

DESIGN AND REALIZATION OF MICROSTRIP LINEAR ANTENNA  
ARRAY BASED ON SIW (SUBSTRATE INTEGRATED WAVEGUIDE)  
FEED NETWORK

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**DESIGN AND REALIZATION OF MICROSTRIP LINEAR ANTENNA  
ARRAY BASED ON SIW (SUBSTRATE INTEGRATED WAVEGUIDE)  
FEED NETWORK**

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## **ABSTRACT**

### **DESIGN AND REALIZATION OF MICROSTRIP LINEAR ANTENNA ARRAY BASED ON SIW (SUBSTRATE INTEGRATED WAVEGUIDE) FEED NETWORK**

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A microstrip patch antenna array with substrate integrated waveguide (SIW) feed network is designed and realized. With this configuration, waveguide which is advantageous in high frequency designs is integrated to antenna array in planar form by eliminating the drawback of the bulky structure of them. The design is based on an E-plane SIW power divider in multilayer form. For the feed network, a 4-way E-plane SIW power divider is constituted with 3-layered structure and an antenna system is obtained by merging the antenna layer with this 3-layered feed network. Through this multilayer feed network, resultant antenna system has a compact structure which is not enlarged by the feeding part. The developed network has comparable performance with microstrip counterparts and it is more advantageous for higher frequencies due to the planar waveguide structure. Through the realization of the design, TRL calibration technique is applied to extract the effects of the non-SIW parts from the measurement results.

Keywords: Substrate Integrated Waveguide (SIW), Antenna Array Feed Network, E-Plane Power Divider, Patch Antenna, TRL Calibration

## ÖZ

# SIW (SUBSTRATE INTEGRATED WAVEGUIDE) BESLEMELİ MİKROŞERİT LİNEER ANTEN DİZİSİ AĞININ TASARIMI VE GERÇEKLEŞTİRİLMESİ

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Taban malzemeye bütünleşik dalga kılavuzu (TMBDK) beslemeli mikroşerit yama anten dizisi tasarlanmış ve gerçekleştirilmiştir. Bu sayede, yüksek frekans tasarımlarında avantajlı olan dalga kılavuzu yapısı üç boyutlu şeklinden kaynaklanan dezavantajından arındırılarak düzlemsel formda anten dizisine entegre edilmiştir. Tasarım çok katmanlı E-plane TMBDK güç bölücü yapısını temel almaktadır. Besleme devresi için 3 katmanlı E-plane TMBDK 4'e bölücü oluşturulmuş ve anten katı bu 3 katmanlı yapıyla birleştirilerek anten sistemi elde edilmiştir. Bu çok katmanlı besleme ağı sayesinde oluşturulan anten sistemi, besleme kısmı tarafından boyutları genişletilmeden kompakt bir yapıya sahip olmuştur. Geliştirilen ağ mikroşerit muadilleriyle karşılaştırılabilir performansa sahiptir ve daha yüksek frekanslarda dalga kılavuzu yapısından kaynaklı olarak daha da avantajlı durumdadır. Tasarımın gerçekleştirilmesi sırasında TMBDK yapıya sahip olmayan yardımcı kısımların ölçüm sonuçlarından çıkartılması için TRL kalibrasyon tekniği kullanılmıştır.

Anahtar Kelimeler: Taban Malzemeye Bütünleşik Dalga Kılavuzu (TMBDK), Anten Dizisi Besleme Ağı, E-Plane Güç Bölücü, Yama Anten, TRL Kalibrasyon

*Burcum 'a,*

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## TABLE OF CONTENTS

ABSTRACT .....	v
ÖZ.....	vi
ACKNOWLEDGEMENTS .....	viii
LIST OF TABLES .....	xi
LIST OF FIGURES .....	xii
LIST OF ABBREVIATIONS .....	xvi
CHAPTERS	
1. INTRODUCTION .....	1
1.1. Review of the Literature .....	1
1.2. Organization of the Thesis.....	8
2. SIW THRU LINE DESIGN .....	11
2.1. Design Parameters .....	11
2.2. Microstrip-to-SIW Transition and Thru Line Design.....	14
2.3. Equivalency between SIW and Rectangular Waveguide .....	22
2.4. TRL Calibration of SIW .....	23
3. E-PLANE SIW POWER DIVIDER DESIGN .....	25
3.1. Layer-to-Layer Transition .....	26
3.2. Matching .....	29
3.3. 2-way Divider Design.....	32
3.4. 4-way Divider Design.....	35
4. ANTENNA ARRAY WITH SIW FEED NETWORK DESIGN .....	41

4.1. Aperture Coupled Patch Antenna .....	41
4.2. Array Design with SIW Feed Network.....	50
5. FABRICATION OF THE DESIGNS AND MEASUREMENT RESULTS	61
5.1. Fabrication of the Thru Line and Calibration Standards .....	61
5.2. Fabrication of the 2-Way and 4-Way Dividers.....	67
5.3. Fabrication of the Patch Antenna Array with SIW Feed Network ....	78
6. CONCLUSIONS AND FUTURE STUDIES .....	85
REFERENCES .....	87

## LIST OF TABLES

### TABLES

Table 2-1: Optimization costs .....	17
Table 4-1: Optimized patch dimensions for 60 mil RO4003 material .....	45
Table 4-2: Optimized patch dimensions for 125 mil RT5880 material .....	48

## LIST OF FIGURES

### FIGURES

Figure 1-1: Basic SIW Structure [3].....	2
Figure 1-2: Number of SIW papers published in IEEE in recent years [14].....	3
Figure 1-3 Six different non-planar SIC topologies:.....	5
Figure 2-1: Leakage loss curves from $10^{-6}$ to $10^{-2}$ Np/rad with respect to distance between the vias and their diameters normalized with the $\lambda_c$ [17]. .....	12
Figure 2-2: Suitable region for SIW design parameters [17]. .....	13
Figure 2-3: Simulation model for SIW on HFSS .....	14
Figure 2-4: Microstrip taper for microstrip-to-SIW transition .....	15
Figure 2-5: Optimization results for the return loss of the thru line.....	16
Figure 2-6: Optimization results for the insertion loss of the thru line .....	16
Figure 2-7: SIW thru line design .....	18
Figure 2-8: Field distribution of SIW thru line design .....	18
Figure 2-9: Equivalent rectangular waveguide model for the SIW.....	19
Figure 2-10: Field distribution of rectangular waveguide model .....	20
Figure 2-11: S-parameters for rectangular waveguide model of the thru line design.....	20
Figure 2-12: Characteristics of microstrip line which has same length with SIW design.....	21
Figure 2-13: Wide-band characteristics of SIW thru line.....	21
Figure 2-14: Comparison between SIW and rectangular waveguide models ..	23
Figure 2-15: TRL Standards .....	24
Figure 2-16: TRL reference planes for TRL calibration .....	24
Figure 3-1: 3-D E-plane power divider design [32] .....	25

Figure 3-2: Coupling slot between adjacent SIW layers .....	26
Figure 3-3: Field distribution around transition region .....	27
Figure 3-4: Coupling slot and termination sheet .....	28
Figure 3-5: Multi-layer thru line design .....	29
Figure 3-6: Comparison between the single-layer and multi-layer thru lines..	29
Figure 3-7: Initial design for the 2-way divider .....	30
Figure 3-8: Top view of the 2-way divider layers.....	30
Figure 3-9: Bottom view of the 2-way divider layers .....	31
Figure 3-10: Stepped waveguide for transition region.....	32
Figure 3-11: Comparison between straight and stepped dividers .....	32
Figure 3-12: Field distribution of the 2-way divider.....	33
Figure 3-13: 2-way SIW power divider characteristics.....	34
Figure 3-14: Amplitude and phase unbalance of the 2-way divider .....	35
Figure 3-15: Top view of the 4-way divider layers.....	36
Figure 3-16: Bottom view of the 4-way divider layers .....	37
Figure 3-17: Field distribution of the 4-way divider.....	37
Figure 3-18: The 4-way SIW power divider characteristics .....	38
Figure 3-19: Amplitude unbalance of the 4-way divider .....	39
Figure 3-20: Phase unbalance of the 4-way divider .....	39
Figure 4-1: Design of the microstrip patch antenna .....	42
Figure 4-2: Illustration of the coupling slot.....	43
Figure 4-3: Return loss performance of the patch antenna .....	43
Figure 4-4: Gain characteristic of the patch antenna.....	44
Figure 4-5: Radiation pattern of the patch antenna .....	44
Figure 4-6: Return loss performance of the patch antenna .....	46
Figure 4-7: Gain characteristic of the patch antenna.....	46
Figure 4-8: Radiation pattern of the patch antenna .....	47
Figure 4-9: Return loss performance of the patch antenna .....	48
Figure 4-10: Gain characteristic of the patch antenna.....	49
Figure 4-11: Radiation pattern of the patch antenna .....	49
Figure 4-12: Top view of the feed network and array design .....	51
Figure 4-13: Bottom view of the feed network and array design.....	52

Figure 4-14: Return loss performance of the patch antenna array .....	53
Figure 4-15: Gain characteristic of the patch antenna array.....	53
Figure 4-16: Radiation pattern of the patch antenna array .....	54
Figure 4-17: Return loss performance of the patch antenna array .....	55
Figure 4-18: Gain characteristic of the patch antenna array.....	55
Figure 4-19: Radiation pattern of the patch antenna array .....	56
Figure 4-20: Return loss performance of the patch antenna array .....	57
Figure 4-21: Gain characteristic of the patch antenna array.....	57
Figure 4-22: Radiation pattern of the patch antenna array .....	58
Figure 4-23: Radiation pattern of the patch antenna array .....	59
Figure 4-24: Gain characteristic of the patch antenna array.....	59
Figure 5-1: Initial thru line design with solderable end launch connectors.....	62
Figure 5-2: Thru line design with 3 different configurations .....	63
Figure 5-3: Thru line design .....	63
Figure 5-4: Insertion loss performance of the thru lines .....	64
Figure 5-5: Return loss performance of the thru lines.....	64
Figure 5-6: Thru line design with slots.....	65
Figure 5-7: TRL calibration standards for both via and slot designs .....	66
Figure 5-8: Calibration standards and measurement setup.....	66
Figure 5-9: Thru line characteristics with TRL calibration.....	67
Figure 5-10: Outer surfaces of the 2-way divider layers .....	68
Figure 5-11: Inner surfaces of the 2-way divider layers.....	68
Figure 5-12: 2-way divider layers with gel solder and insulator strip.....	69
Figure 5-13: Comparison between soldered and solderless dividers .....	69
Figure 5-14: Top view of the 2-way divider.....	70
Figure 5-15: Bottom view of the 2-way divider .....	70
Figure 5-16: Insertion loss performance of the 2-way dividers.....	71
Figure 5-17: Return loss performance of the 2-way dividers.....	71
Figure 5-18: Measurement results of the 2-way divider with TRL calibration	72
Figure 5-19: Amplitude and phase unbalance of the 2-way divider.....	73
Figure 5-20: Outer surfaces and middle layer of the 4- way divider.....	73
Figure 5-21: Inner surfaces and middle layer of the 4- way divider .....	74

Figure 5-22: Top view of the 4-way divider .....	75
Figure 5-23: Bottom view of the 4-way divider.....	75
Figure 5-24: Insertion loss characteristics of the 4-way divider .....	76
Figure 5-25: Return loss characteristics of the 4-way divider.....	76
Figure 5-26: Insertion loss characteristics of the 4-way divider with TRL calibration.....	77
Figure 5-27: Amplitude and phase unbalance of the 4-way divider .....	77
Figure 5-28: Top view of the antenna array and feeding structure layers.....	78
Figure 5-29: Bottom view of the antenna array and feeding structure layers ..	79
Figure 5-30: Top view of the antenna array network.....	79
Figure 5-31: Bottom view of the antenna array network .....	80
Figure 5-32: Return Loss measurement of the Antenna Array .....	80
Figure 5-33: Satimo'sStarlab product [44] .....	81
Figure 5-34: The antenna placement and the measurement probes of the near field measurement system .....	82
Figure 5-35: Characteristics of the array gain for 8-10 GHz band.....	83
Figure 5-36: Radiation patterns for the simulation and the measurement .....	83

## LIST OF ABBREVIATIONS

LTCC	: Low Temperature Co-fired Ceramic
PCB	: Printed Circuit Board
SIC	: Substrate Integrated Circuits
SIW	: Substrate Integrated Waveguide
SOLT	: Short-Open-Line-Thru
TEM	: Transverse Electromagnetic
TRL	: Thru-Reflect-Line



# CHAPTER 1

## INTRODUCTION

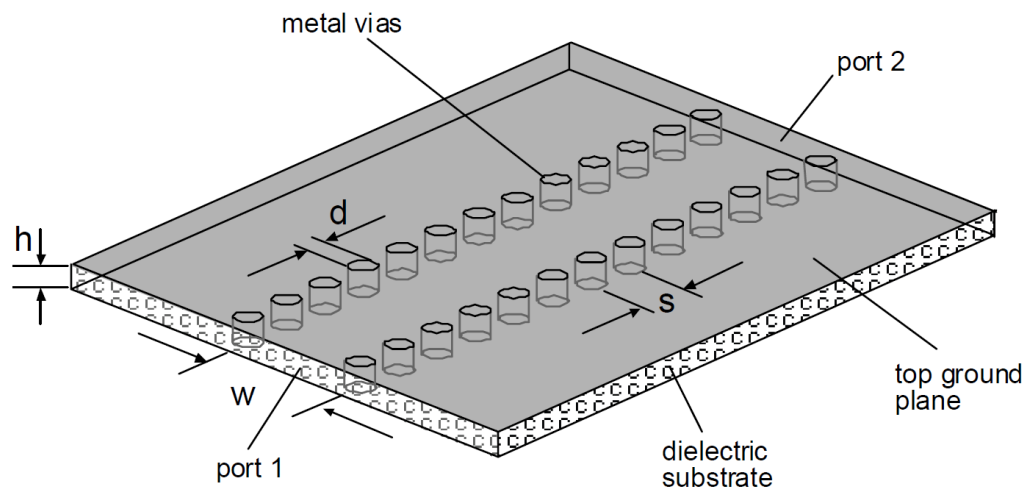
### 1.1. Review of the Literature

With the development of new technologies and improvements upon the existing, microwave and RF systems penetrated into many areas of both the daily life and scientific studies. As this rapid development brings higher performance requirements, for the high frequency system designs, being low-cost, high quality, easy to produce and small in size emerges as the essential features. Moreover, the printed circuit board technology has a widespread usage in such systems, because planar structures are preferable in highly integrated designs.

In millimeter-wave technology, waveguides are more advantageous relative to planar transmission lines due to their high quality factor and high power handling performances. Furthermore, closed structure of waveguides which provides high isolation and low radiation loss, increase its importance in millimeter-wave systems which suffer from the interactions among the elements. Planar lines have high conductor loss due to the high field density on the conductor edges, while waveguides provide an advantage in this manner by providing low conductor loss. However, high-cost and high-volume constitute significant disadvantages to waveguides. Bulky structure of waveguides is probably the weakest side in system development, because it is difficult to integrate bulky components with planar structures.

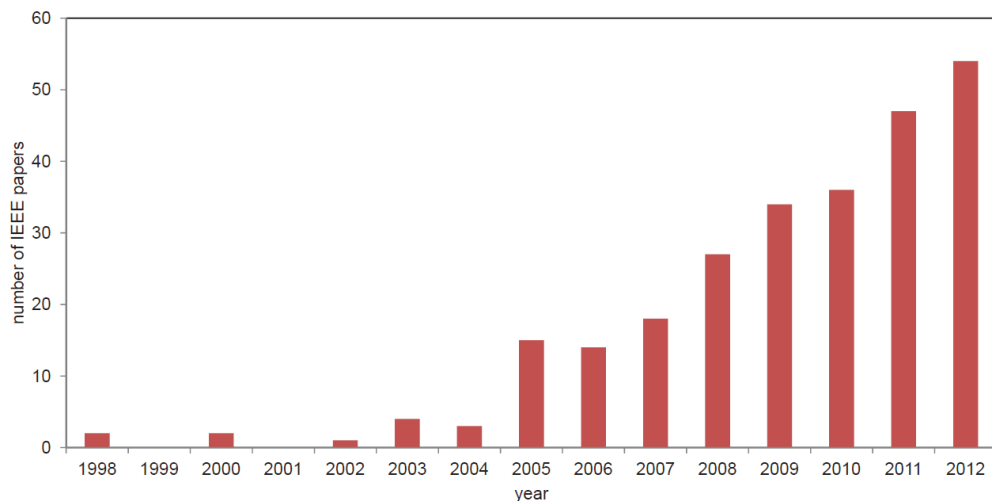
Both planar and non-planar structures have significant disadvantages, thus hybrid designs which merge the technologies with the aim of eliminating the drawbacks, have become highly preferable in many applications. Besides this advantage of the hybrid structures, they still have some drawbacks arising from the transition between the planar and non-planar parts, especially in high frequencies.

Substrate Integrated Waveguide (SIW) technology as introduced in [1], makes it possible to implement waveguides as a planar structure. This study states that, side walls of a waveguide can be composed by drilling regularly placed vias on Printed Circuit Board (PCB) and using via plating (metallization), while the top and bottom metal plates of the PCB already form the lateral walls of the waveguide as revealed in Figure 1.1. At the end of the process, a non-conventional waveguide structure which is filled with the substrate of the PCB is obtained. The width of this waveguide is the distance between the via arrays and the height is the substrate thickness which is quite low with respect to the width. As long as a waveguide is used in the fundamental mode which is  $TE_{10}$  in rectangular waveguides, only parameter for cut-off frequency calculation - except the substrate properties- is the width of the structure. Thus, this extremely thin waveguide structure has the same spectral characteristics with the conventional rectangular waveguide structures.



*Figure 1-1: Basic SIW Structure [3]*

It has been exactly two decades that SIW concept was proposed for the first time by explaining planar waveguide line [2]. After this original work was published, many theories have been proposed for the design methodology of SIW concept. Figure 1-2 illustrates the growing concern to this relatively new subject. Thanks to the studies regarding this subject, Substrate Integrated Circuits (SIC) have been introduced and their practicability and advantages are recognized. In [3], some typical circuit elements which are designed and fabricated in SIW form are exemplified. SIW technology is not only used for the passive components' design and production such as filters [4], couplers [5], dividers [6], circulators [7], phase shifters [8], etc., but it is also used in the designs of various active components such as resonators [9], oscillators [10], mixers [11], amplifiers [12] and switches [13]. These studies point out the growing usage of SIW especially in millimeter wave applications.



*Figure 1-2: Number of SIW papers published in IEEE in recent years [14]*

In order to verify propagation characteristics in SIW structures, various theoretical studies have been put forward which indicate that SIW is indeed a waveguide in compact form. In [15], the guiding and leakage characteristics of SIW are investigated through simulating the repeating structure of via holes by using numerical methods. Propagation and attenuation constants as well as cutoff frequency are derived and formulated in the same study. Another approach [16] comes up with the method of lines for propagation characteristics

evaluation. This study together with [15] generates empirical formulations which make the analysis and design steps straightforward like a conventional waveguide design.

The main building block for SIW is periodic via arrays which determine the performance of the design. For a well-designed SIW, rigid waveguide formulations and derivations can be easily applied. Such a design is obtained with the help of the derived design rules. In [17], a new method which is derived from the concept of surface impedance calculation with the help of *Method of Moments* (MoM) is presented for an accurately modeled SIW. The study formulates propagation and leakage characteristics and determines the valid region for the design parameters based on these formulations. The formulations provide some rule of thumb for the basic parameters of SIW on which the whole SIW design steps in this particular study effectively built.

In the last decade, SICs have found a large usage in high frequency designs for the integration of planar and non-planar circuits. Structure of SICs varies depending on the usage, and almost all kind of dielectric-filled waveguide types can be generally implemented in SIC form by using metalized vias and air holes. In general, any material-filled holes have usage in SIC designs [1]. Figure 1.3 illustrates some of the SIC types with the analogous planar waveguide structures on top. Since it has a simple structure and is easy to produce, SIW is the most popular one among SICs. In this study, SIW concept is used as a dielectric-filled waveguide structure.

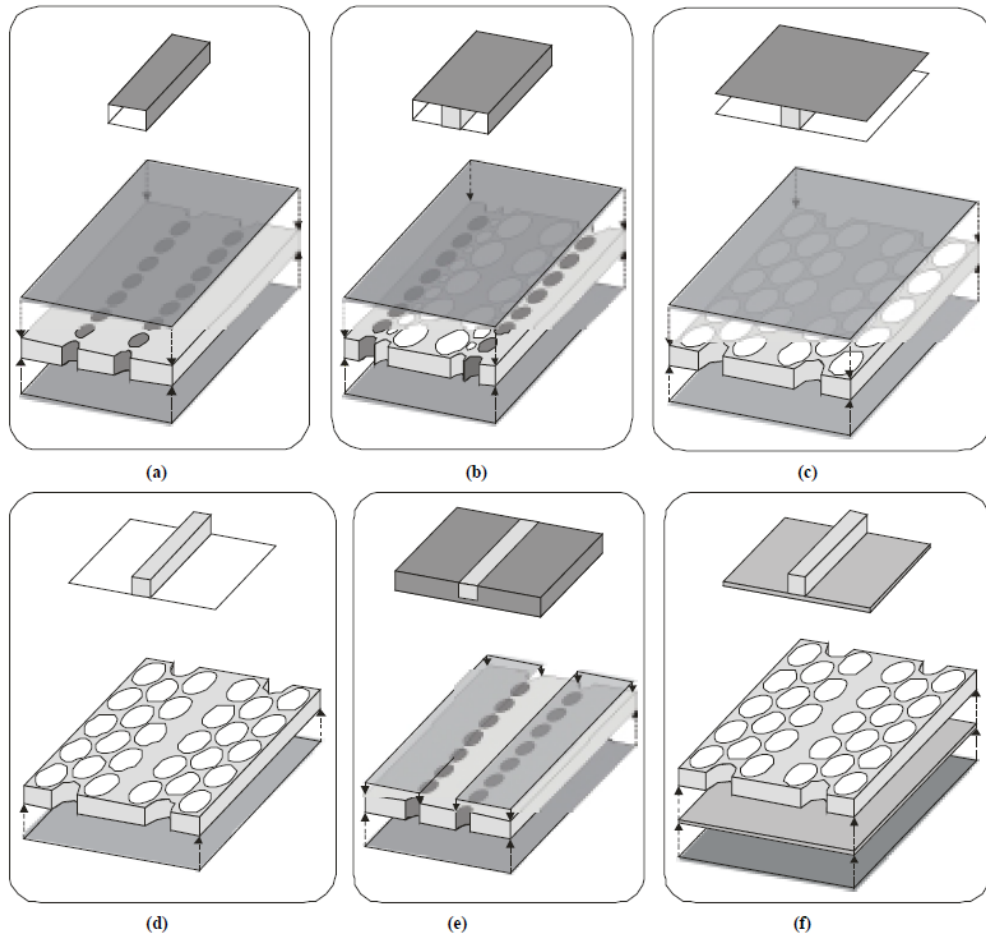


Figure 1-3 Six different non-planar SIC topologies: (a) Substrate Integrated Waveguide (SIW), (b) Substrate Integrated Slab Waveguide (SISW), (c) Substrate Integrated Non-Radiating Dielectric (SINRD) Guide, (d) Substrate Integrated Image Dielectric Guide (SIIDG), (e) Substrate Integrated Inset Dielectric Guide (SIINDG), (f) Substrate Integrated Insular Guide (SIIG). White disks stands for air hole, dark disks for metallized via and gray areas for dielectric material. Dark rectangular parts are metal covers of dielectric material [1].

As SIW concept made it possible to use waveguide in planar structures, it is appropriate to use it with other planar elements harmoniously. Besides SIW design, a well-designed transition is also needed to provide this harmony. As intended usage of SIW changes, different transmission types have been produced. In [18], a rigid rectangular waveguide to SIW transition with 3-D configuration is explained. The transition is realized with a single slot with optimized dimensions. Another study [19] proposes a transition between coaxial cables and SIW. In addition to these transition examples, the most interested one is between microstrip and SIW. Majority of planar circuit elements are compatible with microstrip lines and are generally linked to each other via this type of lines. Basically, microstrip taper section, as initially proposed in [20] and

analyzed in detail in [21], is used for most of the transition designs. For this taper design, firstly SIW impedance is calculated with equivalent waveguide model and the transverse electromagnetic (TEM) waveguide model of the microstrip part's derivation followed. Lastly, parameters of the taper section with the help of the analytical equations [22], are determined by using the impedances calculated. In addition to microstrip transition, coplanar waveguide transition for SIW usage is also applicable [23].

An equivalency can be obtained between SIW and conventional rectangular waveguide based on their similar characteristics [24]. With this equivalency, SIW structures are replaced by rectangular waveguides with no via for the simulations. This replacement reduces the simulation cost drastically with an insignificant change in the guiding characteristics. In this method, SIW design begins with rectangular waveguide design with desired characteristics and then the lateral walls of this rectangular waveguide is replaced by the SIW vias with proper dimensions and positions as indicated in the equivalency equations.

Although the design of the transitions and the microstrip parts carry importance for SIW structures, the most considerable importance is placed on the performance of the SIW itself. To measure SIW design without microstrip parts, TRL calibration is applied with designed calibration standards [25]. With TRL calibration, effect of the microstrip parts is de-embedded from the measurement results and SIW performance is obtained. Moreover, the transition design can be verified by comparing data with and without TRL calibration.

Since SIW is a planar rectangular waveguide, waveguide power divider types are also under the concern of SIW designers. Both T-junction and Y-junction types of H-plane SIW power dividers are obtained with a single layer design [6]. Wilkinson power divider can also be implemented by inserting a resistive element on SIW and thus waveguide divider can be used as a combiner [26]. Not only H-plane but also E-plane dividers are implementable with SIW concept [27], [28]. With the help of a vertical coupling slot between the SIW layers, this E-plane division is realized with multilayer SIW structure. For E-plane divider, number of divisions can be doubled by inserting an extra SIW layer. In [29], 4-

way E-plane divider design is proposed with 3-layered SIW structure. In addition to these E-plane and H-plane power dividers, waveguide magic-T design with SIW is proposed with [30] in which a planar magic-T is obtained with two-layered SIW.

E-plane SIW dividers are multilayer structures due to the division principle. For this multilayer design, a transition between adjacent layers is needed which is applied for SIW lines in [31] with thin coupling slots and enriched by a 3-D from in [32]. Although these coupling slots and division process cause impedance mismatch for both input and output, matching is obtained as explained in [29] by adjusting and optimizing the SIW width near the transition section.

Since waveguides are important in antenna feeding networks due to their high power handling and high frequency performances. As a planar type waveguide, SIW is also preferred for antenna feeding. With SIW feed network, it is possible to implement both waveguide feed network and antenna design on the same substrate. In [33] and [34], quasi yagi antennas with SIW feeds are designed by using a single substrate for both antennas and waveguide feed section. It is also possible to construct multilayer SIW feed network with coupling slots between the layers [35].

While aperture-coupled patch antennas are used widespread for multilayer designs due to their advantages such as; spurious-free radiation, high radiation efficiency and flexibility of choosing different substrates for the feed and the antenna part as indicated in [36], some disadvantages of microstrip antennas are given in [37]. The study emphasizes that these disadvantages can be removed or decreased to some extent by applying certain techniques. Increasing the height of the substrate and lowering the permittivity of the substrate on the antenna layer to widen the antenna bandwidth are the two main proposals of the study. Aperture coupled patch antennas are getting to be used widespread in SIW applications. While in [38], a single microstrip patch antenna is fed by SIW line for 60 GHz application, a 2x2 microstrip patch antenna array with SIW feed is proposed in [39].

This study designs and demonstrates microstrip patch antenna array with SIW feed network. Preparation for the final design is provided with the step by step designing of the SIW feed network. Full-wave 3-D electromagnetic simulation software HFSS<sup>®</sup> [40] is used for the entire electromagnetic simulation. After the SIW thru line structure is put forward, design requirements for an efficient transmission are investigated and SIW is validated by the fabricated designs. For feed network, SIW power divider with E-plane configuration in multilayer form is chosen. Through the design, the length and the width of the final design are become equal to the patch array dimensions without feed network enlarging the array dimensions by using multilayer design. With the aim of obtaining an efficient feed network, 2-way and 4-way SIW dividers are designed and produced. Following the optimizations with the 4-way divider, it is integrated with the patch antenna array by replacing the microstrip division ports with the coupling slots. This study contributes to SIW concept by proposing the usage of multilayer E-plane SIW network for antenna array feeding which is a method not touched upon by the previous studies.

## **1.2. Organization of the Thesis**

Chapter 2 introduces the basic design stages of SIW and some rule of thumbs in the literature are applied to SIW design. For the measurements of the design, microstrip parts are inserted to the SIW section with the help of the transition parts. This microstrip to SIW transition design is also given and equivalency between SIW and rectangular waveguide is put forward. At the end of the chapter TRL calibration for SIW line is explained.

Chapter 3 describes E-plane SIW power divider design. Having introduced the transition between the SIW layers, impedance matching for divider design is explained. After obtaining efficient division process on SIW, 2-way divider is designed followed by 4-way divider design.



Chapter 4 explains the procedure for the formation of the microstrip patch antenna array with the SIW feed network by using the 4-way divider design. Following the design of the single aperture coupled patch antenna at the desired frequency, patch and aperture dimensions are optimized with the simulations. Using this single element antenna design, 4-element array is designed. For feed network, 4-way SIW divider design is used by replacing microstrip output ports with coupling apertures.

Chapter 5 illustrates the fabricated designs providing the measurements results. Measurement results of the thru line, 2-way and 4-way divider designs are compared with the simulation results. In the end, being the main purpose of this study, 4-elements microstrip patch antenna array with E-plane SIW feed network, is fabricated and measurements are presented.

Chapter 6 concludes and points out future study on this particular subject.



## CHAPTER 2

### SIW THRU LINE DESIGN

#### 2.1. Design Parameters

Most of the properties of conventional rectangular waveguide such as propagation characteristics, high quality-factor and high power-handling remains in SIW structures. Some design rules are proposed in [17], in order to preserve this analogy between SIW and non-planar metallic waveguide. As revealed in Figure 1-1, main design parameters are the diameter  $d$  of the vias, the distance  $s$  between the adjacent vias and the distance  $w$  between the two rows of vias. Furthermore, the substrate thickness and the permittivity of the dielectric are the parameters to be chosen before the SIW design according to the relevant usage and purpose of the design.

When constructing a SIW which guides the incoming wave like a rectangular waveguide, first step is the decision for the via placement. With the help of [17], a guided wave region on the  $d$  vs.  $s$  graph can be determined. As indicated in the study, in order vias not to overlap, the distances between the vias should be greater than the via diameter. This criterion is illustrated on  $d$  vs.  $s$  graph with  $d = s$  line and lower side of this line becomes forbidden region. Since the distance  $s$  mainly determines the leakage loss, it should be kept as small as possible. Lower limit for  $s$  is a fabrication concern as well as an issue about the robustness of the thin structure. Via diameter  $d$  has also impact on leakage loss

and it should be optimized with  $s$ . It is shown in [17] that the ratio  $s/d$  has a direct relation with leakage loss and should be used as a design parameter. As  $s/d$  ratio increases, some of the energy inside the SIW leaks through the vias and SIW no longer guides the wave. Calculating the amount of leakage loss with the help of the formulation in [17], the point where the leakage is insignificant with respect to dielectric and conductor losses can be determined and appropriate  $s/d$  ratio can be obtained. According to the study, both dielectric and conductor losses are in the range of  $10^{-4}$  and  $10^{-3}$  for regular SIW applications. Thus, upper limit of  $s/d$  ratio is determined as 2 and illustrated in Figure 2-1 [17].

