model of inertial navigation and inertial measurement systems are given.

In Chapter 3, basic rapid transfer alignment algorithm and related modules (system, measurement, feedback etc.) are given.

In Chapter 4, deterministic and stochastic observability methods are given and observability analyses of different transfer alignment maneuvers are shown.

In Chapter 5, vibration dependent inertial sensor errors are introduced. Helicopter vibration is experimentally determined. Characterization and modeling approaches for vibration induced inertial sensor errors are given.

In Chapter 6, dynamic misalignment problem and its effects are given. Characterization of the dynamic behavior is shown and different modeling approaches for implementation in the transfer alignment are given.

In Chapter 7, the proposed improvements are implemented to the rapid transfer alignment and tested by experimental data

In Chapter 8, discussion and conclusions for the developed rapid transfer alignment and improvements are given.

CHAPTER 2

STRAPDOWN INERTIAL NAVIGATION SYSTEMS

This chapter gives the basic of inertial measurement units (IMU) and inertial navigation systems (INS). IMU technologies and inertial sensors' error sources are presented. Inertial navigation mechanization equations and linear error models are given.

2.1 Inertial Measurement Unit

An inertial measurement unit (IMU) is an autonomous closed system for sensing linear acceleration and angular rates of a platform. A typical IMU normally consists of orthogonally mounted 3 accelerometers and 3 angular rate sensors (gyroscopes) to determine the motion of the host platform. Accelerometers and gyroscopes measure the specific forces [1, 2, and 3].

Typically, an IMU senses the linear acceleration and rate of change in attitude and navigation system then integrates them to find the total change from the initial position. An IMU is an autonomous measurement system; that is, it does need any kind of external information or signal to be operational, thus it can work in almost all environment. Also, an IMU cannot be jammed like other navigation systems such as Global Navigation Satellite Systems (GNSS). Unlike GPS, an IMU can provide very high output rates (~1 kHz), which makes it possible to track high dynamic maneuvers [3].

As an inertial navigation system integrates linear acceleration and angular rates to obtain position, velocity and attitude, IMU errors result in cumulative navigation errors. Generally, accuracy of IMUs becomes better with increasing unit price.

Another disadvantage of IMUs is the initialization procedure. An inertial navigation system is a deduced reckoning navigation system; it integrates linear acceleration and angular rate in a set of ordinary differential equations to obtain position, velocity and attitude data. Without the knowledge of the initial conditions, it is not possible to obtain reasonable navigation solutions. Several kinds of initial alignment algorithms depending on the platform of navigation system can be found in the literature [8, 9, 10].

2.1.1 IMU Technologies

The basic sensors of an INS are configured in either of two ways [1, 2, 8, and 11], gimbaled (stabilized) or strapdown systems.

Gimbaled inertial measurement units are old systems which are not commonly used recently. Basically, inertial sensors are mounted on a stable platform with three or two gimbals that is kept stabilized with respect to the inertial frame or a specific reference frame.

In strapdown inertial navigation systems, the inertial sensors are rigidly attached to the body, where conversion of inertial sensor measurement from body to inertial frame is done by software. The angular rates detected by the gyroscopes are used to calculate the attitude of the body with respect to the reference frame then the attitude of the host platform is used to resolve gravity compensated accelerometer outputs. Then they are integrated twice to obtain velocity and position of body. The advantages of the SINS compared to stabilized inertial navigation systems are reduced cost, weight, and mechanical complexity. In this work, a strapdown inertial navigation system is considered.

Accelerometers are divided into two main categories:

- Force feedback or pendulous rebalanced accelerometers; and
- MEMS accelerometers

Gyroscopes are generally divided as:

- Mechanical based dynamically tuned gyros;
- Optical gyros
- MEMS bases Coriolis vibratory gyro

Sensors are often categorized by certain performance parameters, such as bias and scale-factor stability and repeatability or noise (random walk) [12]. The sensor selection is made difficult by the fact that many different sensor technologies offer a range of advantages and disadvantages while offering similar performances. For many applications, an improved accuracy/performance is required with the minimum cost.. Many of these newer applications require production in much larger quantities at much lower cost. In recent years, three major technologies in inertial sensing have enabled advances in military (and commercial) capabilities. These are the ring laser gyro (since \sim 1975), fiber optic gyros (since \sim 1985), and MEMS (since ~1995). The Ring laser gyro (RLG) enabled strapdown technology for high dynamic environmental military applications. Fiber Optic Gyros (FOGs) were developed primarily as a lower-cost alternative to RLGs. FOGs and RLGs nowadays are similar in performance and cost,. However, apart from the potential of reducing the cost, the FOG did not really enable the emergence of any new military capabilities beyond those already serviced by RLGs. Efforts to reduce size and cost resulted in the development of small-path-length RLGs and shortfiber-length FOGs. MEMS Inertial sensors have the potential to be a technology for new military applications. [11].

2.1.2 Error Model of IMU

In the literature, more than 20 different types of errors are defined for inertial sensor outputs [10, 11]. However, for the system point of view, most of these errors are out of concern. This is because, during the actual use of an IMU, the combined effect of most errors cannot be separated by just observing the raw IMU outputs [11, 12 and 13]. To localize each error sources, some specialized test methods and equipment (like Allen variance tests) should be used, which is not practical during a mission.

Errors which represent similar output characteristics are modeled using just a single model based on the dominant error source belonging to that group. For instance, the quantization error of sensors is ignored and their effects on sensor outputs were represented by adjusting random walk variance in constructing models. This is because, it is impossible to distinguish these two errors by using sensor outputs recorded at a constant rate.

The scale factor and bias are the main error parameters for accelerometer and gyroscopes. According to IEEE Inertial Sensor Terminology [49], the inertial sensor bias is defined as "the average of the sensor output over a specified time measured at specified operating conditions that are independent of input acceleration or rotation". Scale factor error is the error in conversion of digital/analog output of the sensor to the physical value. Both errors include these components: fixed offset, temperature dependent errors, repeatability type variations and in-run stabilities. The fixed component of the error is deterministic and constant each time when the sensor is powered on and can be calibrated. Temperature dependent errors are also called turn on to turn on errors as these errors vary from sensor turn-on to turn-on but remain constant

for a given run. Therefore, their characteristics can be obtained from laboratory calibrations and may be estimated in the transfer alignment process. The stability errors are time dependent random variations, thus becoming impossible to calibrate and vary throughout the periods when the sensor is powered on. The inrun random errors therefore cannot be removed from measurements using deterministic models and calibrations; they should be modeled by a stochastic process such as first or second order Markov processes.

The bias of an inertial sensor is the constant output error at a specific environmental condition and is uncorrelated with dynamic input of the inertial sensor. Bias is generally expressed in degree per hour ($^{\circ}$ /h) or radian per second (rad/s) for gyroscopes and in meter per second square (m/s²) or g in accelerometers. The bias has two distinct parts: a deterministic part called bias offset and a random stochastic component. The bias offset, the offset in the measurement provided by the inertial sensor, is deterministic and can be easily removed by proper calibration methods. The random part is called as bias drift, and as explained above, contains several parts such as repeatability, stability, instability etc. These bias errors are be modeled as stochastic processes.

The scale factor error is the conversion error between physical input and sensor readings. The main scale factor error is deterministic in nature and can be determined by calibration. Scale factory repeatability is similar to bias repeatability and has a turn on to turn on characteristic. The scale factor asymmetry is the difference between the scale factor for positive and negative errors.. In some inertial sensor designs, the scale factor is not a linear for all measurement range, which is known as scale factor non-linearity, and if not compensated can lead to errors in measured inertial input proportional to the order of nonlinearity.

The inertial sensor noise is the random instantaneous variation in the inertial sensor outputs. The noise is uncorrelated and therefore cannot be removed from the data using deterministic models. It can only be modeled by stochastic process.

The random noise is an additional signal resulting from the sensor itself or other electronic equipment that interfere with the output signals being measured.

The axes misalignment is an error resulting from the imperfection of mounting the sensors. It usually results in a non-orthogonality of the axes defining the body frame. As a result, each axis is affected by the measurements of the other two axes in the body frame. The axes misalignment can, in general, be compensated or modeled in the INS error equation.

The cross-coupling error is the error due to sensor sensitivity to inputs about axes normal to an input reference axis. Non-orthogonality of the sensor set is the main reason behind this error parameter. For even a low-cost MEMS based inertial sensor, the cross-coupling error parameter is negligibly small.



Figure 2.1 Inertial Sensor Error Characteristics [13]

The fundamental mathematical model that describes the true input and erroneous sensor output is given in equations 2.1 and 2.2 for accelerometer and gyro respectively.

$$\begin{bmatrix} a_{x}^{acc} \\ a_{y}^{acc} \\ a_{z}^{acc} \end{bmatrix} = \begin{bmatrix} S_{x}^{acc} + 1 & 0 & 0 \\ 0 & S_{y}^{acc} + 1 & 0 \\ 0 & 0 & S_{z}^{acc} + 1 \end{bmatrix} \begin{pmatrix} m_{xx}^{acc} & m_{xy}^{acc} & m_{zz}^{acc} \\ m_{yx}^{acc} & m_{yy}^{acc} & m_{zz}^{acc} \end{bmatrix} \begin{bmatrix} a_{x}^{T} \\ a_{y}^{T} \\ a_{z}^{T} \end{bmatrix} + \begin{bmatrix} \delta a_{x}^{bias} \\ \delta a_{y}^{bias} \\ \delta a_{z}^{bias} \end{bmatrix} + \begin{bmatrix} \delta a_{x}^{md} \\ \delta a_{y}^{md} \\ \delta a_{z}^{md} \end{bmatrix} \end{pmatrix}$$
(2.1)
$$\begin{bmatrix} \omega_{xx}^{gyr} \\ \omega_{yx}^{gyr} \\ \omega_{yy}^{gyr} \\ \omega_{z}^{gyr} \end{bmatrix} = \begin{bmatrix} S_{x}^{gyr} + 1 & 0 & 0 \\ 0 & S_{y}^{gyr} + 1 & 0 \\ 0 & 0 & S_{z}^{gyr} + 1 \end{bmatrix} \begin{pmatrix} m_{xx}^{gyr} & m_{yy}^{gyr} \\ m_{yy}^{gyr} & m_{yy}^{gyr} \\ m_{yy}^{gyr} & m_{yy}^{gyr} \\ m_{yy}^{gyr} & m_{yy}^{gyr} \\ m_{yy}^{gyr} & m_{zy}^{gyr} \\ m_{yy}^{gyr} & m_{zy}^{gyr} \end{bmatrix} \begin{bmatrix} \omega_{x}^{T} \\ \omega_{y}^{T} \\ \omega_{y}^{T} \\ \omega_{z}^{T} \end{bmatrix} + \begin{bmatrix} \delta \omega_{x}^{bias} \\ \delta \omega_{z}^{bias} \\ \delta \omega_{y}^{bias} \\ \delta \omega_{y}^{md} \\ \delta \omega_{z}^{md} \end{bmatrix} \end{pmatrix}$$
(2.2)

S= Scale factor errors

m= Misalignment errors

Bias =Constant errors

Rnd= Random noise errors

In the equations 2.1 and 2.2, a^{acc} and ω^{gyr} represent the inertial sensor reading, a^{T} and ω^{T} denotes the actual true input values. Notice that this error model is in the simplest expression where detailed parameters such as temperature dependency, repeatability, stability nonlinearities, g^{2} dependent terms etc. are included in the main parameters

2.2 Inertial Navigation System

Inertial navigation system (INS) is the navigation unit which gives position, velocity and attitude information by integrating the inertial sensor data from IMU with mechanization equations. An INS is characterized by having high bandwidth, high data output rate and high accuracy in short duration.

Due to its integrating based algorithm structure, an INS has distinct accumulating error behaviour. Inertial sensors, Accelerometers and gyros have specific error parameters such as bias, noise etc. When an INS integrates inertial data, all errors with non-zero mean and short integration time in the inertial sensor reading accumulate, resulting in a performance decrease with respect to time. However, an INS is perfect for short term navigation requirements. IMU for a navigation system is chosen by two criteria; performance requirements and dynamics of the platform

2.2.1 Inertial Navigation Mechanization Equations

In this part of the thesis, fundamentals of inertial navigation system mechanization will be introduced.

2.2.1.1 Coordinate Frames

There are different coordinate reference frames used in inertial navigation systems. For example, the inertial sensor outputs are expressed in body coordinates, but the navigation states are generally expressed in navigation frame. In this section, the basic reference frames in navigation systems are given [19]

2.2.1.1.1 Inertial Frame

The inertial (i) frame, is an ideal theoretic coordinate frame, with no frame acceleration or rotational rates. Unfortunately, an inertial frame is difficult to express in real world, so instead of this, a quasi-inertial frame is used. This quasi-inertial frame has its origin at the centre of the Earth, Z axis is along the spin axis of the Earth, X axis points towards the mean vernal equinox, and Y axis is placed according to the right hand rule.

2.2.1.1.2 Earth frame

The Earth (e) frame has its origin at the center of the Earth and axes fixed with respect to the Earth. X axis points toward the mean meridian of Greenwich in equatorial plane, Z axis is parallel to mean spin axis of the Earth and again Y axis completes the right hand rule.

Earth frame obviously have a rotation with respect to (i) frame;

 $\omega_{ie}^{e} = \begin{bmatrix} 0 & 0 & \Omega \end{bmatrix} \tag{2.3}$

 Ω is the rotation rate of the Earth;

 $\Omega = 7.2921158 \text{ rad/day}$

In the (e) frame, the position is given in latitude(L), longitude(l) and altitude(h).

2.2.1.1.3 Navigation frame

The navigation frame is a local geodetic frame with origin coinciding with IMU, with x axis pointing toward geodetic North, its z axis orthogonal to the reference ellipsoid pointing downward, and again y axis completes the right hand rule. The Navigation frame is also known as North East down (NED) frame.

2.2.1.2 Coordinate Transformation Between Reference Frames

Coordinate transformation is used to convert a express vector in different reference frames. Coordinate transformation matrices are orthogonal matrices with unity determinant. There are different methods to transform a vector between different coordinate frames, such as Euler angles, direction cosine matrix (DCM), quaternion etc. In this thesis, DCM is with 3-2-1 Euler angle rotation sequence is used.

2.2.1.3 Earth Model

To determine the position in (e) frame with Latitude, longitude and altitude, the geometric shape of Earth should be modeled. As a common method, WGS84 Earth Model [1,2,3 and 8] is used, where the main parameters are given as follows;

R: Semi major axis length, ~6378 km

r = R(1-f): Semi minor axis length, ~6356 km

f = (R - r)/R: Ellipsoid flattening, ~1/298.25

 $e = \sqrt{f(2-f)}$: Ellipsoid major eccentricity, ~0.08188

Transverse (R_E) and Meridian (R_N) radius of curvature are given as;

$$R_N = \frac{R(1-e^2)}{\left(1-e^2\sin^2 L\right)^{\frac{3}{2}}}$$
(2.4)

$$R_E = \frac{R}{\left(1 - e^2 \sin^2 L\right)^{\frac{1}{2}}}$$
(2.5)

2.2.1.4 Gravity Model

As an accelerometer cannot measure the gravitational acceleration directly, there should be an accurate model to be used in navigation mechanization equations [1].

In the literature, there are various gravity models used in inertial navigation systems. The gravity acceleration is assumed to be acting vertically downwards to the reference ellipsoid. In this thesis, the model given in [11] is used. In this model, gravity at the surface of the reference ellipsoid is stated as follows;

$$g(0) = 9.7803267714(1+5.3024.10^{-3}.\sin^2 L - 5.9.10^{-6}.\sin 2L)$$
(2.6)

And the change of magnitude of gravity with respect to altitude is given by Kepler equation;

$$g(h) = \frac{g(0)}{(1+h/R)^2}$$
(2.7)

2.2.1.5 Inertial Navigation Kinematic Equations

The differential equations for velocity, position and attitude can be expressed as follows [1-15];

$$\dot{v}_{e}^{n} = C_{b}^{n}.a^{b} - (2.\omega_{ie} + \omega_{en}) \times v_{e}^{n} + g_{p}$$
(2.8)

$$\dot{L} = \frac{V_N}{R_N + h} \tag{2.9}$$

$$\dot{l} = \frac{V_E \sec(L)}{R_N + h} \tag{2.10}$$

$$\dot{h} = -V_D \tag{2.11}$$

$$\dot{C}_b^n = C_b^n(\tilde{\omega}_{nb}^b) \tag{2.12}$$

$$\omega_{en}^{n} = [V_{E}/(R_{e} + h) - V_{N}/(R_{n} + h) - V_{E}.\tan(L)/(R_{e} + h)]$$
(2.13)

where;

 v_{e}^{n} : Velocity

L: latitude

l: longitude

 C_b^n : Direction cosine matrix between (b) and (n) frame.

h: altitude

 a^n : Acceleration to which the inertial measurement unit is subjected

 ω_{en} : Transport rate,

 ω_{ie} : Earth rotation rate

 g_p : Plumb up gravity vector

 ω_{nb}^{b} : The angular rate of the body with respect to the navigation frame, measured in (b) frame, expressed in (n) frame

 ω_{nb}^{b} can be expressed as the measured body rates (ω_{ib}^{b}) and estimates of the components of the navigation frame rate $(\omega_{in} = \omega_{ie} + \omega_{en})$;

$$\omega_{nb}^{b} = \omega_{ib}^{b} - C_{b}^{n} . (\omega_{ie}^{n} + \omega_{en}^{n})$$

$$(2.14)$$

Detailed derivation of the navigation mechanization equations are given in Appendix A.

