

PRODUCTION AND CHARACTERIZATION OF SURFACE TREATED
BIOMEDICAL Ti6Al7Nb ALLOY FOAMS

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ABSTRACT

PRODUCTION AND CHARACTERIZATION OF SURFACE TREATED BIOMEDICAL Ti6Al7Nb ALLOY FOAMS

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The current study was undertaken to investigate the production and surface processing of biomedical Ti6Al7Nb alloy foams. Space holder method was utilized to manufacture foams with varying porosities around 53.0-73.0 vol. % via evaporation of magnesium from compacted Ti6Al7Nb-Mg powder mixtures. Bioactivities of foam surfaces were further enhanced by obtaining sodium rich phases using NaOH alkali-heat treatment method. Moreover, untreated and surface treated foams were tested in simulated body fluid (SBF) in order to investigate the apatite formation and compare the bioactivities of the surfaces.

It has been observed that Ti6Al7Nb alloy foams with average pore size of 200 µm can be considered as suitable materials for biomedical applications due to their mostly interconnected open porous structures. Quasi-static compression tests showed that stress-shielding problem may be alleviated by use of foams having porosities in the range 63.0-73.0 vol. % because of their elastic moduli close to that of bone. The relation between mechanical properties and macro porosity contents of foams was observed to obey power law relation in which the proportionality constant and the

exponent reflected the structure and properties of micro porous cell walls and macropore character of foams.

Heat treatment of foams at 600 °C, subsequent to immersion in 5 M NaOH solution at 60 °C, provided transformation of sodium titanate hydrogel, $\text{Na}_x\text{H}_{2-x}\text{Ti}_y\text{O}_{2y+1}\cdot n\text{H}_2\text{O}$, layer into more stable sodium titanate, $\text{Na}_2\text{Ti}_y\text{O}_{2y+1}$, phase. NaOH alkali-heat treated surfaces allowed hydroxyapatite, $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$, formation in shorter time and exhibited superior bioactivities during SBF tests.

Keywords: Ti6Al7Nb Alloy Foams, Mechanical Properties, Biomaterials, NaOH-alkali and Heat Treatment, Simulated Body Fluid

ÖZ

YÜZEY İŞLEMİ GÖRMÜŞ BİYOMEDİKAL Ti6Al7Nb ALAŞIM KÖPÜK MALZEMELERİNİN ÜRETİMİ VE KARAKTERİZASYONU

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Bu çalışma, biyomedikal Ti6Al7Nb alaşım köpüklerinin üretimini ve yüzey işlemlerini ele almıştır. Preslenmiş Ti6Al7Nb-Mg toz karışımlarından magnezyumun uçurulmasıyla % 53.0-73.0 arasında değişen gözeneklere sahip köpük malzemelerin üretimi için boşluk yapıcı yöntem kullanılmıştır. Köpük yüzeylerinin biyoaktivitesi, NaOH alkali-ısıtma işlem yönteminin uygulanıp, sodyumca zengin fazların oluşturulmasıyla iyileştirilmiştir. Ayrıca, apatit oluşumunu incelemek ve yüzeylerin biyoaktivitelerini karşılaştırmak için alkali işlem yapılan ve yapılmayan köpük malzemeler yapay vücut sıvısında (YVS) test edilmişlerdir.

Ortalama 200 µm gözenek boyutuna sahip Ti6Al7Nb alaşım köpüklerinin birbirine bağlı, çoğunlukla açık gözenekli yapıları sayesinde biyomedikal uygulamalar için uygun oldukları görülmüştür. Yarı-durağan basma testi, elastik modülü kemiğin elastik modülüne yakın olan % 63.0-73.0 gözenekli köpüklerin kullanımının “gerilim perdelemesi” sorununu azaltabileceğini göstermiştir. Üretilen köpüklerin mekanik özellikleri ile makro gözenek miktarları arasındaki ilişkinin üssel olarak değiştiği görülmüştür. Denklemdeki üs ve oran katsayıları mikro gözeneklere sahip hücre

duvarlarının yapısı ve özellikleri ile köpüklerin makro gözenek karakterini yansıtmıştır.

Köpüklerin 5 M NaOH çözeltisinde 60 °C'de bekletilmelerinin ardından 600 °C'de uygulanan ısı işlem, sodyum titanat hidrojel, $\text{Na}_x\text{H}_{2-x}\text{Ti}_y\text{O}_{2y+1} \cdot n\text{H}_2\text{O}$, tabakasının daha kararlı sodyum titanat, $\text{Na}_2\text{Ti}_y\text{O}_{2y+1}$, fazına dönüşmesini sağlamıştır. NaOH alkali-ısı işlem yönteminin uygulandığı yüzeyler, YVS testleri sırasında daha kısa zamanda hidroksiapatit, $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$, oluşumuna imkan sağlamış ve daha üstün biyoaktif özellik göstermiştir.

Anahtar Kelimeler: Ti6Al7Nb Alaşım Köpükleri, Mekanik Özellikler, Biyomalzemeler, NaOH-alkali ve Isıl İşlem, Yapay Vücut Sıvısı

To my beloved family

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CHAPTER 1

INTRODUCTION

In several application fields, there has been a growing interest in using titanium and its alloys instead of traditional metallic materials due to their outstanding properties. Their relatively low densities, easy formabilities, low creep rates and withstanding high temperatures enable frequent usage of them in aerospace applications such as fan-jet engines, sandwich cores, fan disc and blades. Also, great corrosion resistance of titanium makes it desirable in marine and offshore structures. Besides these practices, titanium and its alloys are also utilized in the biomedical applications. When considering the great combinations of excellent corrosion resistance, mechanical properties and superior biocompatibilities, it is not surprising that titanium has been used as a first choice of metallic material for biomedical applications.

However, it is concluded in previous studies that elastic moduli differences between implant material and bone may cause failure in load transmission for prolonged usage under repetitive loading. Therefore, the inconsistency in elastic moduli values, which leads to ‘stress-shielding problem’, finally causes bone resorption and implant loosening at the end. A suggestion to overcome this drawback could be the introduction of pores to the dense structure. In this regard, open cell metal foams offer a number of advantages over fully dense bulk materials such that comparatively lower elastic moduli enables to balance the mechanical stability between bone and implant materials and as a result the stress shielding problem may be eliminated or alleviated. Accordingly, metallic porous materials, especially titanium foams, can yield desired mechanical properties as well as providing suitable place for bone growth. Therefore,

majority of researches has been focused on the manufacturing of metallic foams due to aforementioned reasons. Liquid state and solid state processing are the two basic approaches currently performed to produce metallic foams. In liquid state sintering, desired porous structure is generally produced by melting process through the use of metals having low melting temperature like Al, Zn and Mg instead of Ti whose melting temperature is relatively high. Because of that, titanium foams can be produced by means of space holder method which is one of the most convenient and practical solid state processing methods and enables close control of porosities in addition to the mechanical properties without excessive oxidation.

The space holder method allows direct fabrication of porous structure with relatively homogenous, interconnected high level of porosity through the evaporation of spacer particles. Additionally, it enables great control over pore size, its distribution and porosity content, which is ensured by selecting the right spacer because morphology and structure of the pores primarily depend on the size, amount and shape of the starting spacers. Carbamide (urea), ammonium hydrogen carbonate, polymeric granules and metallic powders like magnesium are the spacers which are employed in current practices and removed from the system by evaporation during heating.

Although the interconnected porous structure presented in titanium foams alleviates the biomechanical incompatibility, the major concern is the inadequate bonding ability of the surfaces, which is called insufficient osseointegration. In order to achieve both physical and chemical attachment of bone tissues to porous surfaces, surface chemical composition and structural properties of foams should be adjusted by additional surface modifications to improve bioactivities of surfaces. Because of that, surface processing has been gaining importance for improvement of structural and functional properties of implant materials. Additionally, the surface chemical composition of titanium becomes the subject of debate due to the possibility of releasing some elements from Ti-based alloy to the human body fluid. Alloying elements like vanadium and aluminum are thought to be the potential toxic elements which may cause allergic and adverse reactions in human body. Therefore, newly improved Ti-

based alloys such as Ti6Al7Nb containing toxic-free elements like Nb have been preferred as biocompatible alternatives.

On the other hand, various surface modification methods namely; physical, mechanical and chemical treatments have been introduced to create a surface layer, which improve osseointegration or the bone tissue attachment on the porous titanium surfaces. However, either mechanical treatments that provide highly rough surfaces or physical methods requiring expensive and complex equipment are not frequently used in surface activation of porous titanium alloys due to their complex geometries. Probably, the most promising technique is the chemical treatment used to form a homogenous bioactive layer on porous titanium.

Chemical treatments can be divided into four main subgroups; acid, hydrogen peroxide (H_2O_2), sol-gel and alkali treatments. Because of providing a number of advantages such as applicability to structure having complex geometry, i.e. porous structure, simplicity and easy to control the process parameters, alkali treatment is mostly used to obtain homogenous and uniform coating on porous titanium surfaces. The method includes two interrelated major stages. Firstly, porous titanium substrates are immersed in NaOH-alkali solution having various concentrations (2-10 M) at various temperatures (60-100 °C) to obtain bioactive titanate layer called sodium titanate hydrogel ($Na_xH_{2-x}Ti_yO_{2y+1}.nH_2O$). Secondly, subsequent heat treatment is performed to get mechanically stable crystalline bioactive titanate ($Na_2Ti_yO_{2y+1}$) layer at different temperatures (500-800 °C) and environments (in air, argon or vacuum). After alkali and heat treatment, bioactivities or osseointegration properties of porous titanium are enhanced by the formation of bioactive sodium titanate phase which allows hydroxyapatite precipitation in the body environment.

Although extensive research has been carried out on the formation of bioactive titanate layer on titanium and its alloy surfaces, no detailed study exists which adequately covers the production and characterization of surface activated porous Ti6Al7Nb alloys.

In this regard, the present study searches remedies for the mentioned problems, namely, stress shielding and insufficient osseointegration encountered in Ti6Al7Nb alloys by production of highly porous Ti6Al7Nb alloys using magnesium powder and by applying NaOH-alkali and subsequent heat treatment, respectively. Accordingly, the resultant bioactive surfaces are believed to integrate and form biologically stable bond with living bone. Therefore, the bioactivities of untreated and surface treated porous samples are predicted through the use of in vitro tests by checking the “apatite-forming ability” (AFA) – that is, the occurrence sooner or later of an apatitic layer on the implant by immersing in simulated body fluid (SBF) with ion concentrations nearly equal to those of human blood plasma.

This thesis consists of five main chapters that give information about the topics covered in the study. Chapter 2 is subdivided into various parts to present a detailed background about the main components of the study. First of all, properties of titanium and its alloys and the requirements for biomaterials are being explained. Then, production methods of porous materials and their mechanical responses under compressive loading are discussed in addition to properties of cellular metallic foams and their application areas. Apart from these, various surface modification techniques and structure of bone together with in vitro studies are also presented in Chapter 2. In Chapter 3, properties of materials used in the current study, details of space holder method utilized in production of Ti6Al7Nb alloy foams, application of NaOH alkali and subsequent heat treatment process and in vitro studies are explained in detail. Additionally, this chapter also includes the characterization techniques utilized in the current study. Chapter 4 assesses the experimental results which include structure and mechanical behavior of foams, the preliminary studies about surface processing of bulk samples and application of alkali treatment to porous Ti6Al7Nb samples using optimized processing variables derived from preliminary studies. Moreover, results and discussions of in vitro studies which make use of SBF have been presented in this chapter. Finally, conclusions together with the future works are given in Chapter 5.