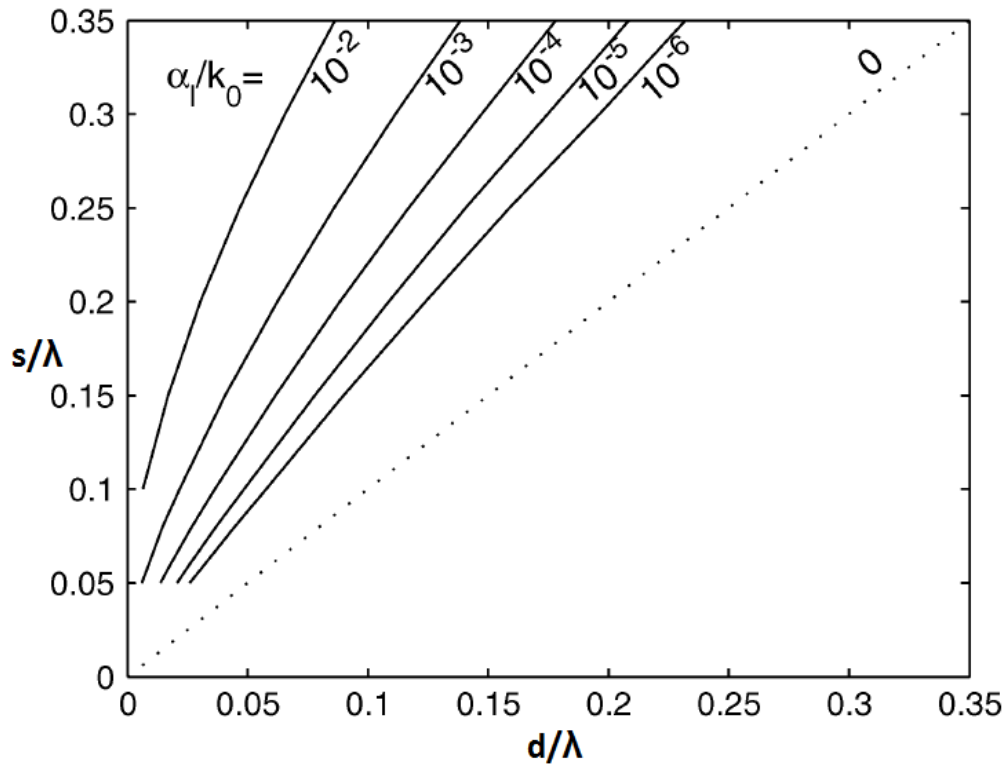


Figure 2-1: Leakage loss curves from  $10^{-6}$  to  $10^{-2}$  Np/rad with respect to distance between the vias and their diameters normalized with the  $\lambda_c$  [17].

There are two other restrictions for upper and lower limit for  $s$  as indicated in [17]. These four design limits for  $s$  and  $d$  compose a region that defines the suitable area for SIW design as illustrated in Figure 2-2. As long as the design parameters  $s$  and  $d$  are in this region, leakage loss due to the discontinuity on the via arrays is insignificant with respect to conductor and dielectric losses.

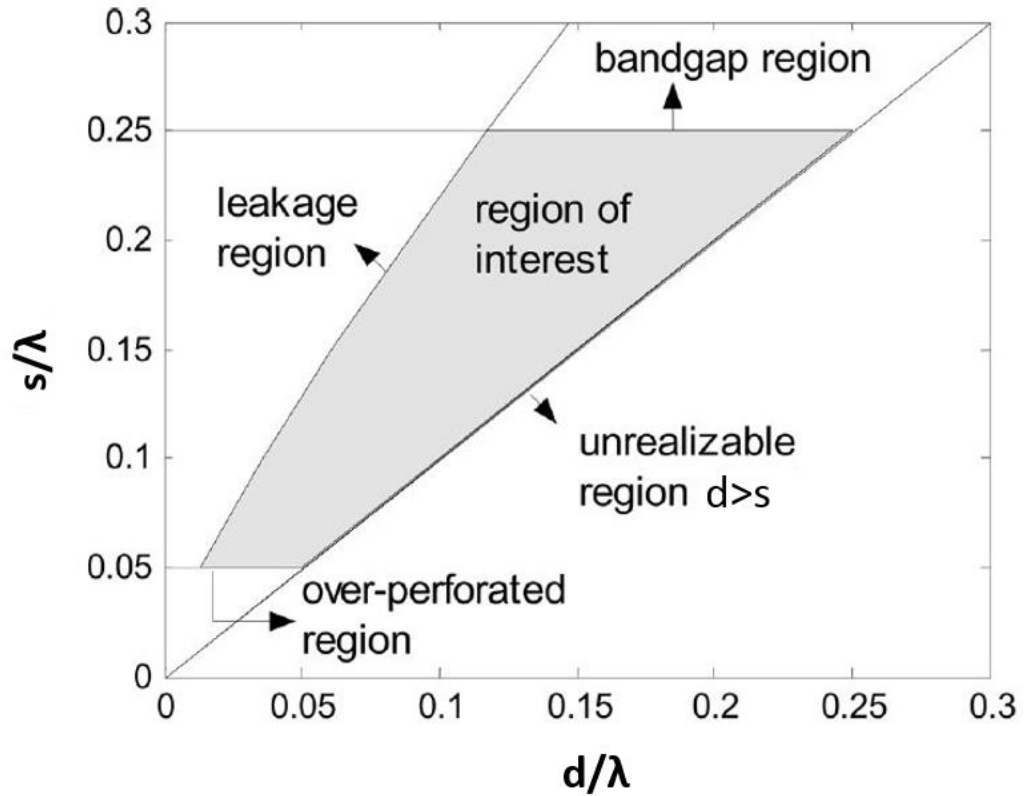
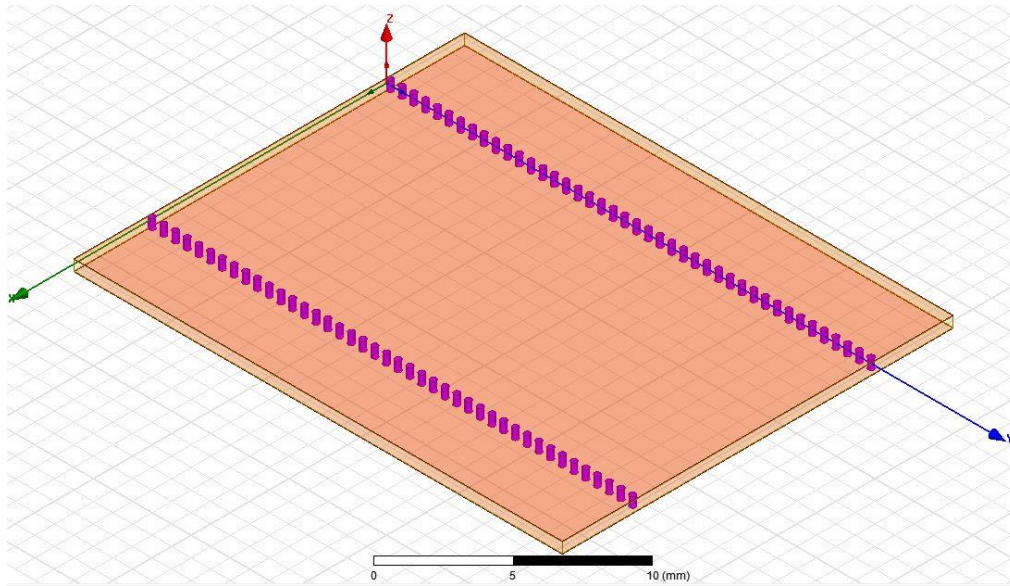


Figure 2-2: Suitable region for SIW design parameters [17].

Considering these restrictions and the production limits, design parameters  $s$  and  $d$  are selected appropriately and basic SIW structure is formed as revealed in Figure 2-3. Due to mechanical drilling limits and structural rigidity concern, via diameter is selected to be 0.5 mm. 1mm distance between the adjacent vias are determined by considering the design criterion mentioned above.



*Figure 2-3: Simulation model for SIW on HFSS*

Distance  $w$  between the via arrays determines the width of the SIW and it is the only parameter that designate cut-off frequency of the structure after assigning the material to be used as substrate. RO4003 material which has permittivity of 3.38 (used 3.55 in simulations) is used in the entire work in this study. Initially, the distance of  $w$  is decided as 12 mm in order to hold the cut-off frequency below X-band region which corresponds to 6.63 GHz cut-off frequency for a rigid waveguide.

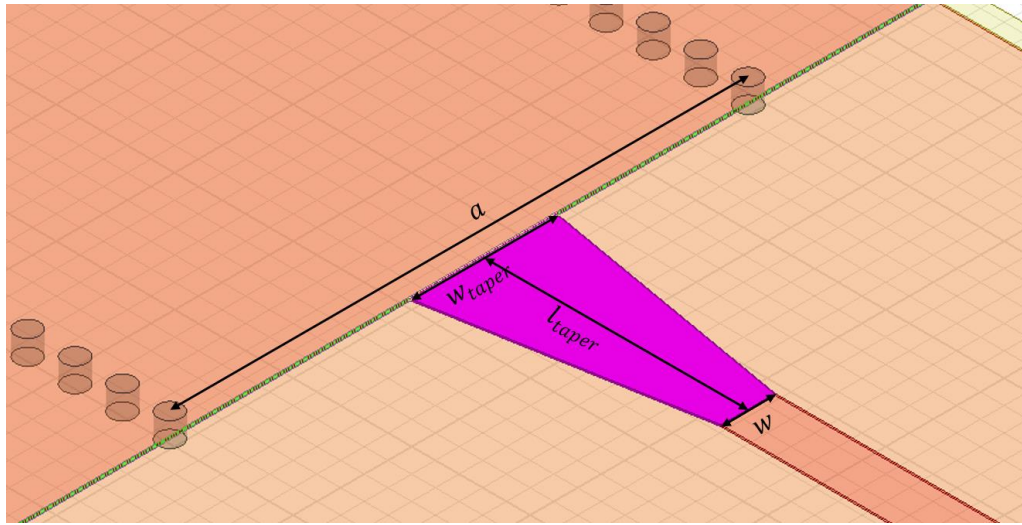
At the very beginning of the SIW design, RO4003 material with 8 mil dielectric thickness and  $\frac{1}{2}$ oz metal cladding is used. After considering the result of initial simulations, it is decided to use thicker material in order to decrease the amount of loss. As a result, RO4003 material with 20 mil dielectric thickness and 1oz metal cladding is chosen to be used in whole designs.

## **2.2. Microstrip-to-SIW Transition and Thru Line Design**

Transition between the SIW and other transmission media is essential for measuring the design and making it compatible with other planar structures. In this study, microstrip-to-SIW transition is designed. Although there are some

design rules providing the initial values for this transition, they all require optimization subject to selected SIW dimensions.

Microstrip taper is one of the most common transition methods for the SIW designs (Figure 2-4). Since the width of the microstrip line is determined by the properties of the dielectric material used, only two parameters, taper length  $l_{taper}$  and taper width  $w_{taper}$ , are left for the design of the suitable taper.



*Figure 2-4: Microstrip taper for microstrip-to-SIW transition*

In [21], the technique for a microstrip-to-SIW transition is explained in three steps:

- Determining the equivalent SIW width  $a_e$ .
- Determining the optimum taper width  $w_{taper}$  for a given  $a_e$ .
- Determining the suitable taper length  $l_{taper}$  for calculated  $w_{taper}$  and microstrip line width,  $w$ .

Since equivalent waveguide model is used for SIW design for simulations, initially  $a_e$  is determined as 12 mm for 6.63 GHz cut-off frequency before the calculation made on the physical width between the via arrays.

At the second step, suitable taper width for optimum transition is calculated and found as 4.16 mm, with the help of the formulations in [21]. Having determined the taper width, optimum length of taper is calculated. In order to minimize the

return loss caused by the reflections on the taper, taper length is chosen as quarter wavelength which is calculated as 4.5 mm. These parameters are used in order to form the initial taper model for the optimization. Parametric joint optimization around these initial values for both  $l_{taper}$  and  $w_{taper}$  are done whose results are illustrated in Figure 2-5 and Figure 2-6. Optimization costs are given in Table 2-1 revealing that the best return loss performance is reached for  $l_{taper}=5.6$  mm and  $w_{taper}=3.1$  mm. For these dimensions, return loss is better than 20 dB and insertion loss is less than 0.8 dB, for almost the entire X-band region.

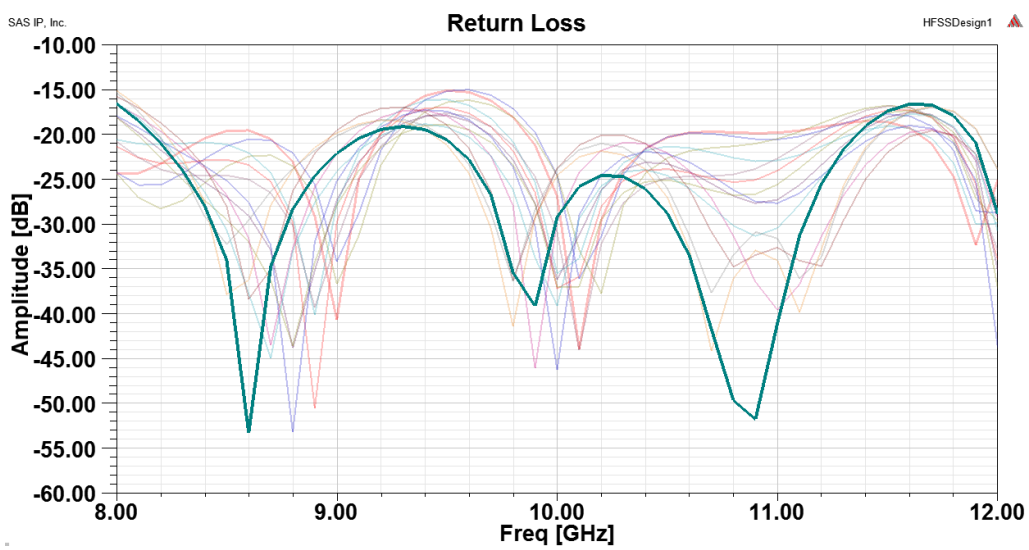


Figure 2-5: Optimization results for the return loss of the thru line

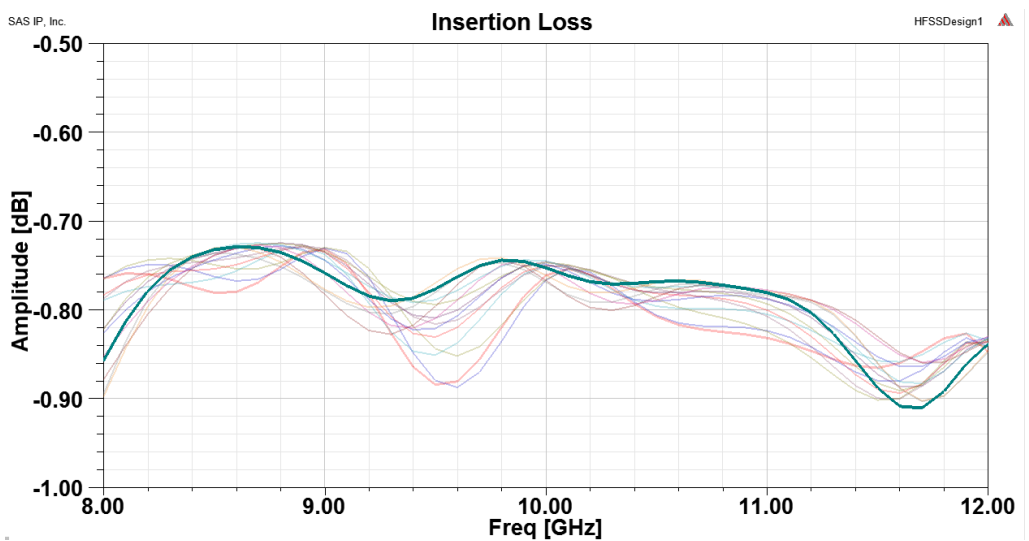


Figure 2-6: Optimization results for the insertion loss of the thru line



Table 2-1: Optimization costs

Evaluation	d	e	Cost
1	2.8mm	5.4mm	348.3
2	2.9mm	5.4mm	316.63
3	3mm	5.4mm	277.68
4	3.1mm	5.4mm	266
5	3.2mm	5.4mm	275.15
6	2.8mm	5.5mm	344.15
7	2.9mm	5.5mm	291.88
8	3mm	5.5mm	272.06
9	3.1mm	5.5mm	254.6
10	3.2mm	5.5mm	264.83
11	2.8mm	5.6mm	313.66
12	2.9mm	5.6mm	283.4
13	3mm	5.6mm	252.61
14	3.1mm	5.6mm	239.88
15	3.2mm	5.6mm	274.48

In order to verify the design parameters for the SIW section and the transition parts, a thru line is formed by two back-to-back taper transition and a SIW section in between, as shown in Figure 2-7. By considering 2-way and 4-way divider designs, ports are located at the lateral edges and length of the line is chosen accordingly. For this thru line, SIW section and microstrip part are both 5 cm in length and total length between the ports is 10 cm. Figure 2-8 presents the E-field distribution of the SIW structure on HFSS simulation and the fact that via arrays on SIW is able to guide the wave between the vias.

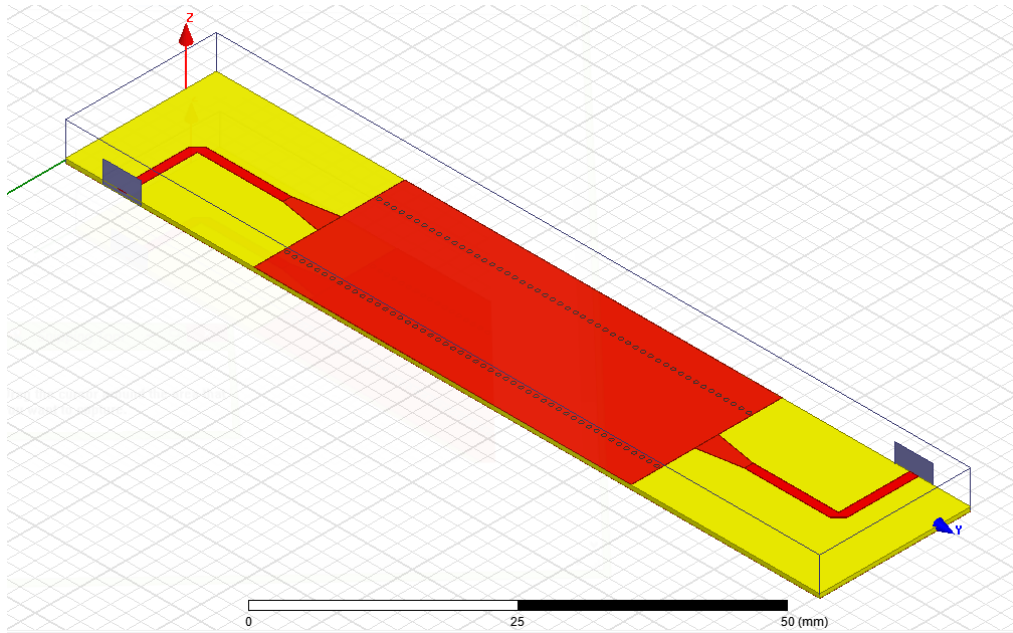


Figure 2-7: SIW thru line design

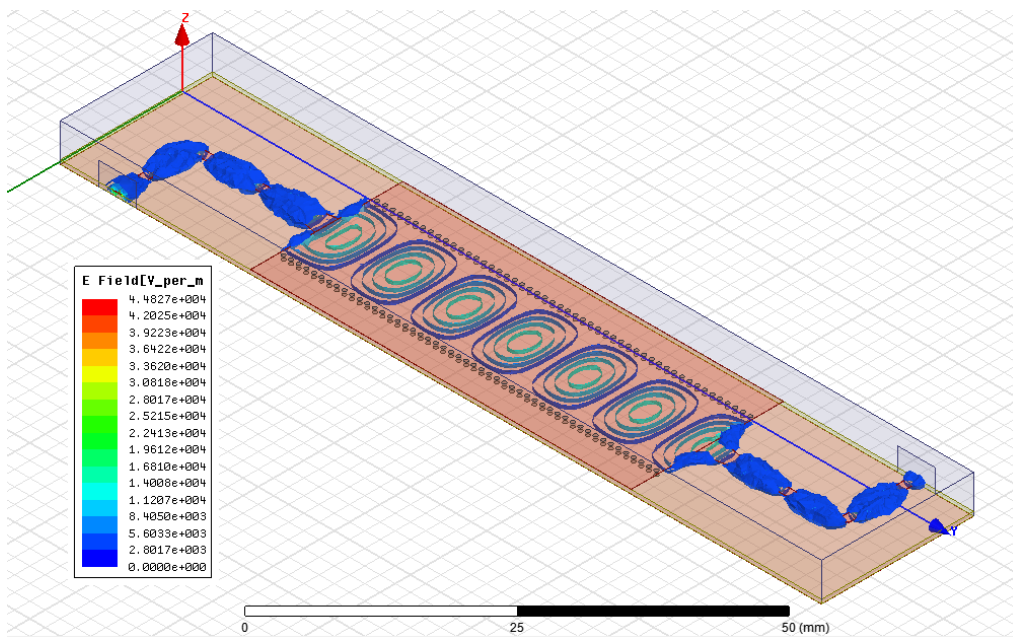
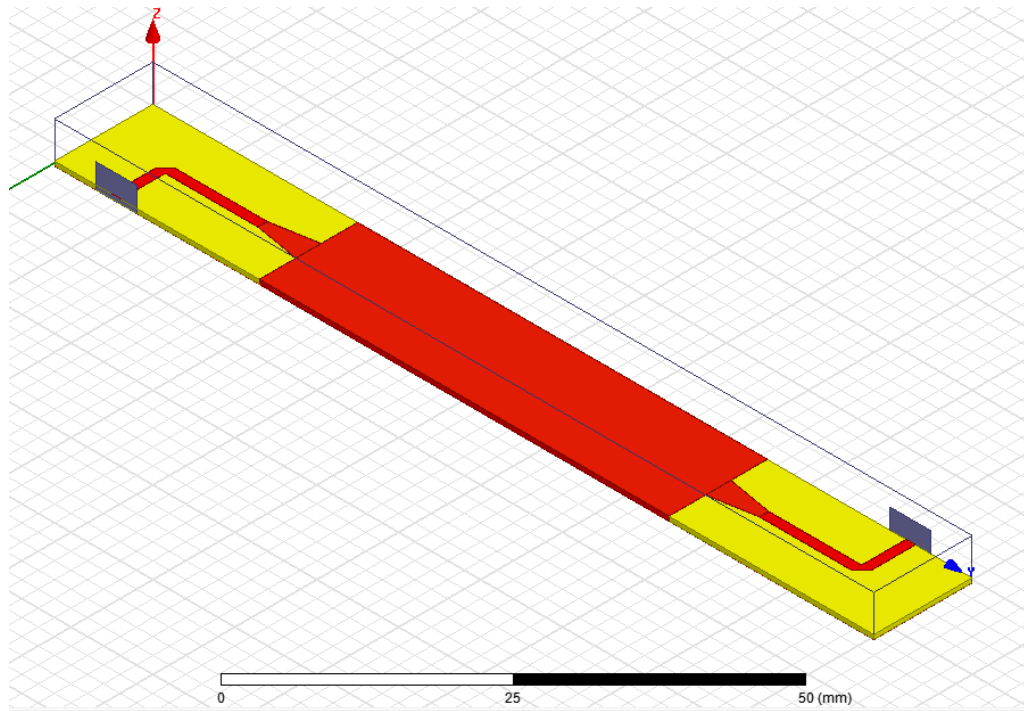


Figure 2-8: Field distribution of SIW thru line design

At the very beginning of the simulations, it is observed that the via arrays in the SIW parts constitute a heavy burden to the simulation software due to the fact that the number of the simulation meshes increase excessively, especially around the vias. Although this cost is extremely high for the optimization process, there is an equivalency between SIW and rectangular waveguide replacing all the vias

with metallic walls. In this study, the entire work is done with rectangular waveguide and these models are replaced with the equivalent SIW models for production. The rectangular waveguide model is illustrated in Figure 2-9. The lateral walls are formed by using PEC sheets while the upper and lower walls are made of copper. For radiation boundary, 5mm-height vacuum is placed on the top of the structure. E-field distribution of the rectangular waveguide model on HFSS simulation is illustrated in Figure 2-10.



*Figure 2-9: Equivalent rectangular waveguide model for the SIW*

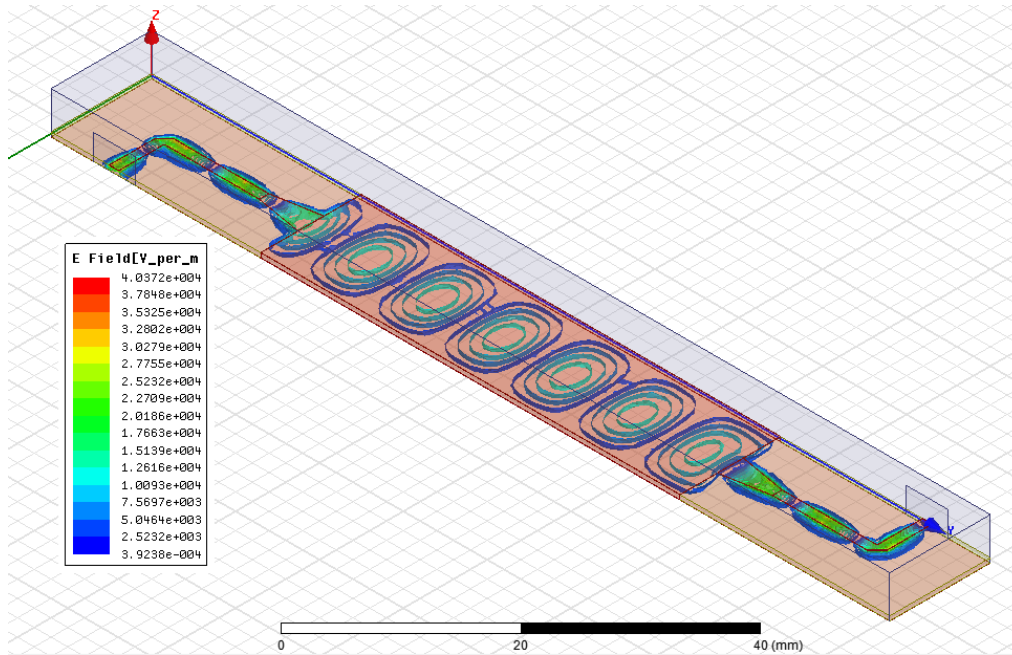


Figure 2-10: Field distribution of rectangular waveguide model

Figure 2-11 illustrates the simulation results for rectangular waveguide model with optimized tapers. More than 15 dB return loss and about 0.8 dB insertion loss for 10 cm-length line is achieved for the entire X-band region as revealed in the figure. For the same dimensions, if the SIW and the transition parts are replaced with a microstrip line, insertion loss for this structure would be about 0.6 dB as seen from Figure 2-12.

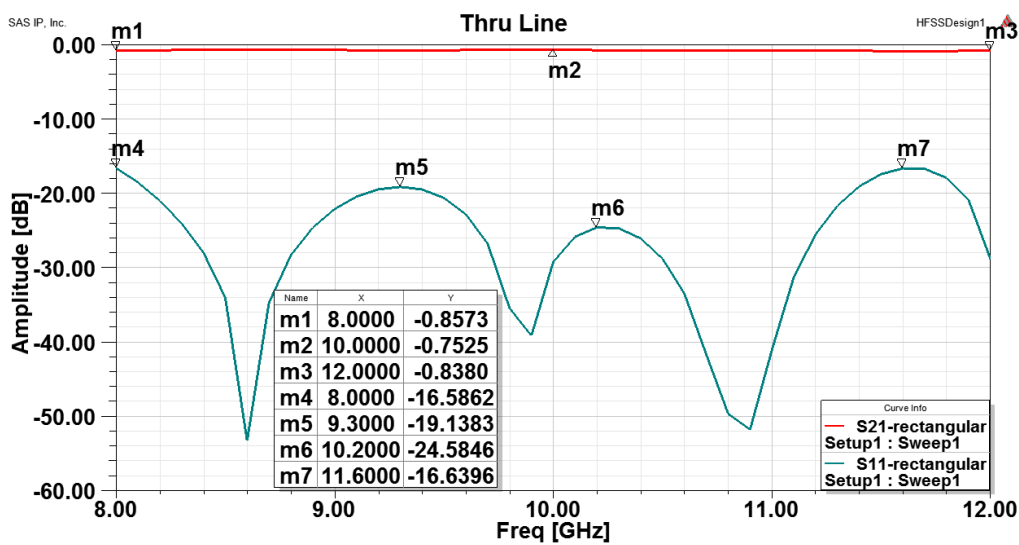


Figure 2-11: S-parameters for rectangular waveguide model of the thru line design

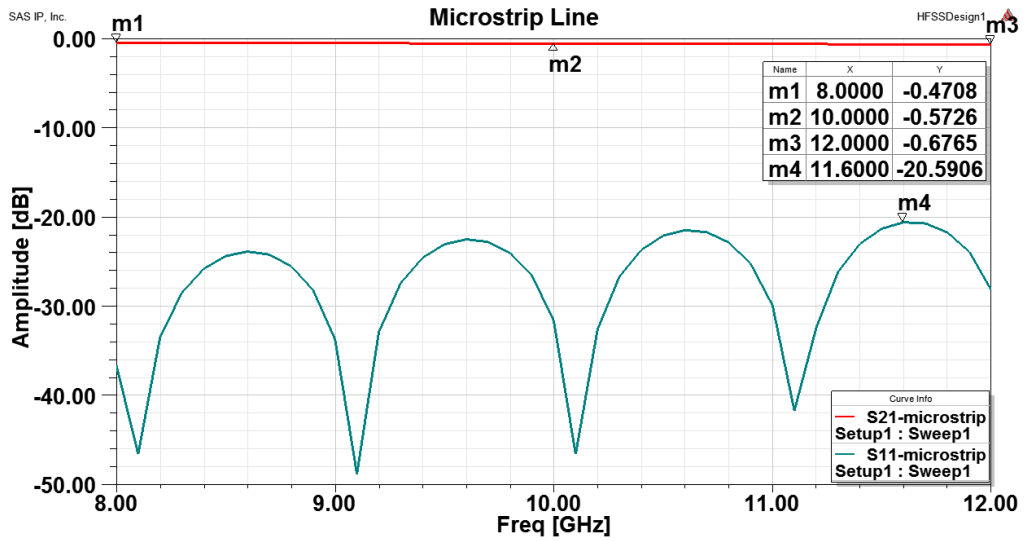


Figure 2-12: Characteristics of microstrip line which has same length with SIW design

In [21], SIW bandwidth is defined between  $1.25f_c$  and  $1.9f_c$ . For  $f_c=6.63$  GHz, these values are equal to 8.3 GHz and 12.6 GHz. Wide band response including the cut-off region is illustrated in Figure 2-13. The figure points out that 8-12 GHz band is suitable for SIW design.