2.2.2 Error Model of Inertial Navigation Systems

As the navigation mechanization equations are highly nonlinear, they cannot be directly used linear estimation filter. Also, instead of using navigation states, estimating their error states can be more useful for filter stability [22, 34]. The navigation error equations are derived by first order perturbations assuming small attitude errors, expressed as follows;

$$\dot{\gamma}^n = -C_b^n \cdot \delta \omega_{ib}^b - \omega_{in}^n \times \gamma^n + \delta \omega_{in}^n \tag{2.15}$$

$$\delta \dot{v}_{e}^{n} = (C_{b}^{n}.a^{b}) \times \gamma^{n} + C_{b}^{n}.\delta a^{b} - v_{e}^{n} \times (2.\omega_{ie}^{n} \times \varepsilon^{n} + \delta \omega_{en}^{n}) -(2.\omega_{ie}^{n} + \omega_{en}^{n}) \times \delta v_{e}^{n} + \delta g_{p}$$
(2.16)

$$\delta \dot{h} = \delta V_D \tag{2.17}$$

$$\delta \dot{L} = \delta V_N / (R_n + h) - V_N \cdot \delta h / (R_n + h)$$
(2.18)

$$\delta \dot{l} = \delta V_E \cdot \sec(L) / (R_e + h) + \delta V_E \cdot \sec(L) \cdot \tan(L) \cdot \delta L / (R_e + h)$$

- $\delta V_E \cdot \sec(L) \cdot \delta h / (R_e + h)$ (2.19)

- γ^n : Attitude error
- δL : Latitude Error
- δl : Longitude Error
- δV : Velocity error
- δh : Altitude error
- $\delta \omega_{ib}^{b}$: Errors in gyro measurements
- $\delta \omega_{in}^{n}$: Errors in ω_{in}^{n} , which is expressed as;

$$\delta \omega_{in}^n = \delta \omega_{ie}^n + \delta \omega_{en}^n \tag{2.20}$$

$$\delta \omega_{en}^{n} = \begin{bmatrix} \frac{\delta V_{E}}{R_{E} + h} - \frac{V_{E} \delta h}{R_{E} + h} \\ \frac{\delta V_{N}}{R_{N} + h} - \frac{V_{N} \delta h}{(R_{N} + h)^{2}} \\ \frac{-\delta V_{N} \cdot \tan(L)}{R_{E} + h} + \frac{V_{N} \cdot \tan(L) \delta h}{(R_{E} + h)^{2}} - \frac{-V_{N} \cdot (R_{E} + h)(\cos(L))^{2}}{(R_{E} + h)(\cos(L))^{2}} \end{bmatrix}$$
(2.21)
$$\delta \omega_{ie}^{n} = \left[-\Omega \sin(L) \delta L \quad 0 \quad -\Omega \cos(L) \delta L \right]^{T}$$
(2.22)

The detailed derivation of the linear error equations are given in Appendix B.

CHAPTER 3

RAPID TRANSFER ALIGNMENT

In this chapter, fundamentals of rapid transfer alignment, i.e. attitude and velocity matching is explained. Main components and details of the algorithm are given. The basic rapid transfer alignment algorithm is designed for ideal conditions; vibration and dynamic misalignment effects are not considered.

The rapid transfer alignment algorithm is basically composed of;

- Comparison of master and slave INS attitude and velocity data
- Filtering the measurements
- Feedback of estimated data

3.1 Rapid Transfer Alignment Algorithm

The rapid transfer alignment algorithm is composed of two main parts;

- System model
- Estimation algorithm

System model is the mathematical model of the estimated parameters, which is navigation error model, describing the error characteristics of the navigation and IMU parameters. Estimation algorithm uses the system model to estimate the navigation and IMU states by synchronously using master and slave INS data. The estimation algorithm has three main parts;

- Measurement module: The relation between master and slave INS
- Estimation module: The optimal estimation of the states
- Feedback module: Compensation of the estimated errors to the INS solution

In Figure 3.1, the flow diagram of the algorithm is given;



Figure 3.1 Rapid Transfer Alignment Algorithm Flow

In the related literature, two implementation method for Kalman Filter exists, direct (total state space) and indirect (error state space) Kalman Filter. In the direct estimation, the states are attitude, position and velocity, which have high nonlinearities. As the Kalman Filter is a linear filter, this implementation method may not work properly in integrated navigation.



Figure 3.2 Direct Kalman Filter

In the indirect filter, the linearized error navigation states are used, thus the Kalman Filter works properly. The indirect filter has also two types, feedback and feed forward filters. In the feed forward type, the estimated error states are used to correct the INS errors but the INS is unaware of the filter, thus the error states grow and linearity assumption fails. In the feedback type, the estimated errors are fed back to INS thus error states are not allowed to grow unbounded. In this thesis, feedback indirect Kalman Filter is used.



Figure 3.3 Indirect Feed forward Kalman Filter



Figure 3.4 Indirect Feedback Kalman Filter

3.1.1 Measurement

Measurement equations are given as follows;

$$Z_{1} = (v_{m} + C_{N}^{B} \cdot (v_{m} x r^{M}) - v^{N}$$
(3.1)

$$Z_{2a} = C_N^{BS} . C_S^M . C_{BM}^N$$
(3.2)

$$Z_{2} = [Z_{2a}(2,3) + Z_{2a}(3,1) + Z_{2a}(1,2)]$$
(3.3)

$$Z = \begin{bmatrix} Z_1 \\ Z_2 \end{bmatrix}$$
(3.4)

where,

 v_m = Platform velocity vector

 C_{BM}^{N} = Platform DCM

R^M= Master to Slave position vector

 C_M^S = Master to Slave orientation

3.1.2 Estimation

Estimation algorithm with Linear Kalman Filter is given as follows;

$$\bar{x_{k+1}} = F.x_k + G.v_k \tag{3.5}$$

$$y_{k+1} = H.x_{k+1} + Gm.\eta_{k+1}$$
(3.6)

$$P_{x_{k+1}}^{-} = A \cdot P_{x_k} \cdot A^{T} + B \cdot Q \cdot B^{T}$$
(3.7)

$$K_{k+1} = P_{x_{k+1}}^{-} \cdot H_{k+1}^{T} \cdot (H_{k+1} P_{x_{k+1}}^{-} H_{k+1}^{T} + Gm \cdot R_{k+1} \cdot Gm^{T})$$
(3.8)

$$x_{k+1} = \bar{x_{k+1}} + K_{k+1}(y_{k+1} - H.x_{k+1})$$
(3.9)

$$P_{x_{k+1}} = (I - K_{k+1} \cdot H_{k+1}) \cdot P_{x_{k+1}}^{-}$$
(3.10)

- x: System error parameters
- v: System noise parameters
- y: Measurement parameters
- η: Measurement noise parameters
- F: System matrix
- H: Measurement matrix
- G: System noise matrix
- Gm: Measurement noise matrix
- P: System covariance matrix
- Q: System noise covariance matrix
- R: Measurement noise covariance matrix
- K: Kalman Filter gain

The states to be estimated are;

- Position Error
- Velocity Error
- Attitude Error
- Accelerometer bias repeatability
- Accelerometer scale factor repeatability
- Gyro bias repeatability
- Gyro scale factor repeatability

3.1.3 Feedback

Feedback module simply feedbacks the estimated INS errors to the INS solution in order to bound the errors. It also updates the IMU error parameter database

CHAPTER 4

OBSERVABILITY ANALYSIS

In Chapter 3 and Appendix B, it is clearly shown that the system and measurement models in the Transfer Alignment Kalman Filter is not time invariant, rather they are dependent to velocity, acceleration, position, angular rate and attitude of the carrier platform. Thus, the transfer alignment estimation is directly dependent to the carrier's maneuvers, and the Kalman filtering becomes a linear time variant problem. The ability of estimation of each state is dependent to the motion of the carrier, where the related motion becomes an input for the estimation process.

The necessary input to the estimation filter, e.g. the Transfer alignment maneuver should be carefully chosen such that all required states can be estimated in proper time duration. The maneuver should be agile enough to excite the estimation of each state, but also short enough to have a rapid transfer alignment. The maneuver duration shall not exceed nominal convergence of Kalman filter in the transfer alignment.

In the literature, there are some studies for transfer alignment maneuver selection for aircraft launched systems. In this thesis, maneuver selection analysis is done for helicopter launched systems. An important tool for maneuver selection and optimization is observability analysis. By this tool, the observability of each state under different maneuvers can easily be determined without performing heavy Monte Carlo simulations.

In the literature, there are studies for observability analysis applied to integrated navigation and transfer alignment, but their major drawback is that these studies only check total observability; they determine whether the system is fully observable or not. In this thesis, a more detailed analysis method is developed by introducing the concept of degree of observability.

The degree of observability is relative observability of each state with respect to the other states. A state with a higher degree of observability means that the state has a higher convergence rate with respect to the other states. Theoretically, a state with a direct measurement has the highest degree of observability in a Kalman Filter system. For example, in a GPS aided Navigation systems, position errors have the highest degree of observability as GPS provides position measurement in the Kalman Filter to aid inertial navigation.

One another drawback of the current studies is that stochastic factors in the estimation are omitted. Noisy measurements of sensors can disturb the observation performance of a state, thus the state cannot be estimated although it is seen as an observable state in the analysis. Previous studies for stochastic observability only show that the variance of a state should be bounded for observability. But, a state can have a bounden variance but still can be unobservable.

In this thesis, the observability analysis method is derived such that both deterministic and stochastic observability can be demonstrated.

Another important topic in the Kalman filter design is the state selection. All necessary states that are necessary for the system should be included, but the designer should keep in mind that the unobservable states will degrade the performance and accuracy of the estimation, whereas the computational load will unnecessarily increase. In order to have an efficient but compact system, only observable states should be included in the transfer alignment.

In this chapter, the observability of transfer alignment states is analyzed under different carrier platform, helicopter maneuvers. The degree of observability of each state is analyzed for each maneuver. Main purpose is to choose set of observable states with a proper helicopter maneuver.

In the first part of this chapter, the necessary observability analysis tools are developed and in the second part, analysis results are given.

4.1 Observability Analysis Methods

Observability of a system is the ability to obtain initial information of the system by observing the outputs for a definite interval of time. If a system is completely observable, in other words all the states are observable, and then all of them can be successfully estimated. Likewise, if a system is partially observable, some of the states cannot be estimated. Observability is a property defined by system characteristics, i.e. dynamics of the states, input and outputs of the system.

Classical approach for observability is done by checking the rank of the observability matrix

$$Q = \begin{bmatrix} H & (FH) & (F^{2}H) & \dots & (F^{n-1}H) \end{bmatrix}$$
(4.1)

Where;

- Q : Observability
- F : System Matrix
- H : Measurement matrix

The rank of the observability states whether the system is completely observable or not. Consider a system with n states; if the rank of the observability matrix is nm, then m states are unobservable. But, which states are unobservable cannot be understood with this method. Generally, covariance analysis is done to find the unobservable states. The degree of observability is also a question. In a system, some of states can have higher convergence rate whereas others converge slowly. The degree of observability gives the observability difference between the states.

In the following sections, the methods for analyzing the observability and degree of observability will be given. The first method, "Eigen value Approach" is developed in this thesis. The second method, covariance analysis method is developed in [41], and applied as a tool for degree of observability for Transfer Alignment in this thesis

4.1.1 Eigen Value Approach

The difference between actual state vector and the estimated state vector gives the estimation error;

$$\tilde{x}_k = x_k - \hat{x}_k \tag{4.2}$$

Where;

$$x_k = \Phi x_{k-1} + G\omega_{k-1} \tag{4.3}$$

$$\hat{x}_{k} = \hat{x}_{k}^{-} + K_{k} \left(z_{k} - H \hat{x}_{k}^{-} \right)$$
(4.4)

$$\hat{x}_k^- = \Phi \hat{x}_{k-1} \tag{4.5}$$

$$z_{k} = Hx_{k} + V_{k} = H\Phi x_{k-1} + HG\omega_{k-1} + V_{k}$$
(4.6)

 x_k : Actual state vector

 \hat{x}_k : Estimated state vector

``

- \tilde{x}_k : Estimation error
- w : Process noise
- Φ : State matrix
- G : Process Noise Matrix
- K : Kalman Filter Gain
- H : Measurement matrix

Thus, the estimation error becomes;

$$\begin{split} \tilde{x}_{k} &= \Phi x_{k-1} + G \omega_{k-1} - \Phi \hat{x}_{k-1} - K_{k} \left(H \Phi x_{k-1} + H G \omega_{k-1} + V_{k} - H \Phi \hat{x}_{k-1} \right) \\ \tilde{x}_{k} &= \left(I - K_{k} H \right) \Phi \tilde{x}_{k-1} + \left(I - K_{k} H \right) G \omega_{k-1} - K_{k} V_{k} \\ \tilde{x}_{k} &= \left\{ \prod_{i=1}^{k} \left(I - K_{k+1-i} H \right) \Phi \right\} \tilde{x}_{0} \\ &+ \sum_{j=0}^{k-2} \left\{ \prod_{i=1}^{j} \left(I - K_{k+1-i} H \right) \Phi \right\} \left(I - K_{k-j} H \right) G \omega_{k-j-1} \\ &- \sum_{j=0}^{k-1} \left\{ \prod_{i=1}^{j} \left(I - K_{k+1-i} H \right) \Phi \right\} K_{k-j} V_{k-j} \end{split}$$

$$(4.7)$$

The first component of the equation gives information about the estimation error characteristics and the other components give the effect of noise parameters in the estimation accuracy. As it is seen from this equation, convergence of

$$\left\|\prod_{i=1}^{k} \left(I - K_{k+1-i}H\right)\Phi\right\|$$
 states the stability of the estimation. Thus, the eigenvalues

of this matrix state the observability of Kalman filter.

Equation 4.7 denotes the characteristics of estimation error in the Kalman Filtering. For a state to be observable, the related Eigen value of state estimation error should be in the stable region. If a state is observable, the estimation error will be bounded and converge to zero in steady state. In discrete domain, the Eigen values should be placed in unit circle for all time duration.

The degree of observability is the relative convergence rate of each state. The state with the lowest Eigen value of state estimation error has the fastest convergence rate, thus being the highest observable state.

As it seen from equation 4.7, there are three main factors that affect the observability characteristics.

- Kalman Gain
- Measurement Matrix
- State transition matrix

Kalman gain is a function of state dynamics and noise parameters, thus the observability analysis includes stochastic effects. State transition matrix is the main component that makes the observability parameters time variant. As it is previously explained, the transfer alignment is a time variant problem, mainly because of the dynamic components in state transition matrix. The trajectory of helicopter changes the state transition matrix, thus the observability parameters also change.

Convergence of a specific state error to zero states the degree of observability. The steady state accuracy and response character states whether the state is highly observable or not. A sample analysis is given for a case of Transfer alignment. As expected, direct observable states are highly convergent, the bias states have low convergence rate and scale factor errors are nearly unobservable.

This observability analysis is done especially for maneuver selection. The helicopter maneuver should be such that all required states should have a high convergence rate, thus obtaining a rapid transfer alignment.



Figure 4.1 Sample Observability for Hover case, Eigenvalue Approach

Another important thing is to check the stability of estimation filter. The closed loop poles in z domain should be in unit circle. If all the poles are in unit circle, the filter is stable and states convergences in time. For the same transfer alignment case, the analysis is given for t=20s;



Figure 4.2 Sample Observability for Hover case, Z Domain

Scale factor errors are approximately on the unit circle, which states that they have a very low degree of observability.

4.1.2 Covariance Matrix Approach

Another possible method for observability analysis is given in [41]. In this thesis, this method is applied as a tool for observability and degree of observability analysis tool.

As it is previously explained, Stochastic Observability is important in Transfer Alignment process as it gives the effects of process and measurement noise to the observability. Classical stochastic observability methods only deals with whether the system is fully observable or not by the boundness of the covariance matrix [39, 40]. To obtain the degree of stochastic observability, an eigenvalues based approach will be utilized;

The method starts with the normalization of covariance matrix;

$$P^{+}(k) = \left(\sqrt{P^{-}(0)}\right)^{-1} \cdot P^{+}(k) \cdot \left(\sqrt{P^{-}(0)}\right)^{-1}$$
(4.8)

Thus, $P^{+}(k)$ becomes;

$$P^{+}(k) = \begin{bmatrix} \frac{P_{11}}{p_{11}(0)} & \frac{P_{12}}{\sqrt{p_{11}(0)p_{22}(0)}} & \cdots & \frac{P_{1n}}{\sqrt{p_{1n}(0)p_{nn}(0)}} \\ \frac{P_{21}}{\sqrt{p_{22}(0)p_{11}(0)}} & \frac{P_{22}}{p_{22}(0)} & \cdots & \frac{P_{2n}}{\sqrt{p_{22}(0)p_{nn}(0)}} \\ \vdots & \vdots & \vdots & \vdots \\ \frac{P_{n1}}{\sqrt{p_{nn}(0)p_{11}(0)}} & \frac{P_{n2}}{\sqrt{p_{nn}(0)p_{22}(0)}} & \cdots & \frac{P_{nn}}{p_{nn}(0)} \end{bmatrix}$$
(4.9)

Then, the eigenvalues of $P^{+}(k)$ are bounded between 0 and n, the number of states;

$$P^{N+}(k) = \frac{n}{Tr(P^{+}(k))} \cdot P^{+}(k)$$

$$(4.10)$$

The normalized eigenvalues of $P^{N+}(k)$ gives the degree of stochastic observability, small eigenvalue results in a high observability. A sample case of stationary transfer alignment is given below



Figure 4.3 Sample Observability for Hover case, Covariance Matrix Approach

As expected, scale factor errors have the lowest observability, whereas the direct observable states velocity and attitude have the highest observability. The gyro and accelerometer biases are indirect observables and thus their observability transient is slightly lower than attitude and velocity.