CHAPTER 2

THEORETICAL BACKGROUND

2.1 Titanium and Its Alloys

Titanium is a transition element having atomic number and atomic weight 22 and 47.9 g/mol, respectively. It was first discovered by William Gregor in 1791 and rediscovered in the form of rutile ore by Martin Heinrich Klaproth and entitled as Titans of Greek mythology. Although the production method of titanium called Kroll's process is an expensive method and requires great effort and complex equipment, its usage has been widely increased recently due to its unique properties; light weight, high biocompatibility, relatively high specific strength and most importantly high corrosion resistance owing to formation of stable oxide layer on the surface [1].

Titanium exists in two different crystallographic forms, namely, hexagonal closed packed (hcp) α -phase, stable at room temperature and body centered cubic (bcc) β -phase seen above 882.3 °C, which is defined as beta transus temperature [1,2]. Schematic unit cell representations of hcp α -phase and bcc β -phase of titanium are shown in Figure 2.1.

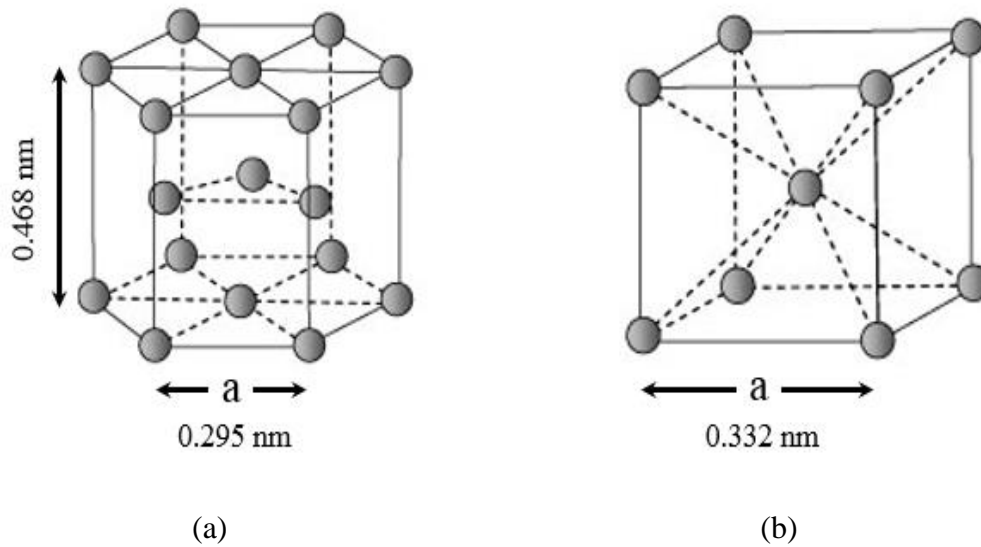


Figure 2.1 The crystal structure of titanium (a) hcp α phase and (b) bcc β phase [3].

Based on their room temperature microstructure, titanium and its alloys can be divided into five categories as α , near- α , $\alpha+\beta$, metastable β or stable β alloys. Thereby, based on their effect on β -transus temperature, alloying elements are categorized as α stabilizer, β stabilizer and neutral as shown in Figure 2.2 [4–6]. Al and interstitial elements like O, C, and N are generally defined as α -stabilizers. Increase in α -stabilizer concentration in the structure can cause the expansion of α -phase region in the phase diagram, thereby result in an increase in the β transus temperature [4]. On the other hand, depending on the solubility of alloying elements, β stabilizers are divided into two groups; β isomorphous (V, Mo, Nb, Ta) and β eutectoid (Fe, Mn, Cr, Ni) elements [4]. In contrast to α stabilizer, β transus temperature is inversely affected by increasing β stabilizer content such that an increase in β -stabilizing elements leads to formation of single β phase region (Figure 2.2). Additionally, $\alpha+\beta$ region can be enlarged by increase in contents of both α and β alloying elements. On the other hand, Zr and Sn alloying elements are categorized as neutral because they have no effect on β -transus temperature (Figure 2.2) [4–6].

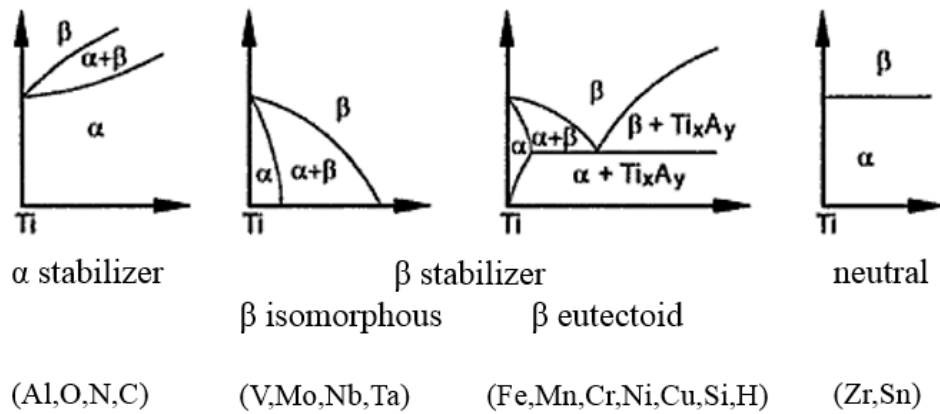


Figure 2.2 Schematic representation of the effect of alloying elements on phase diagrams of titanium alloys [5].

Besides, mechanical properties of titanium and its alloys mainly depend on their composition and the relative amount of α and β phases in the microstructure [6]. For example, α -titanium containing alpha stabilizers (Al, O) exhibits good creep resistance at elevated temperatures. However, the major limitation of α -alloys is their non-heat treatable nature so that mechanical properties cannot be easily controlled. On the other hand, β -titanium can easily be deformed even at lower temperatures due to its bcc structure [1,2,7]. In contrast to α -alloys, properties of $\alpha+\beta$ alloys can be adjusted easily so that great combination of desired properties such as high tensile strength and fracture toughness can be obtained [2]. Ti5Al2.5Fe, Ti6Al4V and Ti6Al7Nb alloys are most frequently used well-known $\alpha+\beta$ titanium alloys. [1,3]. Common application areas of titanium alloys having various microstructures are listed in Table 2.1.

Table 2.1 Application areas of most common titanium alloys [8].

Material	Microstructure	Application areas
pure Ti	α	Airframes, heat exchangers, surgical implants, marine
Ti-6Al-4V	$\alpha+\beta$	Aircraft turbines, pressure vessels, gas and chemical pumps, steam turbine blades, biomedical applications
Ti-6Al-7Nb	$\alpha+\beta$	Biomedical applications
Ti-5Al-2.5Fe	$\alpha+\beta$	Heavy airframe structural components
Ti-5Al-3Mo-4Zr	$\alpha+\beta$	Component for advanced jet engines
Ti-13Nb-13Zr	near β	Orthopedic implants
Ti-15 Mo	β	Corrosion resistance required field, marine, medium temperature, high strength forging
Ti-15 Mo-5Zr-3Al	β	

2.1.1 Titanium as Biomaterial

Recent developments in the field of biomedical applications have led to frequent use of titanium and titanium alloys because of their unique combination of properties like high biocompatibility, high corrosion and wear resistance as well as the mechanical properties similar to that of bone [4]. The biomedical applications of titanium and its alloys include dental implants and parts for orthodontic surgery, joint replacement parts, screw-shaped bone fixation devices, artificial vascular stents, surgical instruments and components in high-speed blood centrifuges, Figure 2.3.

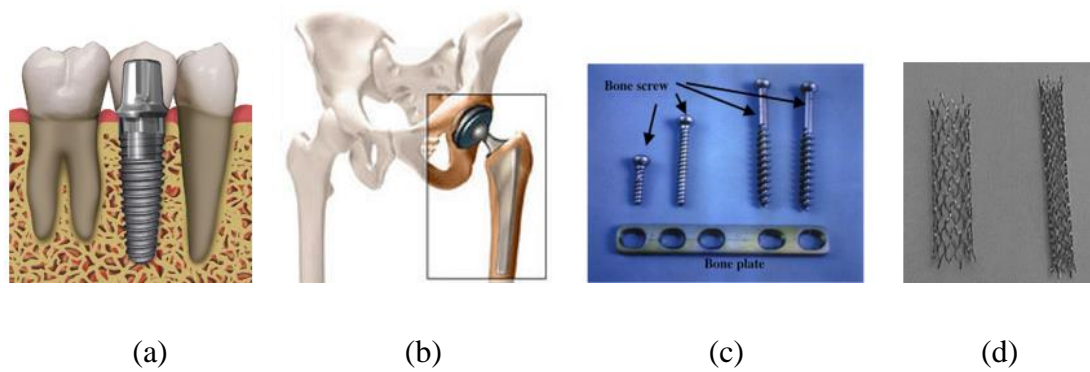


Figure 2.3 Titanium and its alloys used in various biomedical applications; (a) dental implants, (b) hip joint replacement, (c) bone screws and plate and (d) artificial vascular stents [7,9].

An implant material including titanium should possess the following attributes in order to serve for longer period in the body without rejection:

- Mechanical properties similar to bone,
- High biocompatibility,
- High corrosion and wear resistance,
- Satisfactory osseointegration.

- **Mechanical properties**

Among the biomaterials, ceramics and polymers are the first choice materials due to their high biocompatibility properties. Ceramics; however, have low fracture toughness so that they are not suitable for load bearing or repeated cyclic loading applications. Meanwhile, polymers have insufficient strength and cannot withstand to high loads despite their low densities. Consequently, usage of ceramic and polymeric materials in load bearing applications is not preferred due to their insufficient mechanical properties [8,10].

Although metallic materials possess greater mechanical strength under load bearing applications, they suffer from elastic modulus mismatch problem which is called as biomechanical incompatibility. The entire mechanical load is carried by the bone alone

before implantation but the problem arises when the implant material is placed in dense skeleton structure and carries most of the load in the body. In order to get uniform distribution of stresses at bone implant interface, a successful implant should possess close elastic modulus to that of bone (1-40 GPa). However, when bone's stiffness is lower than the implant material as schematically shown in Figure 2.4(a), stress cannot be delivered directly to the bone and stress concentration occurs around implant material, Figure 2.4(b), since most of the load is carried by implant. In further stages, it can lead to bone resorption and implant loosening which is known as stress shielding. Figure 2.4 (b) shows stress shielded zone of hip joint implant material arised due to inadequate load transmission between implant and dense skeleton.

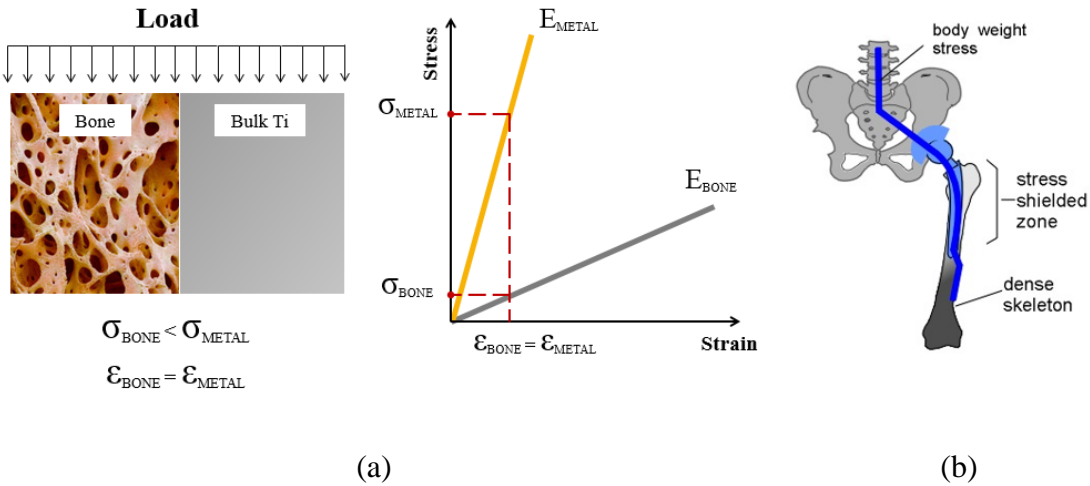


Figure 2.4 Schematic representation of (a) stress shielding and (b) stress shielding zone at hip joint replacement [11].