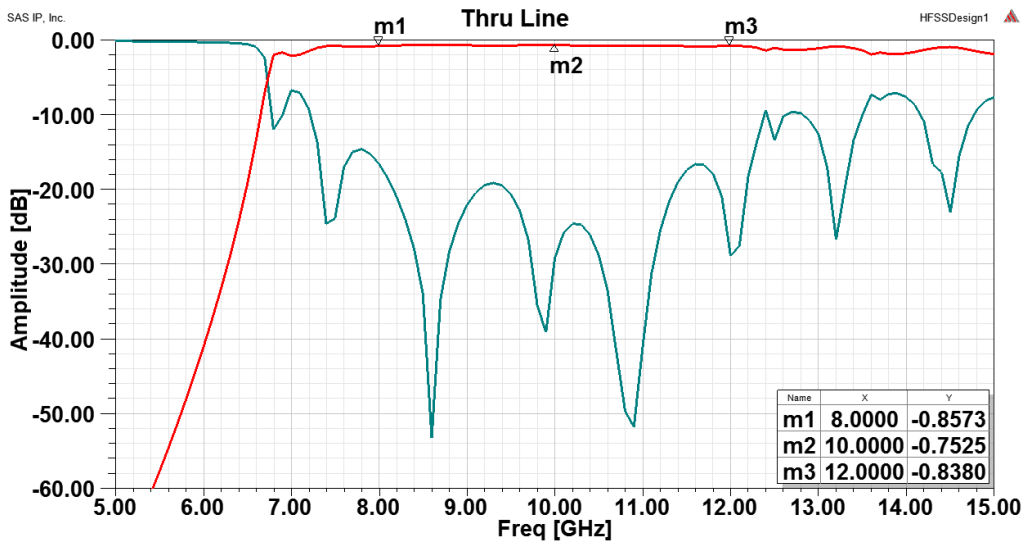


Figure 2-13: Wide-band characteristics of SIW thru line

### 2.3. Equivalency between SIW and Rectangular Waveguide

In order to simplify the simulations for the SIW structure, the equivalent rectangular waveguide model is used for all simulations, as mentioned before. Now then, it is necessary to show this equivalency. In [24], theoretical derivation for equivalency is made and using least square approach, equations (2-1) and (2-2) are derived.

$$f_{c(TE10)} = \frac{c_0}{2\sqrt{\epsilon_r}} \cdot \left(a - \frac{d^2}{0.95 \cdot s}\right)^{-1} \quad (2-1)$$

$$f_{c(TE20)} = \frac{c_0}{\sqrt{\epsilon_r}} \cdot \left(a - \frac{d^2}{1.1 \cdot s} - \frac{d^3}{6.6 \cdot s^2}\right)^{-1} \quad (2-2)$$

These formulations indicates that the equivalent width,  $a_e$  is slightly smaller than the width between the centers of the vias and this drift as in (2-3) are determined by the via diameters and the spacing between them.

$$a_e = a - \frac{d^2}{0.95s} \quad \text{for } s < \lambda_0\sqrt{\epsilon_r}/2 \text{ and } s < 4d \quad (2-3)$$

Since the design parameters for this study are  $s = 1 \text{ mm}$ ,  $d = 0.5 \text{ mm}$ ,  $\epsilon_r = 3.55$  and  $\lambda_0 = 15.9 \text{ mm}$ ; the formulation is applicable. Using this formulation, physical width  $a$  is calculated as 12.26 mm. In order to superpose both the equivalent waveguide and the physical SIW model, fine tuning for width  $a$  is applied on the calculated result. The tuned value for physical width is found as 12.2 mm and the results for both SIW and rectangular equivalent model are plotted in Figure 2-14. It is seen from the figure that cut-off frequencies of the models are very close to each other and the insertion loss characteristics are very similar. These results support the equivalency between SIW and rectangular waveguide and ensure the reliability of usage of metallic walls for lateral parts instead of the via arrays for the simulations.

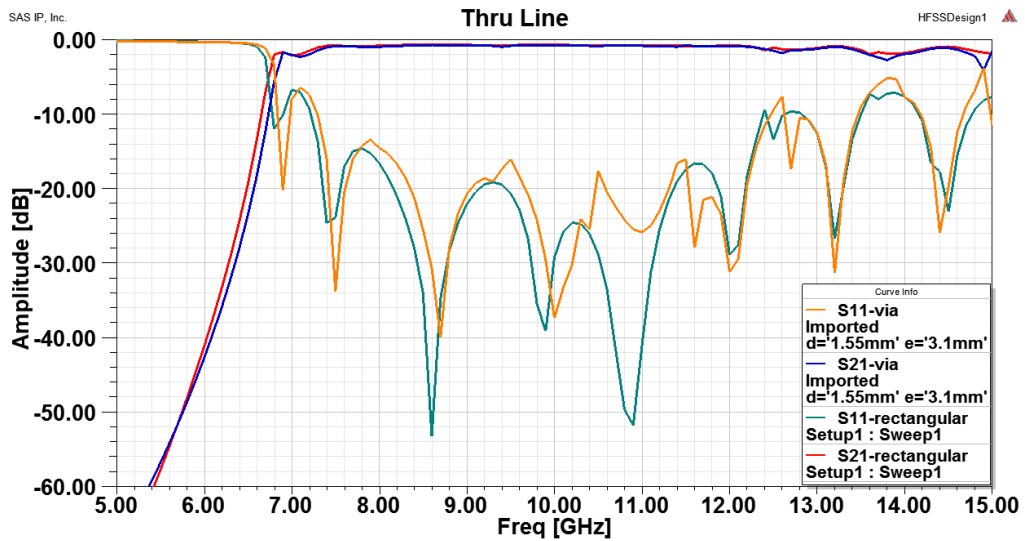


Figure 2-14: Comparison between SIW and rectangular waveguide models

## 2.4. TRL Calibration of SIW

Although, microstrip to SIW transition and thru line with coaxial ports designs form significant parts of this study, microstrip and transition parts are not the main concern. This study mainly investigates SIW architecture for power divider design. Transmission media between SIW and measurement ports adversely affect the design performance arising from the mismatches and losses. Thus, it is appropriate to use thru-reflect-line (TRL) calibration technique in order to extract non-coaxial parts from the design and to measure the design from intrinsic SIW ports.

Likewise short-open-load-thru (SOLT) calibration, TRL calibration relocates the reference planes of measurement. While SOLT calibration moves these reference planes to the coaxial ports of DUT, TRL calibration shifts them to non-coaxial intrinsic ports. Unlike SOLT, TRL calibration needs distinct calibration standards and each should have a unique design. In Figure 2-15, TRL standards for this study are given. Microstrip and transition parts of the thru line design are kept the same for all calibration standards.

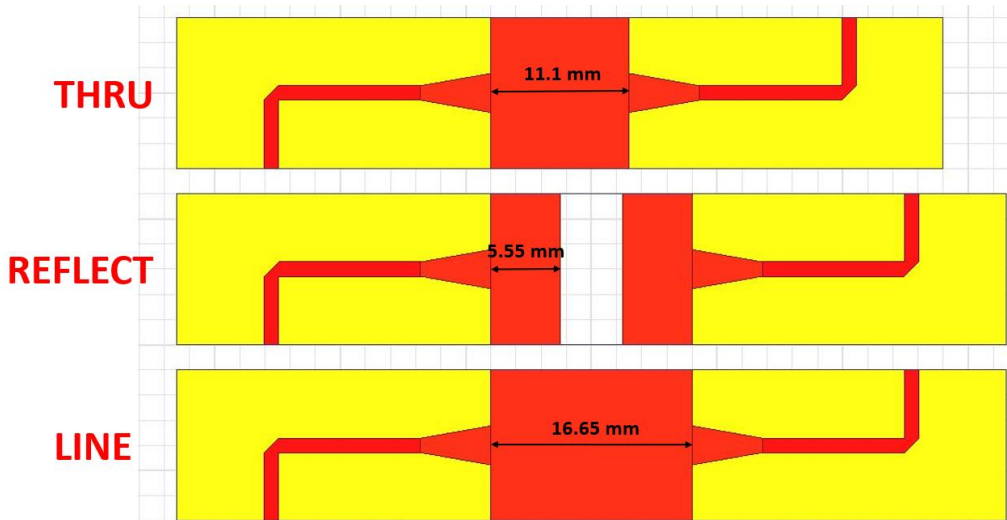


Figure 2-15: TRL Standards

With TRL calibration, reference planes for the measurement are placed  $\lambda_g/4$  inside from the SIW edges (Figure 2-16) while guided wavelength,  $\lambda_g$  is calculated as 22.2 mm using [41]. Thus, the length of the thru standard is equals to  $\lambda_g/2$  which is 11.1 mm. As reflect standard, either short or open standard can be used. Due to radiation problem for open standard, shorted line is chosen as reflect standard. Short calibration standard is designed by placing metallized vias on the reference plane of the TRL calibration. Lastly, by enlarging the thru standard with  $\lambda_g/4$  SIW line section, line standard is designed as  $3\lambda_g/2$ . By applying TRL calibration, mismatches and losses are eliminated and performance of the SIW design is obtained. Moreover, microstrip to SIW transitions are validated by comparing TRL and SOLT calibration results.

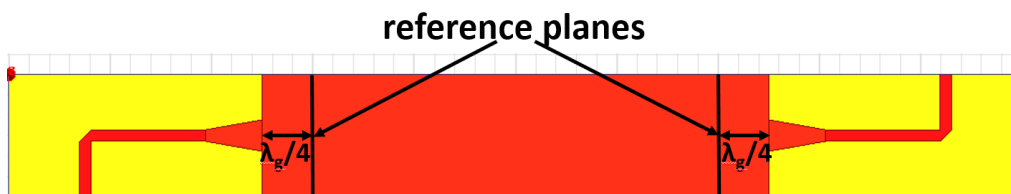


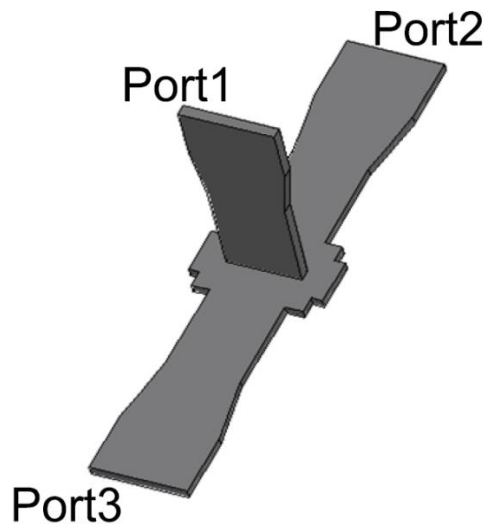
Figure 2-16: TRL reference planes for TRL calibration



## CHAPTER 3

### E-PLANE SIW POWER DIVIDER DESIGN

Since the intended divider design is in E-plane configuration, multilayer design is necessary unlike single layer H-plane division. A 3-D E-plane power divider design is demonstrated in Figure 3-1 which is obtained by placing a SIW stub vertically on the aperture on another SIW line [32]. With this design, practicability of E-plane division is shown while its 3-D structure limits the usability of it. In this study, E-plane divider is designed in 2-D form by folding the sum port on the division ports. To do this, two thin SIW layers are placed one on the top of the other and coupled to each other via thin slots.

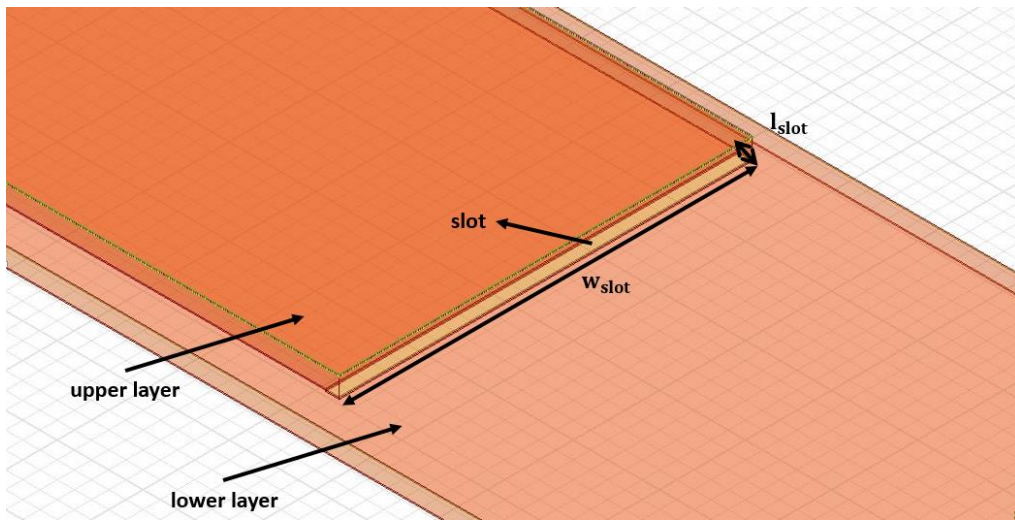


*Figure 3-1: 3-D E-plane power divider design [32]*

### 3.1. Layer-to-Layer Transition

Since the divider type is multilayered and power division occurs on transition, design of this part carry importance. For equal power division, geometry of the transition should be chosen carefully. Although T-shape of the structure leads to impedance mismatch, this can be removed through some modifications applied on this region.

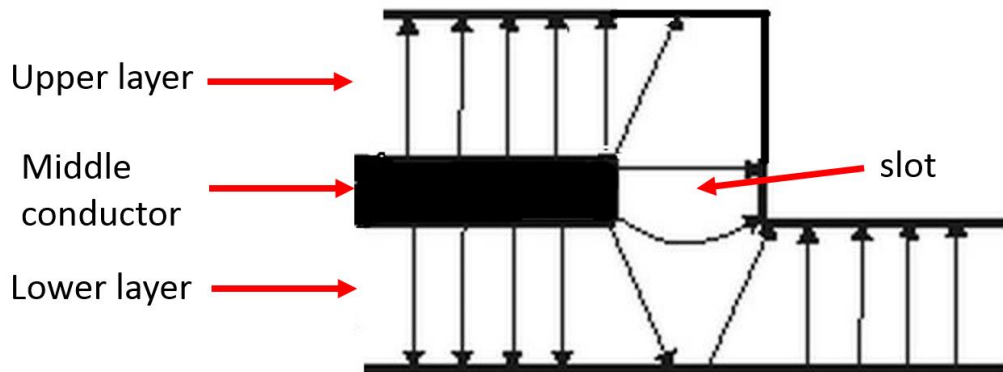
For coupling between the adjacent SIW layers, thin slots are used. These slots are placed perpendicular to the wave propagation direction for both SIW layers as demonstrated in Figure 3-2. These slots have the same dimensions and should be aligned for effective coupling. If multilayer production technology such as low temperature co-fired ceramic (LTCC) was used, this alignment problem would have been solved by opening single slot on metal layer between adjacent dielectric layers.



*Figure 3-2: Coupling slot between adjacent SIW layers*

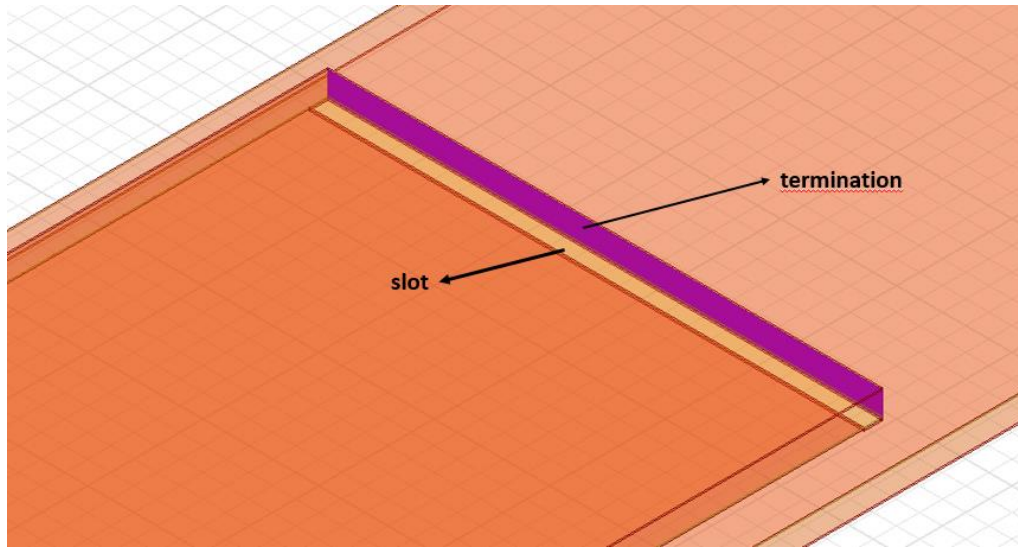
The structure of the E-plane SIW power divider resembles the modified version of conventional E-plane rectangular waveguide tee. This can be imagined as folding the sum port on the division ports. This modification provides 2-D structure for the E-plane division. E-field distribution is held the same with the E-plane tee structure as it is shown in Figure 3-3. As expected, fields on division

ports are out of phase due to E-plane division. Middle conductor in the figure is actually formed by the lower conductor of the upper layer and the upper conductor of the lower layer. By soldering or only just contacting the conductors to each other, electrical continuity can be ensured.



*Figure 3-3: Field distribution around transition region*

In order to direct the wave to the coupling slot, upper layer should be terminated with a short circuit by inserting metallized via array just as the SIW walls. By placing vias in a distance from the slot, shorted stub is formed which helps for matching. In this study, the termination is positioned exactly at the end of the slot and thus shorted stub is not required for matching. PEC sheet is used for simulations as it can be seen in Figure 3-4. By selecting the slot width similar to the dielectric thickness, smooth transition of the waves is achieved like the rectangular waveguide tee structure.



*Figure 3-4: Coupling slot and termination sheet*

Not only the placement of the slot but also dimensions of it, have a great importance for effective coupling. Slot geometry should not cause significant mismatch and should transmit the incoming wave to the lower layer effectively. In order not to narrow the wave path, slot width  $w_{slot}$  is selected as the same with the SIW width. With the same way, slot length  $l_{slot}$  is designated the same with the dielectric thickness. For the slot dimensions, parametric optimization is applied and resultant slot dimensions  $w_{slot}$  and  $l_{slot}$  are determined to be 12 mm and 0.4 mm, respectively.

The design of the coupling slot is verified by designing a multi-layer thru line as in Figure 3-5. It is obtained by folding the thru line design. Performance comparison between these two thru lines is shown in Figure 3-6. It is clearly seen that insertion loss performances are almost the same. Return loss performance degrades about 5 dB for folded line but it is still below the -15 dB level. From the results, it can be said that slot design with determined dimensions has an insignificant impact on the total performance.

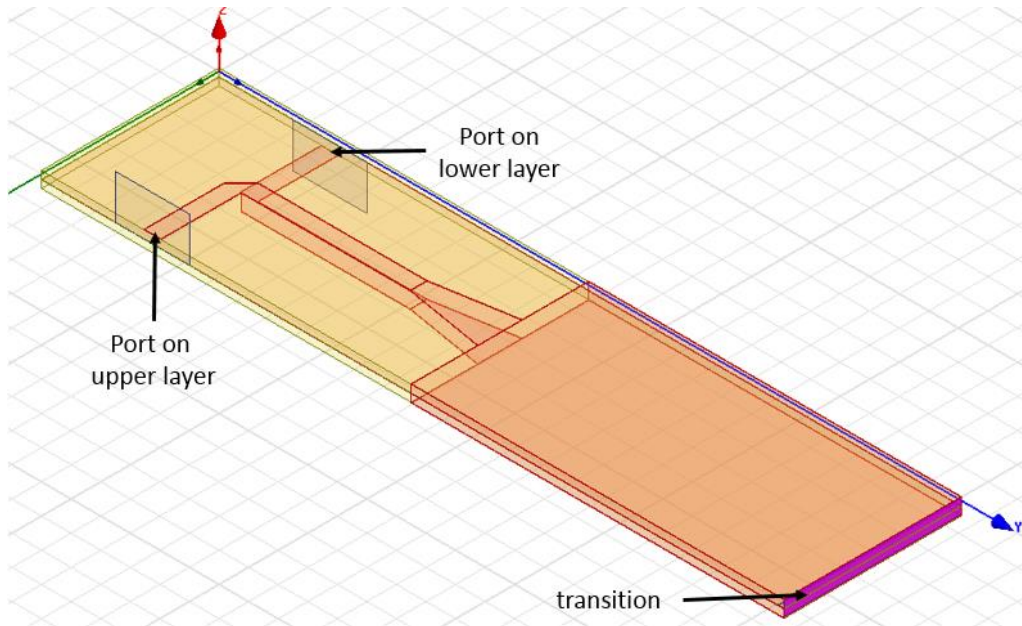


Figure 3-5: Multi-layer thru line design

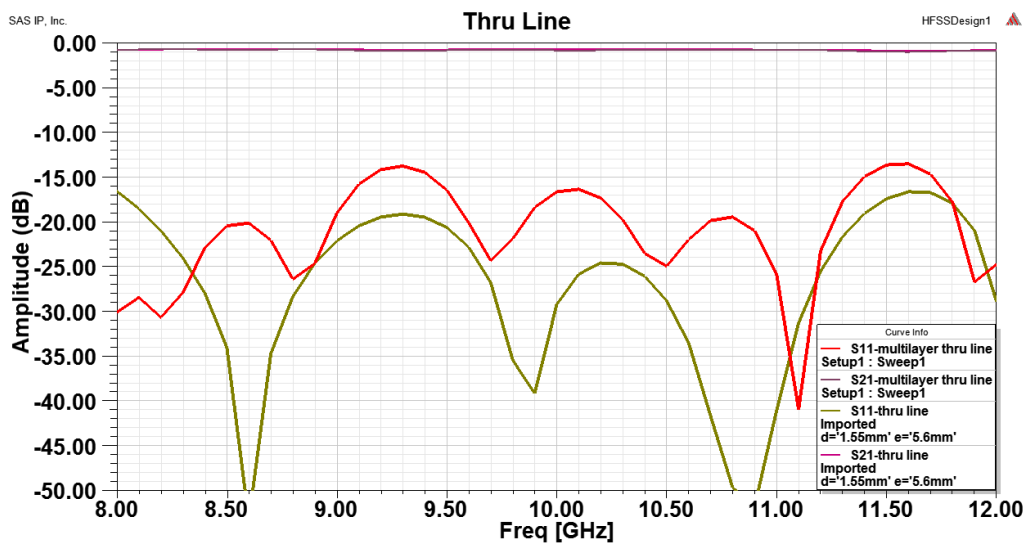
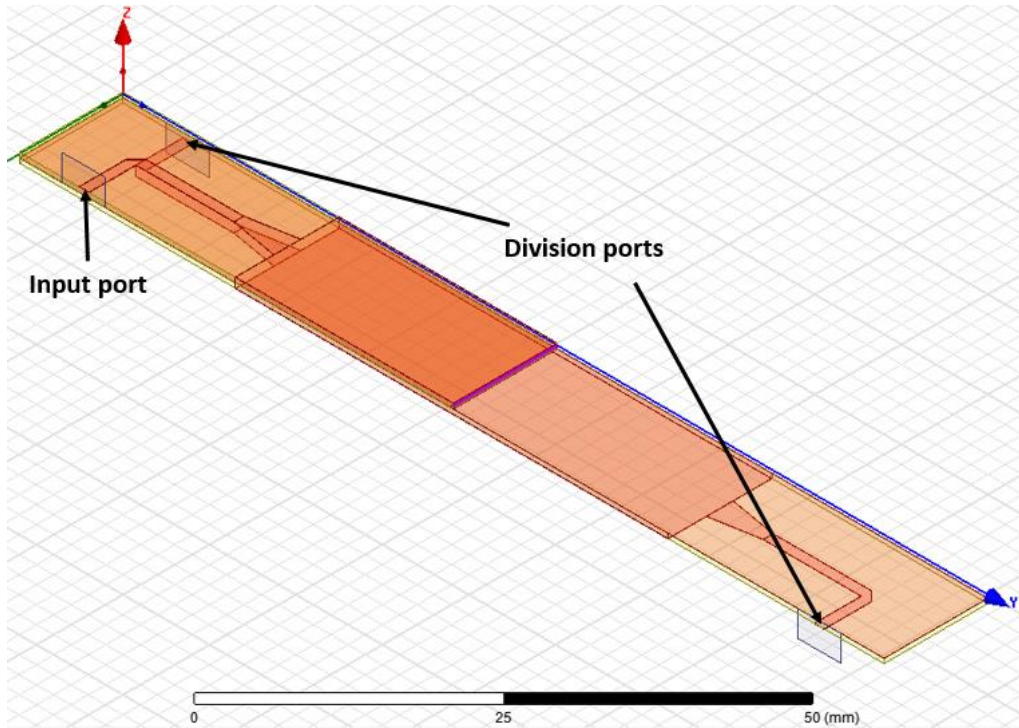


Figure 3-6: Comparison between the single-layer and multi-layer thru lines

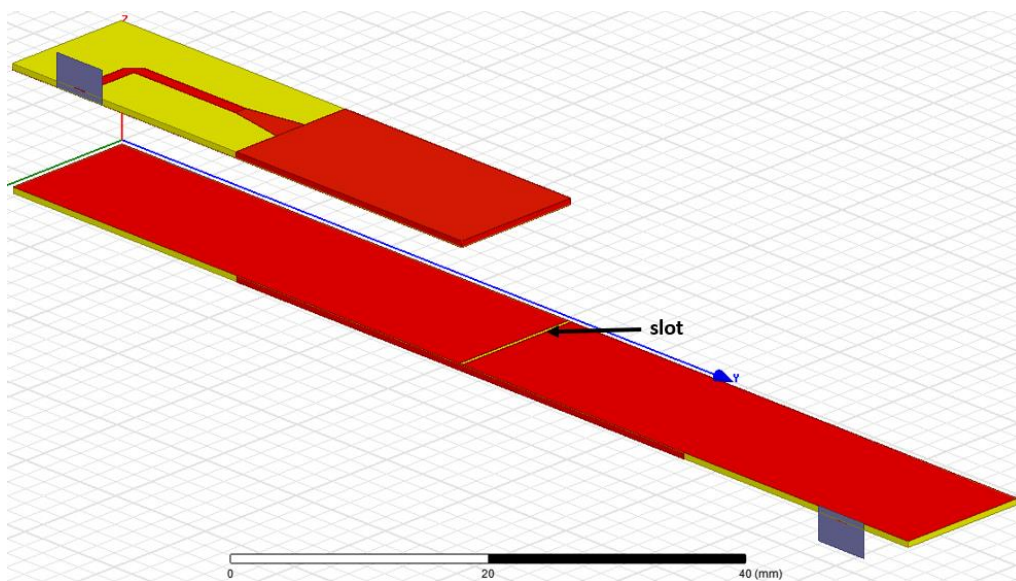
### 3.2. Matching

Having completed the slot design, power divider structure becomes ready to be formed. Optimum dimensions for the microstrip transition and vias on the SIW determined in the previous chapter, are used in the power divider design without

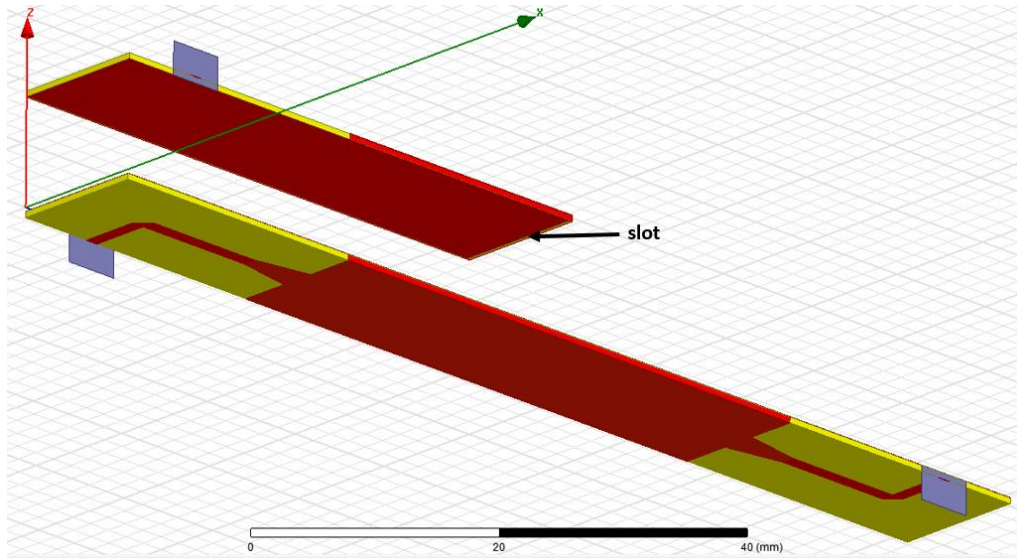
any modification. The initial design for the 2-way power divider whose upper and lower layers are formed by the thru line structure is illustrated in Figure 3-7. Figure 3-8 and Figure 3-9 demonstrates the layers of the divider and the coupling slots between them.



*Figure 3-7: Initial design for the 2-way divider*



*Figure 3-8: Top view of the 2-way divider layers*



*Figure 3-9: Bottom view of the 2-way divider layers*

Although the higher order waveguides modes are generated when the wave coupled through the aperture, step structure for the transition region on the lower layer is suggested for the solution [42]. With the help of this step shape, the higher order modes attenuate before reaching the output ports. Otherwise, generated higher order modes survive and appear on the outputs which will end up with degradation in the performance. Step structure is illustrated in Figure 3-10. Both  $l_s$  and  $w_s$  are optimized for better return loss performance, thus  $l_s$  and  $w_s$  are determined to be 12 mm and 14.5 mm, respectively. Performance recovery through the matching section is illustrated in Figure 3-11. With the matching step section, about 1 dB recovery for the insertion loss is obtained while the return loss is below the 10 dB level for the entire band.