4.2 Observability Analysis of Transfer Alignment Maneuvers

In order to see the performance of the observability analysis methods, four different trajectory type is analyzed by both eigenvalue and covariance approaches. The trajectories used for analyses are;

- 1. Hover: Helicopter is stationary at a certain altitude.
- 2. Straight flight with longitudinal acceleration: Attitude of the helicopter is quasi constant and the helicopter accelerates in the longitudinal axis while remaining in the same horizontal plane.
- 3. Level sinusoidal flight: The total velocity of the helicopter is constant, yaw attitude of the helicopter changes sinusoidally while remaining on the same horizontal plane.
- 4. Sinusoidal flight with roll and yaw motion: The total velocity of the helicopter is constant, attitude of the helicopter changes sinouidally while remaining on the same horizontal plane.

For each trajectory, the observability behavior of the system states with respect to time is analyzed.

For the hover flight scenario, it is easily seen that all states except inertial sensor scale factor errors have an observable behavior. Gyro and accelerometer scale factor errors have an observability eigenvalue very close to 1, that is eigenvalues of these states stays in the unit circle for all the transfer alignment process. Eigenvalues of navigation errors states moves to origin, whereas the bias states have a slower eigenvalue convergence rate, and the final value of the eigenvalues are higher than the navigation states.



Figure 4.4 Observability for Hover, Analyzed by Method 1, Accelerometer Errors



Figure 4.5 Observability for Hover, Analyzed by Method 1, Gyro Errors



Figure 4.6 Observability for Hover, Analyzed by Method 1, Navigation Errors



Figure 4.7 Observability for Hover, Analyzed by Method 2, Accelerometer Errors



Figure 4.8 Observability for Hover, Analyzed by Method 2, Gyro Errors



Figure 4.9 Observability for Hover, Analyzed by Method 2, Navigation Errors

In order to have a faster and more accurate estimation of inertial sensor error states, platform has to make a maneuver, transferring dynamic measurements of velocity and attitude states to the slave INS. One simple candidate for transfer
alignment is straight level flight with longitudinal acceleration. As there is no change in attitude during the maneuver, the attitude and gyro error estimations are not improved significantly. The accelerometer bias estimation is slightly improved, but the scale factor error estimation of accelerometer is not improved as in the case of bias estimation. The scale factor estimation is highly affected from sensor's white noise, that is signal to noise ratio is very low in scale factor estimation, resulting in stochastically unobservable estimation.



Figure 4.10 Observability for Straight Flight with Longitudinal Acceleration, Analyzed by Method 1, Accelerometer Errors



Figure 4.11 Observability for Straight Flight with Longitudinal Acceleration, Analyzed by Method 1, Gyro Errors



Figure 4.12 Observability for Straight Flight with Longitudinal Acceleration, Analyzed by Method 1, Navigation Errors



Figure 4.13 Observability for Straight Flight with Longitudinal Acceleration, Analyzed by Method 2, Accelerometer Errors



Figure 4.14 Observability for Straight Flight with Longitudinal Acceleration, Analyzed by Method 2, Gyro Errors



Figure 4.15 Observability for Straight Flight with Longitudinal Acceleration, Analyzed by Method 2, Navigation Errors

In order to improve to attitude and gyro sensor estimations, attitude motion should be included to the maneuver. A basic maneuver alternative is level flight with an s turn with only yaw change, where there is no significant change in roll and pitch channels. Attitude estimation becomes better with respect to hover and accelerated flight cases. Gyro biases also become better, but as in the previous cases, scale factor errors are not significantly improved.



Figure 4.16 Observability for Level Sinusoidal Flight, Analyzed by Method 1, Accelerometer Errors



Figure 4.17 Observability for Level Sinusoidal Flight, Analyzed by Method 1, Gyro Errors



Figure 4.18 Observability for Level Sinusoidal Flight, Analyzed by Method 1, Navigation Errors



Figure 4.19 Observability for Level Sinusoidal Flight, Analyzed by Method 2, Accelerometer Errors



Figure 4.20 Observability for Level Sinusoidal Flight, Analyzed by Method 2, Gyro Errors



Figure 4.21 Observability for Level Sinusoidal Flight, Analyzed by Method 2, Navigation Errors

The optimum choice of maneuver for transfer alignment is the complete s-turn maneuver. Especially gyro bias estimations become significantly better as a result of the attitude change in the maneuver.



Figure 4.22 Observability for Sinusoidal Flight with Roll, Analyzed by Method 1, Accelerometer Errors



Figure 4.23 Observability for Sinusoidal Flight with Roll, Analyzed by Method 1, Gyro Errors



Figure 4.24 Observability for Sinusoidal Flight with Roll, Analyzed by Method 1, Navigation Errors



Figure 4.25 Observability for Sinusoidal Flight with Roll, Analyzed by Method 2, Accelerometer Errors



Figure 4.26 Observability for Sinusoidal Flight with Roll, Analyzed by Method 2, Gyro Errors



Figure 4.27 Observability for Sinusoidal Flight with Roll, Analyzed by Method 2, Navigation Errors

As a result of the observability analyses with both methods for different maneuvers, a simple s-turn or similar maneuver with attitude change is showed to be sufficient for rapid transfer alignment. The conventional transfer alignment is completed in approximately 1 to 5 minutes, whereas the rapid transfer alignment algorithm in this thesis is completed in 10 seconds. It is shown that regardless of the maneuver choice, gyro and accelerometers scale factor errors are not observable. This is an inherent result of the MEMS inertial sensor noise characteristics. As the noise of inertial sensors of the Slave INS are very high with respect to the Helicopter's INS, the relevant noise masks the low dynamic motion and makes the scale factor errors stochastically unobservable. In this case, importance of stochastic observability analyses is shown, where a simple traditional observability analyses states that scale factor errors are observable with these maneuvers [12].

The transfer alignment maneuver duration shall be such that when the maneuver is finished, all states should have been converged to their steady state values. Thus, transfer alignment time is determined by maneuver duration for necessary state estimations.

CHAPTER 5

VIBRATION DEPENDENT INERTIAL SENSOR ERRORS

Inertial sensors, especially MEMS based gyros and accelerometers are severely affected from mechanical vibrations. The vibration sensitivity of MEMS sensors arise from their working principle; a MEMS gyro is in fact a Coriolis vibratory gyro. Whenever a mechanical vibration is applied to the MEMS sensor, the vibration is mistaken as a measurement in angular rate due to its working principle.

In the field use of MEMS sensors, especially in guided munitions, carrier based vibrations arising from engine, blades or etc. are serious sources of errors. For example, a MEMS based gyro may have a bias repeatability of 100 deg/hr, but a bias shift of 600 deg/hr may occur in a vibratory environment. Note that these error shifts are proportional to the vibration severity.

These vibration based errors are not permanent. When the vibration level diminishes, the temporary bias shifts disappear. In the transfer alignment case, there is a significant bias difference between the pre-launch and after launch performance. This may result in a problem in the estimation of bias parameters. The vibration spectrum present at the guided munitions' IMU is driven by the host platform's environment that is captive carry environment. During this captive

carry process, the error levels are significantly higher relative to the free flight of the munitions. Thus, the vibration based errors should be modeled in order to calibrate the IMU in the transfer alignment phase.

Vibration based inertial sensor errors are bias and noise shifts, which are also called g-squared shifts. In the following chapters, these error types will be characterized by ground tests. Then, relevant modeling will be given.

In the literature, characterization of vibration environment is done to design a mechanical vibration damper for MEMS sensors and IMUs. The dampers can reduce the vibration levels that affect the performance of sensors, but they require a significant amount of space to be installed in a guided munition and besides, they may no filter out every single frequency input. In the helicopter based vibration, main source of vibration is rotor blade rotation, which is between 4-10 Hz. The bandwidth of an IMU in missile applications is generally 50-80 Hz, which means if a damper which filters out the rotor blade vibration is applied; the real bandwidth of IMU will be less than ~4-10 Hz.

Thus, a solution without damper should be chosen for vibration problem. Most of the inertial sensor errors can be factory calibrated such as bias offset, scale factor error and misalignment. There is no study in the literature to compensate the vibration dependent errors of inertial sensors. In this thesis, the vibration dependent errors characterization and modeling is done by vibration table tests.

In order to calibrate vibration dependent errors in the flight, the vibration profile of the carrier platform should be known. In order to determine this profile, flight tests are carried out while measuring the high frequency motion of the launcher pod. The change of vibration level and frequency distribution is shown to be related with total velocity and loading configuration of the helicopter.

In the vibration tests of inertial sensors, it is shown that the main parameters that change with vibration are bias and noise. As explained before, bias is pre calibrated by the help of these tests. Noise is one of main parameters in the Kalman filtering. If the noise variance is not correct, the filter performance will certainly be non-optimum, even stability problems may occur. As the noise variance is a function of vibration level, the process noise matrix can easily be online adapted in the Kalman filter.

5.1 Effects of Vibration on Transfer Alignment Performance

In this chapter, effects of platform (Helicopter) vibrations, arising from main and tail rotor, are analyzed. Vibration test is performed according to Mil Std. 810 F - Method 514.5 [50]. The vibration test defined in this standard state the profile for ground tests using a vibration table. For the host platform in this study, the helicopter, the profile and relevant parameters are defined as follows;



Figure 5.1 Vibration Profile for Environmental Tests [50]

Location	Vibration Level	Source Frequency Range (fx)	Peak Acceleration at f _x
	W ₀ = 0.0010 g ² /Hz	3 to 10	0.70 /(10.70-f _x)
General	W ₁ = 0.010 g ² /Hz	10 to 25	0.10 x f _x
	f _t = 500 Hz	25 to 40	2.5
		40 to 50	6.50-0.10xf _x
		50 to 500	1.5
Instrument Panel	$W_0 = 0.0010$		
	g²/Hz	3 to 10	0.70 /(10.70-f _x)
	W ₁ = 0.010 g ² /Hz	10 to 25	0.070 x f _x
	f _t = 500 Hz	25 to 40	1.75
		40 to 50	4.550-0.070xf _x
		50 to 500	1.05
	$W_0 = 0.0020$		
External Stores	g²/Hz	3 to 10	0.70 /(10.70-f _x)
	$W_1 = 0.020 \text{ g}^2/\text{Hz}$	10 to 25	0.150 x f _x
	f _t = 500 Hz	25 to 40	3.75
		40 to 50	9.750-0.150xf _x
		50 to 500	2.25

 Table 5.1 Basic Parameters for Vibration Profile

Table 5.2 Main and Tail Rotor Frequency Parameters

f1=1P	f1=1T	fundamental	
f=n x1P	f=mX1T	blade passage	
f=2xnx1P	f=2xmX1T	1st Harmonic	
f=3xnx1P	f=3xmX1T	2nd Harmonic	

Table 5.3 Drive Train Component Rotation Frequency Parameters

f=1S	fundamental	
F=2x1S	1st Harmonic	
F=3x1S	2nd Harmonic	
F=4x1S	3rd Harmonic	

Helicopte	Main Rotor		Tail Rotor	
r	Rotation Speed (Hz)	Number of Blades	Rotation Speed (Hz)	Number of Blades
AH-1	5.4	2	27.7	2
AH-6J	7.8	5	47.5	2
AH64	4.82	4	23.4	4
UH-1	5.4	2	27.7	2
UH-60	4.3	4	19.8	4

Table 5.4 Main and Tail Rotor Parameters

The vibration table used in the tests works in an open loop manner; the vibration profile is entered to the system at the beginning of the test and measured by its own accelerometers in order to see whether the vibration profile is satisfied. Sample measurement taken from vibration table can be seen in Figure 5.2



Figure 5.2 Vibration Profile measured in the test equipment

With the help of this test, the effects of Main Rotor (MR) and Tail Rotor (TR) can be seen without any experimental data. Notice that main purpose of Mil-Std-810F is to make a test to see effects of various environmental conditions for the worst case that is the test profiles can be over safe. The real vibration profile may be different than the profile shown in Figure 5.3. The Experimental vibration data is given in the next part of this chapter. Besides, the vibration profile for different flight condition should be determined. The standard ground test is the worst condition, but the other conditions should also be examined in order to properly calibrate the inertial sensors and adjust process noise parameters in the Kalman Filter. But, for the initial analysis, the profile given in Mil-Std-810F is sufficient.

The tests are carried given vibration profile in Table 5.1-3 to see different levels of the vibration. The IMU is attached to the vibration table with relevant mechanical fixtures and it is exposed to vibration in each 3 three axes. The IMU data collected from these tests are used in the Transfer Alignment Simulation. In the algorithm results, effects of mechanical vibration are especially seen in the IMU error parameters estimation. With the vibration effects inserted in the simulation, it is clearly seen that bias estimation is severely affected; convergence rates are reduced and steady state errors are increased.



Figure 5.3 X Accelerometer Bias Estimation with Random Vibration



Figure 5.4 Y Accelerometer Bias Estimation with Random Vibration



Figure 5.5 Z Accelerometer Bias Estimation with Random Vibration



Figure 5.6 X Gyro Bias Estimation with Different Vibration Amplitudes



Figure 5.7 Y Gyro Bias Estimation with Random Vibration



Figure 5.8 Z Gyro Bias Estimation with Random Vibration

As it seen from Figure 5.3 to Figure 5.8, the vibration arising from the host platform, helicopter, has a significant effect on inertial sensor bias estimations. The convergence rate and the steady state accuracy of both accelerometer and gyro bias estimations are severely affected.

There are two main reasons behind these results;

- The vibration applied to the guided munition by carrier platform results in increased noise which was not taken into consideration in the Kalman Filter
- The biases of inertial sensors are shifted due to the mechanical vibration, resulting in faulty estimation.

5.2 Characterization of Vibration Environment

The vibration effects in a helicopter are driven by the main rotor, the turbo shaft engine, the gearboxes and the tail rotor. The main rotor vibrations arise from an asymmetric distribution of the unsteady aerodynamic forces on the blades and depend on the number of blades and the rotation rate. The speed of the main rotor is generally kept constant, but the amplitude of the vibrations changes with different flight phases, such as hover in/out of ground effect, different cruise speeds etc. In most of the helicopters, vibration suppression system is designed for a specific cruise speed, but the transfer alignment may have to be accomplished in different speeds. Also, the vibration amplitude in the missile pylon can change with the loading configuration, i.e. the number of munitions in the launching pod. In order to characterize the vibration environment, accelerometer measurements are taken from the launcher for different flight phases with different loading configurations.



Figure 5.9 Vibration Profile for Hover in Ground Effect



Figure 5.10 Vibration Profile for Hover in Ground Effect, Fully Loaded Configuration



Figure 5.11 Vibration Profile for 50 Knots Cruise Speed



Figure 5.12 Vibration Profile for 50 Knots Cruise Speed, Fully Loaded Configuration



Figure 5.13 Vibration Profile for 70 Knots Cruise Speed



Figure 5.14 Vibration Profile for 70 Knots Cruise Speed, Fully Loaded Configuration



Figure 5.15 Vibration Profile for 100 Knots Cruise Speed



Figure 5.16 Vibration Profile for 100 Knots Cruise Speed, Fully Loaded Configuration

From Figure 5.9 to Figure 5.16, it is shown that vibration profile of the Slave INS is dependent on two parameters, platform velocity and munition loading configuration. A simple look-up table gives the relation between these two parameters and slave INS vibration level.

5.3 Characterization of Vibration Dependent Errors

MEMS inertial sensors are the most severely affected sensor technology from platform mechanical vibrations. This is a fact of its sensing methodology, MEMS sensors uses a vibratory method to sense the angular rates or accelerations. For example, most of the MEMS gyros are also known as Coriolis vibratory gyro.

Almost all of the MEMS sensors have resonant frequency of approximately 14Khz. In the military standard Mil-Std-810, the platform vibration is defined as a random vibration profile with a frequency range between 20Hz and 2.6 Khz. In order to see the effect of these vibration profile, several tests are done with different vibration spectrum, 2.1g RMS, 4.2g RMS and 7.6g RMS. Sample test results for gyros are given below, where bias and noise shift can easily be seen.



Figure 5.17 Pitch Gyro Output for Vibration Profile, 4.2 g RMS



Figure 5.18 Pitch Gyro Output for Vibration Profile, 7.6 g RMS



Figure 5.19 Roll Gyro Output for Vibration Profile, 4.2 g RMS



Figure 5.20 Roll Gyro Output for Vibration Profile, 7.6 g RMS



Figure 5.21 Yaw Gyro Output for Vibration Profile, 4.2 g RMS



Figure 5.22 Yaw Gyro Output for Vibration Profile, 7.6 g RMS

Note that there is a significant shift in both bias and noise of the gyros. As the platform starts to vibrate, the gyro's drive mode vibration is affected, thus with a corrupted drive mode, sense mode of gyro does not give correct results.

The bias and noise shifts versus vibration spectrum are given in Figure 5.23 and Figure 5.26. For a given vibration level, the bias and noise shift with respect to the

nominal condition can easily be found, where vibration level can be obtained for a given velocity and loading configuration.



Figure 5.23 Gyro Noise Shift with respect to vibration level



Figure 5.24 Accelerometer Noise Shift with respect to vibration level



Figure 5.25 Gyro Bias Shift with respect to vibration level



Figure 5.26 Accelerometer Bias Shift with respect to vibration level

With the help of these tests, the vibration induced bias shift can now be in flight calibrated for a given flight velocity, thus the bias estimation errors in the Transfer Alignment can be significantly reduced. Another important outcome of these tests is the noise variance modeling. The process noise in the Kalman Filter of Transfer Alignment can now be adaptively changed for a given flight condition, thus keeping the estimation in an optimum manner.