Figure 2.5 represents the elastic modulus of various biomedical implant materials and bone. Titanium and its alloys present relatively smaller elastic modulus which is approximately half that of Co-Cr alloy (240 GPa) and stainless steel (210 GPa). This makes titanium and its alloys more attractive due to the possibility of formation of less stress shielding effect in hard tissue replacements [9,12,13]. Table 2.2 shows the relationship between mechanical properties and various microstructures obtained as a result of alloying for titanium alloys. Compared to commercially pure titanium, the

presence of alloying elements can lead either to an improvement in mechanical properties, i.e. increase in tensile stress or a decrease in elastic modulus. Although alloying makes elastic modulus differences between titanium and bone small, still further improvement is needed to prevent stress-shielding. As it will be presented in Section 2.2 the elastic modulus of titanium may be tuned and made more compatible to elastic modulus of bone by generation of porosity in the structure.

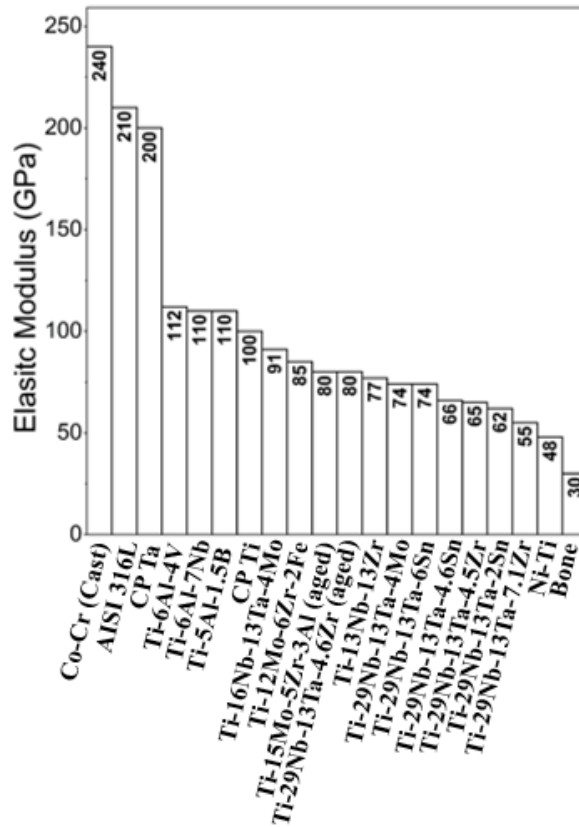


Figure 2.5 Elastic modulus of metallic biomaterials [9].

Table 2.2 Mechanical behavior of titanium and its alloys [9].

Material	Microstructure	Elastic Modulus (GPa)	Tensile Strength (MPa)
Commercially pure Ti	α	100	240-550
Ti-6Al-4V ELI wrought	$\alpha + \beta$	110	860-965
Ti-6Al-4V ELI std. grade	$\alpha + \beta$	112	895-930
Ti-6Al-7Nb Wrought	$\alpha + \beta$	110	900-1050
Ti-5Al-2.5Fe	$\alpha + \beta$	110	1020
Ti-13Nb-13Zr Wrought	Metastable β	79 - 84	973 - 1037
Ti-12Mo-6Zr-2Fe	β	74 - 85	1060 - 1100
Ti-35Nb-7Zr-5Ta	β	55	596
Ti-29Nb-13Ta-4.6Zr	β	65	911
Ti-35Nb-5Ta-7Zr-0.4O	β	66	1010
Ti-15 Mo-5Zr-3Al	β	82	-

- **Biocompatibility**

Biocompatibility is defined as the successful integration of implant material and living tissue without any adverse reaction or undesirable effect on each other [1,9]. It depends on primarily two constituents which are the response of living tissue to material and surface activity of implant material in body environment [9].

Table 2.3 classifies the biomaterials according to their response in body environment. Bioactive materials can successively produce bony tissues so as to form permanent and strong bond between implant and living tissue, while bioreabsorbable materials can easily decompose and be replaced by living tissues [9]. Unlike the bioactive materials like hydroxyapatite (HAP) and bioreabsorbable materials like bone grafts, titanium and its alloys are categorized as biotolerant materials and expected to remain as permanent fixtures in the body. The main drawback of the artificial biotolerant implants like titanium is the formation of fibrous tissue capsules other than bony tissues. Because of that surfaces of biotolerant materials need to be further improved to increase their biocompatibilities to prevent rejection of the implant.

Table 2.3 Classification of biomaterials according to their response in body environment [9].

Classification	Response	Effect
Biotolerant materials	Formation of thin connective tissue capsule (0.1-10 μm) and the capsule does not adhere to the implant surface	Rejection of the implant leading to failure of the implant
Bioactive materials	Formation of bony tissue around the implant material and strongly integrates with the implant surface	Acceptance of the implant leading to success of the implantation
Bioreabsorbable materials	Replaced by the autologous tissue	Acceptance of the implant leading to success of the implantation

- **Corrosion and wear resistance**

Insufficient corrosion and wear resistance lead to possible release of toxic element for long term usage of implant materials in the human body environment [8–10]. For example, plain carbon steel may corrode and cause allergic reactions due to insufficient corrosion resistance. In this regard, selection of proper biomaterial that can be used in body environment should be carefully made.

Relatively low corrosion rate of oxide film on stainless steel, Co-Cr alloy and titanium makes them self-protected in the corrosive environment. While oxide film presents mostly as Cr_2O_3 in stainless-steels and Co-based alloys [14–18], titanium consists of primarily superior corrosive resistant TiO_2 film [14,15,19–23]. The oxide film having 3-7 nm thickness [7] develops in the form of amorphous [24] or crystalline anatase or rutile (TiO_2) phase with tetragonal structure [12,25–27]. Although some studies stated that the oxide layers on Ti-alloys are not different from that on pure Ti, some authors [28–31] stated that the oxide layer in $\alpha+\beta$ alloys (Ti6Al4V or Ti6Al7Nb) composed of the oxides of alloying elements. For example, Ti6Al7Nb alloy presents better corrosion resistance compared to Ti6Al4V alloy due to the formation of Nb_2O_5 , which is chemically more stable, less soluble and more biocompatible compared to V_2O_5 present on Ti6Al4V alloys [8]. Additionally, it was found that V can be potential to

lead cytotoxic effect and allergic reaction in body environment for long service usage period [8]. In fact, there exists various factors that affect the protectiveness of the passive film; which are chemical composition of alloys, the rate of ion transfer through the oxide film, stability of the oxide film against dissolution and spontaneous regeneration of oxide film in milliseconds even after damage.

- **Osseointegration**

Osseointegration is defined as the direct structural and functional connection between implant and living bone without formation of foreign tissue [32]. Artificial implants in vivo may induce several reactions in the biological micro-environment through the interaction of the biomaterial with body fluid, proteins and various cells. These reactions determine the compatibility of the implant material in body environment. When bone tissues do not attach to implant material due to inadequate surface condition, fibrous tissue encapsulates around the material as presented previously. This can give rise to reduction in the mechanical stability between bone and implant so that loosening of implant may be observed [9,13].

It is known that biomaterial's surface plays significant role in development of tissue since it is the first interaction site where biological reactions take place. In this regard, osseointegration ability is directly related to the surface characteristics of material such as chemical composition, surface roughness and topography that may adjust the type and quality of reactions taking place at the interface [9]. Figure 2.6 shows the flowchart of the interaction between living tissue and implant surface within different time intervals [9]. Reactions already begin immediately after the placement of an artificial material into body environment. Subsequently, adsorption of ions and biomolecules (proteins, calcium phosphate) takes place within mili to micro seconds. With increasing time, further absorption of cells (macro-phases, osteoblasts) occurs [9].

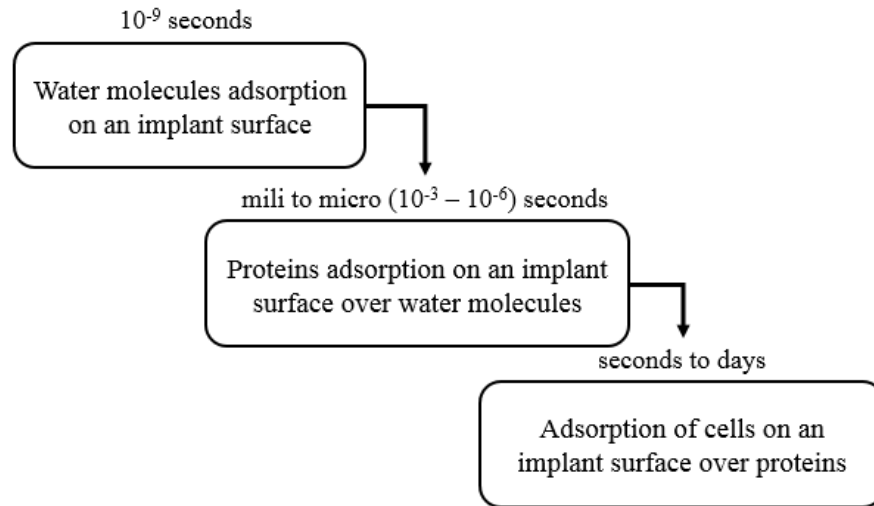


Figure 2.6 Flowchart of the interaction between living tissue and implant surface within different time intervals [9].

It can be concluded that the initial surface condition decides rejection or acceptance of the artificial implant through a series of reactions [9]. However, there is no detailed study about the occurrence of totally free allergic reactions taking place in human body due to implantation of an artificial material. Titanium has been recognized as the bioinert material that cannot react with living tissue or body fluids so that no new bone formation is observed on its surfaces at the early stage of the implantation [12] which highlights the importance of surface modification for titanium alloys.

Consequently, it can be inferred from the previous findings and clinical applications that two major problems, namely, stress shielding and insufficient osseointegration are frequently encountered ones that should be alleviated or solved for titanium alloys to make their implantation and usage in the body safe.

In the following sections the techniques which are utilized to generate pores in biomaterials for eliminating or lessening the stress shielding problem will be presented. Additionally, surface modification of titanium alloys which aims to increase osseointegration properties will be given by a particular emphasis in the surface processing of titanium foams.

2.2 Solid Metal Foams

2.2.1 Cellular Solids

Cellular solids are categorized as two dimensional “honeycomb” structures due to hexagonal nature and three dimensional “foam” structures having polyhedron shape. Porous materials are already found in nature as cork, sponge, wood and cancellous bone [33,34].