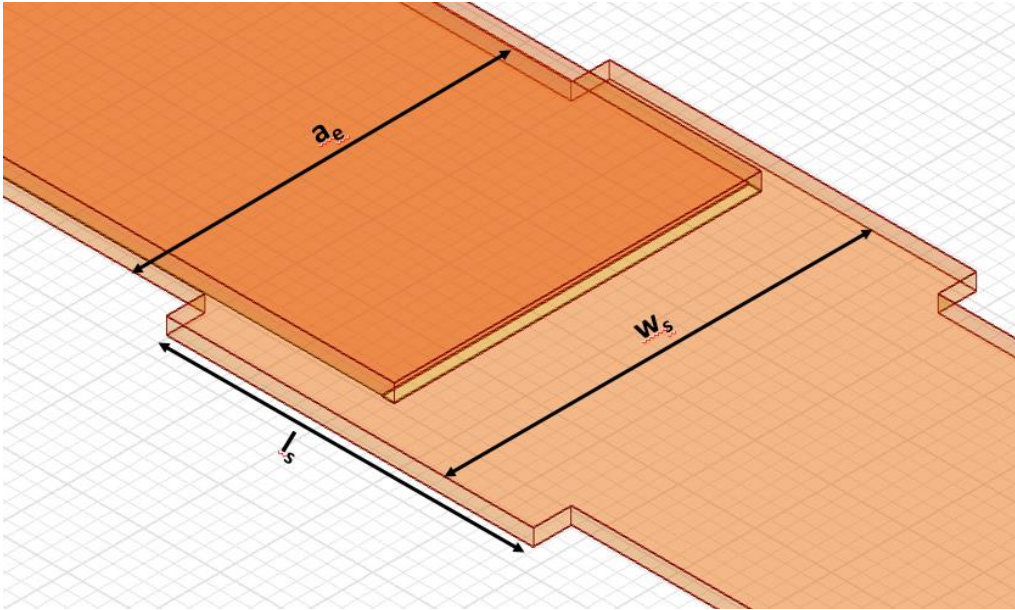


Figure 3-10: Stepped waveguide for transition region

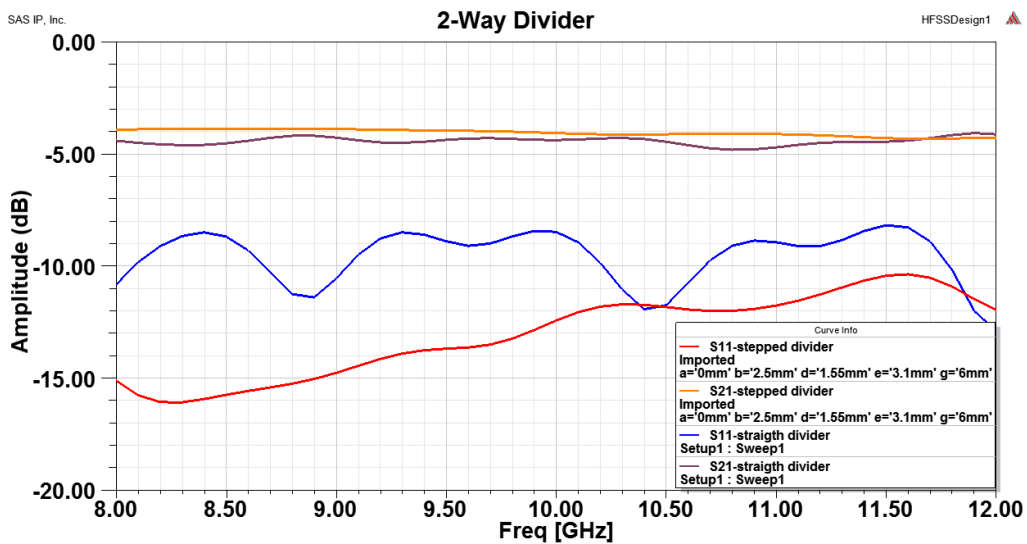
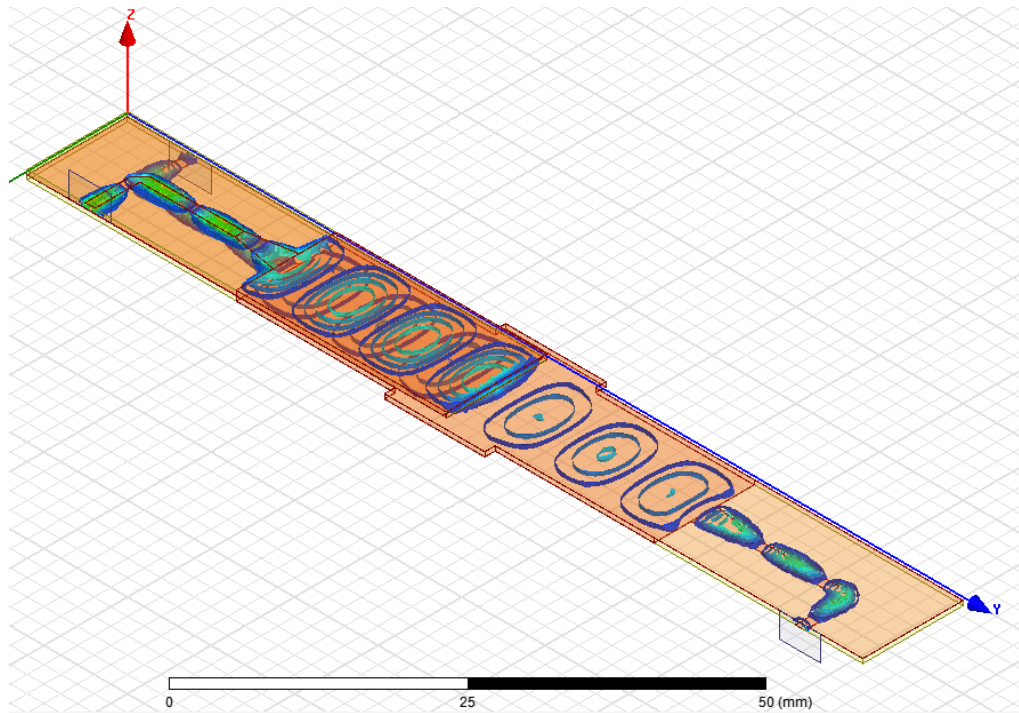


Figure 3-11: Comparison between straight and stepped dividers

### 3.3. 2-way Divider Design

Completing the design of the coupling slot and the matching mechanism for the division mismatches, 2-way power divider design is obtained. Figure 3-12 shows the field distribution of the 2-way divider design.





*Figure 3-12: Field distribution of the 2-way divider*

Considering the loss performance of the introduced power divider, one should take into account the losses arising from the dielectric and conductor since they are unavoidable and already exist for the thru line design. Since the thru line and the divider have the same length, loss performance of the divider can be compared with the thru line loss. In Figure 3-13, it is demonstrated that the total loss for 2-way divider is about 4 dB, while the ideal divider loss is calculated as 3.8 dB by considering the 0.8 dB loss for the thru line in previous chapter. Degradation in the return loss performance with respect to the thru line indicates the mismatch during the layer-to-layer transition.

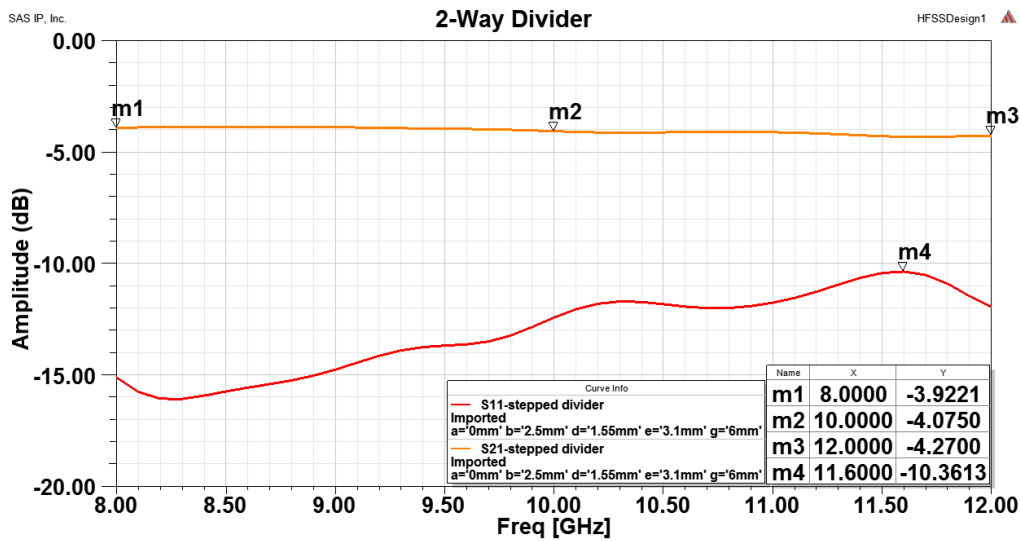


Figure 3-13: 2-way SIW power divider characteristics

In order to match all the ports in a power divider, a resistive element should be placed between the division ports. Since the divider design in this study is to be used as waveguide tee for antenna feeding network, output matching is not necessary. On the other hand, the input port is already matched with the symmetric structure and the matching section.

Another criterion for a divider is the amplitude and the phase unbalance. Considering the purpose of the divider design, amplitude and phase unbalance should be kept as low as possible for a balanced feeding. Figure 3-14 which illustrates amplitude and phase unbalances simultaneously also shows that the amplitude unbalance is less than  $\pm 0.07$  dB. Due to the E-plane power division,  $180^\circ$  phase difference is observed as expected. For the entire X-band, phase unbalance is about  $\pm 0.5^\circ$ .

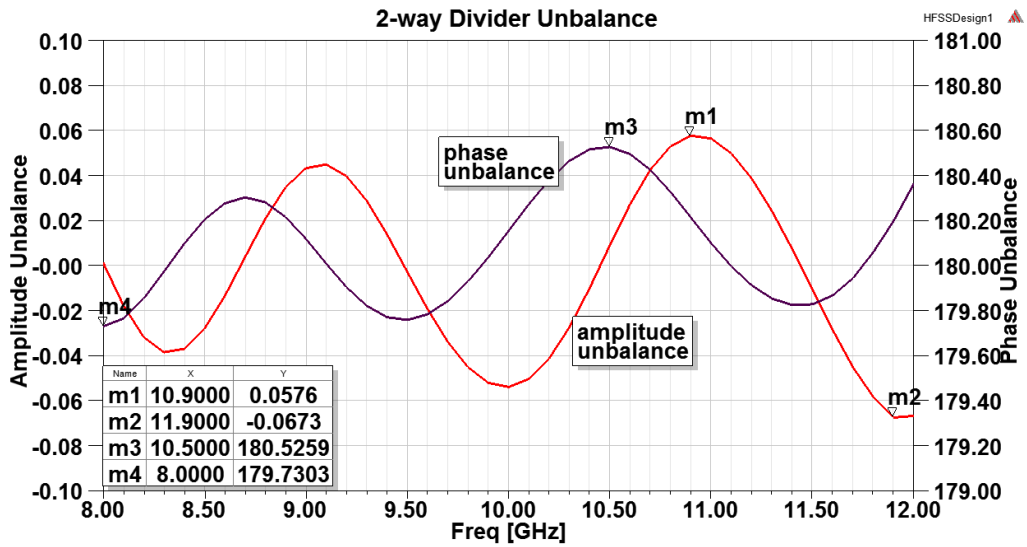
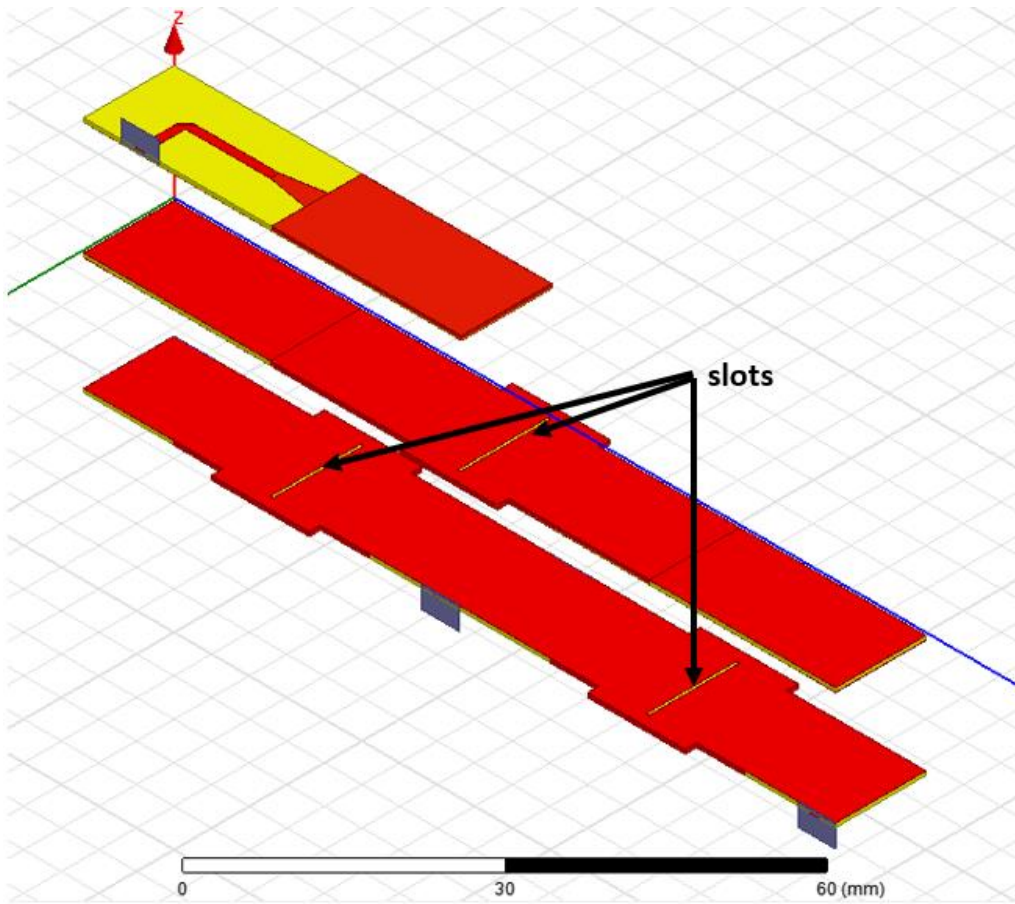


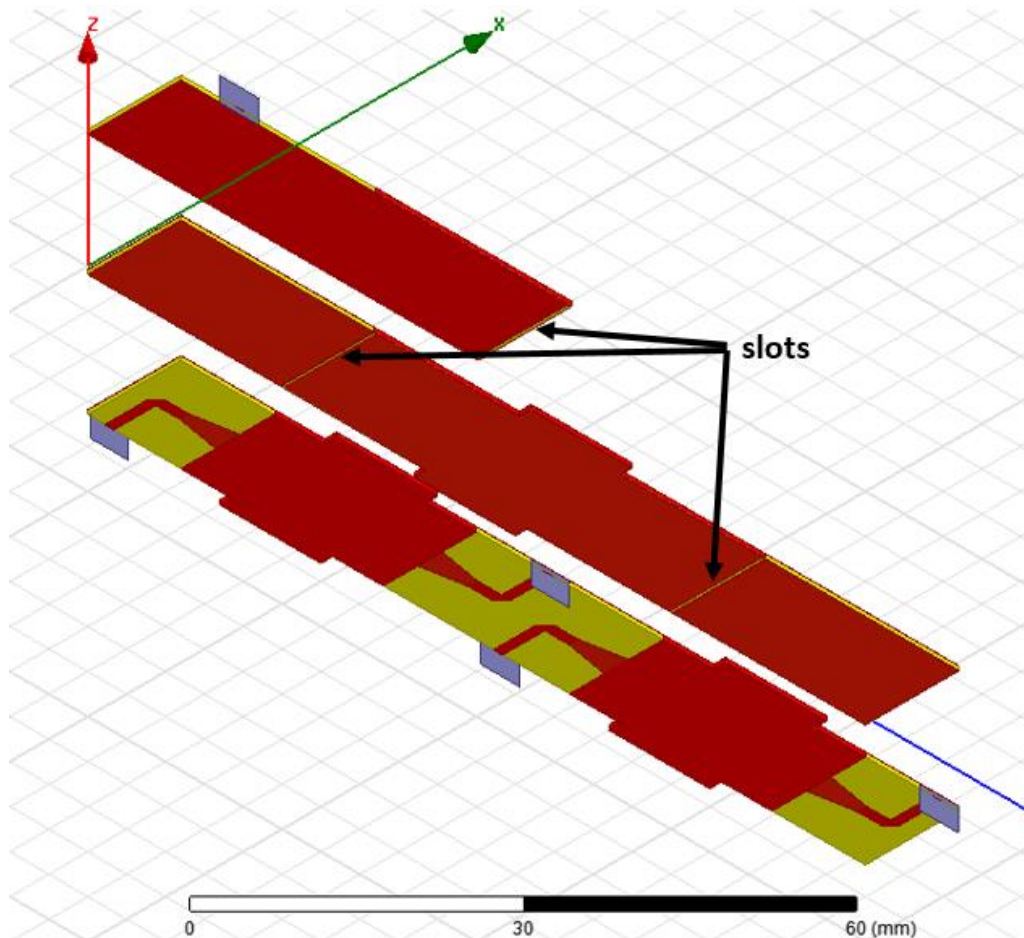
Figure 3-14: Amplitude and phase unbalance of the 2-way divider

### 3.4. 4-way Divider Design

For the 4-way SIW divider design, one more dielectric layer with two coupling slots is added to 2-way divider design. The same design for the coupling slots and the matching section is also used for this extra layer. 3-layered 4-way divider structure is illustrated in Figure 3-15 and Figure 3-16. For the bottom layer, two coupling slots and matching sections are inserted for the secondary divisions while the middle layer containing three coupling slots which mate the slots on the other layers. In order to decrease the coupling between the output ports, microstrip parts are shortened.

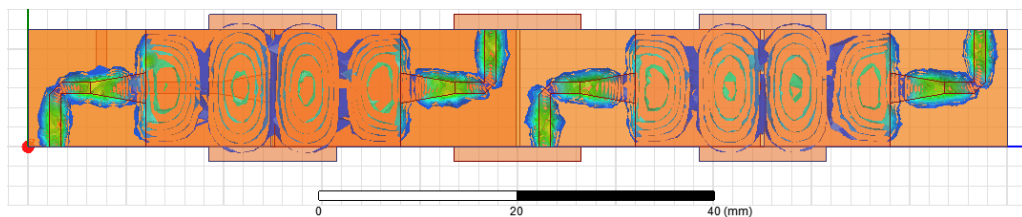


*Figure 3-15: Top view of the 4-way divider layers*



*Figure 3-16: Bottom view of the 4-way divider layers*

The length of the wave path in the 4-way divider whose field distribution is given in Figure 3-17 is almost the same with the length in the thru line, except the slot transitions in the divider. For the design of the matching and the slot sections, the same dimensions with the 2-way divider are used for the 4-way divider. To assure robustness, these dimensions are optimized for the new design and it is seen that the matching and the slot dimensions in the 2-way divider are also optimum for the 4-way divider design.



*Figure 3-17: Field distribution of the 4-way divider*

Since wave passes through one more coupling slot and dielectric layer at this time, matching performance degradation for the 4-way divider is expected to be larger than the degradation in the 2-way divider. The characteristics of the 4-way divider are presented in Figure 3-18. Since the return loss degradation is at an unacceptable level for the upper half of the initial band, 4-way divider design is realized in 8-10 GHz band as given in the figure. Considering the antenna design whose maximum bandwidth is 1.5 GHz, 2 GHz band is adequate for the remaining parts of the design. Average loss for this design is around 7 dB. If the thru line loss of 0.8 dB is taken into account, division loss for this 4-way divider is calculated as 0.2 dB.

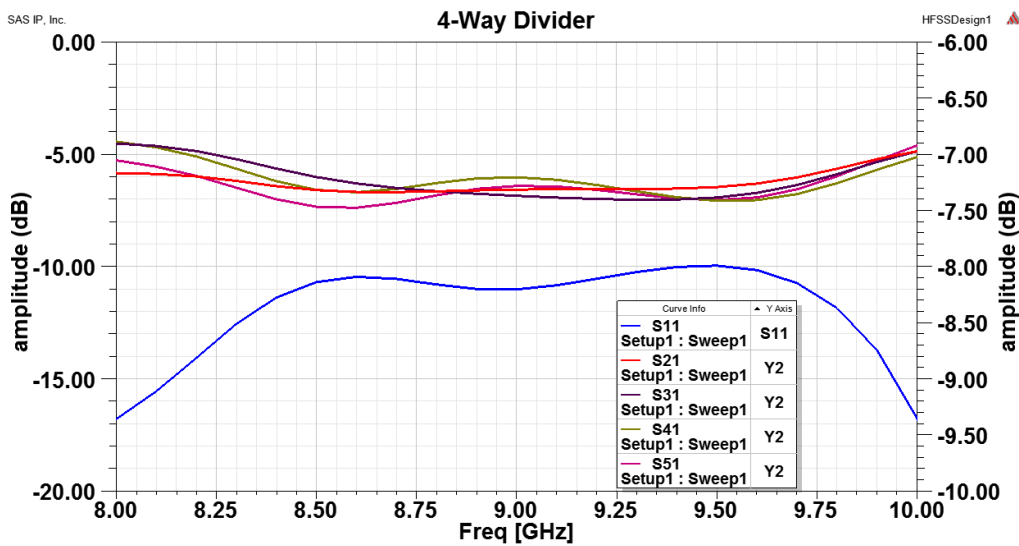


Figure 3-18: The 4-way SIW power divider characteristics

Amplitude and phase unbalance performance is important for the 4-way divider, when the feed network design is considered. Any unbalance in the feed network results with degradation in the array performance since the intended array is fed uniformly. Unbalance characteristics of the 4-way divider in Figure 3-19 for 8-10 GHz band reveals that the amplitude unbalance is less than 0.3 dB for 4 output ports. As in the 2-way divider, ports of 4-way divider are also out of phase. Considering this 180° phase difference between the output ports, the phase unbalance is found less than 2.5°, as revealed in Figure 3-20.

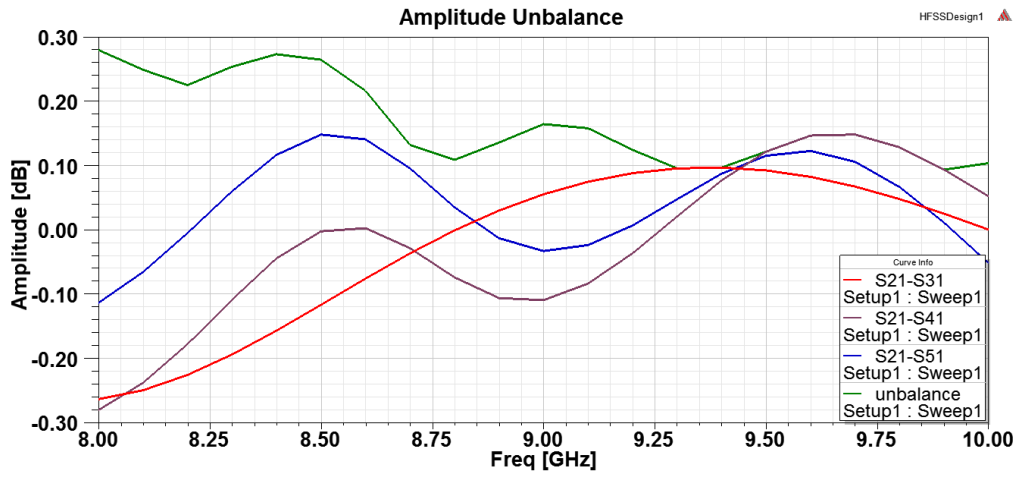


Figure 3-19: Amplitude unbalance of the 4-way divider

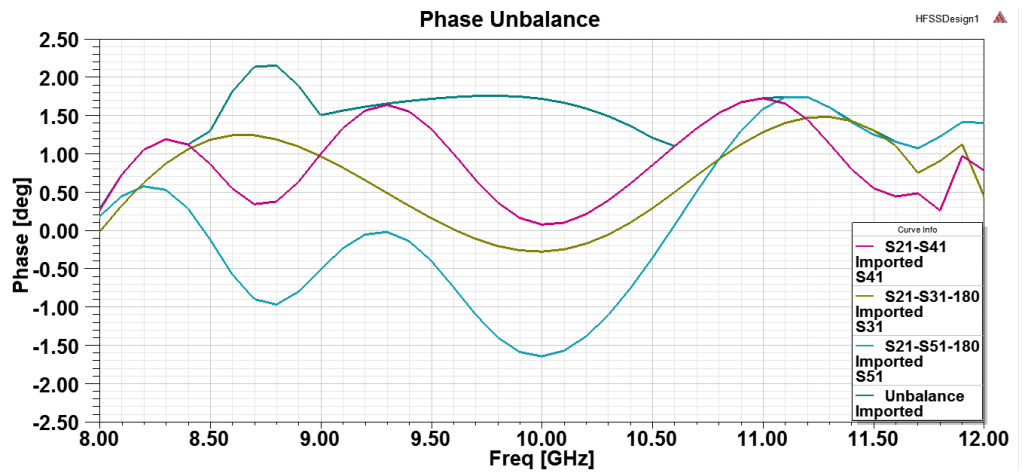


Figure 3-20: Phase unbalance of the 4-way divider





## CHAPTER 4

### ANTENNA ARRAY WITH SIW FEED NETWORK DESIGN

For the design of the antenna array and the integration with the feed network, methodology is given step by step. Following the aperture coupled single patch antenna design, 4-element array is formed with the proper array dimensions and this array section is combined with the modified version of the 4-way divider.

#### 4.1. Aperture Coupled Patch Antenna

Aperture coupling for patch antenna is one of the most common feed method and is chosen for this study since the antennas with coupling apertures are easy to integrate with SIW divider structures. With the integration, a very thin and a compact structure that contains both the feed network and the antenna array is obtained. In this part, single antenna with coupling aperture design is explained.

The initial step for the design is determination of the patch dimensions which are found from the analytical equations [37]. The center frequency for the antenna is determined by considering the divider characteristics. Since the 4-way divider was designed at 8-10 GHz, center frequency is chosen as 9 GHz. For the initial design, the same material (20 mil RO4003) with the SIW divider design is used. For this material, width of the patch  $W_p$  is calculated as 11.05 mm by using the relevant equations in [37]. Effective length of patch antennas are equal

to half wavelength for dominant  $TM_{010}$  mode. Due to the fringing effects, patch extends about  $\Delta L$  for each sides, thus physical length is calculated to be smaller. By using derived formulations in [37], physical length of the patch  $L_p$  is found as 8.7 mm. Single patch design with the calculated dimensions is illustrated in Figure 4-1. These dimensions are optimized in order to fix the center frequency of the patch at 9 GHz with a good return loss characteristics. Optimized dimensions are  $W_p = 11 \text{ mm}$  and  $L_p = 8.09 \text{ mm}$ .

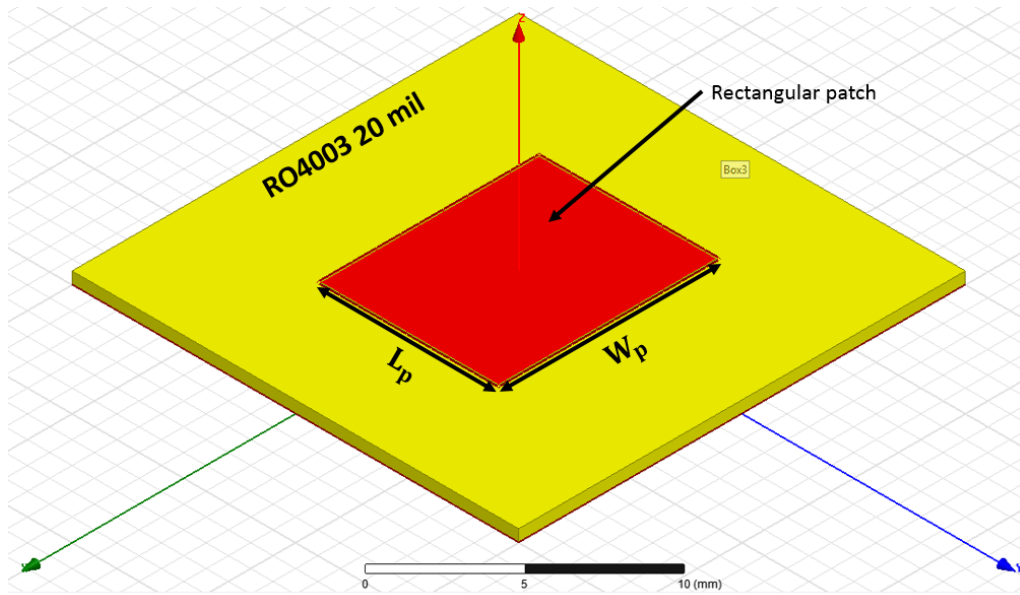


Figure 4-1: Design of the microstrip patch antenna

Another design criterion for aperture coupled patch antennas is the aperture dimensions. Since this feeding aperture is closely related with efficiency, the dimensions should be arranged well. Aperture geometry determines the coupling level, the antenna gain and the input reflection. For an efficient design, aperture and patch dimensions are optimized together. For the calculated dimensions of the patch, optimum aperture sizes are determined as  $l_s = 2.7 \text{ mm}$  and  $w_s = 0.3 \text{ mm}$  and illustrated in Figure 4-2. This optimized slot is oriented parallel to the radiating edges of the patch by considering the radiation pattern of the patch.

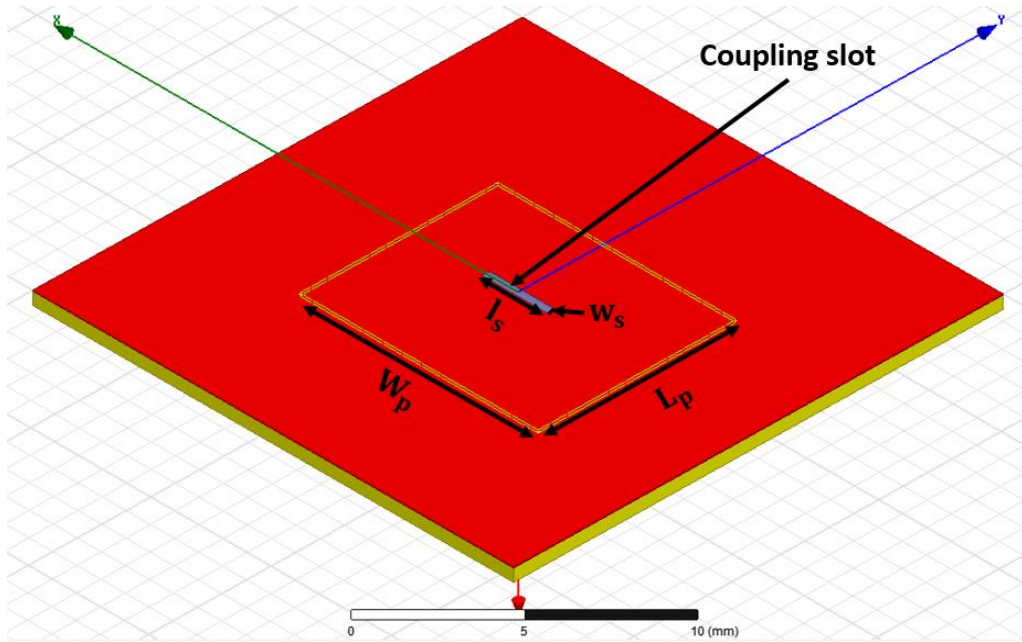


Figure 4-2: Illustration of the coupling slot

For the dimensions mentioned above, single patch antenna is simulated by feeding from the aperture with waveport and its return loss, gain and radiation performance is evaluated. These characteristics are presented in Figure 4-3, 4-4 and 4-5. From the Figure 4-3, it is seen that the bandwidth of this antenna is 150 MHz which corresponds to 1.6% fractional bandwidth.