CHAPTER 6

FLEXIBLE LEVER ARM IN TRANSFER ALIGNMENT

6.1 Characterization of Flexible Lever Arm

In rapid transfer alignment, attitude matching between master and slave INSs is done with rigid body lever arm compensation. This compensation of relative orientation can be easily done as the rigid body lever arm is measured and entered to the database of transfer alignment algorithm in the design phase. There will be static errors arising from manufacturing and mounting errors in the platform, which are small enough to be neglected in transfer alignment

But, for most of the platforms in the transfer alignment, this relative orientation is not rigid, instead it is highly flexible. The flexure in the host platform is generally is a function of aerodynamics and structural forcers, thus the complete dynamics of this flexible lever arm becomes highly complicated. In the literature, most of the rapid transfer alignment studies deals with this effect by increasing the measurement noise covariance, thus reducing the steady state accuracy in the transfer alignment. Another method is to model this flexible lever arm effect as a first or second order Markov process and augmenting it as filter states in the transfer alignment. In this thesis, the flexible lever arm will be modeled in two different manners, with Markov process state augmentation and a artificial neural network approach. Details are given in the following parts of the thesis.

The first step in the modeling of flexible lever arm modeling is the characterization stage. Experimental data is collected in order to characterize the dynamics of the level arm. In the data acquisition, high accuracy navigation grade INS is added to the munition pod. Basically, the difference between the two INSs gives the lever arm orientation;

$$C_{b\ Munition}^{n} = C_{Munition}^{Platform} C_{b\ Platform}^{n} \tag{6.1}$$

$$C_{Munition}^{Platform} = C_{b \ Munition}^{n} \left(C_{b \ Platform}^{n}\right)^{-1}$$
(6.2)

As both INSs are navigation grade, their attitude error can be easily neglected. One of the trajectories used in data acquisition is described in Table 6.1 as an example.

Segment Number	Maneuver Type	
1	Ground Run	
2	Take off	
3	Hover	
4	Climb	
5	Straight Climb	
6	Cruise	
7	Heading Change	
8	Cruise	
9	Climbing Turn	
10	Straight Descend	
11	Cruise	
12	Level Flight Turn	
13	Racetrack	
14	Cruise	
15	Hover	
16	Landing	

Table 6.1 Segments of the Trajectory

The second high accuracy INS is very crucial in order to get a reasonable model. The Slave INS is relatively noisy and have distinct bias and scale factor errors, Thus if the slave INS was used instead of the second Master INS, the obtained model would include all of Slave INS errors. Figure 6.2 represents an example of the rate and acceleration measurements collected from the two master INSs and the slave INS



Figure 6.1 Experiment Setup of Dynamic Misalignment Characterization

In the data acquisition process, all three navigation system data (position, velocity, attitude, linear acceleration, angular rates and time) is simultaneously collected and recorded by portable data acquisition systems. In order to synchronize all

three navigation systems, time data of each system is also recorded. Data acquisition is done several times for different maneuver agilities and loading configurations.

Once the data acquisition is completed, the dynamic misalignment is analyzed separately for each trajectory segment, maneuvers and loading configurations.

As it is stated in the previous chapter, the captive carry vibration characteristics of a helicopter launched guided munition is dependent on the platform velocity and the loading configuration. Same situation occurs for dynamic misalignment. In order to characterize the dynamic misalignment and model it accurately, experimental data is collected for different cruise speeds and loading configurations.

Figure 6.2 to Figure 6.20 illustrates the flexible orientation dynamics for different flight phases and velocities.



Figure 6.2 Dynamic Roll Misalignment for Hover



Figure 6.3 Dynamic Roll Misalignment for Hover, Fully Loaded Configuration



Figure 6.4 Dynamic Pitch Misalignment for Hover


Figure 6.5 Dynamic Pitch Misalignment for Hover, Fully Loaded Configuration



Figure 6.6 Dynamic Yaw Misalignment for Hover



Figure 6.7 Dynamic Roll Misalignment for 50 Knots Cruise Speed



Figure 6.8 Dynamic Roll Misalignment for 50 Knots Cruise Speed, Fully Loaded Configuration



Figure 6.9 Dynamic Pitch Misalignment for 50 Knots Cruise Speed



Figure 6.10 Dynamic Pitch Misalignment for 50 Knots Cruise Speed, Fully Loaded Configuration



Figure 6.11 Dynamic Yaw Misalignment for 50 Knots Cruise Speed



Figure 6.12 Dynamic Roll Misalignment for 70 Knots Cruise Speed



Figure 6.13 Dynamic Roll Misalignment for 70 Knots Cruise Speed, Fully Loaded Configuration



Figure 6.14 Dynamic Pitch Misalignment for 70 Knots Cruise Speed



Figure 6.15 Dynamic Pitch Misalignment for 70 Knots Cruise Speed, Fully Loaded Configuration



Figure 6.16 Dynamic Yaw Misalignment for 70 Knots Cruise Speed



Figure 6.17 Dynamic Roll Misalignment for 100 Knots Cruise Speed



Figure 6.18 Dynamic Roll Misalignment for 100 Knots Cruise Speed, Fully Loaded Configuration







Figure 6.20 Dynamic Pitch Misalignment for 100 Knots Cruise Speed, Fully Loaded Configuration

The experimental data shows that roll and pitch attitude are severely affected from the low frequency vibrations, whereas the flexibility in yaw channel is almost negligible. As in the high frequency vibration profiles, the frequency distribution is almost constant for different flight phases, but the amplitude changes. Throughout the experiments, the dynamic misalignment between the helicopter's (master) and munition's (slave) INSs have the same frequency profile for different trajectory segments. Also, there is no significant transient motion between the transition of two trajectory segments. It is shown that the dynamic misalignment have a constant frequency distribution for a given total velocity and loading configuration, similar to high frequency vibration shown in Chapter 5. This is an important outcome especially for modeling approaches. In the aircraft based transfer alignment studies, it is shown that transient effects are serious problem for modeling of dynamic misalignment. In the aircrafts, especially on the coordinated turn maneuvers, the force loading on the wings increase, thus both static and dynamic wing deflection changes. In the helicopter based transfer alignment, the force loading on launcher pod is almost constant as the forcing sources, main and tail rotor vibration, are constant. This is an important advantage compared to aircraft transfer alignment, where modeling of dynamic misalignment is very complicated due to the transient effects.

6.2 Error Modeling Approaches

Dynamic misalignment compensation is important to improve the accuracy and speed of the transfer alignment process. The flight data showed that the misalignment between master and slave INSs have a distinct characteristic which directly depends on the host platform's mission profile.

In the literature, the modeling of dynamic misalignment is generally handled as simple white noise [3, 4, 5, 7, 8, 10, and 11]. By this approach, the computational load of the Kalman filter is kept in minimum level, but the accuracy is severely reduced [22, 23, 24, 26]. As showed in part 6.1, the misalignment is certainly not white noise; it is correlated by different frequencies. In the aircraft based transfer alignment studies, there is no detailed characterization of dynamic misalignment for transfer alignment. Reference 26 is the unique study that deals with this flexibility effect by an analytical modeling approach. The wing deflection is

modeled by finite element method for different flight conditions and this model is applied in transfer alignment to compensate the dynamic and static misalignment based errors.

Modeling of dynamic misalignment as only white noise is only done for filter stability [7, 8, 10, and 24]. This approach results in reduced steady state error and convergence rate.

As stated in Chapter 1, there is no detailed study for helicopter based transfer alignment, especially for dynamic misalignment. Different from aircraft based transfer alignment; the misalignment has a steady frequency characteristic, transient effects are negligible as shown in Chapter 6.1

Dynamic misalignment compensation is handled by two different methods;

- State augmentation
- Artificial Neural Network

As stated previously, some of the transfer alignment studies [3,4,7,8 and 17] models the flexible orientation between the master and slave INS as Markov processes. In these studies, the Markov processes are used only for analysis, not for accurate compensation. The dynamic misalignment is not characterized by experiments or analytical methods. A simple first or second order Markov process is used to model the possible effects on Transfer Alignment. In this thesis, experimental data is used to find an accurate model.

The second method is to use artificial neural network to compensate dynamic misalignment outside of the Kalman Filter. Artificial neural network is trained offline by experimental data. ANNs are generally trained online by back propagation technique, which may not be practical in Transfer Alignment. The required training data is the dynamic misalignment data between the helicopter and launcher INSs, which is recorded by the experimental setup described in Chapter 6.1. In order to do the neural network training online, slave INS data instead of 2^{nd} master INS should be used. In this case, all errors present in guided munition's INS will be integrated into ANN, thus the final performance and accuracy of transfer alignment will be severely affected. By using offline training method, these errors are avoided.

Note that the dynamic misalignment values are less than 1-2 degrees in all flight cases; roll and pitch misalignment can be taken as uncoupled. Thus, instead of having one complex model, there will be two simple model for pitch and roll misalignment, both in state augmentation and neural network.

6.2.1 State Augmentation

In the literature [3-8, 17, 23 and 34], state augmentation for dynamic misalignment is generally used for offline analysis. For online estimation and compensation of dynamic misalignments, experimental data shown in Chapter 6.1 is used.

As it is easily seen from the experimental data, the flexibility in roll and pitch channels are near harmonic, thus at least second order model is required. In the yaw channel, the dynamics is distinctly lower than the others, so it can be neglected. In order to obtain an accurate model;

- Order of the Markov Process is to be determined.
- Parameters of Markov Process should be tuned with experimental data.

Order determination of Markov processes for a given data is the important part in state estimation. The order of the model should be high enough to model the system accurately, but should not be very high in order to augment it to the Kalman Filter without high computational load.

In the literature, first or second order Markov processes are used. But, these studies are only done for aircraft launched systems. In the helicopter based transfer alignment, the dynamics of this flexibility effect is totally different, thus a higher order model may be required. After the determination of the sufficient order, parameters of the related model can be identified. If a higher than necessary model is used, parameter identification may result in errors, which may also induce stability problems [51, 53 and 54]

In the literature [51-56], there are various methods for order selection, where most common methods are;

- Akaike Information Criteria (AIC)
- Akaike Information Criteria- Corrected (AICC)
- Bayes Information Criteria (BIC)
- Kullback Information Criteria (KIC)

These methods are based on minimizing the error in parameter estimation with the already available data. Details of the algorithms can be found in References 51-56.

These order determination methods estimate the order of the system n by minimizing the function [51];

$$\delta(k) = \log \sigma_k^2 + C(m, k) \tag{6.3}$$

Where m is the sample size, k is the candidate order for the model, σ_k^2 is the estimate of the innovation variance for the candidate model. C(m,k) is different for each order determination method;

$$C(m,k) = \frac{2k}{m} \quad \text{for AIC} \tag{6.4}$$

$$C(m,k) = \frac{2(k+1)}{m - (k+2)} \text{ for AICC}$$
(6.5)

$$C(m,k) = \frac{\log(m)k}{m} \quad \text{for BIC}$$
(6.6)

$$C(m,k) = \frac{2\log\log(m)k}{m}, KIC$$
(6.7)

The penalty factor C(m,k) is the main difference between the order determination methods. For example, AIC and AICC are derived or unbiased estimation, while KIC minimizes the final prediction error [56].

The summary of the order determination results are as follows;

Method	Model Order				
	1	2	3	4	5
AIC	2.8	56.1	23.1	4.1	2.5
AICC	1.8	49.1	10.7	4.8	3.9
BIC	1.6	35.9	12.5	5.7	3.2
KIC	3.7	65.7	8.6	5.4	1.2

Table 6.2 Order Determination for State Augmentation

The appropriate order is determined by the maximum value of e equation 6.3. The relative comparison of orders for the result of equation 6.3 indicates the difference of probable error between different model orders. The criterion is the maximum value of equation 6.3 between possible orders of model.

As stated above, there are different methods for C(m,k) in equation 6.3, in which all dedicates minimization of different error criterions. Thus, possible four different penalty functions are used (AIC, AICC, BIC and KIC) and the results are compared. Table 6.2 summarizes the results of equation 6.3 by these methods for model orders up to 5. It is seen that all four methods gives consistent results. As it is seen from Table 6.2, second order model is the optimum choice for state augmentation, indicated by all four methods. The resulting equation is given below;

$$y_t + a_1 y_{t-1} + a_2 y_{t-2} = \eta_t \tag{6.8}$$

Where a_1 and a_2 are model parameters and η_t is the estimated innovation.

Next step in state augmentation is the parameter identification in the Markov Process. There are three common methods that are used to determine the model parameters [54-56];

- Least Squares
- Yule Walker
- Burg

Generally, a sufficiently large data cluster yields approximately same parameter estimation with these three methods [53, 55]. But it is shown in reference 54 that a noisy harmonic data may result to incorrect parameter estimation or the final system may be unstable.

In the following part, these three methods (least squares, Yule walker and Burg) are summarized;

Least squares methods results in a linear system of equation

$$\begin{pmatrix} c_{11} & \dots & c_{1p} \\ \vdots & \ddots & \vdots \\ c_{p1} & \dots & c_{pp} \end{pmatrix} \begin{pmatrix} a_1 \\ \vdots \\ a_p \end{pmatrix} = \begin{pmatrix} c_{01} \\ \vdots \\ c_{0p} \end{pmatrix}$$
(6.9)

Where,

$$c_{ij} = \frac{1}{N - p} \sum_{t=p+1}^{N} y_{t-i} y_{t-j}$$
(6.10)

Yule Walker method differs from Least squares by including first and last point in equation (6.10) in order to obtain an unbiased estimation;

$$\begin{pmatrix} R_0 & \dots & R_{p-1} \\ \vdots & \ddots & \vdots \\ R_{p-1} & \cdots & R_0 \end{pmatrix} \begin{pmatrix} a_1 \\ \vdots \\ a_p \end{pmatrix} = \begin{pmatrix} R_1 \\ \vdots \\ R_p \end{pmatrix}$$
(6.11)

Where;

$$R_{\tau} = \frac{1}{N} \sum_{t=\tau+1}^{N} y_t y_{t-\tau}$$
(6.12)

Usually, least squares or Yule-Walker method is used to determine the model parameters, but as stated in [53,54,56], for periodic data, these methods lead to incorrect estimation of parameters. For harmonic signals Burg's method should be used.

Burg's method [56] does not directly estimate model parameters, instead of this, the method estimates the reflection coefficients, the last model parameter estimate for each model order p. Details of the Burg method can be found in Reference 56.

Least squares method is not used as it can result in an unstable Markov process. In order to choose between Burg and Yule Walker methods, a sample analysis is done. Comparison of Burg and Yule Walker Method's are given in the following figures. Same experimental data is used to find the parameters of the second order Markov process, where their performance is as follows;



Figure 6.21 Performance Comparison of Yule Walker and Burg Method

The mean errors are nearly same for Yule Walker and Burg's method, 0.003 and 0.00027 degrees, but the standard deviations of the errors are quite different. The Yule walker method results in 0.17 degrees errors for standard deviation, while Burg method results in 0.086 degrees. The accuracy of Burg method is nearly twice of the Yule walker method.

As expected, Burg method results in a better Markov Model performance as the experimental data is quite periodic.

In chapter 6.1, it was shown that the dynamic misalignment is dependent on two different factors; the total speed of the helicopter and the loading configuration of the launcher. The parameters a1 and a2 for the second order model given by equation 6.8 are identified for these different flight conditions, given in the following figures



Figure 6.22 a1 Parameter vs. Flight Velocity and Loading Configuration



Figure 6.23 a2 Parameter vs. Flight Velocity and Loading Configuration

As it can be seen from Figure 6.22 and Figure 6.23, a1 parameter heavily depends on flight conditions, where a2 parameter is almost constant

6.2.2 Artificial Neural Network

Artificial neural networks are (ANN) used in many applications in the literature. In this study, ANN is used to model and compensate dynamic misalignment. With the pre-recorded data, ANN will be trained to obtain an estimation of flexible misalignment. Thus, the lever arm compensation will be adaptively improved.

There are two important steps in the design of an artificial neural network; the input / outputs of ANN and training method. As given in Chapter 6.1, there are various dynamic misalignment data collected by experiments for different trajectories and maneuvers. By installing the second master INS, the dynamic misalignment data is collected for different flight conditions.

As stated in the previous parts, the flexible lever arm between the master and slave IMUs in the helicopters has a velocity dependent behavior.



Figure 6.24 Artificial Neural Network Input Output Structure

Mainly, the amplitude and frequency distribution of this flexibility has a periodic sinusoidal type character. The frequency characteristic of this motion does not change with respect to the flight profile but the amplitude is proportional to the helicopter's velocity. Note that another input to the artificial neural network is the loading configuration of the helicopter. As shown in the previous parts, loading of the pylons directly affects the vibration amplitude of the slave IMUs. Most of the

guided munition launcher pods can host up to 24 low caliber munitions. The weight of the launcher pod is proportional to the number of hosted munitions and the vibration amplitude level is increased with the weight of the pod. Thus, an ANN will be used to compensate the flexible lever arm effect. Prerecorded flight data is used to train the ANN.

Thus, there are three inputs and one output for dynamic misalignment estimation. The inputs are Helicopter (platform) velocity, slave IMU measurement and loading configurations. Helicopter velocity and loading configuration is directly transferred from Helicopter to the guided munition. The important part in the input structure is the use of Slave IMU measurements. The ANN may be configured such that there is no need for slave IMU measurements, but there may be synchronization problem for the estimation. The offline trained neural network would have no information about the instantaneous motion of the launcher pod, thus this phase difference would result in reduced accuracy. Thus, in order to keep this phase difference in minimum Slave IMU inputs are included in the ANN input structure.

For estimation purposes, usually Multilayer feed forward structure [44-48] is used in ANN. The number of nodes and layers in ANN depends on the detail level and complexity of estimated system. There is no specific method to determine these parameters. ANNs used in navigation systems generally implements a 2 layer structure. In order to determine the layer and node number, namely the topology of ANN, different combinations are compared, shown in Table 6.4. In Table 6.4, the results of a sample analysis of dynamic misalignment estimation are given; similar to the analysis of parameter identification method case given in Chapter 6.2.1. In Table 6.4, the estimation errors for different layer structures of ANN are given. The purpose of this analysis is to determine the sufficient artificial neural network layer and node numbers. A less than sufficient layer and node number may not be able to estimate the dynamic misalignment with the required accuracy, whereas an unnecessary number of layers may results in an untrainable system; that the training data may not be enough to train all the weights in the artificial neural network.