Over the last two decades, three dimensional foam structures, also called as porous structures, have been taken into consideration in biomedical applications due to their low specific weights with suitable balance between stiffness and strength. These porous materials are classified according to whether they contain open or closed pores. Figure 2.7(a) presents the closed pore structure in which pores are isolated from each other by cell walls. On the other hand, open cell foams possess interconnected continuous network structure as seen in Figure 2.7(b).

Man-made open porosity type foams are generally used for filters while, closed cells are preferred for packaging due to high energy absorption capabilities and also used in lightweight structure like sandwich core because of relatively higher specific strength [34]. Additionally, foams with open cells are preferred in biomedical applications because body fluids can easily flow through the entire structure due to interconnected open pores [34,35].

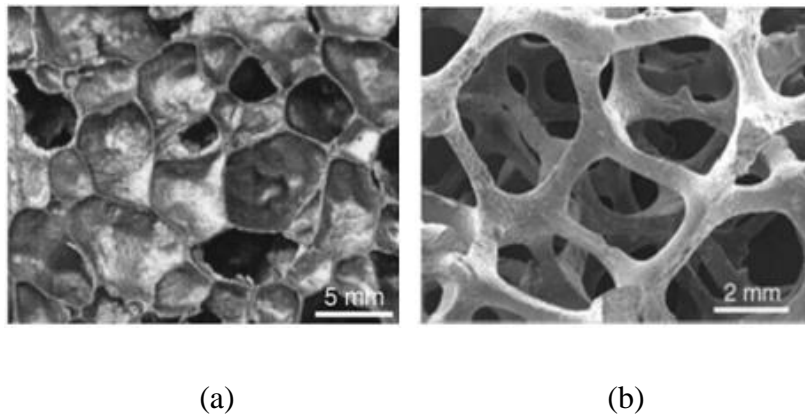


Figure 2.7 Three dimensional metallic foams with (a) closed and (b) open cell structure [35].

Various investigations about man-made porous materials have been performed due to presenting wide range of properties like physical, mechanical and thermal. However, since polymeric and ceramic foams cannot sustain mechanical forces under repetitive loading due to their insufficient strength, in load bearing biomedical applications they are replaced by metallic counterparts. As previously mentioned, by introducing pores to the structure, mechanical properties can be controlled and stress shielding encountered in bulk materials may be lessened [33,36]. In addition to these, the pores either provide physical attachment sites for bone tissues or enable transfer of body fluids throughout the material. As a result, porous metallic materials provide unique balance between strength and biocompatibility properties so that they are extensively used in various biomedical applications such as in dental implant, fusion device, acetabular systems and fixation systems, Figure 2.8.



Figure 2.8 Porous metallic materials used in various biomedical application areas; (a) trabecular metal dental implant, (b) fusion device, (c) continuum acetabular system and (d) trabecular metal glenoid fixation [37].

2.3 Production of Metallic Foams

There are four main production methods for porous metals which are liquid state processing, solid state processing, electro-deposition and vapor deposition techniques. The last two methods are generally used in the production of porous Ni, Cu or Ni-Cr alloy. The first two commonly used methods are presented in the following sections.

2.3.1 Liquid State Processing

Liquid state processing is a technique in which molten metal is turned into a porous structure by applying different methods like direct foaming by creating gas bubbles, by blowing gas into liquid metal, solid-gas eutectic solidification or by spray forming [33]. The method has been widely used in production of metals like aluminum (Al) and zinc (Zn) which have low melting point and low reactivity [33,38,39]. Despite providing faster atomic diffusion than solid-state processing, it is not suitable for production of titanium alloy foams due to extreme reactivity of liquid titanium with atmospheric gases [38,39].

2.3.2 Solid State Processing

Powder metallurgy, which is a simple and cost effective method, is an effective way to produce titanium foams since it enables close control of pore size and distribution, and utilizes low processing temperature which decreases possible severe oxidation [36,39].

Various fabrication methods, namely, gas entrapment technique, hollow sphere sintering, replication method, loose powder sintering and space holder technique are commonly used to form titanium and titanium alloy foams as schematically shown in Figure 2.9. Brief introduction will be presented for these methods whilst detailed information about space holder method will be given in the following section.

Gas entrapment technique has been extensively used in aerospace industry so as to form porous titanium sandwich panels. The technique includes heating the argon filled; densified titanium powder containing cans to elevated temperatures. As a result of

expansion of argon gas, isolated porosity is formed in the structure as shown in Figure 2.9(a). The method yields relatively low amount of porosity (20-40%) with closed, irregular shaped pores [33,35].

Another solid-state processing method is hollow sphere sintering method in which bonding of different hollow metallic materials (copper, nickel, steel or titanium) can be performed to obtain highly light weight porous structure [33]. Schematic representation of this technique can be seen in Figure 2.9(b). One of the examples for material manufactured by the technique is porous Ti6Al4V alloy having orderly distributed porosity. The resultant porous structure includes both open and closed porosity; however, most of the pores are not open. Usage of materials like titanium and super alloys enables utilizing of hollow sphere structures in high temperature applications [33].

Replication technique, on the other hand, is performed to produce highly porous materials by using an open cell polyurethane (PU) foam or sponge as a template. There are three main stages: the pattern preparation, infiltration and pattern removal as shown in Figure 2.9(c). Polymeric foam is firstly immersed in metal powder slurry containing 70 wt. % Ti6Al4V alloy, 20 wt. % H₂O and ammonia solution. After infiltration and subsequent drying process, polyurethane is thermally evaporated and remaining powders are sintered [35,36]. Li et al. have used this technique to manufacture highly porous Ti6Al4V alloy [40]. The resultant foam generally contains irregular open porous structure which directly depends on the structure of starting polymeric foam. Moreover, there is a possibility of oxidation of titanium powders during evaporation of polymeric template.

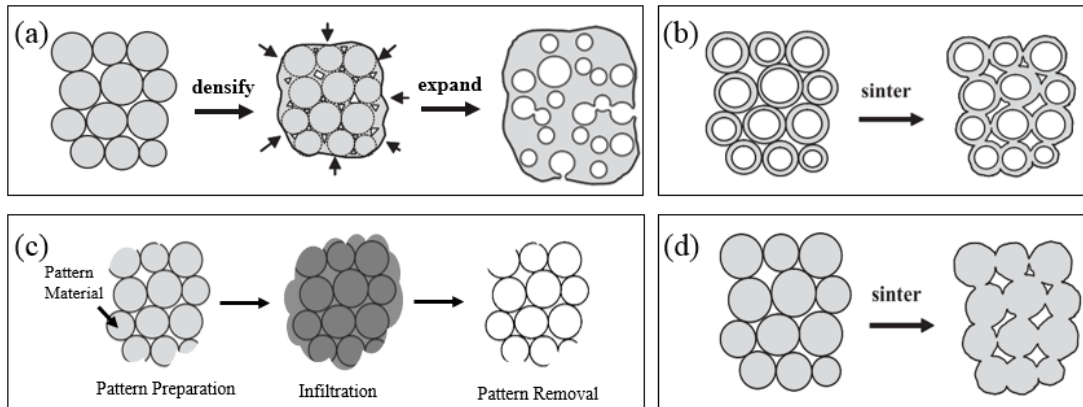


Figure 2.9 Various production methods for porous titanium; (a) gas entrapment technique, (b) hollow sphere sintering, (c) replication method and (d) loose powder sintering [38].

Loose powder sintering is the simplest powder metallurgy fabrication technique performed to make metallic foams. It is based on the partial densification of metal powders during sintering. The main steps are the powder preparation, compaction and sintering of metal powders at relatively high temperature. Resultant products generally have irregularly shaped randomly orientated porosities as presented in Figure 2.9(d). Pore size, distribution and interconnectivity of pores can be controlled by the sintering time and temperature and by addition of alloying element to powder mixture [36]. Several studies have been reported that the final structure included non-spherical pore shape and maximum achievable porosity was found to be around 50% [33,36,39,41,42]. Oh et al [42] produced porous unalloyed titanium with 5-37% porosities and found that 30% porosity content was suitable in order to sustain close mechanical properties to that of bone. Esen et al. [39] reported that unalloyed titanium and Ti6Al4V alloy samples having open porosity levels 32.8-37.3 vol. % and 30-37.5 vol. %, respectively, were manufactured by loose powder sintering methods. Elastic modulus of both loose powder sintered pure titanium and Ti6Al4V alloys were close to that of human bones' elastic modulus (1-40 GPa).

2.3.2.1 Space Holder Method

Previously described foam production processes either result in contamination of the foam structures during creation of pores or induce only limited porosity levels with irregularly shaped pores having a wide range distribution in size. Space holder method, on the other hand, is a simple technique that provides solutions for the problems associated with the foam production techniques aforementioned.

The process begins by mixing of metallic powders and space holder powder with the addition of binder to cover all spacer surfaces' to achieve tight physical bonds between the powders. The mixture is then cold compacted to give sufficient strength to green body without distortion of the space holder powders' shape [36]. Prior to sintering to get the desired foam strength, the space holder material is removed from the compacts slowly. In water leaching methods, the water soluble spacer particles are removed by dissolution of the spacer particles. On the other hand, thermally removable spacer particles are eliminated by evaporation during heating to sintering temperatures. In the final step, remaining metallic skeleton is sintered at high temperatures, i.e. at around 1300 °C for titanium, under protective atmosphere. Schematic representation of space holder methods is demonstrated in Figure 2.10. The primary objective of sintering is to bond metallic powders together and to provide certain mechanical strength to porous body.

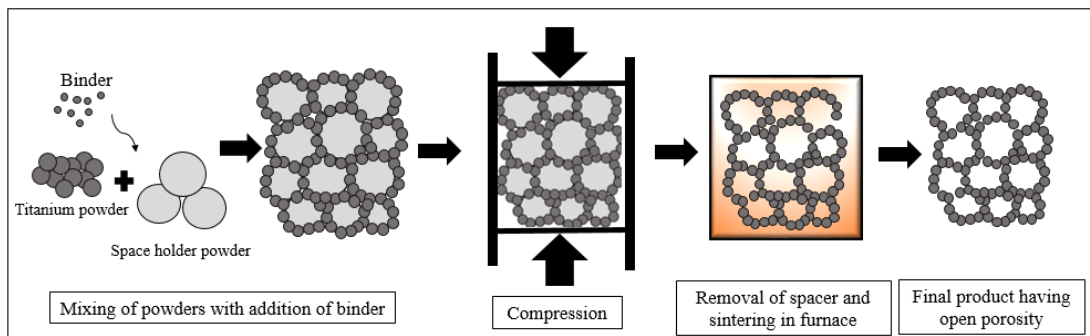


Figure 2.10 Schematic representation of space holder method.

Characteristic of spacer material determines the morphology and size of the pores and also the contamination degree of titanium which plays significant role in determination of functional and structural properties of the resultant foams. In biomedical applications, for example, the spacer particle should not leave a residue or contaminate the foam. Additionally, the porosity content, pore size and distribution should be adjusted in such a way that the foam should be mechanically compatible to natural bone. Furthermore, it should have open porous structure and ideal pore size around 200 μm to allow transfer of body fluids and to have sufficient space for bone ingrowth.

In space holder method, the addition of different amounts of spacer particles can control the distribution and size of the porosity which usually ranges between 50 and 85 vol. % with interconnected porous structure and desired mechanical properties [8,35]. However, the primary criteria in obtaining targeted properties are the selection of most proper spacer particles. Usually thermally removable spacer particles such as ammonium hydrogen particle, carbamide (urea), polymer granules and even metallic materials have been utilized in order to create pores in titanium and titanium alloys [35,38,41,43–45].