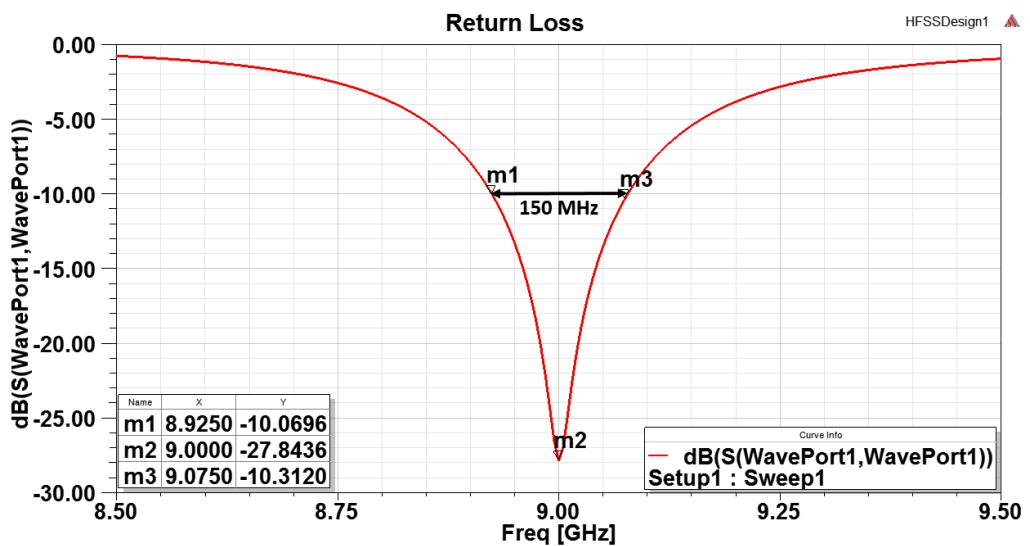


Figure 4-3: Return loss performance of the patch antenna

For the single patch antenna, boresight antenna gain which is shown in Figure 4-4 is found as 5.2 dB at 9 GHz. In Figure 4-5, radiation pattern of the designed patch antenna is revealed. Half power beamwidths for the E-plane and the H-plane patterns are measured as 94° and 88°, respectively. Back lobe level for this design is found as 20 dB below the main lobe level.

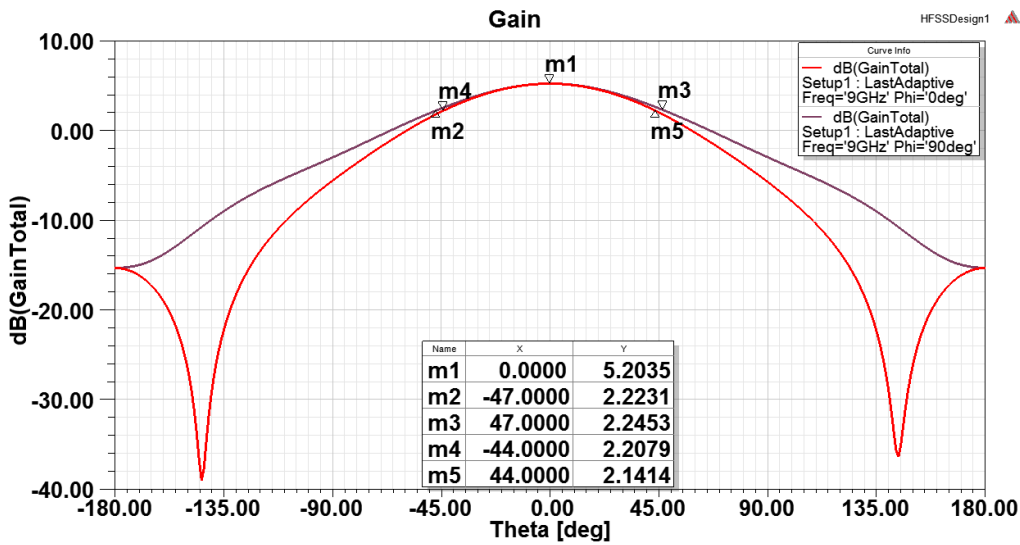


Figure 4-4: Gain characteristic of the patch antenna

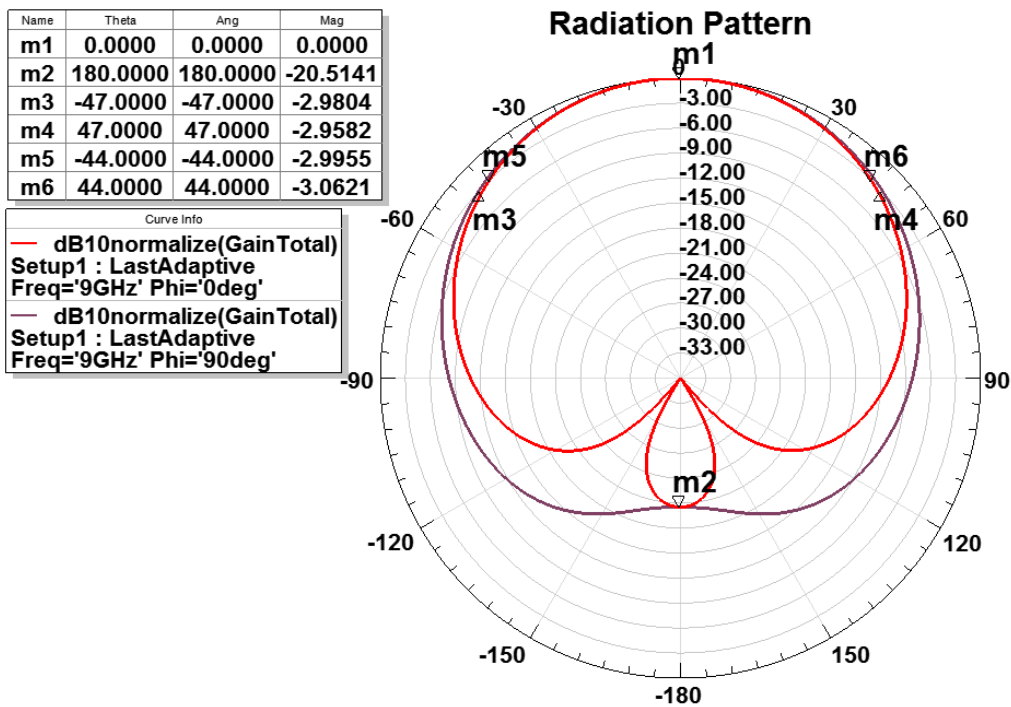


Figure 4-5: Radiation pattern of the patch antenna

Balanis recommends to use thick substrate with a lower dielectric constant for wider bandwidth and better efficiency [37]. With the help of this method, thickness of the dielectric is increased from 20 mil to 60 mil with the same dielectric material. With this new material, all optimizations for the patch dimensions are renewed. Optimized dimensions are given in Table 4-1. Having increased the dielectric thickness, decrease in coupling level is observed as expected and to increase it, slot dimensions are enlarged.

*Table 4-1: Optimized patch dimensions for 60 mil RO4003 material*

<b>Parameter</b>	<b>Description</b>	<b>Value</b>
$h$	Substrate thickness	1.524 mm
$W_p$	Patch width	11 mm
$L_p$	Patch length	7.1 mm
$w_s$	Slot width	1 mm
$l_s$	Slot length	5 mm

For the new substrate, antenna performance is observed and the return loss, gain and radiation pattern characteristics are illustrated in Figure 4-6, 4-7 and 4-8, respectively. As revealed in Figure 4-6, bandwidth is extended to 580 MHz which is about four times larger than the bandwidth of the thin-substrate design. With this improvement, gain of the single patch antenna increases to 5.6 dB, E-plane and H-plane beamwidths become  $100^\circ$  and  $88^\circ$ , respectively.

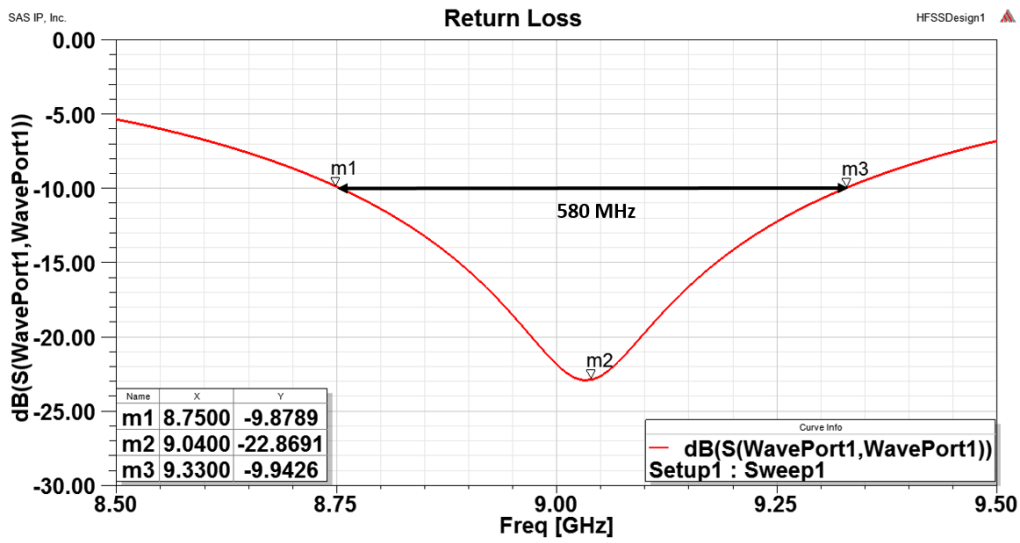


Figure 4-6: Return loss performance of the patch antenna

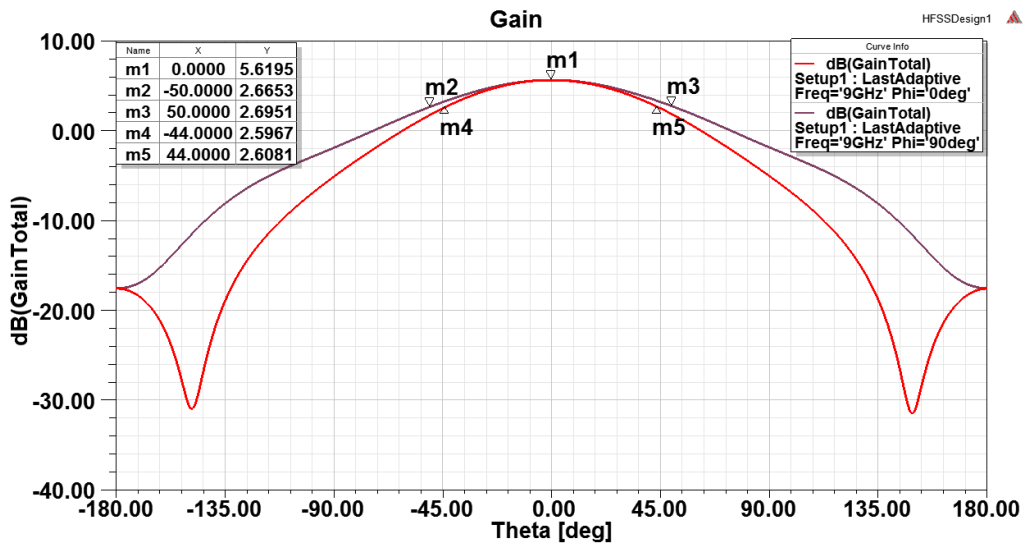


Figure 4-7: Gain characteristic of the patch antenna

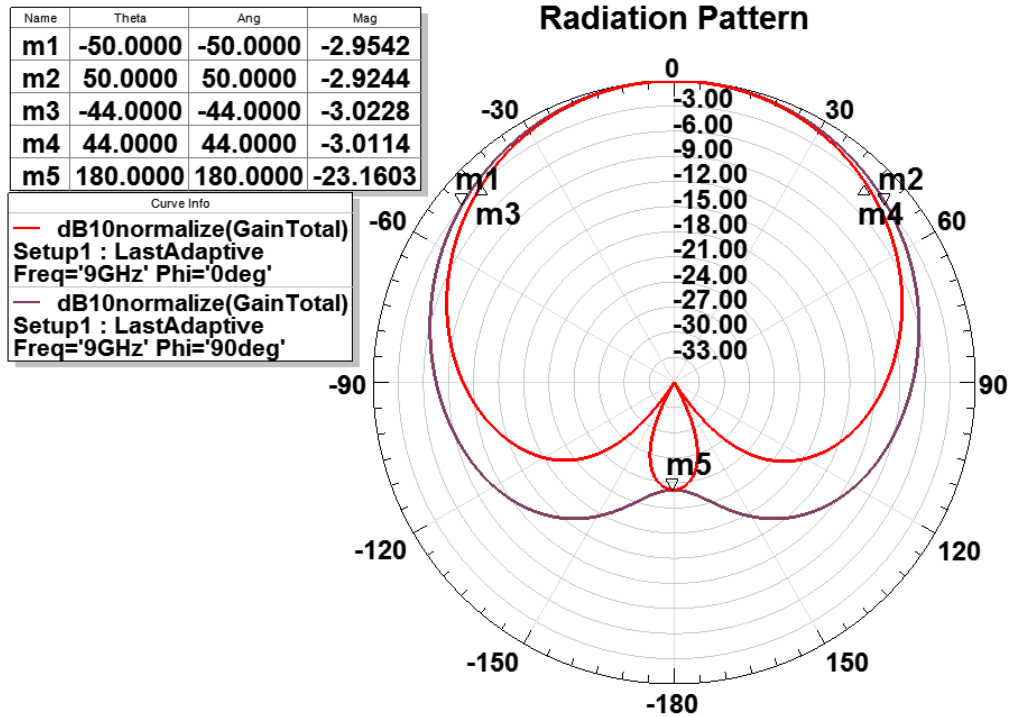


Figure 4-8: Radiation pattern of the patch antenna

After observing the bandwidth extension with the increasing substrate thickness, another method recommended in [37] is applied for further improvement for the bandwidth. The method points out that lowering the permittivity of the substrate also extends the bandwidth. This method is applied together with the previous one to provide further improvement. For this purpose, Rogers RT/duroid 5880 material with 125 mil thickness and 2.2 dielectric constant is used. With this material, dielectric thickness is increased about six times and dielectric constant is lowered 40% with respect to the initial design. Dimensions for optimized design are given in Table 4-2. For this relatively thick substrate, the slot length is extended to 12 mm which is the maximum length for the designed SIW feed network.

Table 4-2: Optimized patch dimensions for 125 mil RT5880 material

Parameter	Description	Value
$h$	Substrate thickness	3.175 mm
$W_p$	Patch width	13.2 mm
$L_p$	Patch length	8 mm
$w_s$	Slot width	1.5 mm
$l_s$	Slot length	12 mm

For this new material, performance upgrade is illustrated in Figure 4-9, 4-10 and 4-11. As revealed in Figure 4-9, bandwidth is enlarged about ten times with respect to the initial design and seen to be 1470 MHz. Antenna gain also increases and passes 6 dB slightly for 9 GHz. For this design both the E-plane and the H-plane beamwidths become 86°.

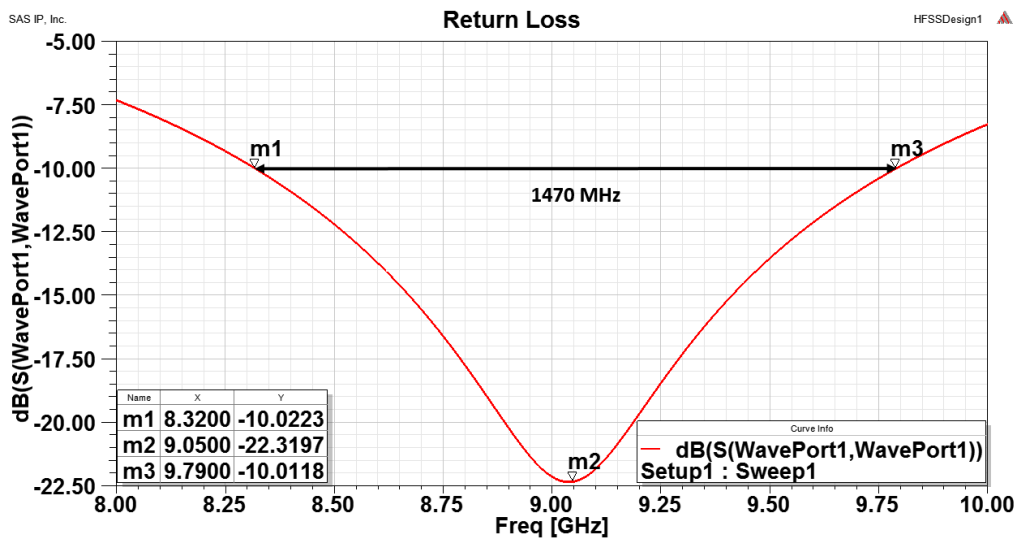


Figure 4-9: Return loss performance of the patch antenna



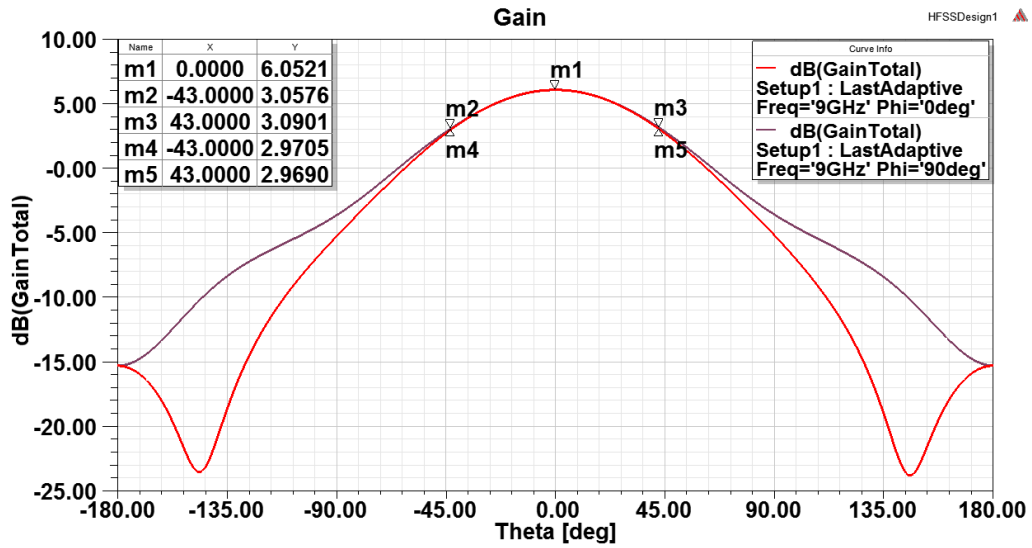


Figure 4-10: Gain characteristic of the patch antenna

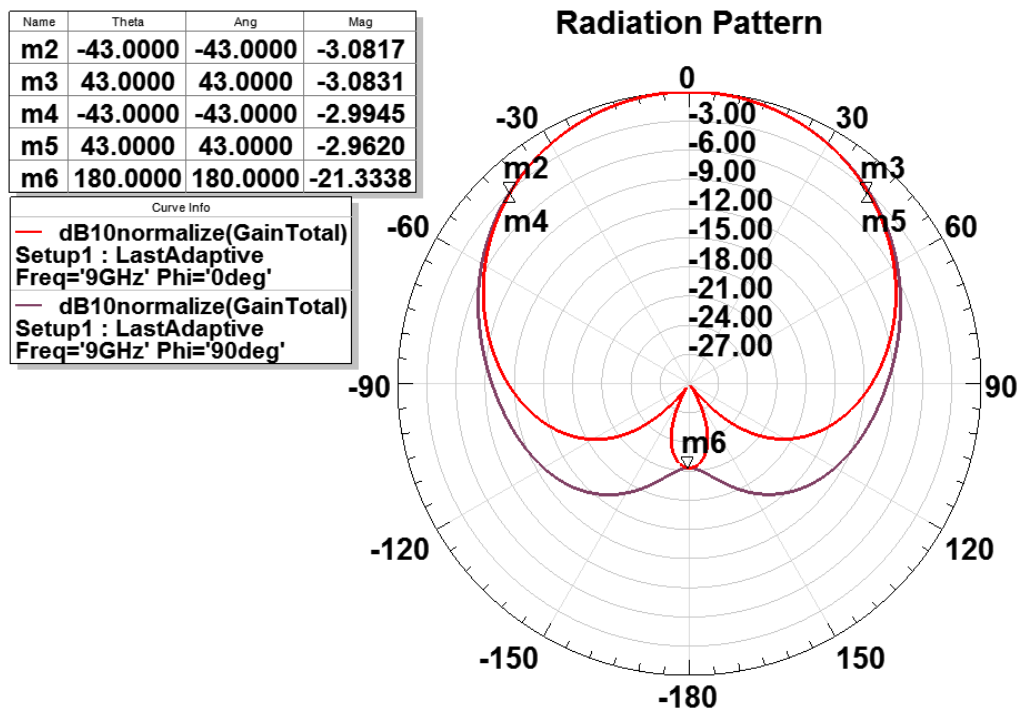


Figure 4-11: Radiation pattern of the patch antenna

In the patch antenna design, 3 different dielectric material are used for the simulations and comparisons show that the operational bandwidth of the patch antenna increases with a thicker dielectric and lower permittivity.

## **4.2. Array Design with SIW Feed Network**

In this part, rectangular patch antenna array design is introduced by using the single patch design. Since the designed SIW power divider is used as a feed network, array design should be adaptable to SIW structure. Thus, feeding apertures are designed accordingly. In order to use 4-way SIW power divider as feed network, 4-element array is designed as illustrated in Figure 4-12 and 4-13. For the initial array design, single patch design on 20 mil RO4003 material is used. With this selection, structure consists of 4 layer 20 mil RO4003 material and its total thickness equals 2.3 mm. The network is fed from the input port on the top layer and transmitted to SIW region. Each layer has coupling slots to conduct the wave to the next layer and in the end, wave radiates from the patch antennas on the bottom layer.

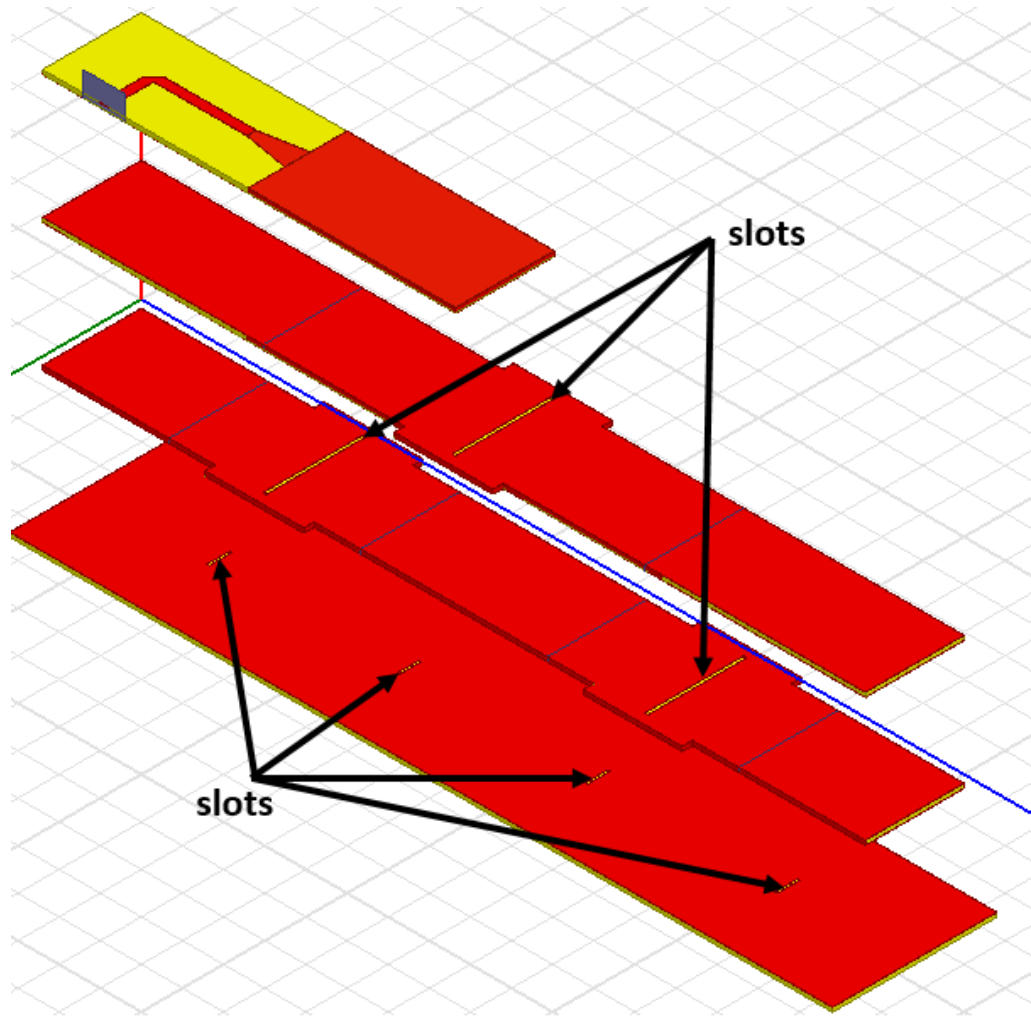
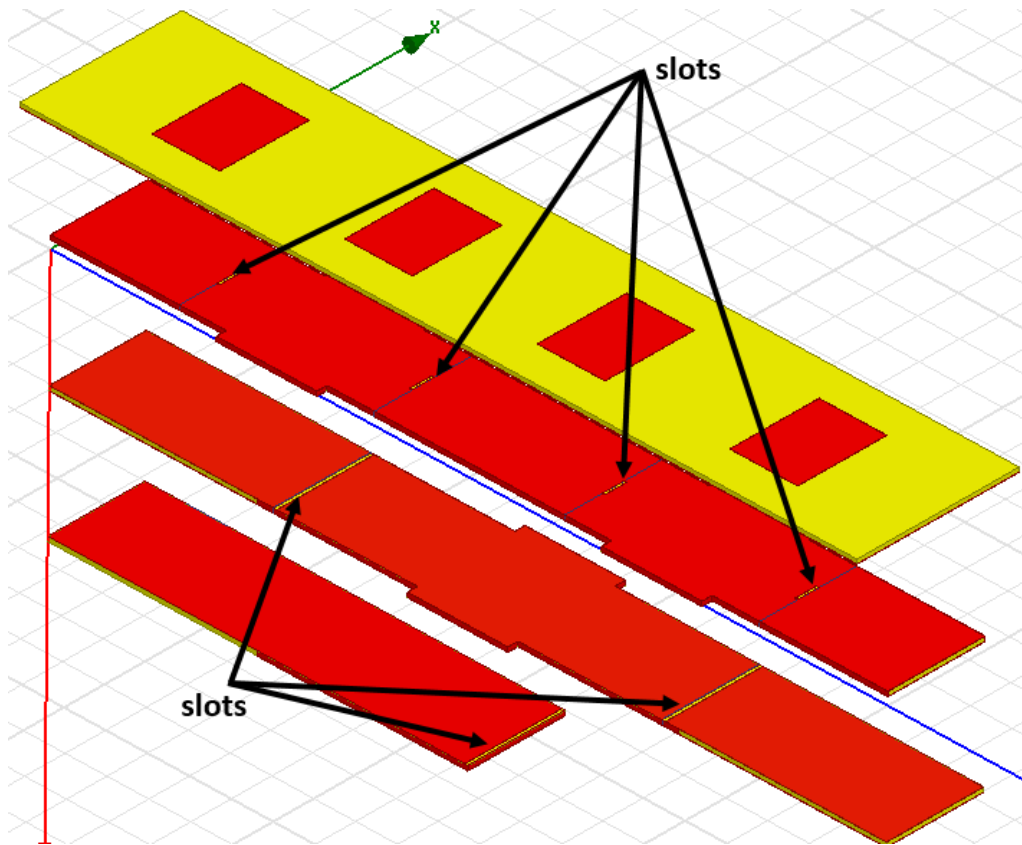


Figure 4-12: Top view of the feed network and array design



*Figure 4-13: Bottom view of the feed network and array design*

Element spacing is another important parameter for array characteristics. In [43], it is stated that performance degradation occurs for closely spaced array elements due to high mutual coupling level. It is also stated that the element spacing affects the main lobe beamwidth and side lobe level and half-wavelength spacing is suggested to be the optimum distance. Following this, element spacing is parametrically swept around the half-wavelength for this design to obtain the desired performance. For 23 mm element spacing which is the optimum value for the array design, the matching performance is shown in Figure 4-14. With this 4-element array design, about 100 MHz frequency band is obtained.

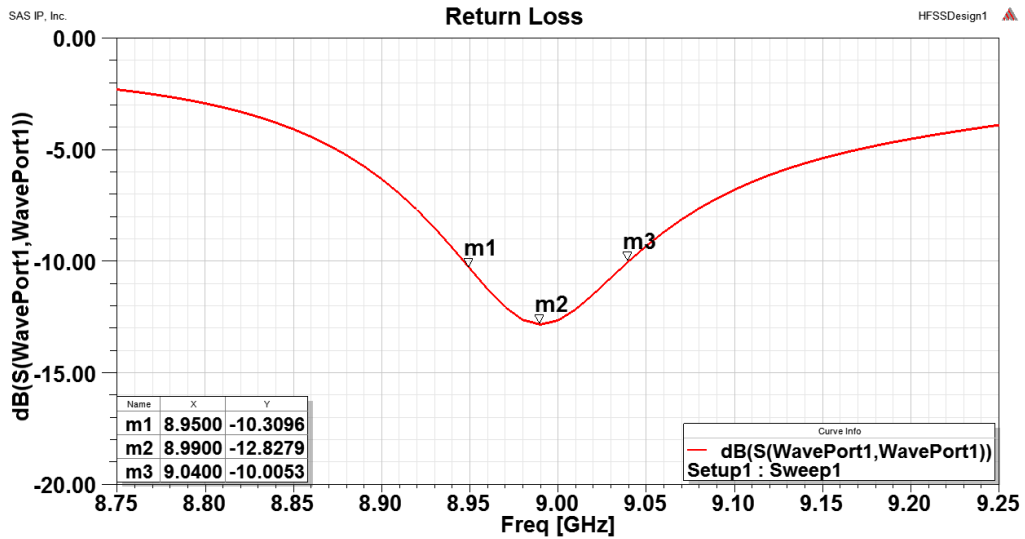


Figure 4-14: Return loss performance of the patch antenna array

Since the array consists of 4 elements, antenna gain is expected to increase about 6 dB. From Figure 4-15, array gain measured as 10.5 dB. Figure 4-16 presents the radiation pattern of the array design. Since 4x1 element array is designed, radiation pattern in the array extension plane becomes narrower. For this design, E-plane and H-plane beamwidths are 20° and 90°, respectively and the side lobe level is obtained as 13 dB while the back-radiation level is 22 dB, as revealed in Figure 4-16.