Number of Layers	Structure	Error(1 σ, deg)
1	3x3x1	0.0976
1	3x2x1	0.113
2	3x3x3x1	0.0714
2	3x3x2x1	0.0742
2	3x2x3x1	0.0736
2	3x2x2x1	0.0753

Table 6.4 Structure combinations for ANN

As it is shown in Table 2, 2 layer combinations perform better than 1 layer combinations. There is a difference of approximately %20 in the estimation error between one and two layer artificial neural networks. The second part to examine in the ANN design is the structure determination. As it is seen from Table 6.4, the difference between the 2 layer structures is less than %5. Thus, in order to optimize the artificial neural network, 3x2x2x1 structure is used, having optimum accuracy with the minimum node number. The resulting configuration is shown below;



Figure 6.25 Artificial Neural Structure for Dynamic Misalignment Estimation

For learning algorithm, different methods can be used such as;

Gradient Descent

- Gradient Descent with Adaptive Step Size
- Simulated Annealing
- Conjugate Gradient
- Levenberg- Marquardt

These methods' performance mainly differs in convergence rate [44, 47]. For dynamic misalignment estimation, the training will be done offline, so convergence rate is not a criterion for selection. Levenberg – Marquardt is chosed as back propagation learning algorithm.

Briefly, 2 layer feedforward ANN will be utilized for this purpose. Details of the ANN algorithm is given in Appendix C. Pre recorded flight data will be used for training. Levenberg –Marquadt is used for learning algorithm.

6.2.3 Comparison of Methods

The difference between the neural network and state augmentation approaches are shown in the below example cases;

- Hover
- 50 Knots Cruise
- 70 Knots Cruise
- 100 Knots Cruise

Note that, these are the experiment cases defined in Table 6.1 for dynamic misalignment data acquisition.



Figure 6.26 Estimation of Dynamic roll misalignment for Hover Case



Figure 6.27 Estimation Error of Dynamic roll misalignment for Hover Case- Fully Loaded Configuration



Figure 6.28 Estimation of Dynamic roll misalignment for Hover Case, Fully Loaded Configuration



Figure 6.29 Estimation Error of Dynamic roll misalignment for Hover Case, Fully Loaded Configuration



Figure 6.30 Estimation of Dynamic pitch misalignment for Hover Case



Figure 6.31 Estimation Error of Dynamic pitch misalignment for Hover Case



Figure 6.32 Estimation of Dynamic pitch misalignment for Hover Case, Fully Loaded Configuration



Figure 6.33 Estimation Error of Dynamic pitch misalignment for Hover Case, Fully Loaded Configuration



Figure 6.34 Estimation of Dynamic roll misalignment for 50 Knots Cruise



Figure 6.35 Estimation Error of Dynamic roll misalignment for 50 Knots Cruise



Figure 6.36 Estimation of Dynamic roll misalignment for 50 Knots Cruise, Fully Loaded Configuration



Figure 6.37 Estimation Error of Dynamic roll misalignment for 50 Knots Cruise, Fully Loaded Configuration



Figure 6.38 Estimation of Dynamic pitch misalignment for 50 Knots Cruise



Figure 6.39 Estimation Error of Dynamic pitch misalignment for 50 Knots Cruise



Figure 6.40 Estimation of Dynamic pitch misalignment for 50 Knots Cruise, Fully Loaded Configuration



Figure 6.41 Estimation Error of Dynamic pitch misalignment for 50 Knots Cruise, Fully Loaded Configuration



Figure 6.42 Estimation of Dynamic roll misalignment for 70 Knots Cruise



Figure 6.43 Estimation Error of Dynamic roll misalignment for 70 Knots Cruise



Figure 6.44 Estimation of Dynamic roll misalignment for 70 Knots Cruise, Fully Loaded Configuration



Figure 6.45 Estimation Error of Dynamic roll misalignment for 70 Knots Cruise, Fully Loaded Configuration



Figure 6.46 Estimation of Dynamic pitch misalignment for 70 Knots Cruise



Figure 6.47 Estimation Error of Dynamic pitch misalignment for 70 Knots Cruise



Figure 6.48 Estimation of Dynamic pitch misalignment for 70 Knots Cruise, Fully Loaded Configuration



Figure 6.49 Estimation Error of Dynamic pitch misalignment for 70 Knots Cruise, Fully Loaded Configuration



Figure 6.50 Estimation of Dynamic roll misalignment for 100 Knots Cruise



Figure 6.51 Estimation Error of Dynamic roll misalignment for 100 Knots Cruise



Figure 6.52 Estimation of Dynamic roll misalignment for 100 Knots Cruise, Fully Loaded Configuration



Figure 6.53 Estimation Error of Dynamic roll misalignment for 100 Knots Cruise, Fully Loaded Configuration



Figure 6.54 Estimation of Dynamic pitch misalignment for 100 Knots Cruise



Figure 6.55 Estimation Error of Dynamic pitch misalignment for 100 Knots Cruise


Figure 6.56 Estimation of Dynamic pitch misalignment for 100 Knots Cruise, Fully Loaded Configuration



Figure 6.57 Estimation Error of Dynamic pitch misalignment for 100 Knots Cruise, Fully Loaded Configuration

The estimation results are summarized in Table 6.5 and Table 6.6 for pitch and roll dynamic misalignment estimation for all flight cases.

Table 6.5 Comparison of State Augmentation and ANN, Pitch Error Standard

Deviations

	Residual Pitch Er	Difforonco	Difference	
Flight Scenario	State Augmentation	Neural Network	(deg)	(%)
Hover Flight	0,081	0,056	0,025	44,6
Hover Full Loaded	0,087	0,059	0,028	47,5
50 Knots Cruise	0,099	0,063	0,036	57,1
50 Knots Cruise Full				
Loaded	0,103	0,052	0,051	98,1
70 Knots Cruise	0,099	0,057	0,042	73,7
70 Knots Cruise Full				
Loaded	0,107	0,055	0,052	94,5
100 Knots Cruise	0,114	0,061	0,053	86,9
100 Knots Cruise Full				
Loaded	0,123	0,06	0,063	105,0

Table 6.6 Comparison of State Augmentation and ANN, Roll Error Standard

Deviations

	Residual Roll Err	Difforence	Difference	
Flight Scenario	State Augmentation	Neural Network	(deg)	(%)
Hover Flight	0,085	0,0614	0,0236	38,4
Hover Full Loaded	0,088	0,0578	0,0302	52,2
50 Knots Cruise	0,102	0,062	0,04	64,5
50 Knots Cruise Full				
Loaded	0,096	0,059	0,037	62,7
70 Knots Cruise	0,103	0,061	0,042	68,9
70 Knots Cruise Full				
Loaded	0,105	0,064	0,041	64,1
100 Knots Cruise	0,115	0,058	0,057	98,3
100 Knots Cruise Full				
Loaded	0,118	0,062	0,056	90,3

	Residual Pitch Erro	Difference	Difference	
Flight Scenario	State Augmentation	Neural Network	(deg)	(%)
Hover Flight	0,001	0,0006	0,0004	66,7
Hover Full Loaded	0,0007	0,0003	0,0004	133,3
50 Knots Cruise	0,0016	0,0005	0,0011	220,0
50 Knots Cruise Full				
Loaded	0,0015	0,0008	0,0007	87,5
70 Knots Cruise	0,0019	0,0009	0,001	111,1
70 Knots Cruise Full				
Loaded	0,003	0,0004	0,0026	650,0
100 Knots Cruise	0,0024	0,0005	0,0019	380,0
100 Knots Cruise Full				
Loaded	0,0018	0,0005	0,0013	260,0

Table 6.7 Comparison of State Augmentation and ANN, Pitch Error Mean

Table 6.8 Comparison of State Augmentation and ANN, Roll Error Mean

	Residual Roll Error	Difforonco	Difforence	
Flight Scenario	State Augmentation	Neural Network	(deg)	(%)
Hover Flight	0,0015	0,001	0,0005	50,0
Hover Full Loaded	0,0014	0,0008	0,0006	75,0
50 Knots Cruise	0,0028	0,0012	0,0016	133,3
50 Knots Cruise Full				
Loaded	0,0021	0,0009	0,0012	133,3
70 Knots Cruise	0,0023	0,0008	0,0015	187,5
70 Knots Cruise Full				
Loaded	0,0008	0,0007	0,0001	14,3
100 Knots Cruise	0,004	0,0012	0,0028	233,3
100 Knots Cruise Full				
Loaded	0,0027	0,0011	0,0016	145,5

The results that are summarized in Table 6.5 to Table 6.8 have two important outcomes; the dynamic misalignment estimation made by state augmentation and neural network have almost zero mean errors; that is the estimations are unbiased. Main difference between the two methods is seen by examining the standard deviations of the estimations. The estimation accuracy of ANN is up to two times better than state augmentation is some cases. In some cases such as hover flight where the helicopter is kept stationary on a certain altitude, the difference is less than %40. It is obvious that ANN performs better in the estimation, but nevertheless state augmentation is better than not estimating the dynamic misalignment.

Briefly, as it is seen from Figure 6.26 to Figure 6.57, ANN estimation performs better than state augmentation. This performance difference is due to usage of experimental data in the training phase of ANN. ANN trained for a specific platform surely performs better than state augmentation. Nevertheless, state augmentation is better than no compensation of dynamic misalignment. Whenever there is not enough data to train ANN, i.e. detailed data for dynamic misalignment cannot be obtained; state augmentation is a good choice. Briefly, ANN is the optimum compensation method with higher offline effort, but when there is limited pre-recorded data, state augmentation can be integrated to the rapid transfer alignment to have a stable system.

CHAPTER 7

IMPROVED RAPID TRANSFER ALIGNMENT

7.1 Improvements in Transfer Alignment

Transfer alignment is shown to be affected from environmental conditions, i.e. mechanical vibrations. As it is shown in the previous chapters, compensation methods are designed in order to increase the speed and accuracy of the transfer alignment in real world conditions. Major improvements in the design of transfer alignment algorithm are listed below;

- Observability Analysis: In the initial design phase of the transfer alignment algorithm, state selection and trajectory planning is done analytically by observability analysis rather than heuristically.
- Inertial Sensor Error Compensation: The vibration dependent errors of inertial sensors are characterized by ground tests. Bias errors are compensated in the transfer alignment. Noise variances are modeled to be dynamic with the vibration environment, thus optimal Kalman Filtering configuration is obtained.
- Dynamic Lever arm compensation: The attitude difference between master and slave INS is shown to have harmonic characteristic. The dynamic lever arm compensation is done by experimentally tuned algorithms.

The improved rapid transfer alignment algorithm is analyzed in two different ways; by simulation and experimental data. All simulations are done in Matlab®.

7.2 Rapid Transfer Alignment Simulation Results

In order to analyze the effectiveness and performance of the improved transfer alignment algorithm, following simulation model is used



Figure 7.1 Rapid Transfer Alignment Flow Chart

As it is already mentioned, there are two implementations. To see the performance of each improvement, following simulation configurations are used;

- 1. Only high frequency vibration effects
- 2. Only low frequency flexible lever arm effects

Both vibration and flexibility effects are generated from the experimental data shown in previous chapter.

Finally, all environmental effects are included with experimental flight data, and the performance of the proposed implementations are tested

7.2.1 Simulation with Vibration Effects

The first step in the performance analysis is the inclusion of vibration effects to the simulations as shown in Figure 7.1. With the inclusion of mechanical vibration to the simulation, bias and noise shifts of inertial sensors are triggered. Also, the high frequency components of launcher pod motion are also excited. The results of simulations for vibration effects are given in Figure 7.2 to Figure 7.11 for attitude (roll, pitch and yaw), gyro and accelerometer bias estimations. Note that in this analysis, only vibration effects are included, dynamic misalignment is analyzed in a separate case.



Figure 7.2 Estimation of Roll Attitude with Vibration Effects



Figure 7.3 Estimation of Roll Attitude with Vibration Effects



Figure 7.4 Estimation of Pitch Attitude with Vibration Effects



Figure 7.5 Estimation of Azimuth Attitude with Vibration Effects



Figure 7.6 Estimation of Azimuth Attitude with Vibration Effects



Figure 7.7 Estimation of X Accelerometer Bias with Vibration Effects







Figure 7.9 Estimation of Z Accelerometer Bias with Vibration Effects



Figure 7.10 Estimation of X Gyro Bias with Vibration Effects



Figure 7.11 Estimation of Y Gyro Bias with Vibration Effects



Figure 7.12 Estimation of Z Gyro Bias with Vibration Effects

The simulation results are summarized in Table 7.1

	Ideal	Uncompensated	Compensated
Roll Estimation Error (mrad)	0.1	2.76	0.22
Pitch Estimation Error (mrad)	0.1	3.24	0.6
Azimuth Estimation Error (mrad)	0.1	0.95	0.14
X Gyro Bias Estimation Error	10	150	20
Y Gyro Bias Estimation Error	10	90	12
Z Gyro Bias Estimation Error	10	85	15
X Accelerometer Bias Estimation			
Error	1	10	3
Y Accelerometer Bias Estimation			
Error	1	12	4
Z Accelerometer Bias Estimation			
Error	1	9	4

Table 7.1 Summary of Results - Vibration Effects

From Figure 7.2 to Figure 7.12, the effects of uncompensated vibration effects are easily seen. The vibration in the host platform directly affects gyro and accelerometer bias and noise characteristics. As stated in Chapter 5, bias and noise of an inertial sensor changes for a given vibration level. If this change is not

compensated in rapid transfer alignment, especially bias estimation becomes unusable.

Roll, pitch and yaw errors are also increased in the presence of vibration; while the ideal estimation error was 0.1 mrad (without mechanical vibration effects), the uncompensated errors increase up to 3 mrads. The adaptation of inertial noise parameters of Kalman filter increases the transfer alignment algorithm

7.2.2 Simulation with Flexibility Effects

The second part of the performance analysis is done for dynamic misalignment effects. Similar to previous part, dynamic misalignment data is generated and integrated into the simulations, where mechanical vibrations are omitted. The results are given in Figure 7.13 to Figure 7.19



Figure 7.13 Estimation of Pitch Attitude with Flexibility Effects



Figure 7.14 Estimation of Pitch Attitude with Flexibility Effects



Figure 7.15 Estimation of Roll Attitude with Flexibility Effects



Figure 7.16 Estimation of Roll Attitude with Flexibility Effects



Figure 7.17 Estimation of X Gyro Bias with Flexibility Effects



Figure 7.18 Estimation of Y Gyro Bias with Flexibility Effects



Figure 7.19 Estimation of Z Gyro Bias with Flexibility Effects

The results are summarized in Table 7.2 for attitude and inertial sensor bias estimation errors

			State	Neural
	Ideal	Uncompensated	Augmentation	Network
Roll Estimation Error (mrad)	0.1	9.8	1.1	0.15
Pitch Estimation Error (mrad)	0.1	8.6	2.5	0.44
Azimuth Estimation Error				
(mrad)	0.1	0.2	0.14	0.15
X Gyro Bias Estimation Error	10	90	35	12
Y Gyro Bias Estimation Error	10	210	70	14
Z Gyro Bias Estimation Error	10	28	22	20
X Accelerometer Bias				
Estimation Error	1	10	3	2
Y Accelerometer Bias				
Estimation Error	1	12	3	3
Z Accelerometer Bias				
Estimation Error	1	9	4	2

Table 7.2 Summary of Results - Flexibility Effects

As explained in Chapter 6, the dynamic misalignment is effective in roll and pitch attitudes, where azimuth channel flexibility is negligible with respect to the others. Roll and pitch errors are seriously increased, up to 10 mrads when there is not compensation for dynamic misalignment. State augmentation reduces the error in roll and pitch smaller than 2.5 mrads, where ANN performs superior and have a performance near to the ideal transfer alignment case.

Inertial sensor bias estimations are also affected by the inclusion of dynamic misalignment. The bias estimations of gyro and accelerometers are ten times worse than the ideal transfer alignment. For state augmentation, the error between ideal case is less than 3 mg for accelerometer, where the neural network performs similar. In gyro bias estimation, neural network performs significantly better than state augmentation.

In Figure 7.13 to Figure 7.18, it is easily seen that ANN compensation performing near to the ideal rapid transfer alignment with no dynamic misalignment problem. When there is no compensation of dynamic misalignment, roll and pitch attitude estimation become very oscillatory. This oscillatory estimation directly effects the related gyro bias estimations.