For the purpose of producing titanium foam, Wen et al. [45] used ammonium hydrogen carbonate particles which were decomposed at 200 $^{\circ}\text{C}$ and subsequently the titanium skeleton was sintered at 1200 $^{\circ}\text{C}$ for 2 h. Resultant titanium foams exhibited 78% porosity, and compressive strength and elastic moduli at around 35 MPa and 5.3 GPa, respectively, which were close to mechanical properties of cancellous bone. However, there was no detailed explanation about contamination level of titanium due to evaporation of ammonium hydrogen carbonate [45].

Rausch et al. [41], on the other hand, utilized polymer granules that were evaporated at relatively lower temperatures (130 $^{\circ}\text{C}$). By sintering at 1100-1250 $^{\circ}\text{C}$, they obtained titanium scaffolds with tensile strength and elastic moduli between 1.5-30 MPa and 0.3-16 GPa, respectively. However, micro porosities observed in the sintered structure deteriorated the mechanical properties.

It can be concluded that spacer materials other than magnesium may leave residue behind them or interstitial elements like oxygen, hydrogen and nitrogen present in spacers may dissolve in titanium and make titanium alloy foams useless for biomedical applications due to degraded mechanical properties. Magnesium, on the other hand, has very limited solubility in titanium (Figure 2.11) and its high oxidation affinity prevents excessive oxidation of titanium during foam manufacture.

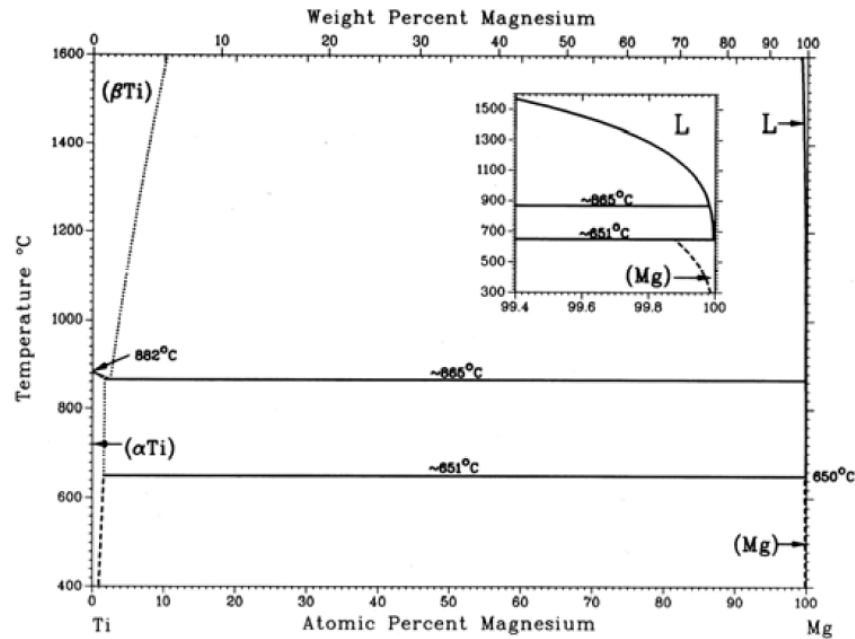


Figure 2.11 Ti-Mg phase diagram showing limited low temperature solid solubility of magnesium in titanium [46].

Wheeler et al. [44] first used magnesium powder in the production of Ti and Ti6Al4V alloy foams. In the study, porous titanium was produced by evaporation of magnesium at 1000 °C followed by sintering at 1400 °C. Final products had porosity contents between 25% and 82% and their mechanical properties were nearly the same with that of cancellous bone.

Another study about production of titanium foams including usage of magnesium spacers was investigated by Esen et al. [43]. Magnesium in the Ti/Mg compacts was

removed during slow heating to sintering temperature (1200 °C). The contents of interconnected open pores obtained by evaporation of magnesium were in the range of 45-70 vol. %. The relative Young's modulus of the porous structures was observed to decrease with increasing porosity contents of the foams. They found that Young's modulus and yield strength were in the range of 0.42–8.8 GPa and 15–116 MPa, respectively, which were similar to that of natural bone [43].

2.4 Mechanical Behavior of Porous Metals

The mechanical responses of porous materials under various loads, and the effect of pore content, shape, type and its distribution on mechanical properties, i.e. strength, elastic modulus, are the crucial fields needed to be investigated before implantation of foams to desired applications.

Mechanical behavior of foam materials basically depends on their relative density, presence of open or closed pores, pore shape and pore content. Under compressive loads, metallic foams display characteristic stress-strain behavior similar to elastic-plastic foams consisting of three distinct regions which start with linear elastic deformation followed by plateau and densification stages as shown in Figure 2.12.

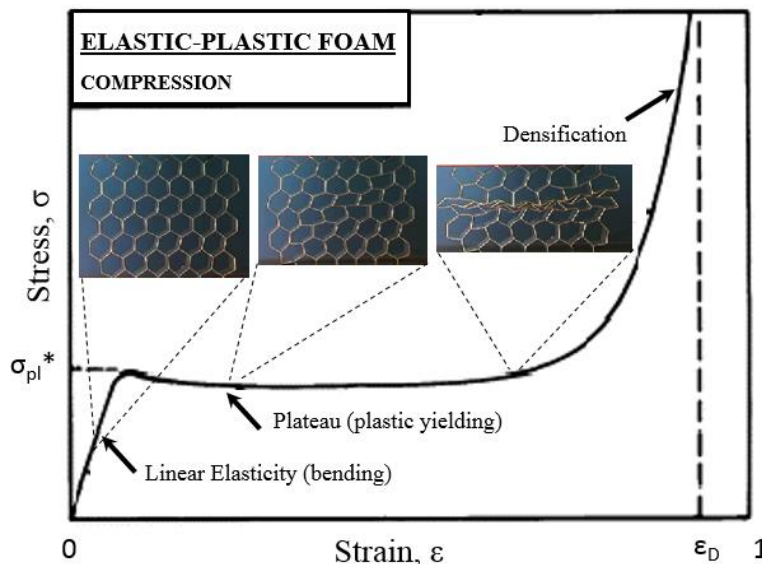


Figure 2.12 Typical stress-strain diagrams of metallic foams under compressive load [34].

The first region which is linear elastic region is associated with the cell wall bending. In this region, pores are compressed and deformation is recoverable. Elastic modulus, E , can be measured via calculating the slope of linear elastic region. On the other hand, the plateau region presents long smooth, nearly constant flow stress as shown in Figure 2.12. In this region, plastic deformation starts with collapsing of the cell walls and the strain is no longer recoverable. When all the cells completely collapse and touch to opposing cell walls in the structure, plateau region ends and densification stage starts, Figure 2.12. In densification region, metallic foams behave like a bulk solid material because stress rapidly increases and reaches to strength of the bulk material. [34,47].

As mentioned before, mechanical properties of foams to be used in biomedical applications can be altered with changing the porosity content. Obtaining targeted foam mechanical properties is possible when mechanical property-porosity content (or density) relation is previously indexed. In the previous studies conducted on porous Ti6Al4V alloys [48], an improvement in mechanical properties of foams were observed with decreasing porosity content as expected. Figure 2.13 shows the stress strain diagram of Ti6Al4V alloy foams with varying porosity and also that of furnace cooled bulk Ti6Al4V alloy. Yield strength and elastic moduli values increase with decreasing porosity content and assumed to reach bulk mechanical property when porosity content becomes zero.

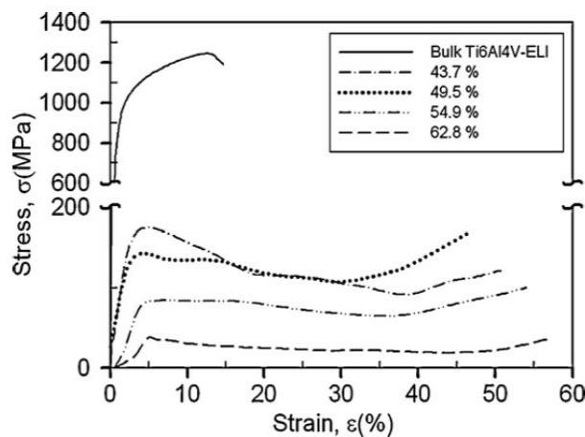


Figure 2.13 Stress-strain diagram of bulk furnace cooled Ti6Al4V and Ti6Al4V foam having different porosity content [48].

Various theoretical models have been developed to understand the relation between mechanical properties of foams and their porosity contents [34,48–50]. Commonly used theoretical models try to explain the relation by use of idealized structures regardless of the pore shape, size and its distribution. The resultant pores in the foam structures may be two types, namely, macropores obtained by removal of spacer particles and micropores on the cell walls due to limited sintering of powders. Hence, the commonly used models should be modified and include the effects of macro and micropores separately. Moreover, the influences of chemical composition and underlying microstructure are missing in commonly used models. Mechanical properties of titanium and titanium alloys are sensitive to the content of interstitial elements like oxygen, hydrogen and nitrogen. Additionally, depending on the type of titanium alloys (α , $\alpha+\beta$ and β -type) used in foam manufacturing; one can result in equiaxed, lamellar and martensitic structure at the end. Therefore, proposed mechanical models should also contain the mechanical properties of cell walls calculated considering the interstitial element content and underlying microstructure.

To characterize the mechanical properties of porous materials, usually cross-section area or minimum solid area model (MSA) has been applied. Relative mechanical properties (M^*/M_o) can be estimated by the following formula given in Eq. (2.1), where ‘n’ and ‘ ρ^*/ρ_o ’ denote an exponent and relative density term. Superscript ‘*’ and subscript ‘o’ point the bulk and foam properties, respectively [48–50].

$$\frac{M^*}{M_o} = \left(\frac{\rho^*}{\rho_o} \right)^n \quad (2.1)$$

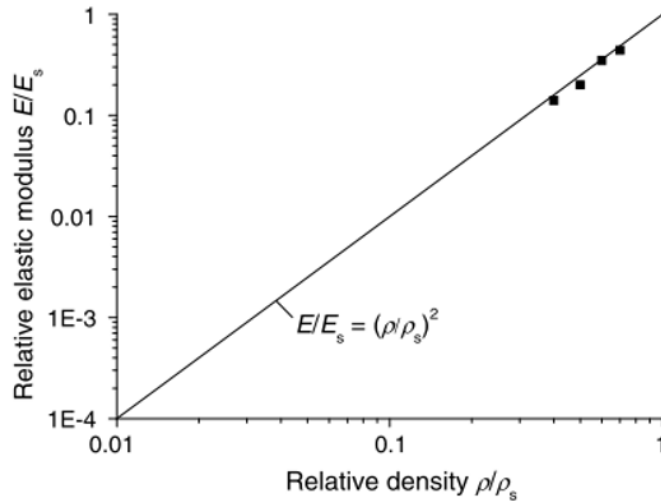


Figure 2.14 Relative elastic modulus change of porous Ti-18Nb-4Sn alloy with relative density (ρ/ρ_s) [51].