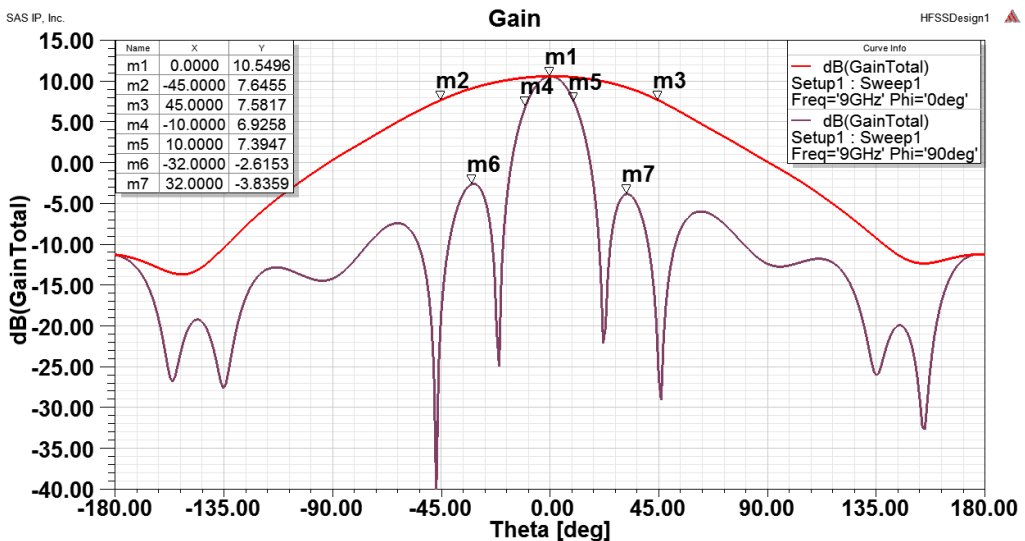


Figure 4-15: Gain characteristic of the patch antenna array

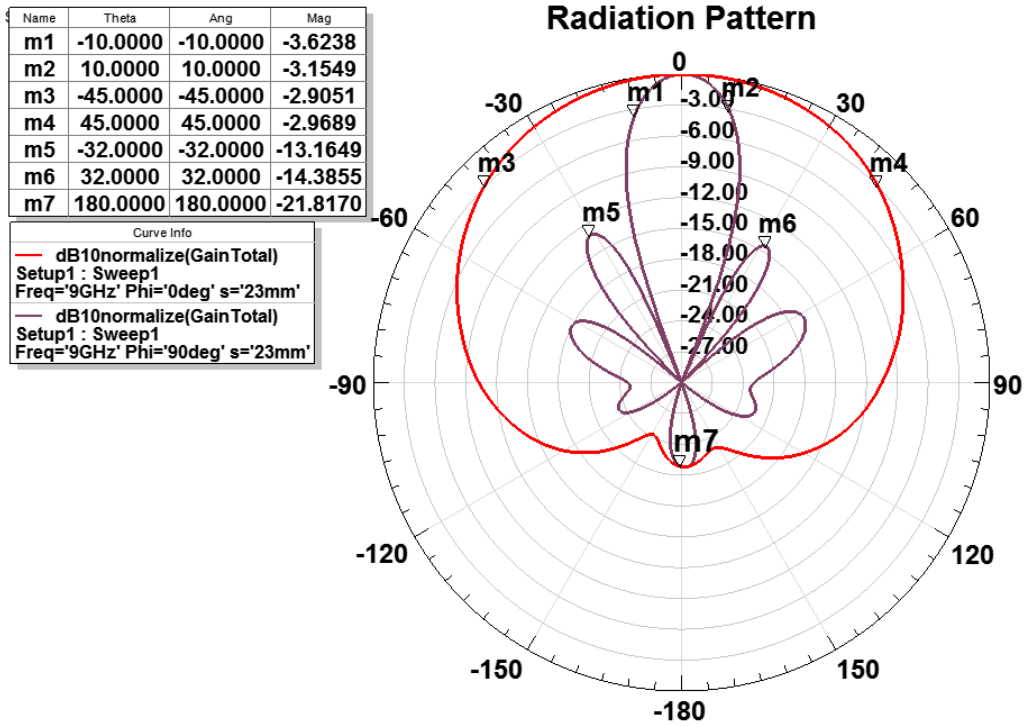


Figure 4-16: Radiation pattern of the patch antenna array

In the previous part, valid improvements for the performance of the single antenna elements was obtained with thicker substrate and lower permittivity. This recovery is applied also for the array design. For antenna substrate, 60 mil Rogers 4003 material is used. With this relatively thick substrate, total thickness for the structure becomes 3 mm. Bandwidth extension for the return loss can be observed from Figure 4-17. With this material, 450 MHz frequency band is achieved.

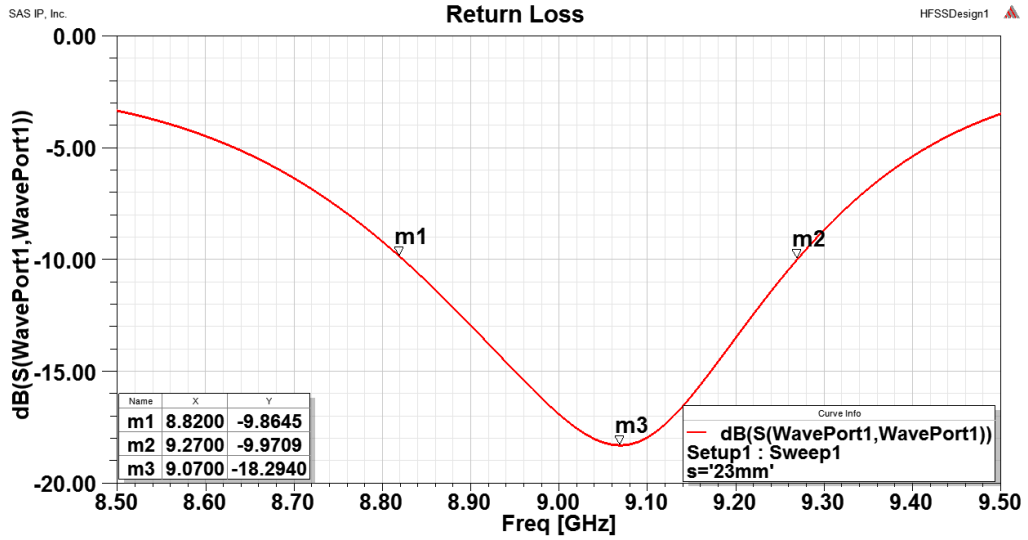


Figure 4-17: Return loss performance of the patch antenna array

Gain and radiation pattern characteristics of the design are given in Figure 4-18 and 4-19, respectively. With this new design, the antenna gain is increased to 11 dB while the main lobe beamwidths and side lobe levels remain the same with thin substrate as it can be seen from Figure 4-19.

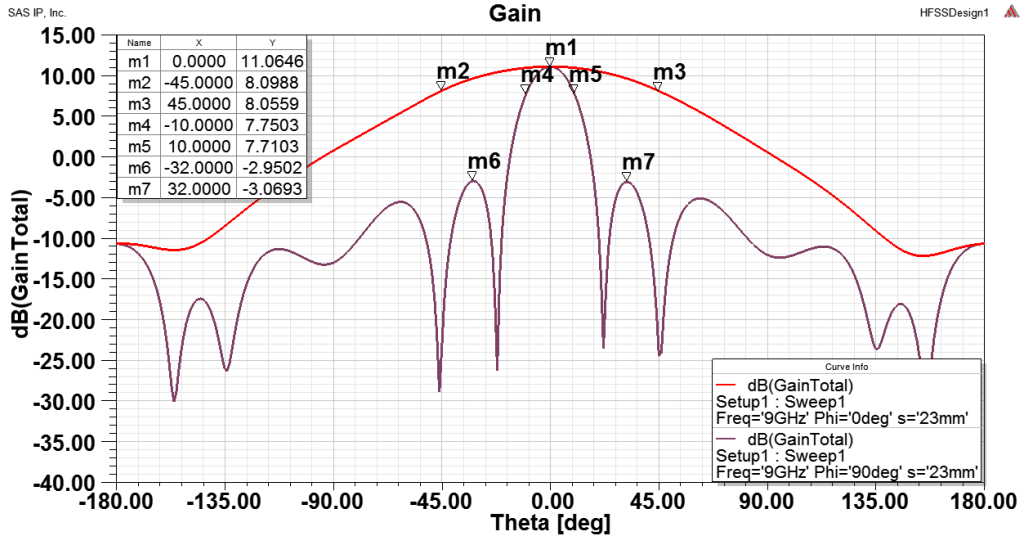


Figure 4-18: Gain characteristic of the patch antenna array

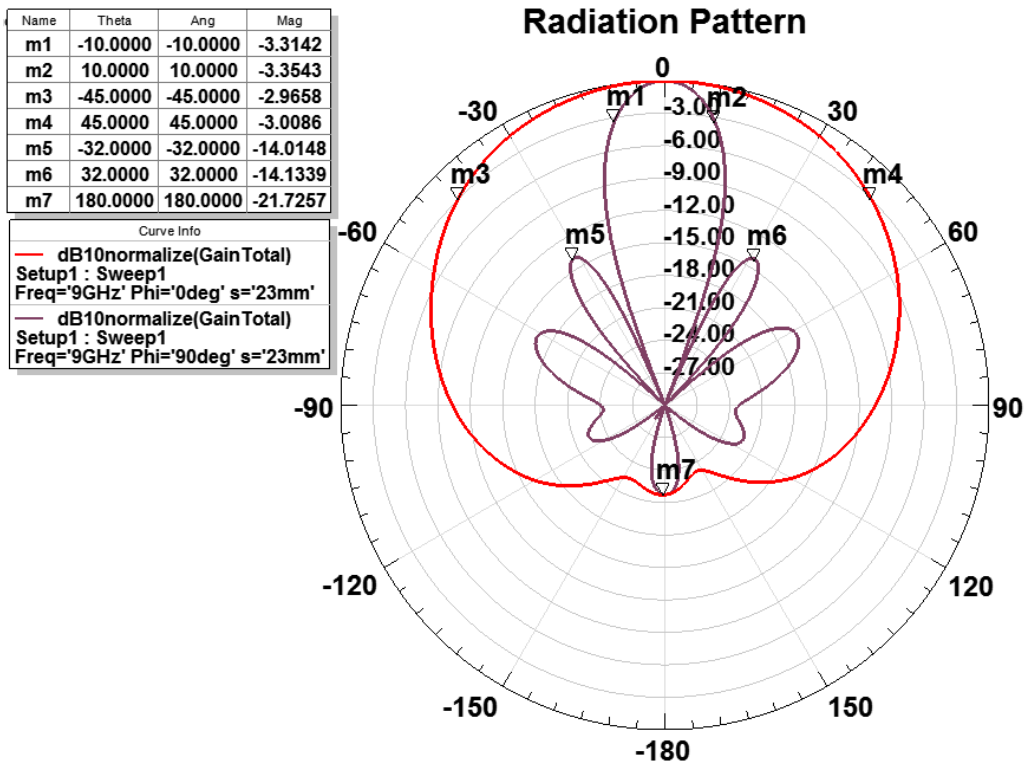


Figure 4-19: Radiation pattern of the patch antenna array

In order to increase the bandwidth of the array design further as in the single element, Rogers RT/duroid 5880 material with 125 mil thickness and 2.2 dielectric constant is applied for the array design. For this design, desired return loss response is not obtained as revealed in Figure 4-20. Low coupling level arising from the thick antenna layer and the mismatch due to the permittivity difference between the substrates might be the possible explanations for this unacceptable response. Despite this degraded return loss performance, obtained structure radiates similar with the previous design. Gain and radiation patterns of this antenna array are presented in Figure 4-21 and 4-22, respectively.



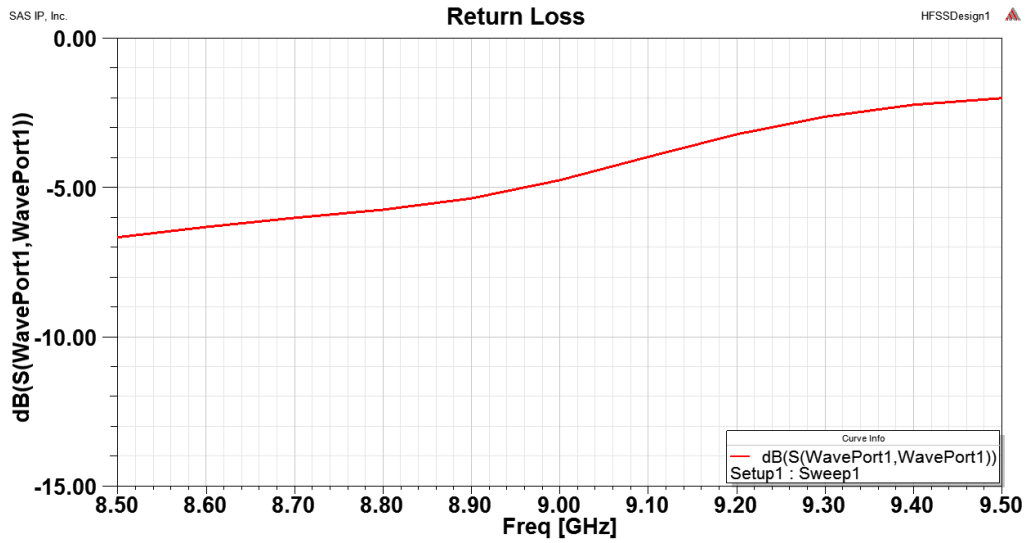


Figure 4-20: Return loss performance of the patch antenna array

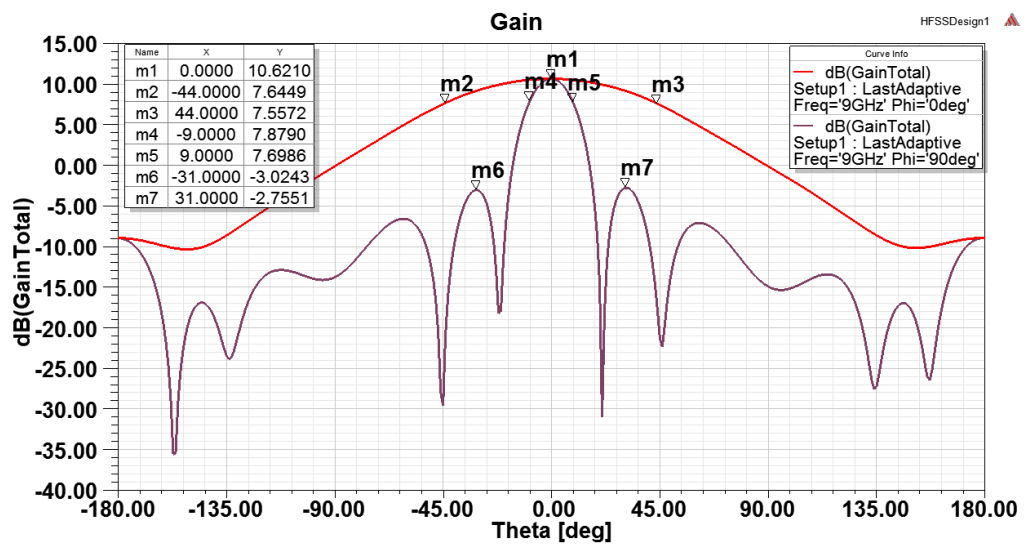


Figure 4-21: Gain characteristic of the patch antenna array

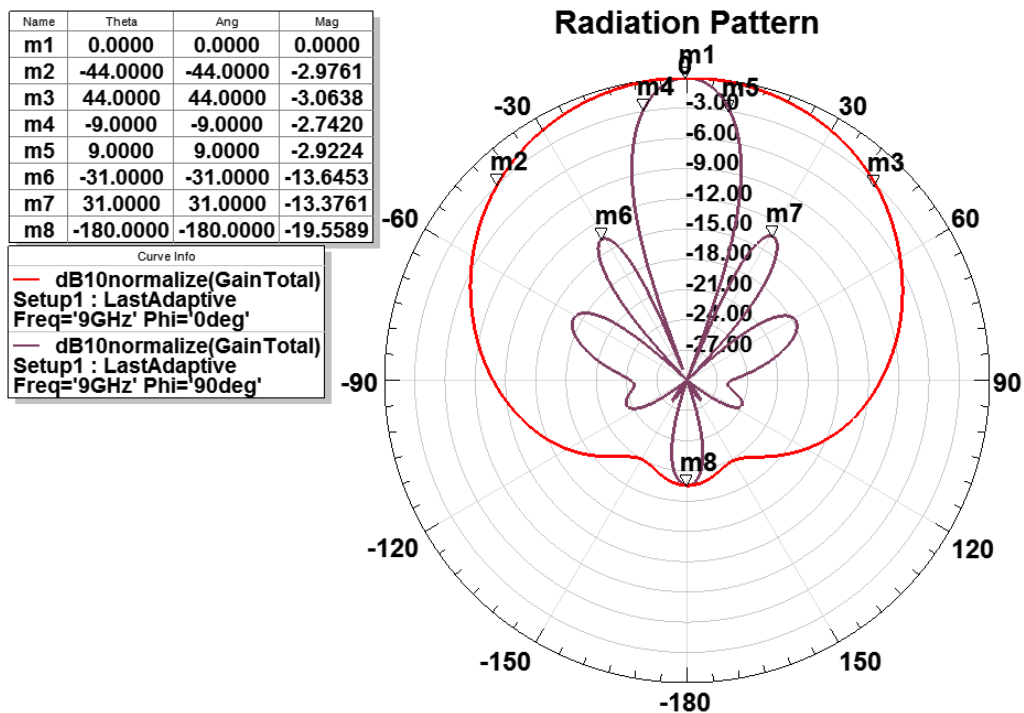


Figure 4-22: Radiation pattern of the patch antenna array

Among these array designs with three different materials, the design with 60 mil RO4003 material provides satisfactory results in terms of radiation pattern and matching performance. For this design, some modifications are applied in order to decrease the back radiation level of the arrays. For this purpose, the antenna layer is extended in H-plane direction. With this extension, metal coverage on the antenna layer behaves like a large ground plane and it minimizes the back radiation level. The radiation pattern of the design with enlarged ground plane is illustrated in Figure 4-23. Lowering back radiation level made the antenna array more directive. This modification reduces the H-plane beamwidth from  $90^\circ$  to  $80^\circ$  as can be seen from the radiation plot. With lowered back radiation, increase in the main lobe gain is inevitable. Recovered gain performance is given in Figure 4-24. As obtained from the plot, main lobe gain reaches 12.8 dB which is almost 2 dB more than the previous design with narrow ground plane.

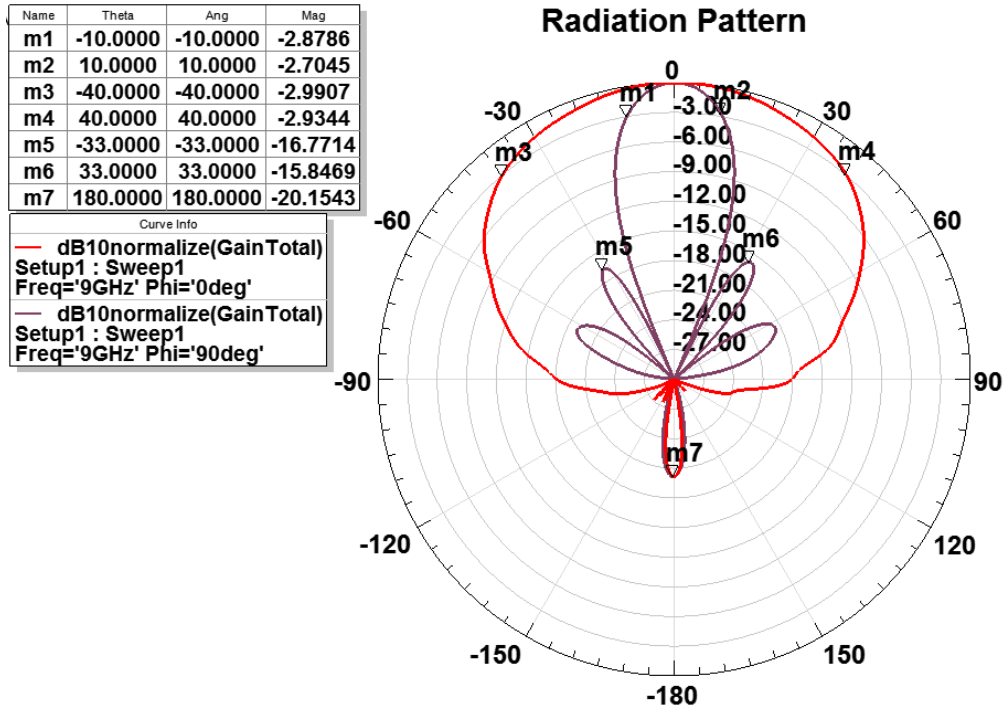


Figure 4-23: Radiation pattern of the patch antenna array

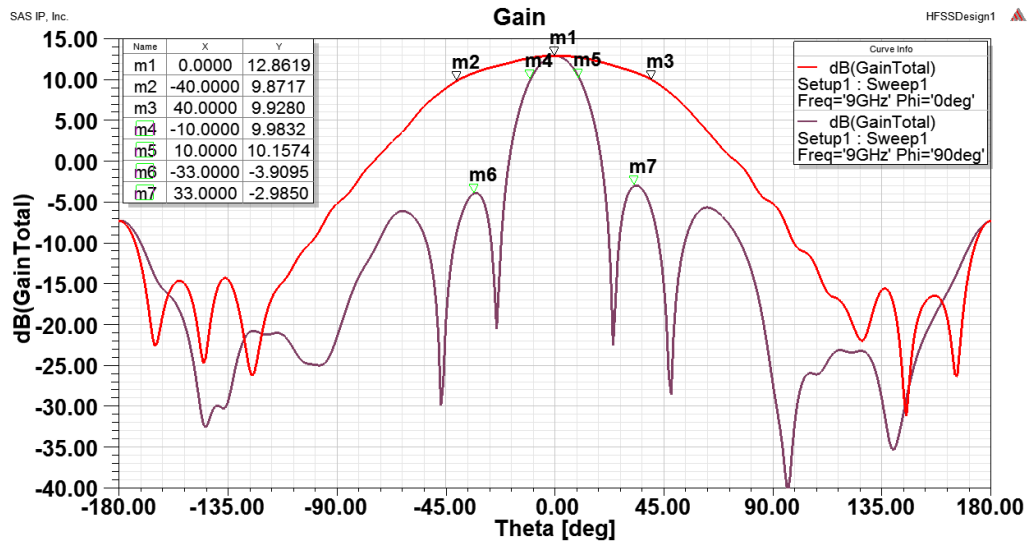


Figure 4-24: Gain characteristic of the patch antenna array



## **CHAPTER 5**

### **FABRICATION OF THE DESIGNS AND MEASUREMENT RESULTS**

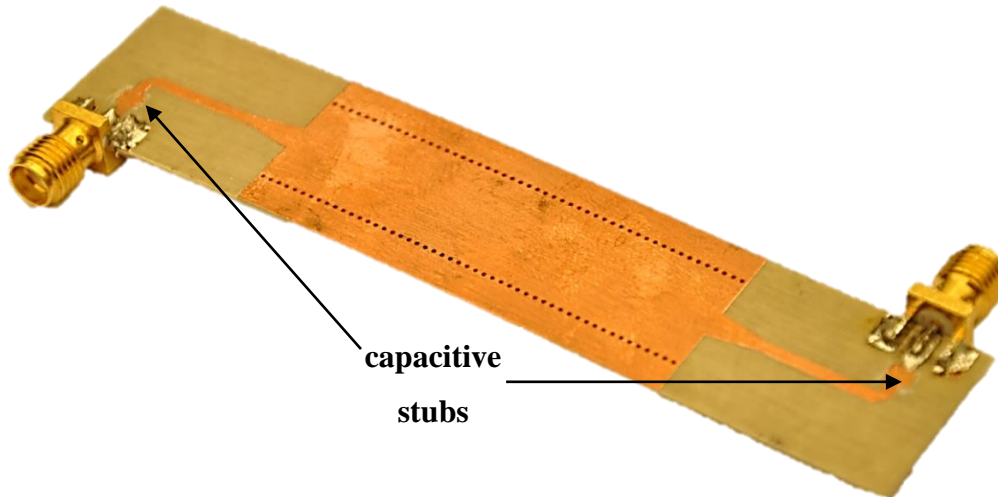
Design steps for the SIW feed microstrip patch antenna array are introduced step by step so far. Desired structure is composed with the help of the simulation results. In order to verify the designs are produced and relevant performance parameters are measured. Each step is separately manufactured and the measurement results are compared with the simulation results.

#### **5.1. Fabrication of the Thru Line and Calibration Standards**

While the design steps proceed, initial fabrication for the study is implemented with the thru line production. Fabrication of the thru line has a great importance for the futurity of the study. It is no wonder that a successful thru line fabrication facilitates the remaining production work. Therefore, importance is given for the thru line and some modifications for the production are applied for the best results.

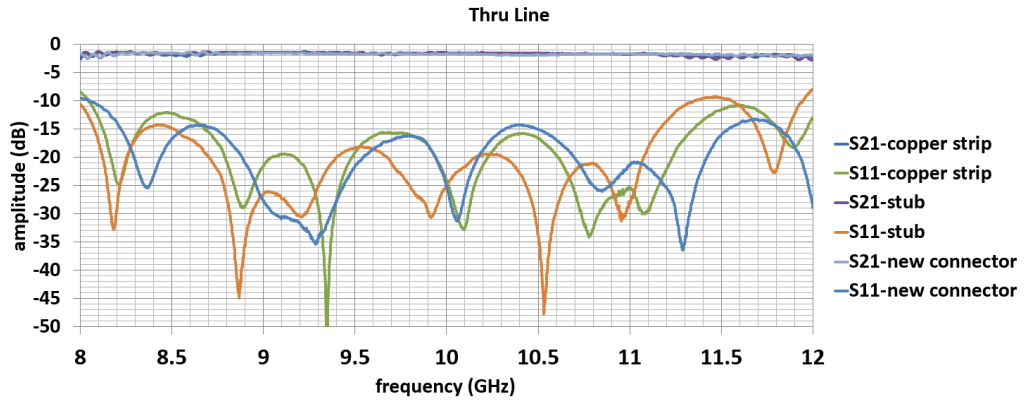
At the very beginning of the fabrication, the smallest possible via diameter 0.3 mm is used for the SIW part, however, there observed some unplated via holes after the metalizing process. This is mainly because of the fact that metallizing material could not penetrate some of these narrow via holes. With these unplated

vias, incoming wave could not be guided. Thereon, via diameter is extended to 0.5 mm as specified in the second chapter. For this diameter, the same problem has not been observed anymore. Initial fabrication of the thru line is shown in Figure 5-1 for which, solderable end launch SMA connectors are used.



*Figure 5-1: Initial thru line design with solderable end launch connectors*

Introduced thru line design is measured and a mismatch issue arising from the SMA connectors is detected. In order to minimize this mismatch, matching capacitive stubs placed near the connectors since they have an inductive effect. Initially, copper strip pieces are pasted as capacitive stubs. Having found the optimum stub dimensions, these pieces are added to the design and the thru line with these stubs are manufactured. Matching stubs can be seen in Figure 5-1. With these stubs, return loss performance is improved and pulled below 10 dB for almost whole X-band as illustrated in Figure 5-2.

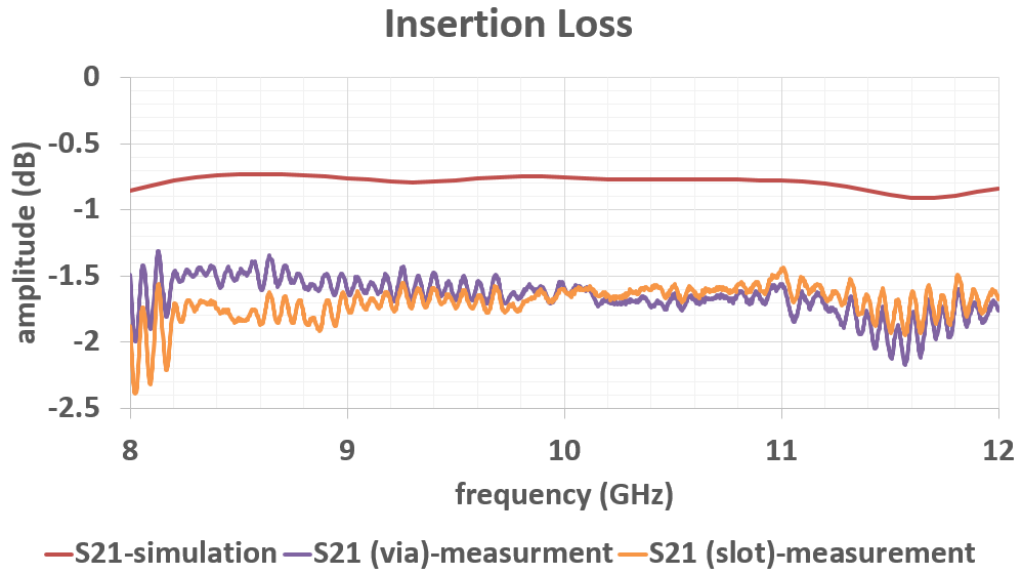


*Figure 5-2: Thru line design with 3 different configurations*

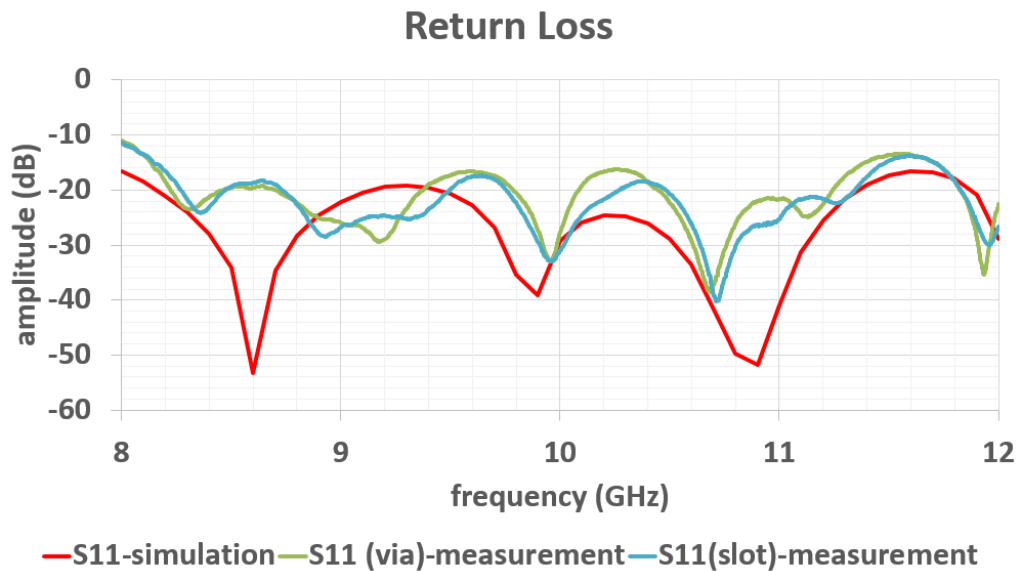
Despite this improvement in the matching performance, connector in use is replaced by Southwest Microwave’s “292-05A-5” end launch connector. For this connector, no soldering is required and the connection is provided by compressing screws. This connector is chosen because of its low VSWR performance. Measurement result of the design with these connectors is also drawn in Figure 5-2. As it can be seen from the figure, there is no need to use stubs for matching purpose with this connector. Final structure for the thru line design is shown in Figure 5-3. For this thru line, simulation and measurement results are drawn in Figure 5-4 and 5-5. From the measurement results, average insertion loss is obtained as 1.65 dB for the entire X-band while this value was 0.8 dB for the simulation result. Since the connectors did not appear in the simulations, difference in the simulation and the measurement results was expected.