7.3 Rapid Transfer Alignment Experimental Results

The final step in the performance analysis in the transfer alignment improvements is using experimental data instead of synthetically generated data. Recorded Master, Slave and 2^{nd} master INS data is used in the analysis. The results are given in Figure 7.20 to 7.28



Figure 7.20 Estimation of Pitch Attitude with Experimental Data



Figure 7.21 Estimation of Roll Attitude with Experimental Data



Figure 7.22 Estimation of Azimuth Attitude with Experimental Data



Figure 7.23 Estimation of X Accelerometer Bias with Experimental Data



Figure 7.24 Estimation of Y Accelerometer Bias with Experimental Data



Figure 7.25 Estimation of Z Accelerometer Bias with Experimental Data



Figure 7.26 Estimation of X Gyro Bias with Experimental Data



Figure 7.27 Estimation of Y Gyro Bias with Experimental Data



Figure 7.28 Estimation of Z Gyro Bias with Experimental Data

		State	Neural
	Uncompensated	Augmentation	Network
Roll Estimation Error (mrad)	14.4	2.3	1.2
Pitch Estimation Error (mrad)	15.8	2.4	0.84
Azimuth Estimation Error (mrad)	4.6	1.4	0.45
X Gyro Bias Estimation Error	220	48	25
Y Gyro Bias Estimation Error	170	54	38
Z Gyro Bias Estimation Error	185	57	26
X Accelerometer Bias Estimation			
Error	15	4	3
Y Accelerometer Bias Estimation			
Error	17	6	5
Z Accelerometer Bias Estimation			
Error	14	4	5

Table 7.3 Summary of Results - Experiment

The real performance of the proposed implementations is shown in Table 7.3. Both vibration and dynamic misalignment compensations are implemented. As in the previous case, ANN performs better than state augmentation, but state augmentation is also a good choice for dynamic misalignment compensation. As it is also seen from Figure 7.20 to 7.28, both state augmentation and neural network have a distinguishable error compensation performance for environmental effects. When combined with the adaptation of inertial and noise parameters for flight vibration, both methods results in a very good accuracy. The uncompensated roll, pitch and azimuth errors are about 15 mrads, where state augmentation results in 2.4 mrad error and neural network has less than 1 mrad error in the end of the transfer alignment. Also, the uncompensated transfer alignment gives oscillatory results in attitude estimations, especially on roll and pitch attitudes. Neural network performs two times better than state augmentation in terms of steady state accuracy. Both methods have better convergence time than uncompensated alignment. The uncompensated alignment have a convergence time of at least 25-30 seconds, while both state augmentation and neural network have 5-10 second convergence for bias estimations and 1-2 seconds for attitude estimations

The gyro bias estimations for uncompensated transfer alignment have an unacceptable accuracy that cannot be used for online calibration. The gyros have a bias repeatability of 100 deg/hr, while uncompensated transfer alignment has up to 220 deg/hr estimation error. The state augmentation reduces the bias estimation error to 50 deg/hr, while neural network further reduces it to 25 deg/hr.

The accelerometer bias estimations are also unacceptable for uncompensated transfer alignment. The original bias repeatability of accelerometers is 10mg, but the bias estimation error in transfer alignment is up to 17 mg. Both state augmentation and neural network have similar estimation errors, approximately 5mg steady state error.

Briefly, both compensation methods have an important role for the rapid transfer alignment under real environmental conditions. Especially bias estimations are almost non-usable for online calibration for uncompensated alignment. Overall performance of artificial neural network is obviously better than state augmentation, but nevertheless state augmentation provides a significantly better accuracy compared to the uncompensated transfer alignment

Vibration induced errors of inertial sensors have also an important role in the transfer alignment. Vibration induced bias of gyros and accelerometers are precalibrated, thus improving the bias estimation accuracy in the transfer alignment. As stated in Chapter 5, the vibration induced errors are not permanent, when vibration level is reduced, the vibration rectification will also be reduced. When vibration induced bias is not taken into consideration in the transfer alignment, the bias estimation will be faulty and will result in serious navigation performance after launch phase

Vibration induced noise also affects the transfer alignment accuracy. Inertial sensor noises are used in Kalman Filter process matrix, which directly affects the steady state accuracy and convergence rate. Kalman filter is an optimal filter only when the noise levels are entered correctly in the process noise matrix. In the helicopter based transfer alignment, noises of gyros and accelerometers seriously increases and this shift changes for different flight and loading configurations. In the improved transfer alignment, accelerometer and gyro noises are adaptively changed for different loading and flight conditions, thus optimizing the performance of transfer alignment for all conditions.

CHAPTER 8

DISCUSSION and CONCLUSION

Almost all guided munitions require initial position, velocity and attitude data to perform midcourse guidance. Initialization of an inertial navigation system is one of the most challenging research area in this field, especially where the initialized system is on the move. Transfer alignment is the process of aligning an inertial navigation system with the aid of a higher accuracy navigation system. Transfer alignment is done by parameter matching between master and slave INS through Kalman Filter. In transfer alignment, primary goal is to align the slave INS, but slave INS can also be pre-launch calibrated, thus increasing the midcourse performance of the guided munition.

In an ideal environment, the final alignment accuracy of the slave inertial navigation system depends only on the accuracy of the master INS. In real alignment case, the transferred alignment information is degraded by host platform's structure. In the ideal transfer alignment, the velocity and attitude information from master navigation system is directly transferred to the slave INS by an only rigid body coordinate transformation as the attitude between master and slave INS is perfectly known. In the real case, this coordinate transformation has uncertainties due to the dynamic behavior of the slave INS location. For most of the guided munitions, the launcher has high and low frequency motions with respect to the main navigation system. For aircrafts, high frequency motion,

namely mechanical vibrations have negligible effect, but the low frequency motion, the wing distortion severely degrades the attitude accuracy of the alignment. In order to align the guided munition under these effects, the attitude information is usually not used in the parameter matching phase of the transfer alignment. Attitude is used only in the one shot transfer phase, but in the estimation phase, only velocity data is transferred, becoming velocity matching transfer alignment. In velocity matching, the uncertainties due to vibration and wing distortion is eliminated, thus a higher accuracy can be achieved with respect to the attitude matching. With these uncertainties present in the alignment environment, velocity matching is more robust and stable. But, observability of the transfer alignment is dependent to the input motion of the system, i.e. the maneuver of the host platform. While velocity is direct observable, attitude and related inertial sensor errors are indirect observables. In order to obtain complete observability of the main navigation states and inertial sensor errors, the platform needs to make specific maneuvers. These maneuvers are s or c shaped maneuvers which takes a time of 1-3 minutes depending on the platform. Also, this type of maneuvers makes a tactical constraint to the pilot of the platform.

In order to align the slave INS within a smaller time interval, attitude information should be included in the parameter matching. Rapid transfer alignment is the parameter matching method where both velocity and attitude information is used in the estimation phase.

Main goal of this thesis is to design a rapid transfer alignment algorithm with proper compensation of the dynamic relative motion of the launcher. The improvements are in three different parts;

- Stochastic observability analysis
- Vibration compensation
- Flexibility compensation

Generally, observability of states in transfer alignment or in integrated navigation system is done by classical deterministic observability approach; by checking the rank of the observability matrix. This approach has two disadvantages;

- Effects of stochastic components, noises are not included in the observability analysis
- The degree of observability is not analyzed.

Observability analysis is used for two purposes; determining an optimum maneuver for the platform and selecting proper system states. Two different observability methods are used; eigenvalue and covariance matrix approach. In the eigenvalue approach, the eigenvalues of the system states with respect to time is analyzed, whereas in the covariance matrix approach, the eigenvalues of the related variance states are analyzed. Both methods investigate the degree of observability with noise effects. In order to see the convergence rate of each state, detailed Monte Carlo simulations should be done, where the observability and convergence characteristics are heuristically analyzed. The proposed methods provide an easier way for this. Stochastic observability is also important in system state selection. A system can be seen as fully observable, as the related observability matrix has full rank, but certain states cannot be estimated. The reason of this unobservability is the noise characteristics of the states. In Chapter 4, the observability characteristics of the system states of rapid transfer alignment are demonstrated for different maneuvers. The main parameters, velocity and attitude have a distinctly higher degree of observability with respect to inertial sensor biases regardless of the maneuver performed. There is only slight change in the degree of observability of velocity and attitude for different maneuvers, which means that for very rapid transfer alignment, the completion of a tactical maneuver is not necessary. It is showed that in rapid transfer alignment, a maneuver is required to increase the observability of inertial sensor error estimations. It is also showed that the scale factor errors are always unobservable regardless of the maneuver. This unobservability arises from the nature of the Slave INS sensors, MEMS gyros and accelerometers have such a noise profile

that is masks the scale factor error. The observability analysis summarizes that an s or c shaped maneuver is sufficient to obtain complete high rate observability. Also, depending on observability analysis, the scale factor errors are omitted from transfer alignment, thus reducing the computational load of the algorithm.

High frequency mechanical vibration in a helicopter launched guided munition is serious problem. In most of guided munitions, MEMS type inertial sensors are used. Especially, MEMS gyros have a distinct sensitivity to the vibrations in the platform as they are basically Coriolis Vibratory Gyros. In the literature, vibration sensitivity of the MEMS sensors are analyzed in detail to be used in any compensation algorithm. Chapter 5 is divided into two sections, characterization of the vibration environment and investigation of inertial sensor performance under these vibrations. In the beginning of the chapter, effects of vibration to the transfer alignment is shown, the steady state and convergence rate is severely degraded. The characterization of the vibration environment is done by two different ways; from military standards and experimental data. The military standard for environmental considerations, Mil-Std-810F gives vibration profile for almost all type of platforms, but these profiles are over safe. The main purpose of this military standard is to define environmental conditions and profiles for operational durability, i.e. a munition or its component which can stand the profile defined in Mil-Std-810F is certain to work in the related platform. In order to make a more realistic vibration profile, experimental data is collected for different flight conditions. It is shown that vibration amplitude directly depends on the cruise speed of the helicopter. In the second part of the thesis, vibration effects on inertial sensor performance are shown. Main error parameters that are affected form vibration are bias and noise. It is known that any vibration rectification in bias and noise depends on the amplitude of vibration, not frequency. This is a result of the specific resonant frequency of the MEMS sensors, about 14 kHz. The variation of bias and noise is determined by ground test with a vibration table. As it is shown, vibration profile of the launcher pod in the helicopter depends on velocity and loading configuration. Thus vibration rectification of bias and noise

can be handled in transfer alignment as the velocity and loading configuration is known.

Dynamic misalignment, namely flexibility between master and slave INS is known to be a problem mostly in aircraft launched munitions, but it also appears as a serious effect in helicopters. As in the vibration characterization and compensation, Chapter 6 is divided into two parts as characterization and modeling. In order to characterize the dynamic misalignment, real flight data is collected. In data acquisition, a second high accuracy navigation grade inertial navigation system is implemented in the launcher pod, thus the attitude between master INS and launcher pod can be accurately tracked. With these experimental data, two different modeling approaches are considered; state augmentation and artificial neural network. In state augmentation, a Markov model is used to online compensate the dynamic misalignment in the transfer alignment. The model order and related parameters are determined by the real flight data and augmented into the Kalman filter. The second method, artificial neural network is trained by the experimental flight data in an offline manner. The offline trained ANN is used to compensate the dynamic misalignment with respect to the platform velocity and loading configuration. When two methods are compared for their estimation performance, it is easily seen that ANN approach performs better. ANN is the optimum compensation method for dynamic misalignment whenever detailed data of launcher pod dynamics is available. State augmentation also provides a usable estimation of dynamic misalignment. When there is limited offline data, state augmentation should be used for compensation.

In Chapter 7, performance of the proposed implementations is tested in transfer alignment. In order to test each implementation; three different test method is used;

- Only Vibration Effects
- Only Flexibility Effects
- All effects with complete experimental data.

In first two cases, vibration and flexibility data is generated from the previously collected data, shown in Chapter 5 and 6.

Characterization and modeling of inertial sensors' vibration sensitivity is shown to be very effective in the simulations. Without the vibration effect compensation, the accuracy of attitude estimation is severely degraded, whereas the inertial sensor bias estimation nearly becomes unusable without any compensation. With the experimental data, the bias and noise rectification for a given platform velocity and loading configuration can be found.

Both state augmentation and artificial neural network is shown to be effective in dynamic misalignment compensation. ANN performs better than state augmentation. When no dynamic misalignment compensation is done in the transfer alignment, the dynamic behavior of the launcher pod is directly seen in the estimation results; attitude estimations have a distinct oscillatory error, and gyro bias estimation are so degraded that they become unusable.

Finally, in order to see the integrated performance, the proposed implementations are tested in real flight environment with experimental flight records. The results for;

- No Compensation
- Inertial sensor vibration compensation + state augmentation
- Inertial sensor vibration compensation + artificial neural network

The results are similar to the previous analysis; if there is not any compensation for relative motion of the launcher pod, the alignment performance is severely degraded, also in flight calibration is not possible. Artificial neural network and state augmentation performs similar, where ANN is slightly better than Markov model Briefly, the main contributions of this thesis are;

- Stochastic Observability analysis methods for maneuver and state selection in Rapid Transfer Alignment are derived, where the degree of observability of each state can be analyzed.
- Vibration sensitivity of MEMS inertial sensors is characterized and modeled in the Transfer Alignment. Bias and noise shifts for a given flight and launch configuration is shown.
- Dynamic misalignment between master and slave INS is characterized and modeled into the rapid transfer alignment algorithm. Two different methods are derived and tested with experimental data.

For future work, following studies can be done;

- An analytical model the launcher pod's dynamic motion can be derived. The results of analytical and estimated dynamic misalignment can be compared
- An online training method for ANN can be designed, thus the offline work load can be reduced.

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APPENDIX A

DERIVATION OF INS EQUATIONS

In an inertial navigation system, the platform's position, velocity and attitude with respect to Earth in inertial axis is required. The basic navigation mechanization equation is given by [1,2];

$$\left. \frac{d^2 r}{dt^2} \right|_i = f \tag{A.1}$$

And

$$\left. \frac{d^2 r}{dt^2} \right|_i = a + g \tag{A.2}$$

where

f: Rigid body acceleration in inertial axis frame

a: Accelerometer measurements

g: gravitational acceleration

r: position vector

Remember that accelerometers are unable to sense gravitational acceleration directly

Coriolis equation is included in order to find the velocity relative to Earth

$$\frac{dr}{dt}\Big|_{i} = \frac{dr}{dt}\Big|_{e} + \omega_{ie} \times r \tag{A.3}$$

Differentiating A.3 and including $\frac{dr}{dt}\Big|_{e} = v_{e}$;

$$\frac{d^2 r}{dt^2}\Big|_i = \frac{dv_e}{dt}\Big|_i + \frac{d(\omega_{ie} \times r)}{dt}\Big|_i$$
(A.4)

$$\frac{d^2 r}{dt^2}\Big|_i = \frac{dv_e}{dt}\Big|_i + \omega_{ie} \times v_e + \omega_{ie} \times (\omega_{ie} \times r)$$
(A.5)

where the angular rotation rate of Earth is assumed to be constant, i.e. $\frac{d\omega_{ie}}{dt} = 0$

Rearranging equations A.4 and A.5;

$$\frac{dv_e}{dt}\Big|_i = a^n - \omega_{ie} \times v_e - \omega_{ie} \times (\omega_{ie} \times r) + g$$
(A.6)

Where;

 a^n : Inertial sensor acceleration measurement in navigation frame

 $\omega_{ie}xv_e$: Transport rate

 $\omega_{ie} x(\omega_{ie} xr)$: Centrifugal acceleration due to the the rotational rate of Earth, which cannot be separate the gravitational acceleration. The sum of this centrifugal acceleration and gravitational acceleration is known as plumb-up gravity

$$g_{p} = g - \omega_{ie} x(\omega_{ie} xr) \tag{A.7}$$

Navigation (local geographic) axis frame is required to navigate all around the Earth. In navigation frame, position is given in latitude, longitude and altitude, whereas velocity is given in North-East-Down components.

In navigation frame, ground speed is denoted as v_e^n . The rate of change of ground speed with respect to local geographic frame written in inertial frame is given by;

$$\left. \frac{dv_e}{dt} \right|_n = \frac{dv_e}{dt} \bigg|_i - (\omega_{ie} + \omega_{en}) \times v_e \tag{A.8}$$

Substituting $\frac{dv_e}{dt}\Big|_i$;

$$\left. \frac{dv_e}{dt} \right|_n = a^n - (2.\omega_{ie} + \omega_{en}) \times v_e + g_p \tag{A.9}$$

which can be rearranged as;

$$\dot{v}_{e}^{n} = C_{b}^{n}.a^{b} - (2.\omega_{ie} + \omega_{en}) \times v_{e}^{n} + g_{p}$$
(A.10)

 C_b^n : Body to navigation frame transformation direction cosine matrix.

Direction cosine matrix is mechanized as follows;

$$\dot{C}_b^n = C_b^n(\tilde{\omega}_{nb}^b)$$

 ω_{nb}^{b} : The angular rotation rate of a body with respect to the navigation frame

 $\tilde{\omega}^{\scriptscriptstyle b}_{\scriptscriptstyle nb}$: Skew symmetric matrix form of $\omega^{\scriptscriptstyle b}_{\scriptscriptstyle nb}$

 ω_{nb}^{b} can also be written as the measured body rates (ω_{ib}^{b}) and estimates of the navigation frame rotation rate components $(\omega_{in} = \omega_{ie} + \omega_{en})$;

$$\omega_{nb}^{b} = \omega_{ib}^{b} - C_{b}^{n} . (\omega_{ie}^{n} + \omega_{en}^{n})$$
(A.11)

Remember that local geographic frame is required to have a continuous rotation as the navigated platform moves in Earth in order to have an x axis parallel to Earth Surface. This rotation rate of the local geographic frame is also known as transport rate, expressed by (A.12);

$$\omega_{en}^{n} = [V_{E}/(R_{e} + h) - V_{N}/(R_{n} + h) - V_{E} \cdot \tan(L)/(R_{e} + h)]$$
(A.12)

The coordinate transformation between navigation and earth frames can be expressed by;

$$\dot{C}_n^e = C_n^e(\tilde{\omega}_{en}^n) \tag{A.13}$$

The dynamic change of position states; latitude, longitude and altitude is given by;

$$\dot{L} = \frac{V_N}{R_N + h} \tag{A.14}$$

$$\dot{l} = \frac{V_E \sec(L)}{R_N + h} \tag{A.15}$$

$$\dot{h} = -V_D \tag{A.16}$$

APPENDIX B

DERIVATION OF INS ERROR EQUATIONS

In this section, error propagation model of a full inertial navigation system is derived. The related error equations are expressed in navigation axis frame [1, 22 and 23].