In the MSA model given above, the relative properties are calculated by considering the bulk cell walls which are free of pores. In the studies of Xiaong et al. [52], for example, elastic modulus (E/E_s) of porous samples primarily depends on their relative density (ρ/ρ_s) where E_s and ρ_s are the elastic modulus and density of bulk material, (Figure 2.14). However, calculated relative properties deviate from actual values since foams manufactured by powder metallurgical method contain microporous cell walls. Because of that bulk material's property and bulk density given by M_o and ρ_o , respectively in Eq. (2.1) are replaced by microporous cell wall properties. In this way, it becomes possible to consider the effect of composition, underlying microstructure and micropores. As a result, Eq. (2.1) is modified and relative property equation for foams is given in Eq. (2.2) where p_{macro} represents fraction of macroporosity and "n" is identified as an exponent, which reflects the foam properties, such as the structure and properties of cell walls and edges, and macropore character, i.e. interconnectivity, orientation and size distribution of macropores.

$$\frac{M^*}{M_{\text{cell wall}}} = \left(\frac{\rho^*}{\rho_{\text{cell wall}}} \right)^n = (1 - p_{\text{macro}})^n \quad (2.2)$$

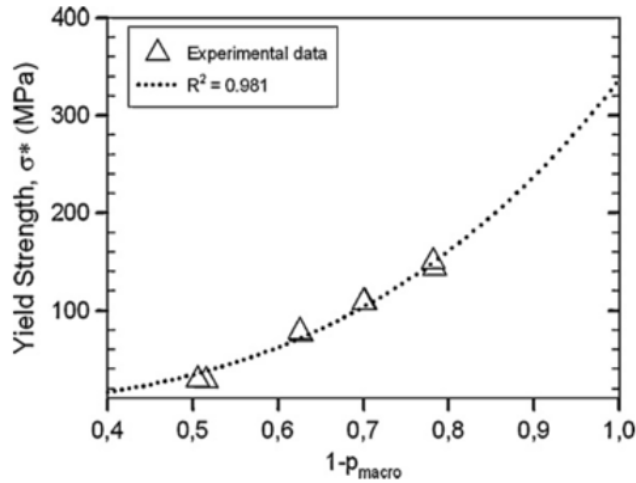


Figure 2.15 Yield strength change with macro porosity fraction [48].

One of the example of modified equation is the study of Esen et al. [48] in which the effect of partially sintered powders on total porosity content have been taken into consideration. Their experimental results given in Figure 2.15 show the relationship between foam yield strength (σ_y^*) and macropore fraction ($1-p_{\text{macro}}$) of porous Ti6Al4V samples that are similar to commonly used MSA models.

2.5 Surface Modification of Titanium and Titanium Alloys

In order to accomplish desired biological integration of titanium with bone tissues, surface modification methods have been performed. The reasons for applying surface modification techniques are classified under three main headings [7,13,53]. One of the reasons is to clean the contaminated materials' surfaces arised during production due to plastic deformation which leads to the formation of non-uniform coating layer on substrate surfaces. Insufficient osseointegration ability is another reason for modification of titanium and titanium alloys' surfaces since the major limitation of a metallic material for long term survival in the body is the lack of a stable biological

fixation to living bone. Thirdly, the need for hard surface layer in load bearing applications where the materials are subjected to repeated loading on touching surfaces makes surface modification inevitable.

In the scope of the present study, since it is aimed to functionalize the surfaces of titanium and titanium alloy foams for biological use, particularly surface processing techniques which enhance osseointegration properties are examined.

Various researches have been conducted to adjust the bioactivity of titanium and its alloys by common surface modification techniques such as mechanical, physical and chemical methods summarized in Figure 2.16 [7,10,13,53–58]. Most of the physical methods, like thermal spraying and ion beam deposition, focus on the deposition of a biocompatible layer by using electrical, kinetic and thermal energy, while in mechanical methods like machining, grinding or polishing, the purpose is to increase the surface roughness by physical forces. However, these techniques are not appropriate for surface modification of titanium and titanium alloys having complex geometries in the form of porous structures due to difficulty in treating the whole surface homogenously. Therefore, in the following sections applications of physical and mechanical methods are described briefly, whilst the characteristic of chemical methods which are relatively more suitable techniques for surface modification of porous materials are reviewed in detail.

Surface Modification Techniques

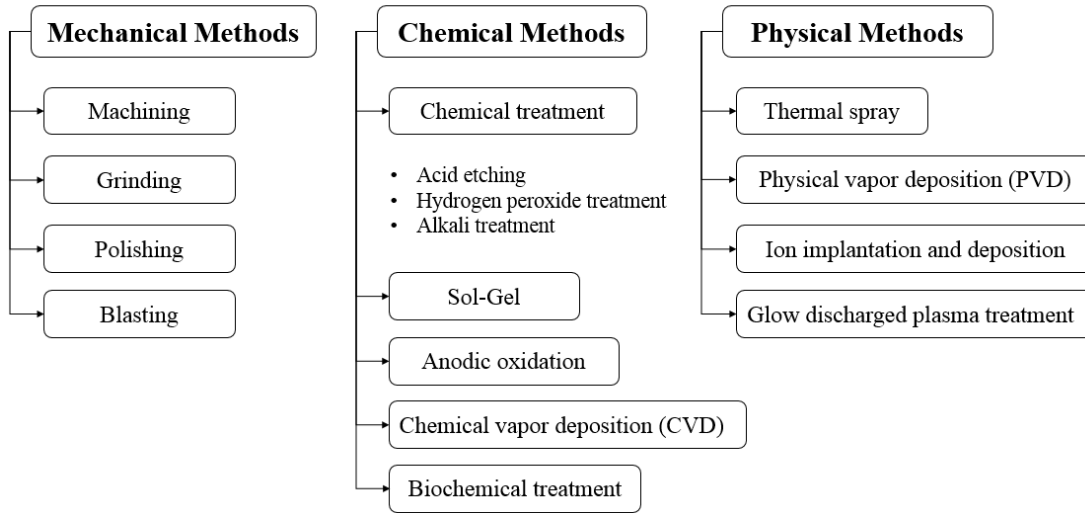


Figure 2.16 Classification of surface modification techniques for titanium and titanium alloys.

2.5.1 Mechanical Methods

Mechanical methods generally contain cleaning, shaping or deforming the material surface through the use of physical forces [53]. The main goal is to produce smooth and rough surface with an increased surface area for bone ingrowth towards the implant surface. Mechanical methods are also used as a pretreatment process to provide satisfactory surface roughness for subsequent modification processes. The most common mechanical treatments are machining, grinding-polishing and blasting [7,10,53].

Machining is a method used for altering the surface structure by giving specific surface roughness and topography. Several studies were conducted about changing surface properties of titanium in order to provide higher bonding and osseointegration abilities by increasing their surface area [8,53,59].

On the other hand, grinding and polishing are conducted to remove surface contamination with the help of hard abrasive particles. In order to create rather rough

surface and generally unidirectional surface topography, the grinding method is preferred. Conversely, polishing is used to obtain extremely smooth and mirror like surface by using finer abrasive particles like SiC, diamond or alumina [53].

Similarly, blasting is used to remove contaminated surface layer and to increase the roughness of the surfaces for better adhesion of cell attachment. In this method, material's surface is exposed to accelerated hard ceramic particles such as alumina and silica, in liquid suspension environment. Shot peening is one of the examples in which compressive stresses occur on material surface due to localized plastic deformation caused by high speed particles [7,8,10,55].

2.5.2 Physical Methods

Common physical methods are classified as thermal spray, physical vapor deposition (PVD), ion implantation and glow discharge plasma techniques. These techniques are based on the thermal, kinetic and electrical energy instead of chemical reaction between coating layer and modified surface [7,57].

Usually, thermal spraying is applied for the purpose of increasing the corrosion resistance as well as the biocompatibility of titanium alloys. The coating material is thermally melted or softens and then takes the form of liquid droplets. After gaining enough energy, they hit the surface and then cool down and solidify. Coating layer is formed after condensation of the liquid droplets on the substrate surface [7,8,60]. Surfaces are generally coated with hydroxyapatite, Al₂O₃, ZrO₂, calcium silicate having 30 to 200 μm thickness [7]. One of the limitations of this method is its high application temperature which may damage the surface of titanium [61].

PVD is another method in which growth of thin film takes place by the condensation reaction between substrate surface and vapor phase [57]. Surfaces are generally coated with titanium nitride (TiN), titanium carbide (TiC), titanium carbo-nitride (TiCN) or diamond like carbon thin film having coating thickness around 1 μm. Although PVD is an effective and alternative method to obtain high coating density and strongly

attached coating layer, it is not suitable for complex shaped objects due to the difficulty in obtaining-uniform thickness [7,54,57].

Ion implantation and deposition technique, on the other hand, is suitable to increase wear and corrosion resistance of titanium and its alloys which are important properties especially in load bearing applications [10,53]. This technique basically consists of acceleration of the ions (i.e. carbon, oxygen and nitrogen) in an electric field and attachment of them to solid titanium surfaces. In addition, the technique allows to obtain antibacterial surface coating, as well as increasing corrosion resistance by formation of Ti-N rich coating [53].

In glow discharge plasma treatment, the main purpose is cleaning and sterilization of the titanium surface by changing the structure of oxide layer [53]. Further, it enhances the surface energy and improves wetting ability of the surfaces compared to the conventional cleaning methods. Due to low operating temperature and low vacuum environment, this technique called as cold plasma treatment [53].

2.5.3 Chemical Methods

Chemical methods consist of chemical vapor deposition (CVD), sol-gel method, anodic oxidation, biochemical method and chemical treatments.

2.5.3.1 Chemical Vapor Deposition (CVD)

CVD mainly involves chemical reaction of atoms or molecules in the gaseous environment at relatively high temperature and results in deposition of nonvolatile phase on substrate surfaces [7,36,54]. It is widely used to increase wear and corrosion resistance of titanium by depositing TiN, TiC, hydroxyapatite, diamond or diamond-like carbon film having approximately 1 μm thickness [7,10,57]. CVD presents good coating uniformity even if coating objects have complex geometry; however, high application temperature (850-1200 $^{\circ}\text{C}$) causes severe degradation on titanium substrate surfaces [7,57,62].

2.5.3.2 Sol-gel Method

Sol-gel method is a practical way of thin film deposition on titanium substrate. There have been several studies conducted about sol-gel technique in order to enhance the biocompatibility of titanium by application of various coating layers such as, TiO₂ [7,63–66], calcium phosphate (Ca-P) [56,67,68] or TiO₂/CaP composite [63,69,70]. In biomedical applications, sol-gel is an attractive method due to preparation of homogenous film, easy to control the chemical composition and microstructure of coating and also less equipment requirement [7,10,54,56,71]. However, bonding strength of the sol-gel coating layer is relatively weak compared to other coatings obtained by chemical methods [8,56,72].

2.5.3.3 Anodic Oxidation

Anodic oxidation method aims to yield biocompatible oxide film on the titanium surface. It includes the electrochemical reactions together with diffusion of oxygen and metal ion which result in formation of oxide film at the anode surface [7,8,10,53–55,73]. Porous surfaces with nanometric grooves and specific roughness can be produced by using strong acids like sulfuric acid (H₂SO₄), phosphoric acid (H₃PO₄), hydrofluoric acid (HF), hydrochloric acid (HCl) and nitric acid (HNO₃). In order to increase the corrosion resistance and to minimize the ion release from titanium surface, oxide layer thickness may be increased up to 40 μm [7,8,10,53,55,73]. As a result, it is a preferred technique due to good adhesion of oxide layer and being less expensive (no need vacuum environment) compared to other techniques [74] but it is not suitable for porous materials since excessive oxidation of the cell walls induces brittleness in titanium foams.

2.5.3.4 Biochemical Method

This technique makes it possible to immobilize proteins, enzymes or peptides on biomaterials so as to induce specific cell and tissue responses. Interestingly, bulk properties cannot be negatively affected while improving the biocompatibility of the surfaces. The widespread techniques used for titanium and its alloys are silanized

titania, photo-chemistry, self-assembled monolayers, and protein resistant and protein immobilization [7,58,59].