*Figure 5-3: Thru line design*



*Figure 5-4: Insertion loss performance of the thru lines*

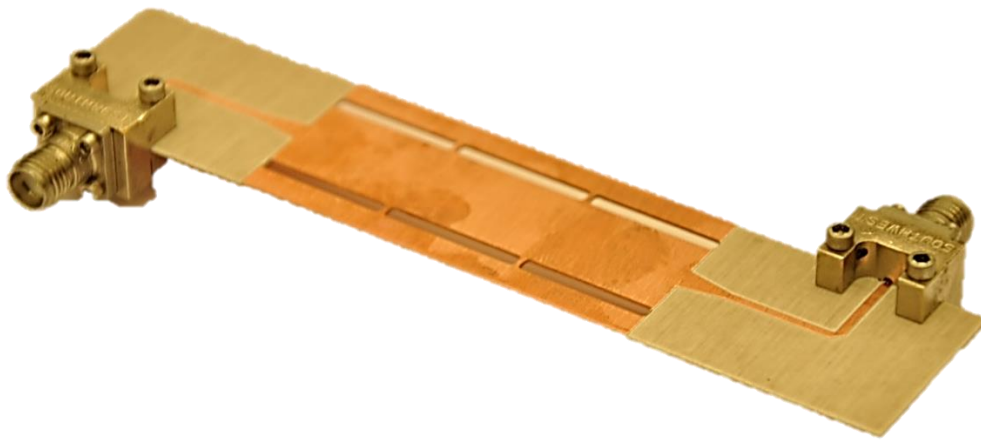


*Figure 5-5: Return loss performance of the thru lines*

On the purpose of insertion loss recovery, another modification is tried. The vias in the SIW structure are replaced with thin slots as revealed in Figure 5-6. With the help of these metallized slots, it is aimed to reduce the leakage loss if there was effectively any. Considering the rigidity of the structure, small notches are placed in these slots. Comparison between the thru lines with vias and slots is

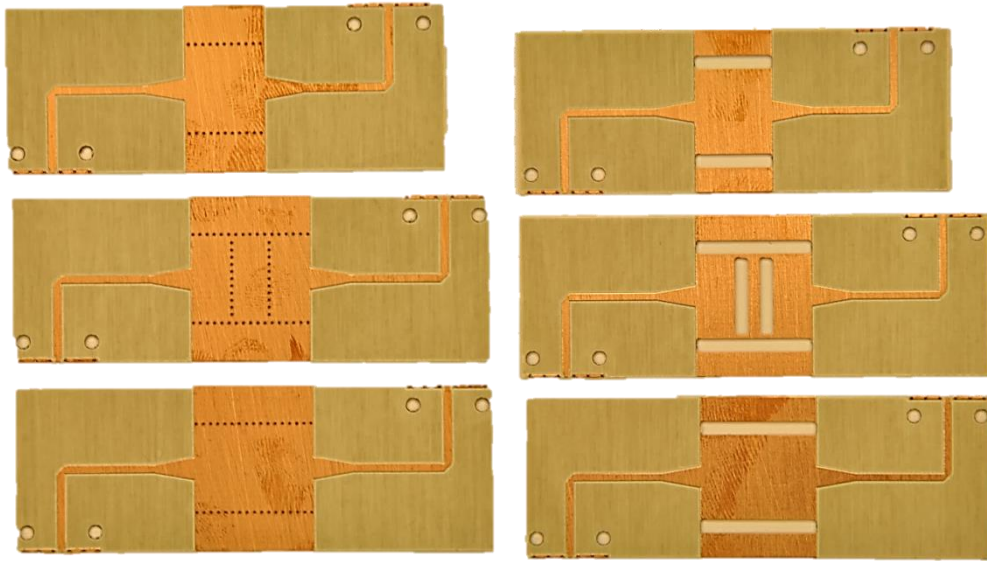


also presented in Figure 5-4 and 5-5. For this thru line with slots, average insertion loss is measured as 1.7 dB which is similar to the via design. This similarity reveals that the leakage loss due to the via array design is negligible since the insertion losses are almost same with continuous and discrete wall designs. By this way, via dimensions and separation between them has been validated. On the other hand, this slot design for lateral walls can still be useful in terms of fabrication easiness and drilling time consumption by taking the similar characteristics of both structures into consideration.

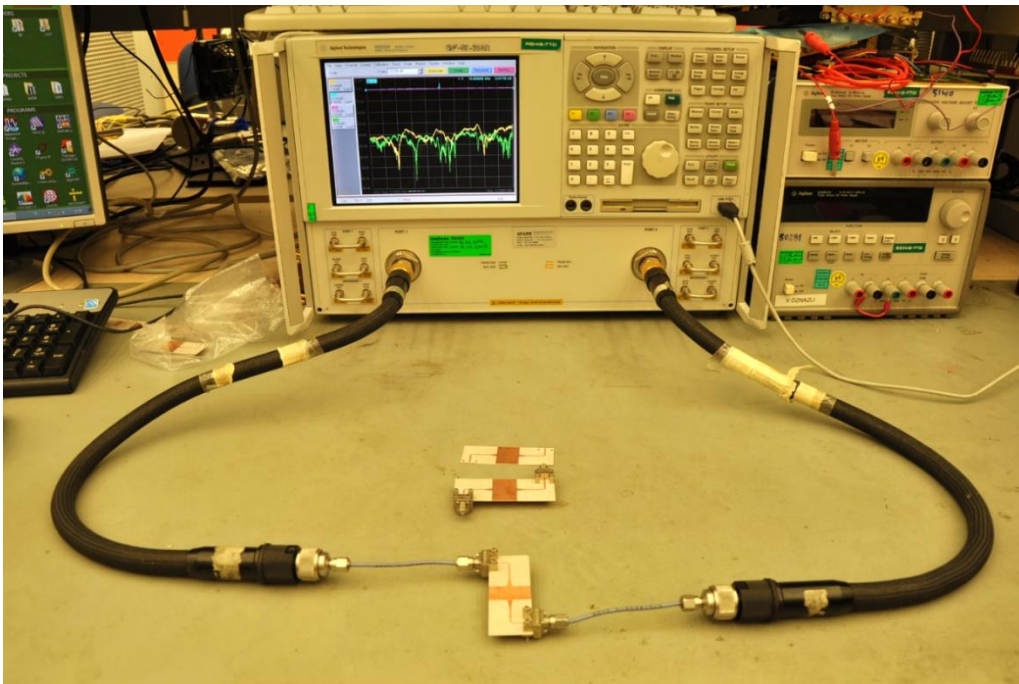


*Figure 5-6: Thru line design with slots*

As mentioned in the second chapter, TRL calibration is used for all of the fabricated designs. Calibration standards are fabricated as indicated for both thru lines with vias and slots. These standards are shown in Figure 5-7. Microstrip parts and connectors for the calibration standards are the same with the thru line design and with the help of these standards, measurement planes are relocated on the SIW portion. In Figure 5-8, measurement setup with the calibration standards and calibrated network analyzer is given. After the TRL calibration, thru standard is measured and a return loss above 40 dB is obtained.



*Figure 5-7: TRL calibration standards for both via and slot designs*



*Figure 5-8: Calibration standards and measurement setup*

Characteristics of the thru line designs with TRL calibration are plotted in Figure 5-9. Calibration process removes the portion of the insertion loss whose source is coaxial connectors and microstrip parts. With the help of the TRL calibration, pure SIW structure which is 5 cm in length, is measured without the microstrip

parts and connectors. As a result, average SIW thru line loss is measured to be 0.53 dB while the return loss is above 15 dB for the entire band.

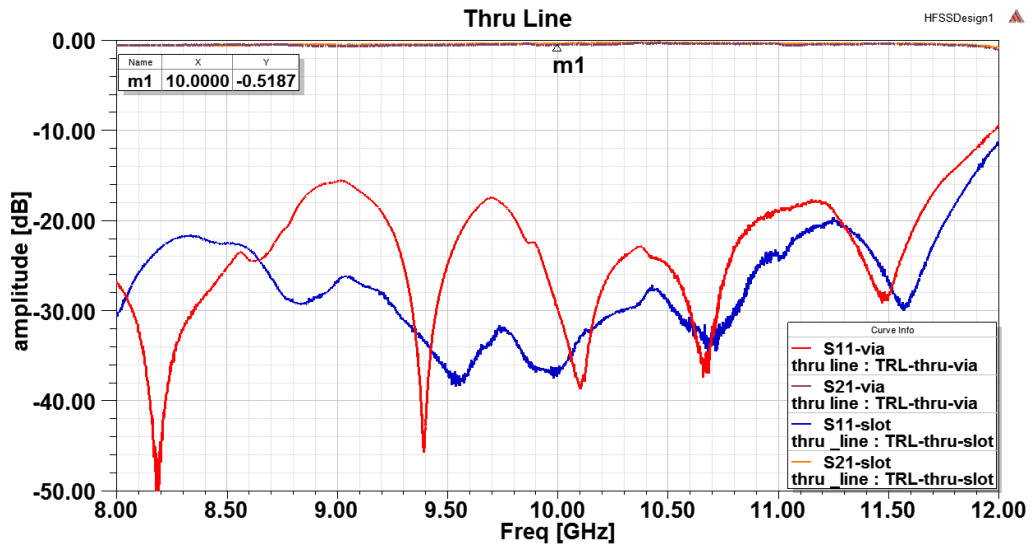
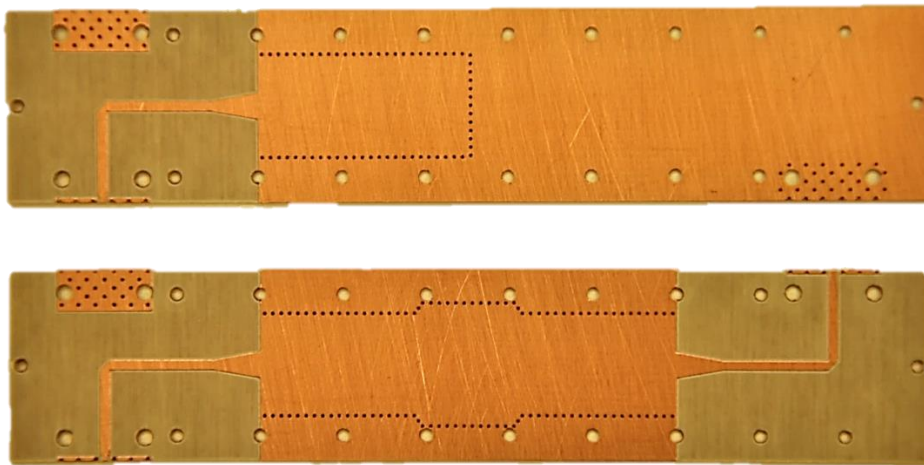


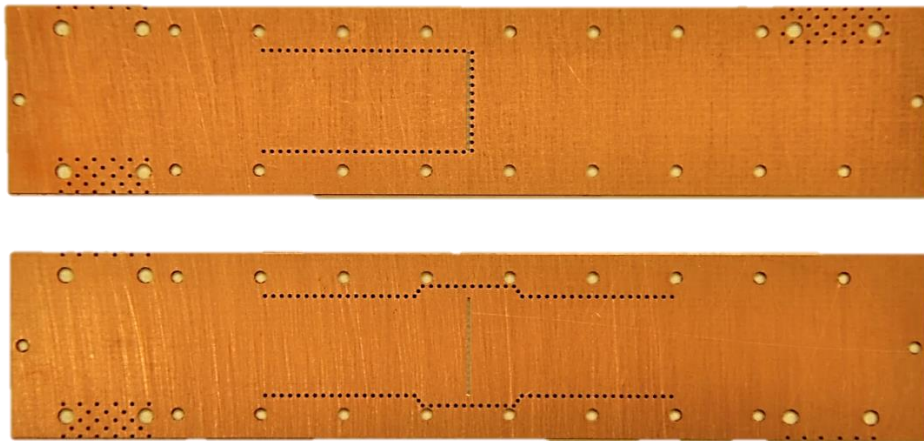
Figure 5-9: Thru line characteristics with TRL calibration

## 5.2. Fabrication of the 2-Way and 4-Way Dividers

For the divider implementation, the most important step is the integration of the divider layers. In this part, applied methods for this integration and measurement results is introduced. Fabricated layers are shown in Figure 5-10 and Figure 5-11, before the integration process. As the figures reveal, coupling slots on both layers have exactly the same dimensions and they should be strictly overlapped for a good matching and coupling performance. Many screw holes are placed in order to ensure this alignment as well as the rigidity of the structure.



*Figure 5-10: Outer surfaces of the 2-way divider layers*



*Figure 5-11: Inner surfaces of the 2-way divider layers*

By unifying the layers with the help of the conductive screws, electrical continuity between the layers is already provided. Moreover, tightly screwing the layers increases the contact between the ground planes of the layers effectively and decreases the contact resistance. Nevertheless, as a trial, the layers are soldered in order to support the electrical continuity between the layers. For the soldering process, gel solder is applied to contact the surfaces as revealed in Figure 5-12. Thin heat proof strips are used in order to prevent the solder to leak the coupling slots. Then, prepared layers are screwed and heated in a reflow oven. Measurement results of the soldered and the solderless dividers are compared in Figure 5-13. It is clearly seen that both the insertion loss and the

return loss performances are not effected significantly with soldering. These results indicate that the mounting screws and physical contact are adequate for the electrical continuity and the use of solder for an improved contact is not necessary. For the remaining manufacturing processes, only mounting screws are used.

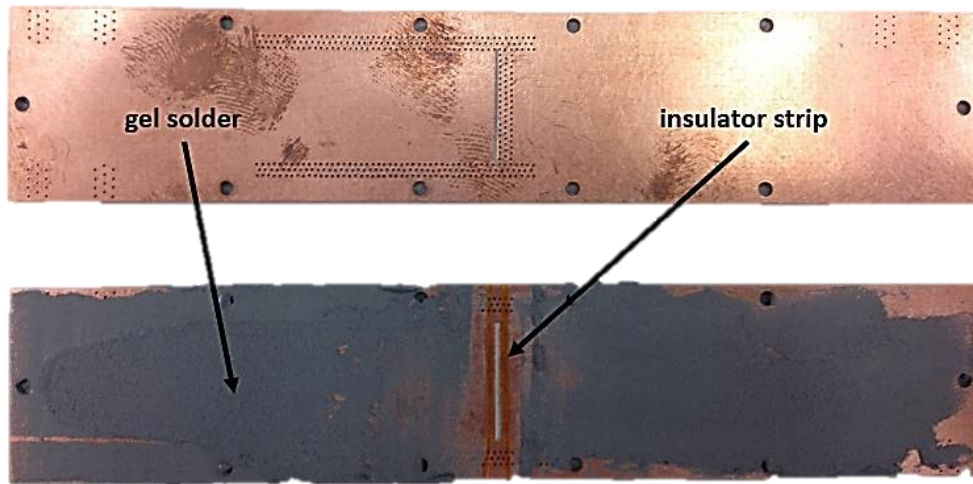


Figure 5-12: 2-way divider layers with gel solder and insulator strip

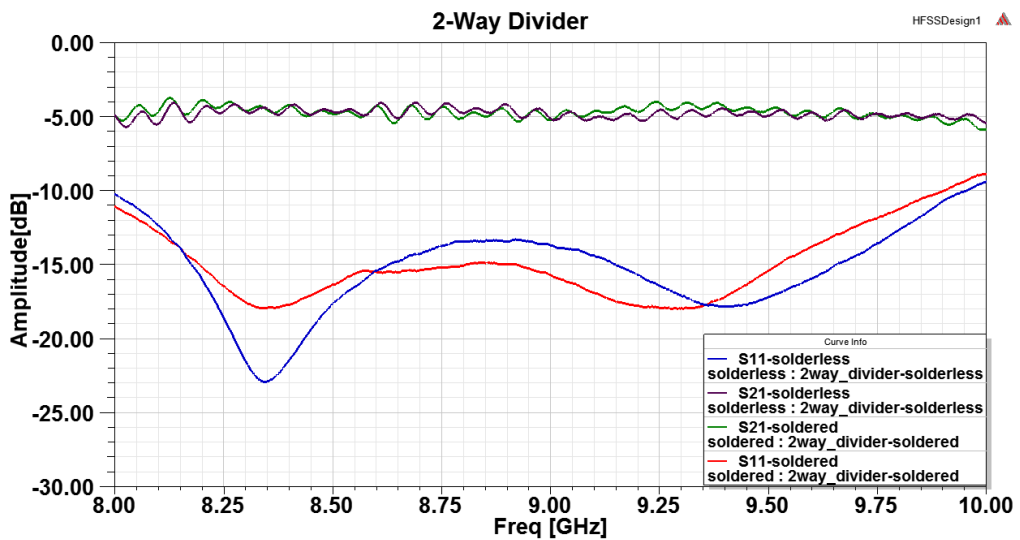
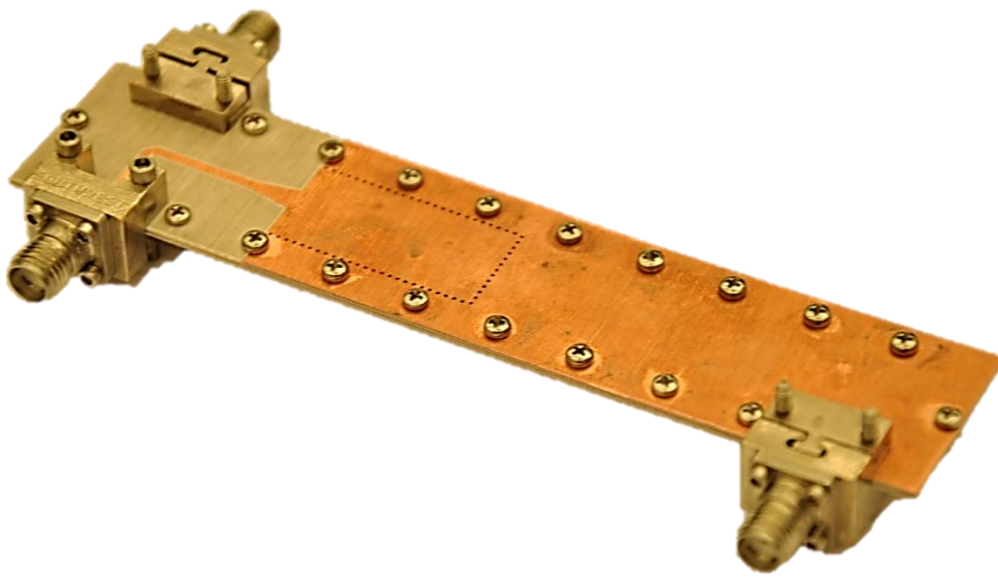


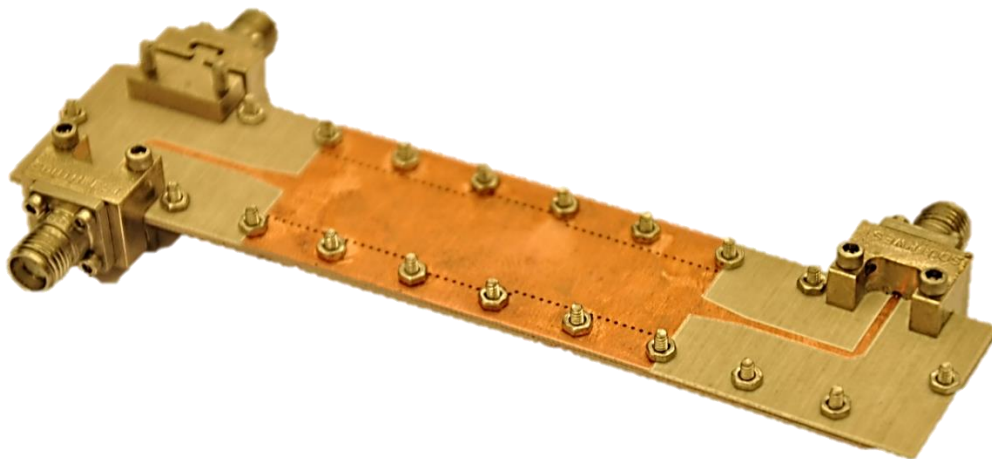
Figure 5-13: Comparison between soldered and solderless dividers

The final design of the 2-way divider after the mounting is shown in Figure 5-14 and 5-15. With the help of the screws and end launch connectors, quite rigid 2-layered divider is obtained. Measurement results with this fabricated divider

are given in Figure 5-16 and 5-17. About 5 dB insertion loss is observed while it is about 4dB for the simulation results for 8-10 GHz region. Oscillating characteristics of the insertion loss plot indicates that the mismatches arising from the connectors and microstrip to SIW transitions degrade the divider performance. It is expected that these mismatches would be removed with the help of the TRL calibration. Calibration process and calibrated results are also given in this part. On the other hand, measured return loss values are quite alike with the simulation result.



*Figure 5-14: Top view of the 2-way divider*



*Figure 5-15: Bottom view of the 2-way divider*

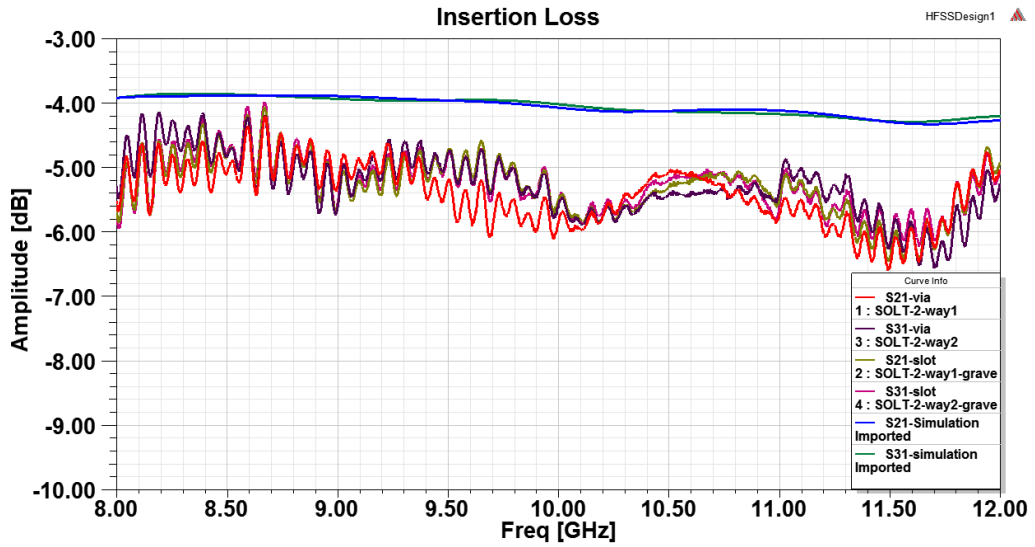


Figure 5-16: Insertion loss performance of the 2-way dividers

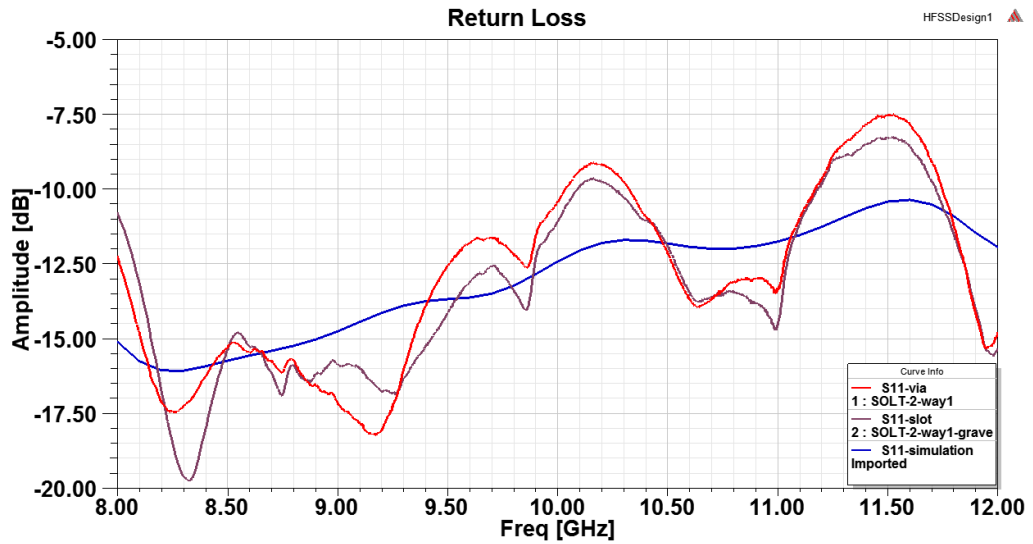


Figure 5-17: Return loss performance of the 2-way dividers

TRL calibration is held for the 2-way divider as well, in order to observe SIW power divider characteristics without the effects of the connectors and microstrip parts. Since these parts are same with thru line design, previous TRL calibration was used for 2-way divider measurements. Results are presented in Figure 5-18 for both the insertion and the return losses. As it can be seen from the figure, performance of the divider degrades towards the end of the measurement band. Despite this degradation, desired 2-way divider is obtained for 8-11 GHz frequency band. Through this region, average insertion loss is 3.75 dB. Taking

the fact that the SIW thru line has 0.5 dB insertion loss into consideration, it can be stated that a quite efficient division is obtained with the E-plane SIW divider.

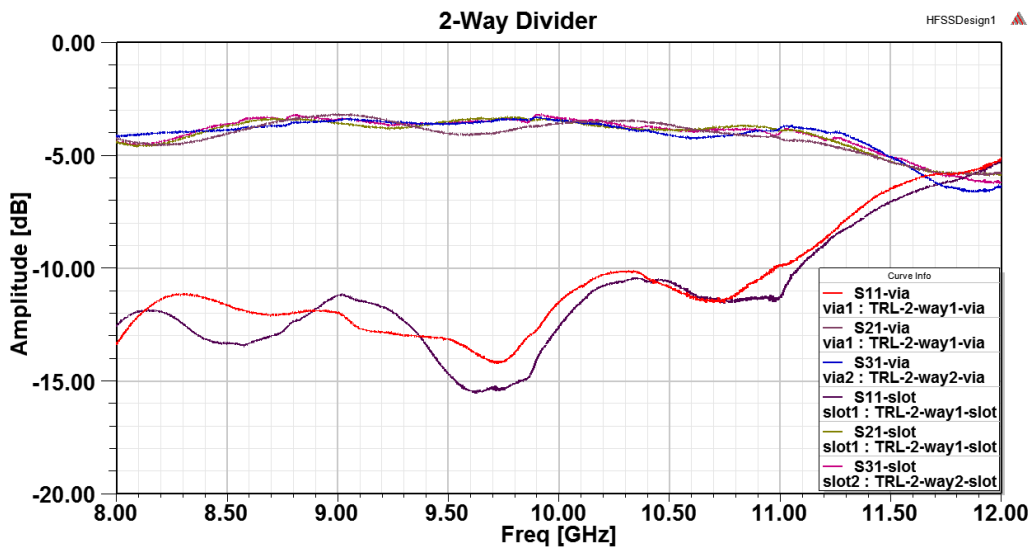


Figure 5-18: Measurement results of the 2-way divider with TRL calibration

Amplitude and phase unbalances are another important parameters for the divider performance. Since this divider is intended to be used in antenna feed network, special interest is undertaken for the divider fabrication by keeping the symmetry and equating the line lengths. Measured unbalance values are plotted in Figure 5-19 with the simulation results. Since the simulation results do not reflect the production deficiencies and tolerances as well as the connector mounting effects, unbalance values for the simulation results are very low with respect to measurement results. Nevertheless, measured unbalance values are still at acceptable level for a 2-way divider design. The amplitude unbalance is less than  $\pm 0.6$  dB and the phase unbalance values are  $8^\circ$  at most by taking into account  $180^\circ$  phase difference between E-plane divider ports. TRL calibration imperfectness and connector mounting deficiencies are expected to be sources of this  $4^\circ$  shift for the phase unbalance values.



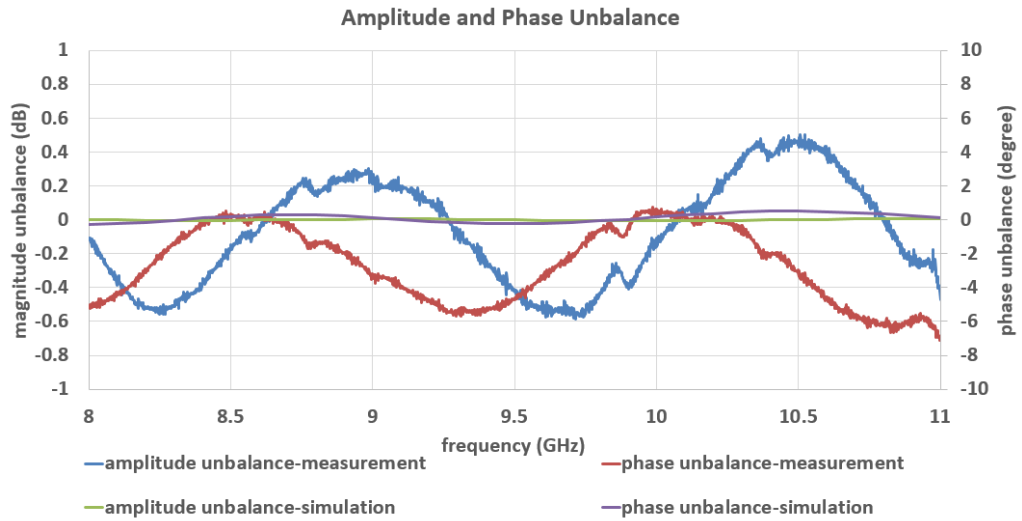


Figure 5-19: Amplitude and phase unbalance of the 2-way divider

For the 4-way divider, same fabrication and mounting methods are applied. Since the 4-way divider contains 3 coupling slots, mounting screws are placed accordingly. Also, division arms are shortened in order to decrease the coupling between the neighbour arms. 4-way divider layers are presented in Figure 5-20 and 5-21, before mounting.