B.1 Attitude Errors

The attitude between the navigation and erroneous navigation) axis frame is given asas;

$$\hat{C}_b^n = \delta C_b^n \cdot C_b^n \tag{B.1}$$

where

 \hat{C}_b^n : Ideal navigation frame

 C_b^n : Real navigation frema

 δC_b^n : Attitude transformation matrix between ideal and real navigation frame

Remember that the attitude kinematics is given as;

$$\dot{C}_b^n = C_b^n . (\tilde{\omega}_{ib}^b) - (\tilde{\omega}_{in}^n) . C_b^n \tag{B.2}$$

Differentiating this equation;

$$\delta \dot{C}_{b}^{n} = \delta C_{b}^{n}.(\tilde{\omega}_{ib}^{b}) + C_{b}^{n}.(\delta \tilde{\omega}_{ib}^{b}) - (\delta \tilde{\omega}_{in}^{n}).C_{b}^{n} - (\tilde{\omega}_{in}^{n}).\delta C_{b}^{n}$$
(B.3)

where attitude difference between ideal and real navigation frame is assumed to small, δC_b^n is stated as a vector;

$$\delta C_b^n = -(\tilde{\gamma}^n).C_b^n \tag{B.5}$$

 $\tilde{\gamma}^n$: Attitude error vector

Taking this equation's derivative with respect to time;

$$\delta \dot{C}_b^n = -(\tilde{\dot{\gamma}}^n) \cdot C_b^n - (\tilde{\gamma}^n) \cdot \dot{C}_b^n \tag{B.5}$$

Include \dot{C}_b^n

$$\delta \dot{C}_b^n = -(\tilde{\gamma}^n).C_b^n - (\tilde{\gamma}^n).(C_b^n.(\tilde{\omega}_{ib}^b) - (\tilde{\omega}_{in}^n).C_b^n)$$
(B.6)

Equating both equations;

$$C_b^n.(\tilde{\omega}_{ib}^b) + C_b^n.(\delta\tilde{\omega}_{ib}^b) - (\delta\tilde{\omega}_{in}^n).C_b^n - (\tilde{\omega}_{in}^n).\delta C_b^n = -(\tilde{\gamma}^n).C_b^n - (\tilde{\gamma}^n).(C_b^n.(\tilde{\omega}_{ib}^b) - (\tilde{\omega}_{in}^n).C_b^n)$$
(B.7)

And solving for attitude error vector rate

$$(\tilde{\gamma}^{n}).C_{b}^{n} = -C_{b}^{n}.(\delta\tilde{\omega}_{ib}^{b}) + (\tilde{\gamma}^{n}).(\tilde{\omega}_{in}^{n}).C_{b}^{n}$$

$$-(\tilde{\omega}_{in}^{n}).(\tilde{\gamma}^{n}).C_{b}^{n} + (\delta\tilde{\omega}_{in}^{n}).C_{b}^{n}$$
(B.8)

Multiplying on the right by the inverse of C_b^n ;

$$(\tilde{\gamma}^{n}) = -C_{b}^{n}.(\delta\tilde{\omega}_{ib}^{b})(C_{b}^{n})^{T} + (\tilde{\gamma}^{n}).(\tilde{\omega}_{in}^{n}) - (\tilde{\omega}_{in}^{n}).(\tilde{\gamma}^{n}) + (\delta\tilde{\omega}_{in}^{n})$$
(B.9)

$$\dot{\gamma}^n = -C_b^n \cdot \delta \omega_{ib}^b - \omega_{in}^n \times \gamma^n + \delta \omega_{in}^n \tag{B.10}$$

Remember that ω_{en}^{n} and ω_{ie}^{n} are given by;

$$\omega_{en}^{n} = \left[\frac{V_{E}}{R_{E} + h} \quad \frac{V_{N}}{R_{N} + h} \quad \frac{-V_{N} \cdot \tan(L)}{R_{E} + h}\right]^{T}$$
(B.11)

and

$$\omega_{ie}^{n} = [\Omega \cos(L) \quad 0 \quad -\Omega \sin(L)]^{T}$$
(B.12)

 $\delta \omega_{in}^n$ is defined by;

$$\omega_{in}^n = \omega_{ie}^n + \omega_{en}^n \tag{B.13}$$

$$\delta\omega_{in}^{n} = \delta\omega_{ie}^{n} + \delta\omega_{en}^{n} \tag{B.14}$$

where

$$\delta \omega_{ie}^n = \delta C_n^e . \omega_{ie}^e \tag{B.15}$$

Note that ω_{ie}^{e} does not change with respect to time.

Position error is given by ε^n

$$\delta \omega_{ie}^n = -\varepsilon^n x C_n^e . \omega_{ie}^e \tag{B.16}$$

 ε^n : Position Error given in navigation frame

Rearranging the equation results in;

$$\delta \omega_{ie}^n = -\varepsilon^n x \omega_{ie}^n = \omega_{ie}^n x \varepsilon^n \tag{B.17}$$

Alternatively, $\delta \omega_{e_n}^n$ and $\delta \omega_{i_e}^n$ is given by;

$$\delta \omega_{en}^{n} = \begin{bmatrix} \frac{\delta V_{E}}{R_{E} + h} - \frac{V_{E} \delta h}{R_{E} + h} \\ \frac{\delta V_{N}}{R_{N} + h} - \frac{V_{N} \delta h}{(R_{N} + h)^{2}} \\ \frac{-\delta V_{N} \cdot \tan(L)}{R_{E} + h} + \frac{V_{N} \cdot \tan(L) \delta h}{(R_{E} + h)^{2}} - \frac{-V_{N}}{(R_{E} + h)(\cos(L))^{2}} \end{bmatrix}$$
(B.18)
$$\delta \omega_{ie}^{n} = \left[-\Omega \sin(L) \delta L \quad 0 \quad -\Omega \cos(L) \delta L \right]^{T}$$
(B.19)

B.2 Velocity Errors

Note that velocity mechanization equation is expressed as;

$$\dot{v}_{e}^{n} = C_{b}^{n}.a^{b} - (2.\omega_{ie} + \omega_{en}) \times v_{e}^{n} + g_{p}$$
(B.20)

Differentiating this equation;

$$\delta \dot{v}_{e}^{n} = \delta C_{b}^{n} . a^{b} + C_{b}^{n} . \delta a^{b}$$

$$-(2.\delta \omega_{ie} + \delta \omega_{en}) \times v_{e}^{n} - (2.\omega_{ie} + \omega_{en}) \times \delta v_{e}^{n} + \delta g_{p}$$
(B.21)

Including attitude error, δC_b^n and neglecting second order effects results in;

$$\delta \dot{v}_{e}^{n} = (C_{b}^{n}.a^{b}) \times \gamma^{n} + C_{b}^{n}.\delta a^{b} - v_{e}^{n} \times (2.\omega_{ie}^{n} \times \varepsilon^{n} + \delta \omega_{en}^{n}) -(2.\omega_{ie}^{n} + \omega_{en}^{n}) \times \delta v_{e}^{n} + \delta g_{p}$$
(B.22)

B.3 Position Errors

Position mechanization equation is given as;

$$\dot{C}_n^e = C_n^e(\tilde{\omega}_{en}^n) \tag{B.23}$$

Differentiating this equation

$$\delta \dot{C}_n^e = \delta C_n^e(\tilde{\omega}_{en}^n) + C_n^e(\delta \tilde{\omega}_{en}^n) \tag{B.24}$$

Defining;

 $\delta C_n^e = C_n^e(\tilde{\varepsilon}^n) \tag{B.25}$

 $\tilde{\varepsilon}^n$: Position error vector

Differentiating with respect to time;

$$\delta \dot{C}_n^e = \dot{C}_n^e(\tilde{\varepsilon}^n) + C_n^e(\tilde{\varepsilon}^n) \tag{B.26}$$

Rearranging;

$$\delta \dot{C}_n^e = C_n^e(\tilde{\omega}_{en}^n)(\tilde{\varepsilon}^n) + C_n^e(\tilde{\varepsilon}^n)$$
(B.27)

Equating these equations

$$C_n^e(\tilde{\omega}_{en}^n)(\tilde{\varepsilon}^n) + C_n^e(\tilde{\varepsilon}^n) = \delta C_n^e(\tilde{\omega}_{en}^n) + C_n^e(\delta \tilde{\omega}_{en}^n)$$
(B.29)

Solving for ε^n

$$C_n^e(\tilde{\varepsilon}^n) = \delta C_n^e(\tilde{\omega}_{en}^n) + C_n^e(\delta \tilde{\omega}_{en}^n) - C_n^e(\tilde{\omega}_{en}^n)(\tilde{\varepsilon}^n)$$
(B.29)

Multiply on the left by the inverse of C_n^e

$$(\tilde{\varepsilon}^n) = (\tilde{\varepsilon}^n)(\tilde{\omega}_{en}^n) + (\delta\tilde{\omega}_{en}^n) - (\tilde{\omega}_{en}^n)(\tilde{\varepsilon}^n)$$
(B.30)

Rearranging;

$$\dot{\varepsilon}^n = -\omega_{en}^n x \varepsilon^n + \delta \omega_{en}^n \tag{B.31}$$

Altitude error is given by taking derivative with respect to time

$$\dot{h} = V_D \tag{B.32}$$

$$\delta \dot{h} = \delta V_D$$
 (B.33)

Alternatively, position errors in latitude and longitude can be expressed as follows;

$$\delta \dot{L} = \delta V_N / (R_n + h) - V_N \cdot \delta h / (R_n + h)$$
(B.34)

$$\delta \dot{l} = \delta V_E . \sec(L) / (R_e + h) + \delta V_E . \sec(L) . \tan(L) . \delta L / (R_e + h)$$

- $\delta V_E . \sec(L) . \delta h / (R_e + h)$ (B.35)

APPENDIX C

ARTIFICIAL NEURAL NETWORKS

Artificial Neural Network (ANN) is an alternative solution methodused in various fields of engineering such as estimation, system identification, guidance, control, optimization, classification, pattern recognition etc. A neural networks consists of several neurons which are computing components connected to other neurons in several layers [44-48, 57, 58].

ANN is inspired by biological neural systems; basically they are simplified model of the brain. The fundamental element of ANN is a neuron as in the case of a biological neural network. ANN is composed of many neurons that work in cooperation in order to complete the necessary function. Biological neuron and a simple neuron model for ANN is shown in Figure C.1 and Figure C.2;



Figure C.1 Biological Neuron Model [57]



Figure C.2 Neuron Model [57]

Every neuron has a multi input-output structure. Main property of an ANN is its learning property, that is it can adapt itself to the dynamics of the system and environment by the neurons connected to each other. [58]

Main property of ANN is the ability to learn; they figure out the way to perform the required function by themselves and they determine their function based on sample inputs.

Inputs to the neuron are separately weighted. The output is the addition process with a nonlinear activation function, in other words the output of a neuron is a function of weighted sum of the inputs.

The basic neuron is defined by;

$$u = \sum_{i=1}^{N} w_i x_i - \theta \tag{C.1}$$

And

$$y = f(u) \tag{C.2}$$

x: Inputs for neuron

w: Weight for synapses

u: Neuron activation

θ : Threshold

- y: Output of the neuron
- f(): Activation function

Taking $w_0 = \theta$ and threshold input as $x_0 = -1$, equation B.2 is rearranged as;

$$y = f(\sum_{i=0}^{N} w_i x_i)$$
 (C.3)

There are different kinds of activation functions for different applications [45]. Most common ones are linear, hard limit and log sigmoid functions. In classification applications, hard limit activation is used, which simply makes a quantization of the output to 0 or 1. In linear filter design, linear function is preferred. In back propagation algorithms, sigmoid function is used. The sigmoid function is given as;

$$f(u) = 1/(1+e^{-u})$$
(C.4)

As it is seen from equation B.4, main difference between sigmoid and other activation functions is that the sigmoid function is differentiable, which is fundamental for learning in ANN.

Multilayer perceptron (MLP) with feed forward property is one of the most common neural network type used in engineering. The multilayer feed forward neural network structures with proper layers (input, output and hidden layers) are accepted as general approximation functions.

In multilayer feed forward networks, there are multiple layers; additional hidden layers are present between input and output layers. Also, the information in multilayer feed forward network proceeds in one direction, each layers output is the input for the next one. There may be more than one hidden layer. Main function of multilayer perceptron is to make an approximation and estimation for a given input and output profile [44, 45].



Figure C.3 Multilayer Feedforward Network Architecture [57]

In the output layer, the weighted sum of all layers' output is processed by a nonlinear activation function, $f_i^{[s]}$

$$o_{j}^{[s]} = f_{j}^{[s]}(u_{j}^{[s]})$$

$$u_{j}^{[s]} = \sum_{i=0}^{n_{s-1}} w_{ji}^{[s]} o_{i}^{[s-1]}$$
(C.5)

 $w_{ji}^{[s]}$: Synaptic weight for multiplication of the jth neuron of the sth layer multiplies the ith output from preceding layer $o_i^{[s-1]}$. Each weighting is tuned by the training in the ANN learning phase.

n_s: Number of neurons present in sth layer

Back propagation learning is the training and learning process in multilayer feed forward neural networks. With the learning process, ANN can adapt itself for input output mapping. Back propagation is a supervised form of training. The fundamental of back propagation is based on ANN's error minimization.



Figure C.4 ANN with back propogation [57]

In back propagation algorithm [57,58], input processed to the layers of the ANN and resulting output is compared to the sample output. This comparison results in the output error, which is back propagated in reverse; from output to the input layer in order to tune the synaptic weights with a proper learning algorithm, thus minimizing the output error. This back propagation procedure is done in a loop unless the final output error is below the specified level.

Back propagation can either be done offline or online. In offline training, predetermined or recorded input output mapping is used, whereas real time input outputs are used in online training.

One of the most common methods for training is to use gradient descent algorithm for error minimizations. Error function is given by;

$$E_{p} = \frac{1}{2} \sum_{j=1}^{n_{2}} (d_{jp} - y_{jp})^{2} = \sum_{j=1}^{n_{2}} (e_{jp})^{2}$$
(C.6)

p: The learning step

d_{jp}: Desired output of ANN

 y_{jp} : Actual output of ANN $% \left({{{\mathbf{y}}_{jp}}} \right)$

For over all learning process, error function is expressed as;

$$E_p = \sum_p E_p = \sum_p \sum_{j=0...n_2} (d_{jp} - y_{jp})^2$$
(C.7)

 n_2 : Number of neurons in the output layer

In the learning process, the changing of each weighting is given by;

$$\Delta w_{ji}^{[s]} = -\eta \frac{\partial E_p}{\partial w_{ji}^{[s]}}, \eta > 0 \tag{C.8}$$

 η : Learning parameter.

Delta weighting in the output layer is expressed as;

$$\Delta w_{ji}^{[2]} = \eta \delta_j^{[2]} o_i^{[1]} \tag{C.9}$$

Where

$$\delta_{j}^{[2]} = (d_{jp} - y_{jp}) \frac{\partial f_{jp}^{[2]}}{\partial u_{j}^{[2]}}$$
(C.10)

For the hidden layer,

$$\Delta w_{ji}^{[1]} = \eta \delta_j^{[1]} o_i^{[0]} \tag{C.11}$$

Where

$$\delta_{j}^{[2]} = \frac{\partial f_{jp}^{[1]}}{\partial u_{j}^{[1]}} \sum_{k=1}^{n_{2}} \delta_{k}^{[2]} w_{kj}^{[2]}$$
(C.12)

Have been obtained.

Gradient descent is a common and simple method used in many back propagation applications, but it has some important disadvantages such s learning rate sensitivity, slow convergence and lower accuracy with respect to the other methods such simulated annealing, Levenberg Marquardt.

APPENDIX D

KALMAN FILTERING

Kalman filter is the most common integration and estimation method used in inertial navigation systems, where navigation states (position, velocity and attitude) should be updated in order to obtain a bounded, usable solution. Kalman filter is the most common estimation method used to update inertial navigation data with redundant information from external sources, GPS, magnetometer, terrain data, or like the case in this thesis, master INS. There are different types of Kalman filter for different system applications, such as Extended Kalman Filter, Unscented Kalman Filter etc. which are all practical to be applied in real time.

The basic Kalman Filter is a linear estimation algorithm. For most of the inertial navigation system applications, linearized error equations of navigation states are used in linear Kalman filter as the navigation states themselves are highly nonlinear. The navigation error states should be bounded in small numerical interval for valid linearity assumption.

Kalman filter uses state space model of the estimated and measured systems. In the remaining parts of Appendix C, the fundamentals of the discrete time Kalman filter is derived and explained in detail. [26, 27, 28, 29].

Main problems and questions for the inertial navigation data are listed as follows;

- Navigation errors should be corrected in motion so that navigation data is useful even with limited initial navigation data
- Measurements from various aiding system (GPS, altimeter, magnetometer etc.) with different time intervals should be optimally combined
- Covariance of the navigation states should be estimated to see the confidence level of the noisy system.
- All navigation and inertial sensor states should be estimated even if only some of the states are observed, that is the system is a reduced order observer.