2.5.3.5 Chemical Treatment

This treatment is originated from chemical reaction occurring at the interface between solution and titanium surface. The remarkable treatments are acid etching, hydrogen peroxide (H_2O_2) and alkali treatments [7,8,53]. In the present study, bulk and porous Ti6Al7Nb alloy samples were subjected to alkali treatment, thereby, information about alkali treatment will be given in detail.

2.5.3.5.1 Acid Etching and Hydrogen Peroxide (H_2O_2) Treatment

Acid etching which is also called acid pickling is one of the practical ways of changing the surface structure by removing oxide layer [7,10,53,55,73]. Etching is performed by using strong acids like HNO_3 , HF, H_2SO_4 and HCl which have strong affinity to react with titanium oxide layer. Standard etching solution for titanium and its alloys is specified as an aqueous solution with 10-30 vol. % of HNO_3 and 1-3 vol. % of HCl [53,73,75,76]. Alternatively, 100 ml HCl + 100 ml H_2SO_4 mixture is also used as etching solution to acquire a large surface area [7,8,53,55,61]. Sometimes, in dual acid etching solution containing HCl and H_2SO_4 is heated above 100 °C in order to obtain desired relatively rough surface [77].

After the acid treatment, thin oxide layer approximately 10 nm thickness is formed on the surface. However, strongest acid like HF predominantly reacts with titanium and forms titanium fluoride and hydrogen. This free hydrogen may cause hydrogen embrittlement thereby, mechanical properties may be deteriorated due to the formation of micro cracks on the surfaces [7,53,78].

In hydrogen peroxide treatment, as in the other surface modification techniques, the main purpose is to obtain specific surface structure for better osseointegration ability [7,8,53,66,79]. After chemical reaction between H_2O_2 and titanium surface, amorphous titania gel layer is formed with sub-micron size and roughness mainly

consisting of TiO_2 but high amount of hydroxyl group is observed on the surface [53,80]. Shi et al [81] have found that additional heat treatment conducted at various temperatures transforms the amorphous layer into crystalline titania phase with some anatase and rutile phase. Pan et al. [80] found that thickness of formed oxide layer mostly depends on the H_2O_2 concentration and treatment time which means that the higher the treatment time, the thicker the oxide layer is. This method, however, is not suitable for surface processing of porous titanium since it induces severe oxidation of cell walls thus, increases brittleness of titanium foams.

2.5.3.5.2 Alkali Treatment

Alkali treatment has become a popular technique because of its simplicity and easy to control the process parameters. It is an alternative method to physical modification techniques such as ion beam deposition, plasma spray coating due to the possibility of its application to complex shapes. In addition, it is a more suitable method among chemical treatments for foams since other methods like acid etching and H_2O_2 treatment may leave some residue or result in excessive dissolution of some interstitials.

Alkali treatment can be basically described as a simple surface modification technique applied by alkali aqueous solutions containing sodium hydroxide (NaOH) or potassium hydroxide (KOH) to obtain bioactive sodium or potassium titanate layer. The titanate layer obtained by alkali treatment on titanium substrates allows apatite nucleation and thus, enhances bioactivity and bone regeneration on the implant surface. The details of apatite formation on the alkali treated surfaces will be discussed in the following part.

Alkali method is generally followed by heat treatment to dehydrate the amorphous sodium titanate hydrogel ($\text{Na}_x\text{H}_{2-x}\text{Ti}_y\text{O}_{2y+1}\cdot n\text{H}_2\text{O}$, where $0 < x < 2$, $y=2,3,4$) and to transform it into more stable crystalline sodium titanate phase ($\text{Na}_2\text{Ti}_y\text{O}_{2y+1}$; $y=5,6,\dots$) so as to make the surface layer mechanically more stable. The combination of these treatments is called alkali heat treatment, Figure 2.17.

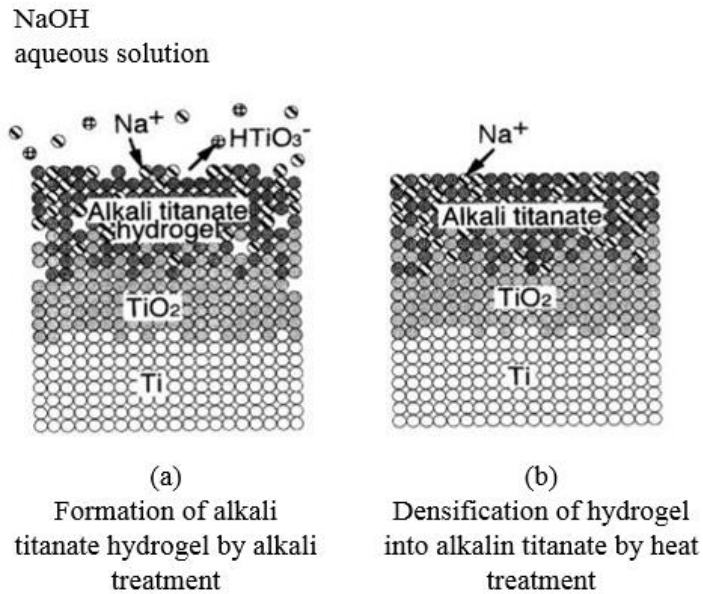


Figure 2.17 Schematic representation of surface structural change of Ti metal during alkali and heat treatments [82].

Figure 2.18 shows the structure of various titanate phases and gives schematic representation of the change in the layered crystal structure of titanate phases during heating which aims to get mechanically stable crystalline sodium titanate phase. Sodium titanate hydrogel phase obtained by NaOH-alkali treatment has layered crystal structure in which titanium atoms form TiO_6 octahedrons by six oxygen atoms located at octahedral sites. Sodium cations (Na^+ , red dots), hydrogen ions (H^+ , green dots) and oxygen ions (O^{2-} , blue dots) are located in the interlayer. As shown in the magnified image, Figure 2.18(a), these ions are connected to TiO_6 ions with hydrogen bonds. As heating takes place, Figure 2.18(b) and (c), Na^+ and OH^- ions are released from interlayered structure which means that $\text{Na}_2\text{Ti}_3\text{O}_7$ phase densifies and transforms to $\text{Na}_2\text{Ti}_6\text{O}_{13}$ phase. Three dimensional crystal structure of sodium titanate, ($\text{Na}_2\text{Ti}_y\text{O}_{2y+1}$), phase is shown in Figure 2.19. It is clearly seen that sodium titanate phase has layered structure which consists of Na atoms illustrated as yellow balls and TiO_6 octahedrals.

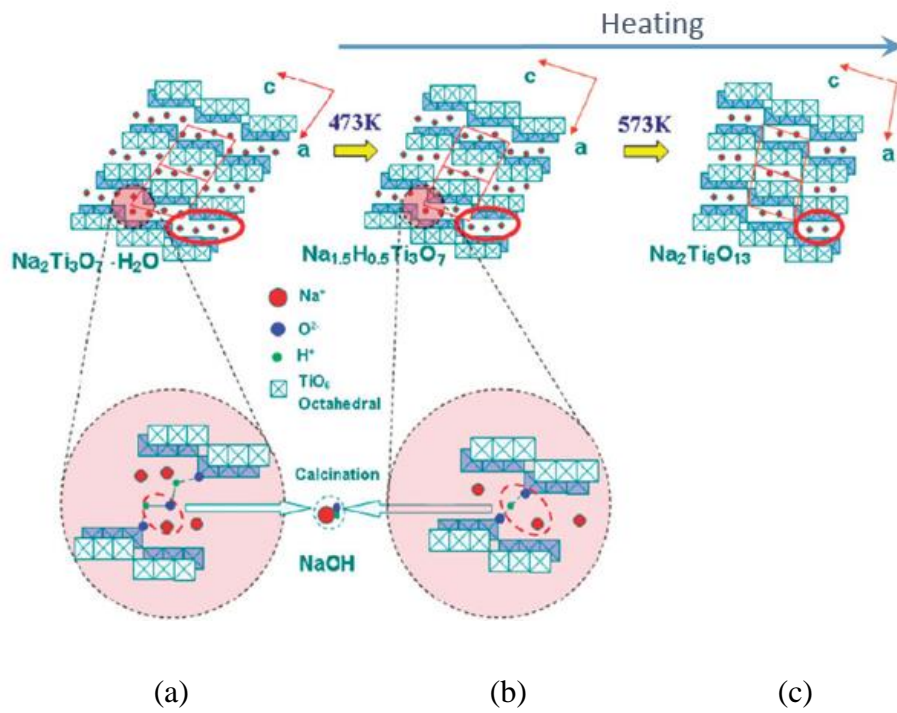


Figure 2.18 Illustration of change in layered crystal structures of (a) $\text{Na}_2\text{Ti}_3\text{O}_7 \cdot \text{H}_2\text{O}$, (b) $\text{Na}_{1.5}\text{H}_{0.5}\text{Ti}_3\text{O}_7$ and (c) $\text{Na}_2\text{Ti}_6\text{O}_{13}$ phases [83].

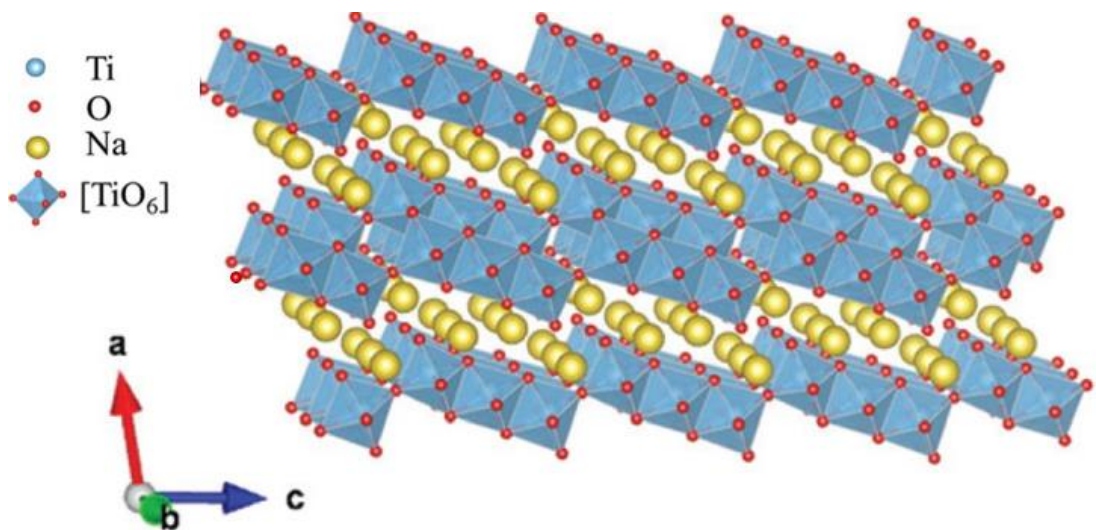


Figure 2.19 Crystal structure of sodium titanate, $\text{Na}_2\text{Ti}_y\text{O}_{2y+1}$ [84].