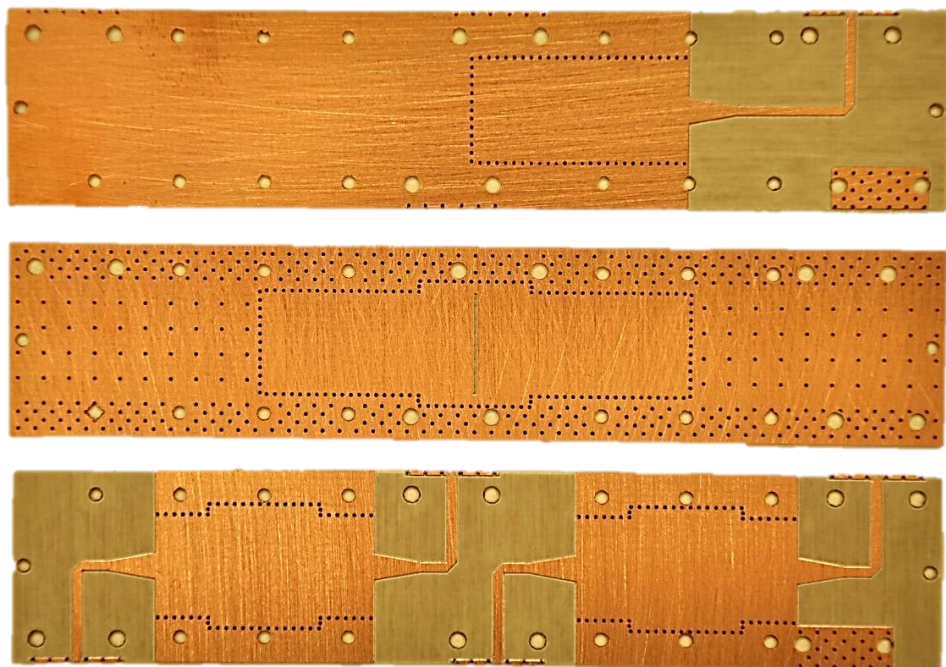
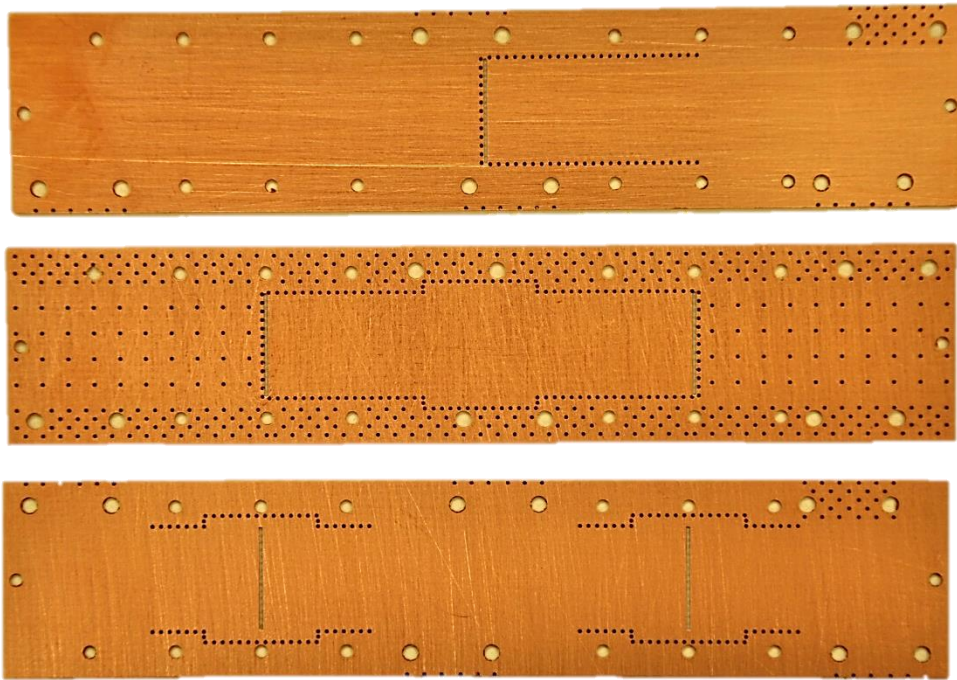
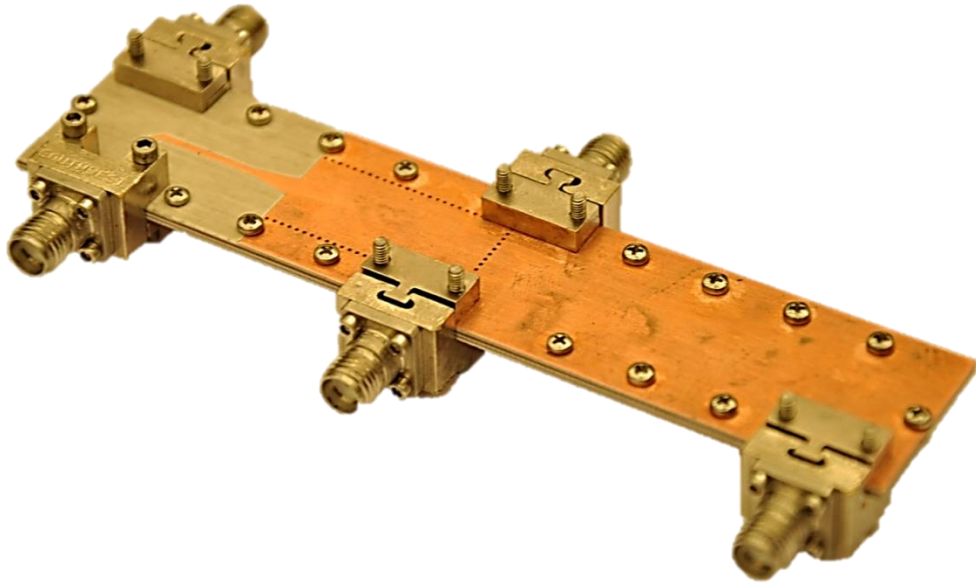


Figure 5-20: Outer surfaces and middle layer of the 4- way divider

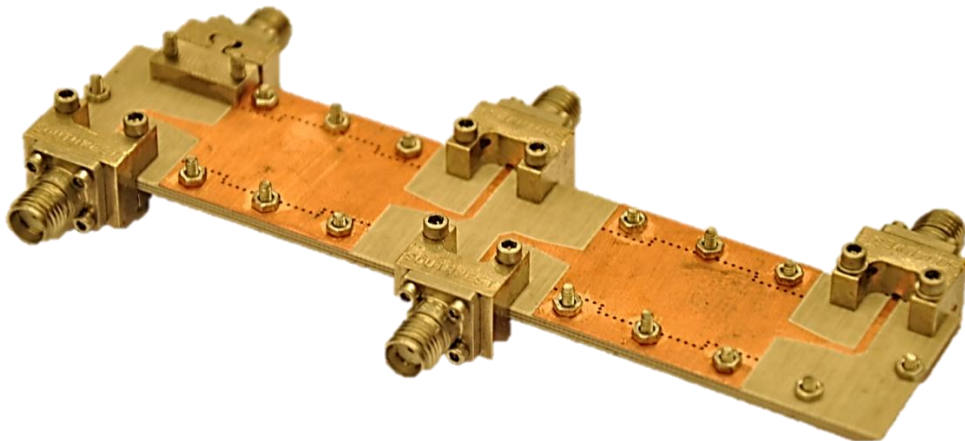


*Figure 5-21: Inner surfaces and middle layer of the 4- way divider*

With this 3-layer 4-way structure, quite thin divider with the same width with the designed thru line is obtained as revealed in Figure 5-22 and 5-23. With this structure, length and width of the divider are exactly the same with the proposed thru line design. Due to the 4-layer design, thickness of the divider is equal to 4 times of the thickness of the thru line design which is only 2.3 mm.



*Figure 5-22: Top view of the 4-way divider*



*Figure 5-23: Bottom view of the 4-way divider*

Measurement results are plotted in Figure 5-24 and 5-25 with the simulation results. Mismatch oscillations and insertion loss degradations are caused by the connector mounting and microstrip to SIW transition part as in the 2-way divider design. By applying TRL calibration, these effects are removed and pure SIW characteristics are obtained.

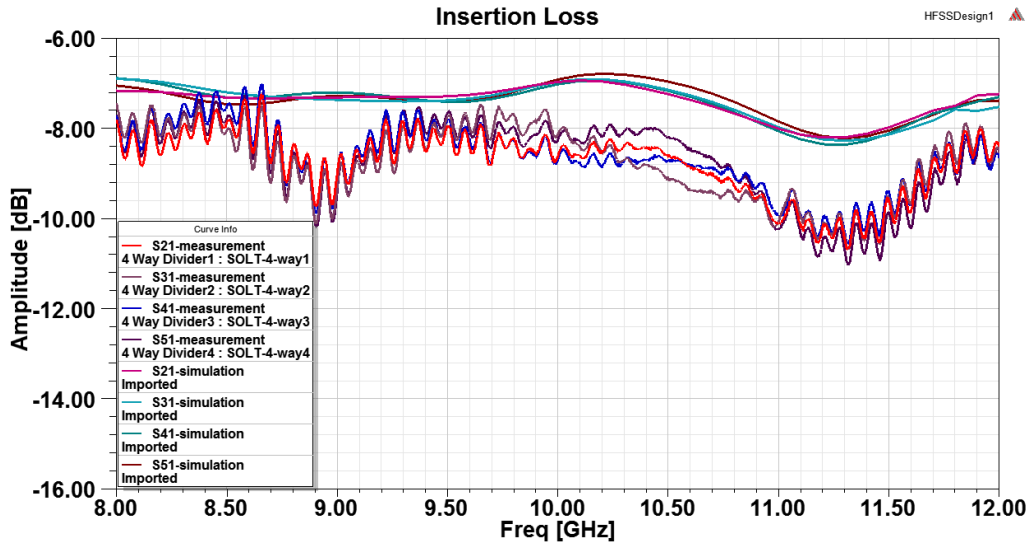


Figure 5-24: Insertion loss characteristics of the 4-way divider

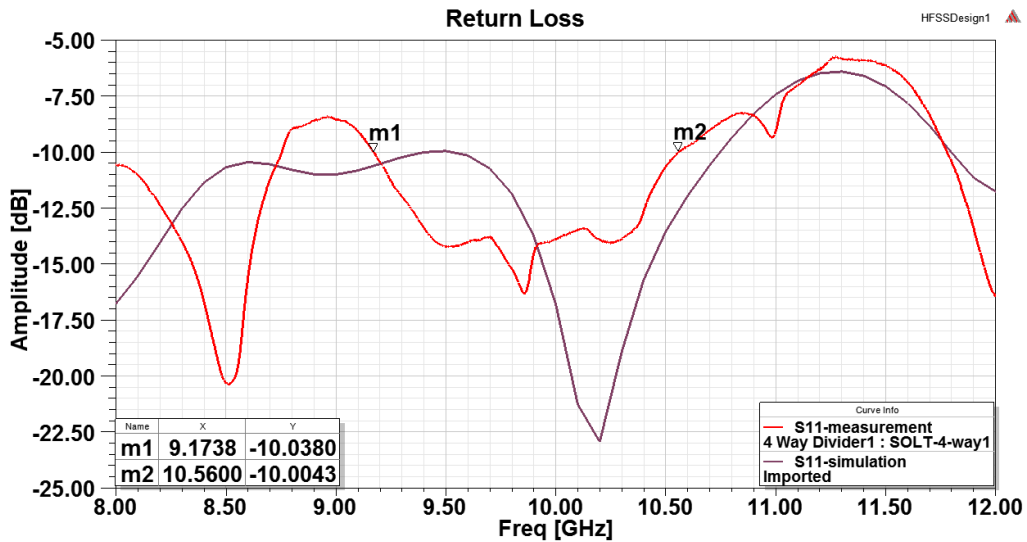


Figure 5-25: Return loss characteristics of the 4-way divider

Since the division arms of the 4-way divider is different from the thru line design, calibration standards for 4-way divider are separately designed. Measurement results after TRL calibration are plotted in Figure 5-26 and 5-27. As marked in the return loss plot, input matching is obtained in 9.3- 10.5 GHz band for the fabricated design. After TRL calibration, average insertion loss of the 4-way SIW divider for this frequency band is found to be 6.85 dB. Considering the fact that the thru line has 0.53 dB insertion loss with TRL calibration, it can be said that the proposed divider design is very effective in terms of loss performance.

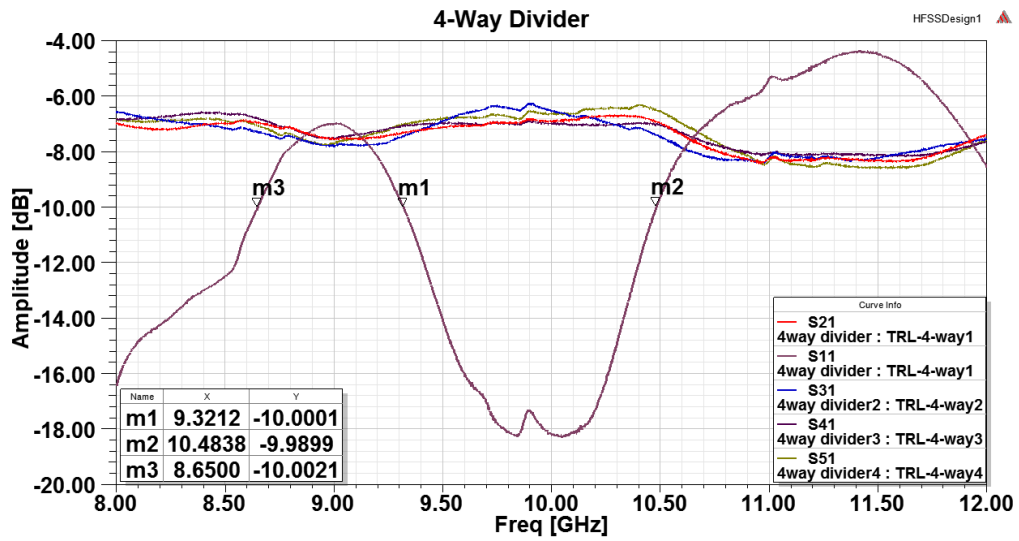


Figure 5-26: Insertion loss characteristics of the 4-way divider with TRL calibration

Within the obtained frequency band, amplitude and phase unbalances are calculated for the fabricated 4-way divider. In Figure 5-27, measured unbalance values are presented with the simulation results. From the fabricated design, below 1 dB amplitude unbalance and below 8° phase unbalance are obtained for the entire band. For these unbalance values, it is evaluated that whether they are tolerable or not with the help of the measurement results of the array design.

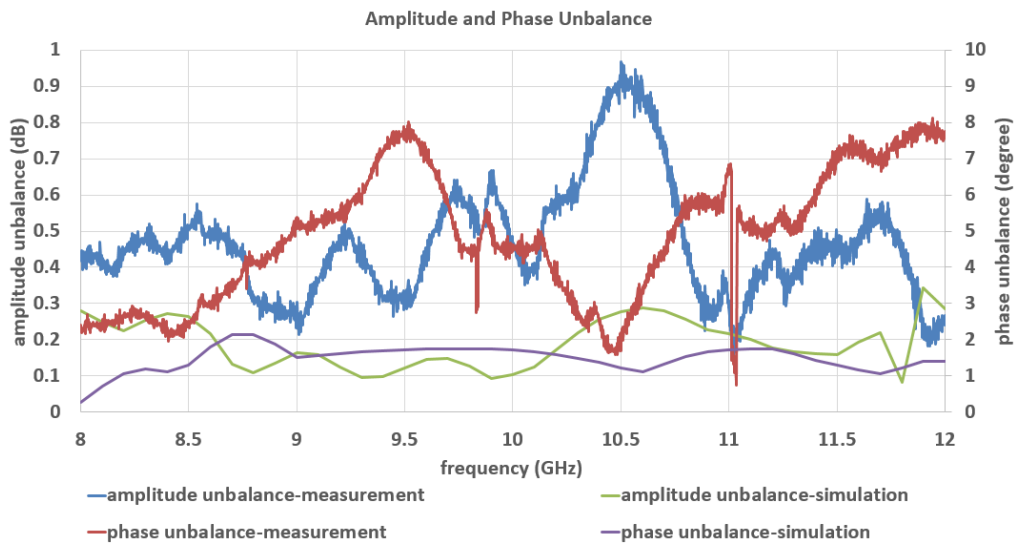
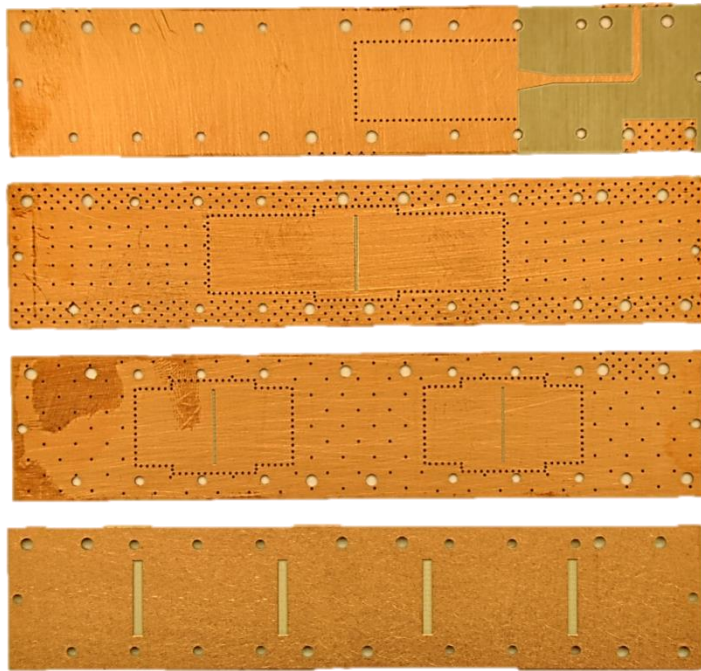


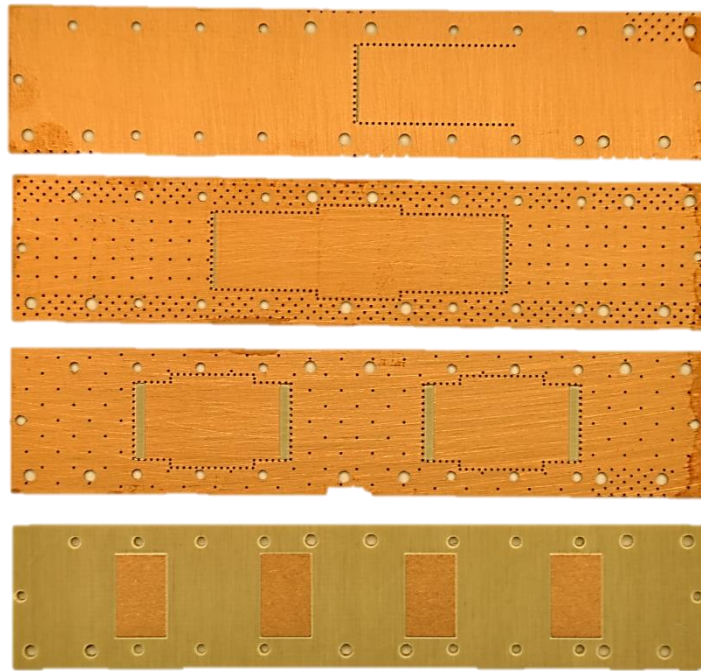
Figure 5-27: Amplitude and phase unbalance of the 4-way divider

### 5.3. Fabrication of the Patch Antenna Array with SIW Feed Network

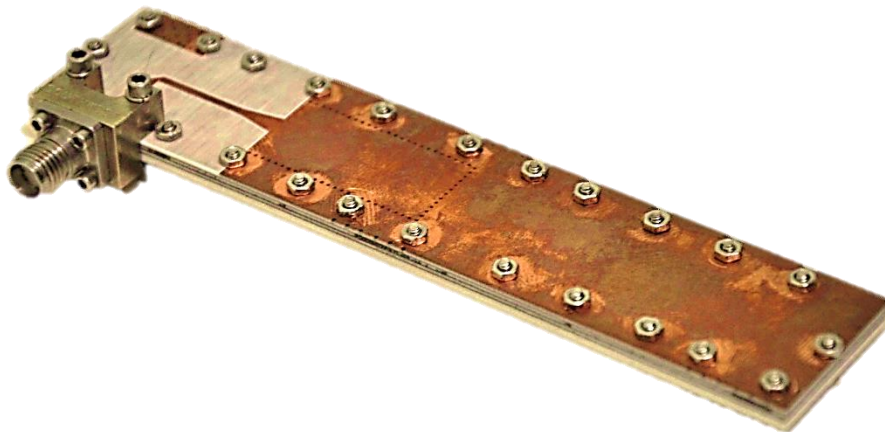
Fabrication process ends up with the antenna array production. Figure 5-28 and 5-29 illustrate the antenna and feed network layers. All layers have the same dimensions in length and width. For entire structure, RO4003 material is used and thicknesses are 20 mil for feed layers and 60 mil for antenna layer. With this layers, antenna system is constructed as it can be seen in Figure 5-30 and 5-31.



*Figure 5-28: Top view of the antenna array and feeding structure layers*



*Figure 5-29: Bottom view of the antenna array and feeding structure layers*



*Figure 5-30: Top view of the antenna array network*

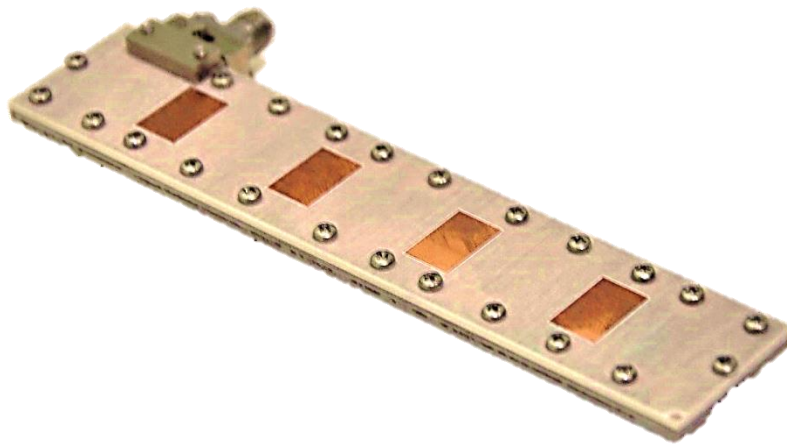


Figure 5-31: Bottom view of the antenna array network

Initial measurement is held for the return loss characteristics of the fabricated design and results are plotted in Figure 5-32. Input matching is obtained for 200 MHz band with fabricated design while it is 450 MHz for the simulation. Due to the fabrication tolerances, center frequency of the antenna array is shifted to the right.

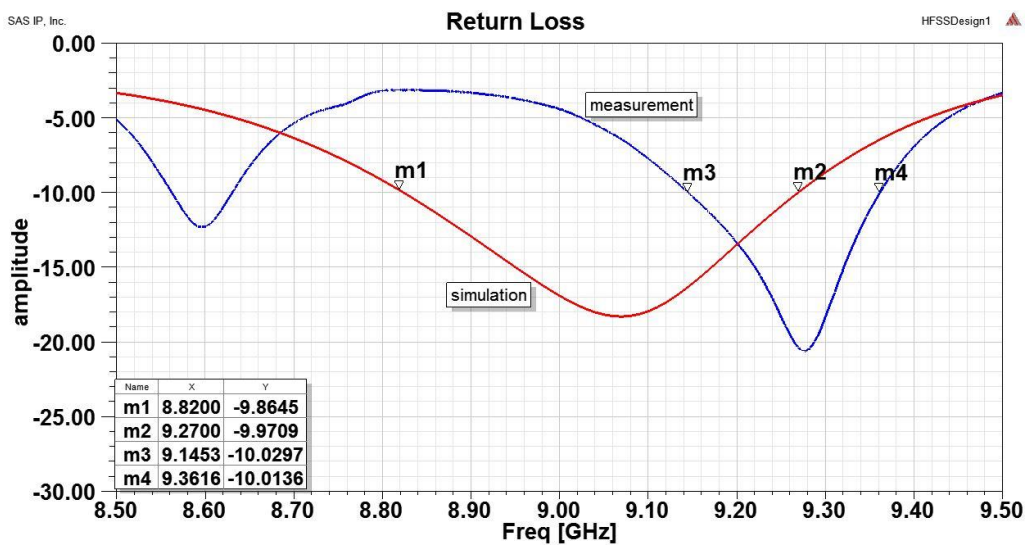


Figure 5-32: Return Loss measurement of the Antenna Array

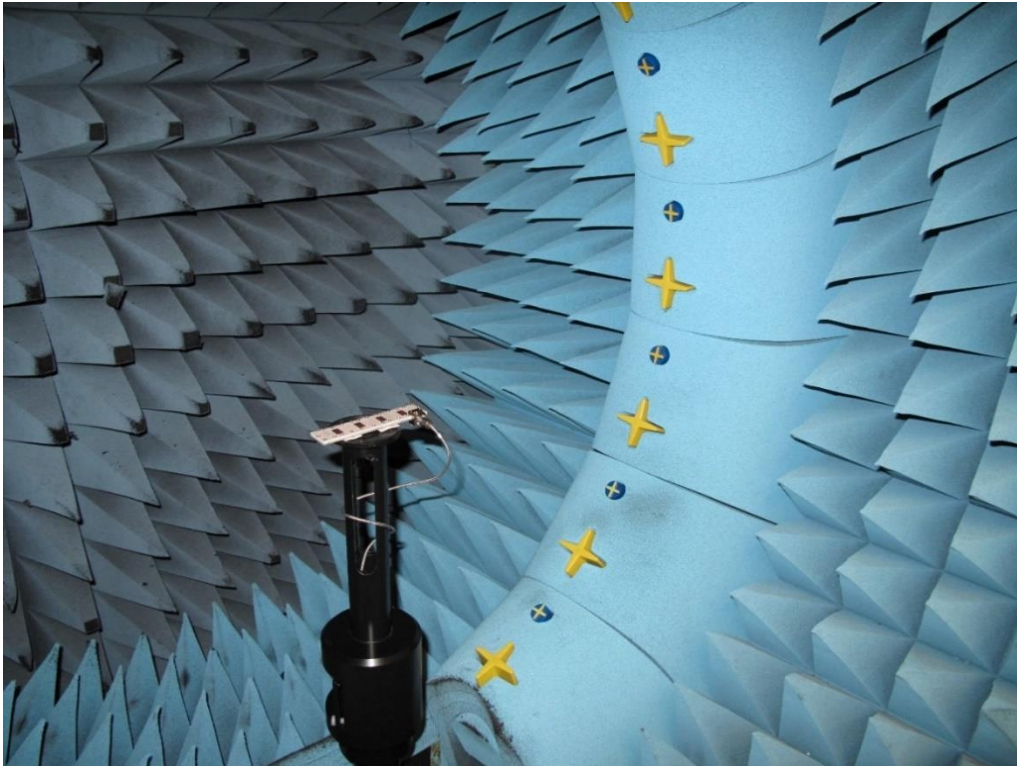
For the radiation characteristics of the product, Satimo’s Starlab spherical near field antenna measurement system [44] is used as shown in Figure 5-33. The far field characteristics is obtained with the system which measures the near field



characteristics and then evaluate them for the far field response. Placement of the antenna and the measurement probes can be seen in Figure 5-34.



*Figure 5-33: Satimo'sStarlab product [44]*



*Figure 5-34: The antenna placement and the measurement probes of the near field measurement system*

Boresight gain of the array is measured for 8.5-9.5 frequency band. As plotted in Figure 5-35, array gain is above 10 dB between 9.1-9.3 GHz. With this gain performance antenna radiates effectively for 200 MHz band.

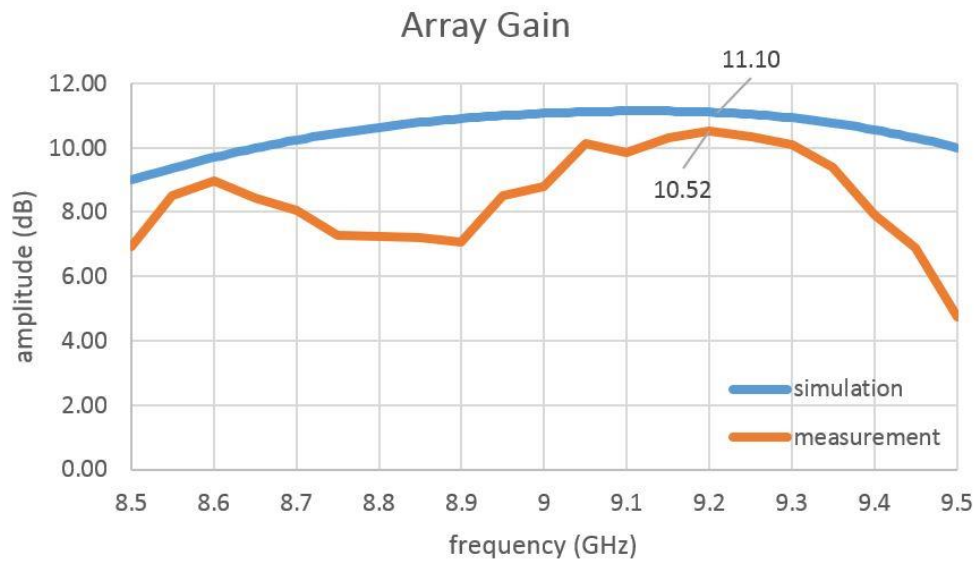


Figure 5-35: Characteristics of the array gain for 8-10 GHz band

The antenna gain is maximum at 9.2 GHz with 10.52 dB gain while the simulation results shows 11.10 dB gain for the same design. The simulation and the measurement results for the radiation pattern is plotted together in Figure 5-36. E-plane and H-plane beamwidths are measured 18° and 70°, respectively. Side lobe and back lobe levels are measured as 11 dB and 21 dB, respectively.

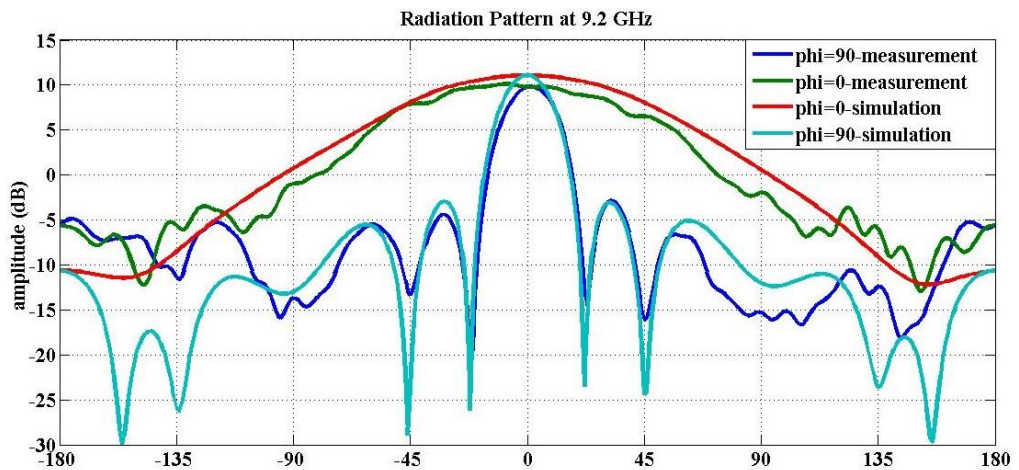


Figure 5-36: Radiation patterns for the simulation and the measurement



## CHAPTER 6

### CONCLUSIONS AND FUTURE STUDIES

This study describes the antenna array and the feed network design based on the SIW power divider. Starting with the formation of the SIW transmission line by making use of the basic design criteria in the literature, a valid waveguide is constructed in planar form. Acquired SIW is transformed to a transmission line with microstrip measurement ports by integrating it with the microstrip-to-taper transitions. At the end of the process, a hybrid line including both SIW and microstrip transmission media is obtained. The simulations reached 0.8 dB insertion loss for this 10-cm hybrid line while this value is around 1.7 dB for measurements due to the connectors that are not modelled. Fortunately, effects of the connectors and microstrip parts are extracted from the measurement with TRL calibration and pure SIW insertion loss performance for 5-cm line is obtained as 0.5 dB for the entire X-band region.

E-plane SIW power divider is derived from the basis of the SIW thru line design. Through the coupling slot between the layers, 2-layered E-plane SIW power divider is achieved for 8-11 GHz frequency band. For this 3 GHz band, total divider loss in the SIW media is about 3.75 dB while the thru line of the same length with this divider has 0.5 dB loss. The same design steps are applied for 4-way power divider design with 3-layered structure. A matched divider is achieved in 9.3-10.5 GHz band with 6.85 dB insertion loss.

For the usage of the SIW divider in the antenna feed network, the output layer of the 4-way divider design is modified and the microstrip output ports are replaced with the radiation slots. With this step, the feed network design has been completed and 4-elements antenna array layer is mounted upon it. At the end, compact antenna array and feed network is obtained by keeping their lengths and widths the same by joining the layers one under the other. Antenna measurement results validate the design with the results of 10.5 dB boresight gain.

The study contributes to the relevant literature by revealing the feasibility of an E-plane SIW feed network for a microstrip antenna array. Through the study, SIW thru line, 2-way and 4-way power divider designs supplement the main propose with the help of the previous studies. With this design, various SIW components have been designed and produced while the step-by-step representation of the design has simplified the process.

Future study in this particular subject may bring about further improvements some of which are given. Feeding larger number of antenna elements in array through SIW network by increasing the number of the division might be a future study. With n-layered structure,  $2^{n-1}$  division factor can be obtained as long as the input matching is provided. Regardless of the division number, structure would have exactly the same dimensions in length and width with the array dimensions while only the depth increases with the increasing number of layers. Another contribution might be an unequal SIW power divider design. By this means, non-uniform feeding for antenna array can be obtained with SIW network.

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