The Kalman filter is the optimum solution for the above problems. The flow of this minimum variance linear estimation algorithm is as follows;

- Kalman filter is initialized by \hat{x}_{o} , the statistical estimates for the initial navigation error states, P(t₀), the covariance matrix of the estimated states, and Q₀ the process noise covariance.
- States \hat{x}_{o} and their covariance $P(t_{0})$ are propagated to the measurement update
- Measurement and the related innovation term is calculated
- Kalman gain is calculated in order to obtain minimum mean square error estimate
- Estimates of navigation states are calculated by measurement z_k through Kalman gain

With the convergence of the filter, all states (both navigation errors and inertial sensor calibration parameters) are estimated on the move. Also, the uncertainty of each state is found. With each measurement update, covariance values are

In the inertial navigation systems, there exists two different implementation way for Kalman filtering;

- Direct estimation by including the navigation states (position, velocity and attitude) in the Kalman Filter, resulting in a highly nonlinear system
- Indirect estimation by using navigation error states, which are linearized with small attitude error assumption

Considering the fact that the Kalman Filter is a linear filter, direct Kalman Filtering may not be a good choice for integrated navigation sytems



Figure D.1 Kalman Filter with Direct Estimation

The indirect estimation is a better choice in inertial navigation systems as it uses the linearized error navigation states. There are two types of indirect estimation, open loop and closed loop;

In the open loop estimation, the estimated error states are not fed back to the inertial navigation system, thus the navigation errors grows in time. After a certain navigation duration, the linearity assumption may fail because of this growth in the error. In close loop estimation, the errors are reset by the feedback, resulting in a bounded estimation. Closed loop estimation is used in most of the navigation systems



Figure D.2 Indirect Open Loop Kalman Filter



Figure D.3 Indirect Close Loop Kalman Filter

Discrete Time Kalman Filter equations are given in table D.1

Noisy system dynamics equation in	$\mathbf{x}_{k+1} = \mathbf{A}_k \mathbf{x}_k + \mathbf{w}_k$
discrete time	
Process Noise covariance	$E(w_k w_k^{T}) = Q_k$
Measurement equation in discrete	$\mathbf{Z}_{\mathbf{k}} = \mathbf{H}_{\mathbf{k}}\mathbf{X}_{\mathbf{k}} + \mathbf{V}_{\mathbf{k}}$
time	
Measurement noise covariance	$E(v_k v_k^T) = R_k$
A priori estimate of the states	$\hat{x}_{k}(+) = K_{k} \hat{x}_{k}(-) + K_{k} z_{k}$
	$\mathbf{K}_{\mathbf{k}}^{'}=(\mathbf{I}-\mathbf{K}_{\mathbf{k}}\mathbf{H}_{\mathbf{k}})$
A posteriori estimate of the states	$\hat{\mathbf{x}}_{k}(+) = \hat{\mathbf{x}}_{k}(-) + \mathbf{K}_{k}[\mathbf{z}_{k} - \mathbf{H}_{k}\hat{\mathbf{x}}_{k}(-)]$
	$K_{k} = P_{k}(-)H_{k}^{T}[H_{k}P_{k}(-)H_{k}^{T}+R_{k}]^{-1}$
Propagated covariance matrix with	$P_k(+) = (I - K_k H_k) P_k(-)$
measurements	$P_k^{-1}(+) = P_k^{-1}(-) + H_k^T R_k^{-1} H_k$
Time update Equations	$\hat{x}_{k+1}(-) = A_k \hat{x}_k(+)$
	$P_{k+1}(-) = A_k P_k(+) A_k^T + Q_k$
	$\mathbf{Q}_{\mathbf{k}} = \mathbf{E}(\mathbf{w}_{\mathbf{k}}\mathbf{w}_{\mathbf{k}}^{\mathrm{T}})$

Table D.1 Discrete Time Kalman Filter [26]

APPENDIX E

INERTIAL SENSOR SPECIFICATIONS

PERFORMANCE	(1 σ)
Gyros	
Measurement Range	$> \pm 500 \text{ deg/sec}$
Dynamic Bandwidth	50 Hz
Scale Factor Repeatability	< 1000 ppm
Nonlinearity	< 1000 ppm
Bias Repeatability	< 100 deg/hr
Axis Misalignment	\leq 3 mrad
Random Walk	$\leq 1 \text{ deg}/\sqrt{\text{hr}}$
g Sensitivity	< 100 deg/hr/g
Accelerometers	
Measurement Range	±30g
Dynamic Bandwidth	50 Hz
Scale Factor Repeatability	< 1000 ppm
Nonlinearity	< 1000 ppm
Bias Repeatability	< 10 mg
Axis Misalignment	\leq 3 mrad
Random Walk	0.5 m/s/√hr
Start-up Time	≤ 100 ms

Table E.1 Slave INS Performance Specifications

PERFORMANCE	(1 σ)
Gyros	
Measurement Range	> ± 1000 deg/sec
Dynamic Bandwidth	50 Hz
Scale Factor Repeatability	< 10 ppm
Nonlinearity	< 10 ppm
Bias Repeatability	< 0.01 deg/hr
Axis Misalignment	$\leq 0.1 \text{ mrad}$
Random Walk	$\leq 0.01 \text{ deg}/\sqrt{\text{hr}}$
g Sensitivity	< 0 deg/hr/g
Accelerometers	
Measurement Range	±50g
Dynamic Bandwidth	50 Hz
Scale Factor Repeatability	< 50 ppm
Nonlinearity	< 10 ppm
Bias Repeatability	< 0.05 mg
Axis Misalignment	$\leq 0.1 \text{ mrad}$
Random Walk	0.05 m/s/√hr
GPS Aided Navigation	
Position	≤10m
Velocity	≤0.08 m/s
Attitude	< 0.2 deg
Free Navigation	
Position	≤0.8 Nmi/hr
Velocity	≤0.8 m/s
Attitude	< 0.5 deg

Table E.2 Master INS Performance Specifications

APPENDIX F

SIMULINK MODELS FOR RAPID TRANSFER ALIGNMENT

F.1 INPUT FILE FOR ALGORITHM

dt=0.05;

acc1BiasInstabErrTC_db	= 0;	% 1 / a_xb bias
instability error time constan	ut (1/s)	
acc1BiasInstabErrTC = acc1	BiasInstabErrTC_db;	
acc2BiasInstabErrTC_db	=0;	% 1 / a_yb_IMU bias
instability error time constan	tt (1/s)	
acc2BiasInstabErrTC = acc2	BiasInstabErrTC_db;	
acc3BiasInstabErrTC_db	=0 ;	% 1 / a_zb_IMU bias
instability error time constan	t (1/s)	
acc3BiasInstabErrTC = acc3	BiasInstabErrTC_db;	
acc1SFInstabErrTC_db	= 0;	% 1 / a_xb scale
factor instability error time c	constant (1/s)	
acc1SFInstabErrTC = acc1S	FInstabErrTC_db;	
acc2SFInstabErrTC_db	= 0;	% 1 / a_yb_IMU scale
factor instability error time c	constant (1/s)	
acc2SFInstabErrTC = acc2S	FInstabErrTC_db;	

acc3SFInstabErrTC_db	=0 ;	% 1 / a_zb_IMU scale
factor instability error time	constant (1/s)	
acc3SFInstabErrTC = acc3S	SFInstabErrTC_db;	
gyr1BiasInstabErrTC_db	= 0 ;	% 1 / p_IMU bias
instability error time consta	nt (1/s)	
gyr1BiasInstabErrTC = gyr	lBiasInstabErrTC_db;	
gyr2BiasInstabErrTC_db	= 0 ;	% 1 / q_IMU bias
instability error time consta	nt (1/s)	
gyr2BiasInstabErrTC = gyr2	2BiasInstabErrTC_db;	
gyr3BiasInstabErrTC_db	= 0 ;	% 1 / r_IMU bias
instability error time consta	nt (1/s)	
gyr3BiasInstabErrTC = gyr.	3BiasInstabErrTC_db;	
gyr1SFInstabErrTC_db	= 0 ;	% 1 / p_IMU scale
factor instability error time	constant (1/s)	
gyr1SFInstabErrTC = gyr1S	SFInstabErrTC_db;	
gyr2SFInstabErrTC_db	=0 ;	% 1 / q_IMU scale
factor instability error time	constant (1/s)	
gyr2SFInstabErrTC = gyr2S	SFInstabErrTC_db;	
gyr3SFInstabErrTC_db	= 0 ;	% 1 / r_IMU scale
factor instability error time	constant (1/s)	
gyr3SFInstabErrTC = gyr3S	SFInstabErrTC_db;	
vxSigma_db	= 25 ;	% std of Vx (m/s) (std :
standard deviation)		
vxSigma = vxSigma_db;		
vxVar = vxSigma^2;		
vySigma_db	= 25;	% std of Vy (m/s)
vySigma = vySigma_db;		
vyVar = vySigma^2;		

vzSigma_db = 25; % std of Vz (m/s)

vzSigma = vzSigma_db; vzVar = vzSigma^2;

phiSigma_db = 15; % std of phi (deg) phiSigma = phiSigma_db * pi/180; % (deg --> rad) phiVar = phiSigma^2;

thetaSigma_db= 15;% std of theta (deg)thetaSigma = thetaSigma_db * pi/180;% (deg --> rad)thetaVar = thetaSigma^2;

psiSigma_db = 15 ; % std of psi (deg) psiSigma = psiSigma_db * pi/180; % (deg --> rad) psiVar = psiSigma^2;

% accBiasSigma_db = 20; % std of accelerometer bias repeatability error (g) accBiasSigma_db = 40e-3; % 20; % 0; accBiasSigma = accBiasSigma_db * 9.81; % (g --> m/s^2) accBiasVar = accBiasSigma^2;

% accSFSigma_db = 10000; % std of accelerometer scale factor repeatability error (ppm) accSFSigma_db = 2000; %0; accSFSigma = accSFSigma_db * 10^-6; % (ppm --> non dimension) accSFVar = accSFSigma^2;

% gyrBiasSigma_db	= 150 ;	% std of gyro bias
repeatability error (deg/hr)		
gyrBiasSigma_db	= 150*1.2; %125; % 0;	

gyrBiasSigma = gyrBiasSigma_db * (pi/180) * (1/3600) ; % (deg/hr --> rad/s) gyrBiasVar = gyrBiasSigma^2;

% gyrSFSigma_db	= 10000 ;	% std of gyro scale
factor repeatability error (ppm)	
gyrSFSigma_db	= 2000; % 1000; % 0;	
gyrSFSigma = gyrSFSigma_db * 10^-6;		% (ppm> non
dimension)		
gyrSFVar = gyrSFSigma^2;		

% accNoiseSigma_db	= 0.01 ;	% std of
accelerometer noise (g)		
% accNoiseSigma = accNoiseSigma_	_db * 9.81 ;	% (g>
m/s^2)		
accNoiseSigma =0.01 * sqrt(1/dt);		
accNoiseVar = accNoiseSigma^2;		

gyrNoiseSigma_db	=0.02*0.15; %0.001;	% std of
gyro noise (deg/s)		
gyrNoiseSigma = gyrNois	seSigma_db * pi/180 * sqrt(1/dt);	%
(deg> rad)		
gyrNoiseVar = gyrNoiseS	Sigma^2;	

accBiasNoiseSigma_db= 0 ;% std ofaccelerometer bias noise (g)accBiasNoiseSigma = accBiasNoiseSigma_db * 9.81;accBiasNoiseVar = accBiasNoiseSigma^2;

accSFNoiseSigma_db= 0 ;% std of acclerometerscale factor noise (ppm)

accSFNoiseSigma = accSFNoiseSigma_db * 10e-6; % (ppm -- > non dimension) accSFNoiseVar = accSFNoiseSigma^2;

gyrBiasNoiseSigma_db = 0; % std of gyro bias noise (deg/hr) gyrBiasNoiseSigma = gyrBiasNoiseSigma_db * (pi/180) * (1/3600); % (deg/hr --> rad/s) gyrBiasNoiseVar = gyrBiasNoiseSigma^2;

gyrSFNoiseSigma_db = 0; % std of gyro scale factor noise (ppm) gyrSFNoiseSigma = gyrSFNoiseSigma_db * 10e-6; % (ppm --> non dimension) gyrSFNoiseVar = gyrSFNoiseSigma^2;

gravityDeviationSigma_db = 0; % std of gravitational acceleration anomaly (mg) gravityDeviationSigma = gravityDeviationSigma_db * 10e-3 * 9.81; % (mg --> m/s^2) gravityDeviationVar = gravityDeviationSigma^2;

pltVelNoiseSigma_db = 0.001*10; %0.001 % std of noise in platform velocity data (m/s) pltVelNoiseSigma = pltVelNoiseSigma_db; pltVelNoiseVar = pltVelNoiseSigma^2;

pltEulerNoiseSigma_db = 0.0001*200*2; %0.0001 % std of noise in platform euler angle data (deg) pltEulerNoiseSigma = pltEulerNoiseSigma_db * pi/180 ; % (deg --> rad) pltEulerNoiseVar = pltEulerNoiseSigma^2;
rLncPltNoiseSigma_db = 0.05; %0.001 ; % std of variation in platform INS - pod distance (m) rLncPltNoiseSigma = rLncPltNoiseSigma_db ; rLncPltNoiseVar = rLncPltNoiseSigma^2;

```
pltAngVelNoiseSigma_db = 0.0001*10; % std of noise
in platform angular velocity data (deg/s)
pltAngVelNoiseSigma = pltAngVelNoiseSigma_db * pi/180; % (deg
--> rad)
pltAngVelNoiseVar = pltAngVelNoiseSigma^2;
```

latencyLimit1 = 0.02 ; latencyLimit2 = 0.05 ;

```
dtTfralignEst = 0.05;
tfralignDelay = 0;
```

velocity_sigma_limit_db = 1; % limit for std of velocity estimation (m/s) velocityVarLimit = velocity_sigma_limit_db^2;

```
euler_sigma_limit_db = 0.1 ; % limit for std or euler
angle estimation (deg)
euler_sigma_limit = euler_sigma_limit_db * pi/180;
eulerVarLimit = euler_sigma_limit^2 ;
```

aXbBiasSigmaLimit_db = 0.01; %g %variance degerinin 1/5i... aXbBiasSigmaLimit = aXbBiasSigmaLimit_db * 9.81; aXbBiasVarLimit = aXbBiasSigmaLimit^2; aYbBiasSigmaLimit_db = 0.01;

```
aYbBiasSigmaLimit = aYbBiasSigmaLimit_db * 9.81;
aYbBiasVarLimit = aYbBiasSigmaLimit^2;
aZbBiasSigmaLimit_db = 0.01;
aZbBiasSigmaLimit = aZbBiasSigmaLimit_db * 9.81;
aZbBiasVarLimit = aZbBiasSigmaLimit^2;
```

aXbSFSigmaLimit_db = 1000; %ppm aXbSFSigmaLimit_db = 10e-6; aXbSFVarLimit = aXbSFSigmaLimit^2; aYbSFSigmaLimit_db = 1000; aYbSFSigmaLimit = aYbSFSigmaLimit_db * 10e-6; aYbSFVarLimit = aYbSFSigmaLimit^2; aZbSFSigmaLimit_db = 1000; aZbSFSigmaLimit_db = 1000; aZbSFSigmaLimit = aZbSFSigmaLimit_db * 10e-6; aZbSFVarLimit = aZbSFSigmaLimit_db * 10e-6;

```
pBiasSigmaLimit_db = 3; %deg/hr

pBiasSigmaLimit = pBiasSigmaLimit_db * pi/180 * 1/3600;

pBiasVarLimit = pBiasSigmaLimit^2;

qBiasSigmaLimit_db = 3; %deg/hr

qBiasSigmaLimit = qBiasSigmaLimit_db * pi/180 * 1/3600;

qBiasVarLimit = qBiasSigmaLimit^2;

rBiasSigmaLimit_db = 3; %deg/hr

rBiasSigmaLimit = rBiasSigmaLimit_db * pi/180 * 1/3600;

rBiasVarLimit = rBiasSigmaLimit_2;
```

pSFSigmaLimit_db = 1000; %ppm pSFSigmaLimit = pSFSigmaLimit_db * 10e-6; pSFVarLimit = pSFSigmaLimit^2; qSFSigmaLimit_db = 1000; %ppm qSFSigmaLimit = qSFSigmaLimit_db * 10e-6;

```
qSFVarLimit = qSFSigmaLimit^2;
rSFSigmaLimit_db = 1000; %ppm
rSFSigmaLimit = rSFSigmaLimit_db * 10e-6;
rSFVarLimit = rSFSigmaLimit^2;
```

```
% aXbBiasVarLimit = accBiasVar/5;
%
% aYbBiasVarLimit = accBiasVar/5;
% aZbBiasVarLimit = accBiasVar/5;
%
% aXbSFVarLimit = accSFVar/5;
% aYbSFVarLimit = accSFVar/5;
% aZbSFVarLimit = accSFVar/5;
%
% pBiasVarLimit = gyrBiasVar/5;
% qBiasVarLimit = gyrBiasVar/5;
% rBiasVarLimit = gyrBiasVar/5;
%
% pSFVarLimit = gyrSFVar/5;
% qSFVarLimit = gyrSFVar/5;
% rSFVarLimit = gyrSFVar/5;
```

```
deltaHPltSLLimit_db = 15; %m
deltaHPltSLLimit = deltaHPltSLLimit_db;
deltaHPltGLLimit_db = 15; %m
deltaHPltGLLimit = deltaHPltGLLimit_db;
deltaLatPltLimit_db = 2; %arc sec
deltaLatPltLimit = deltaLatPltLimit_db /3600 * pi/180;
deltaLonPltLimit_db = 2; %arc sec
```

biasAccXUpper = 0;

```
SFAccXUpper = 0;
```

biasAccYUpper = 0;

SFAccYUpper = 0;

biasAccZUpper = 0;

SFAccZUpper = 0;

biasGyrXUpper = 0;

SFGyrXUpper = 0;

biasGyrYUpper = 0;

SFGyrYUpper = 0;

biasGyrZUpper = 0;

SFGyrZUpper = 0;

F.2

SIMULINK BLOCKS



Figure F.1 Main Rapid Transfer Alignment Algorithm







Figure F.3 Host INS Algorithm



Figure F.4 Measurement and Estimation Module



Figure F.5 Measurement Module



Figure F.6 Attitude Measurement Module



Figure F.7 Velocity Measurement Module



Figure F.8 Kalman Filter Module



Figure F.9 Kalman Filter Updates Module



Figure F.10 Output Module

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FOREIGN LANGUAGES

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