A sequence of events leading to the formation of sodium titanate on titanium surface is proposed by Kim et al. [82] using pure titanium. In the alkali treatment, samples were immersed in 5-10 M NaOH solutions for 24 h, followed by rinsing with distilled water and ultrasonic cleaning for 5 min, and drying in an oven at 40 °C for 24 h. Finally, heat treatment was applied to densify the coating layer at various temperatures (500-800 °C) [3], [4], [56], [58]. According to the results, sodium titanate hydrogel was formed on substrate surfaces after treatment in 10 M NaOH solution at 60 °C for 24 h. Heat treatments allowed the formation of sodium titanate $\text{Na}_2\text{Ti}_5\text{O}_{11}$ on substrate surfaces.

Several studies have been conducted after Kim and Kokubo's research about surface modification of titanium by alkali and heat treatment [7,52,82,85–98]. All of them performed NaOH-alkali and heat treatment for the same purpose but by using different routes. In another study of Kokubo et al. [86], they investigated the apatite formation ability of titanium metal which were pretreated with 5 M NaOH solution at 60 °C for 24 h followed by heat treatment. Their results revealed that fine network structure composed of feather-like Na-rich phase, Figure 2.20(a), densified after heat treatment and resultant coating layer had approximately 1 μm thickness as shown in Figure 2.20(b).

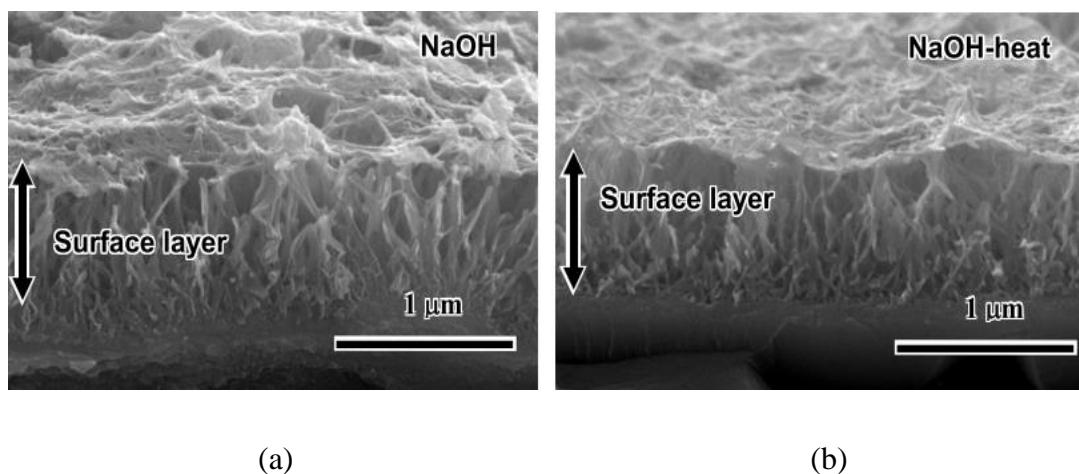
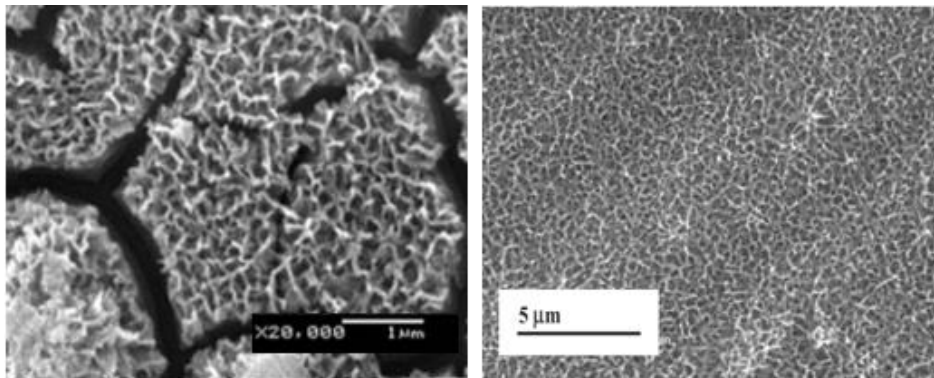


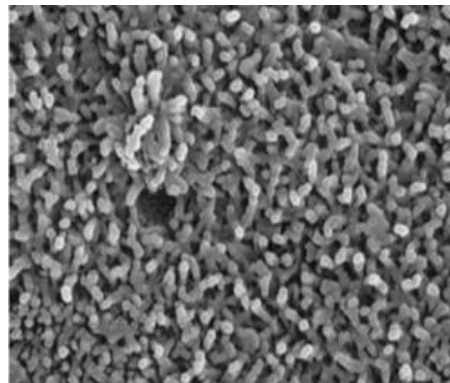
Figure 2.20 Cross section of sodium titanate layer formed on titanium metal surface exposed to NaOH-alkali and subsequent heat treatment process [86].

Rakngarm et al. [91], on the other hand, performed alkali treatment with various NaOH concentrations (5-10 M) on cp titanium and Ti-6Al-4V. They found that sodium titanate hydrogel layer with porous network structure having 100-300 nm pore size was formed on both treated surfaces. However, higher solution concentrations can lead to formation of deep cracks, as shown in Figure 2.21(a), due to the shrinkage of hydrogel layer. Conversely, Jonasova and his colleague's studies [88] showed that 5 M NaOH-alkali treatment at 60 °C for 24 h leads to the formation of uniform nano fiber coating layer, Figure 2.21(b).



(a)

(b)



(c)

Figure 2.21 SEM images of sodium titanate phase formed on (a) pure titanium subjected to 10 M NaOH at 60 °C for 24 h, (b) pure titanium and (c) porous Ti-Nb-Zr scaffolds subjected to 5 M NaOH at 60 °C for 24 h and [91,94,99].

Besides, Spriano and his colleagues[93] investigated surface processing of bulk Ti6Al7Nb alloy using two different chemical treatments. First treatment included passivation with 35% HNO₃ acid for 30 min followed by heat treatment at 600 °C. Second one was the alkali treatment in which the samples were immersed in 5 M NaOH at 60 °C for 24 h then subsequently heat treated at 600 °C for 1 h in vacuum environment. They showed that passivation treatment caused metal ion release and development of relatively high corrosion pits on surface. Moreover, they thought that heat treatment allowed the increase in oxide layer thickness which was beneficial for the apatite formation on surfaces.

Wang et al. [94] studied the effect of NaOH solution concentration and temperature on apatite formation ability on porous Ti-Nb-Zr scaffolds, Figure 2.21(c). One group of porous samples was immersed in 0.5 M NaOH at 150 °C for 24 h and the other ones were immersed in 5 M NaOH at 60 °C for 24 h. They showed that high concentration 5 M NaOH solution is suitable for obtaining dense and uniform hydroxyapatite on the porous surfaces after 1 week immersion in SBF, however, it decreased the strength of porous samples drastically. Mechanical properties of samples treated with 0.5 M NaOH solution showed a slight decrease due to low solution concentration which was not enough to get uniform coating layer.

Consequently, it is seen from the mentioned surface activation methods that NaOH-alkali and heat treatment is more preferable than the others for titanium alloys because of its simplicity, easy to control process parameters as well as the applicability on the porous structures.

2.6 Structure of Bone and in vitro Studies

The microstructure of cortical or compact bone consists of Haversian systems, shown by circles in Figure 2.22, with osteonic canals and lamellae containing collagen fibers. At the nanoscale, the structural framework is collagen fibers composed of bundles of mineralized collagen fibrils consisting of collagen molecules and biological hydroxyapatite, Ca₁₀(PO₄)₆(OH)₂, in the form of nanoscopic plate-like or rod-like crystals that are a few nanometers in thickness and tens of nanometers in length. The

soft collagen, a kind of protein, acts as a matrix for the deposition and growth of the minerals and gives elastic resistance to bone. The bone is a kind of composite in which the strands of collagens are responsible for tensile strength of bone, and crystals of hydroxyapatite found within collagen strands give bone its compressional strength because of its hard and brittle nature.

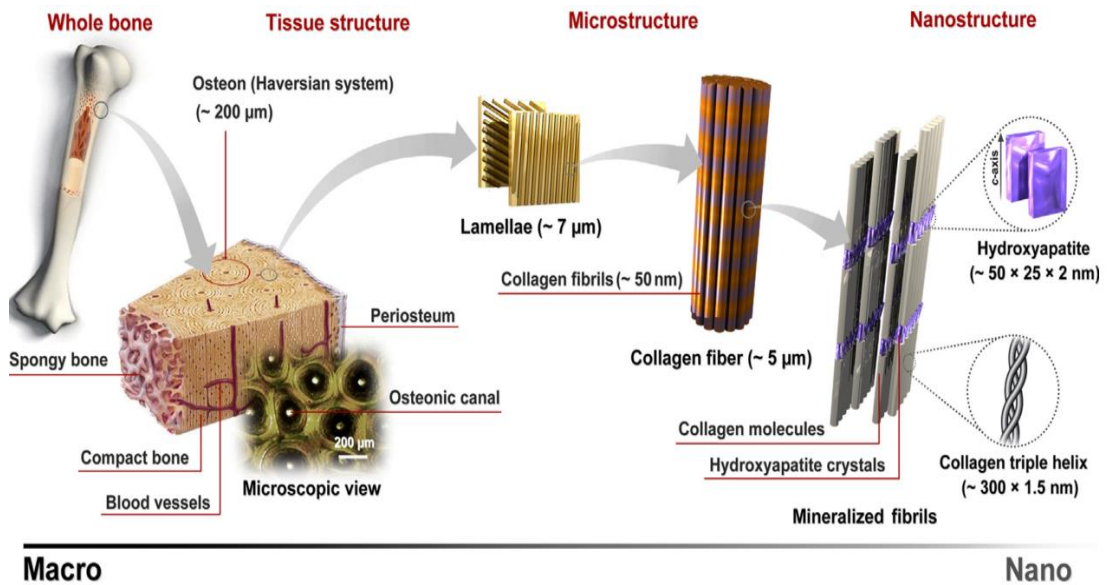


Figure 2.22 The structure of typical bone at various length scales [100].

Apatite in general may be represented as $\text{Ca}_5(\text{PO}_4)_3\text{X}$, where X may be replaced by biological interest ions of OH^- , F^- or Cl^- . The major biomineral is an impure form of hydroxyapatite (HAp) having a hexagonal structure known as biological apatite. It is the most stable crystalline phase among the CaP salts found in body fluid. Compared to synthetic stoichiometric HAp, biological apatite contains lower amount of calcium (Table 2.4) since some calcium sites are occupied by common ions like Mg^{2+} , Na^+ , K^+ and by some trace elements like Sr^{2+} , Pb^{4+} , Ba^{2+} , Fe^{3+} , Zn^{2+} and Cu^{2+} . Some other ions such as CO_3^{2-} , Cl^- and F^- may occupy OH^- sites of hydroxyapatite. When there is a cation deficiency, other types of substitutions are feasible at PO_4^{3-} sites, especially HPO_4^{2-} [101].

Table 2.4 Approximate composition of biological apatite compared with synthetic HAp [101].

Major component	Biological apatite			HAp (mass %)
	Enamel	Dentine	Bone	
Ca	36.1	35.0	26.7	39.9
P	17.3	17.1	12.47	18.5
Na	0.2	0.020	0.731	
K	0.3	0.07	0.055	
Mg	0.5	1.2	0.436	
F	0.016	0.017	0.07	
Cl	0.3	0.03	0.08	
CO ₃ ²⁻	3.0	4.0	3.48	
Ca/P (molar ratio)	1.613	1.582	1.655	1.667

There has been a growing interest in developing synthetic HAp as a material for bone replacement and healing since natural bone contains hydroxyapatite. Synthetic HAp is known to induce new bone formation without causing any toxicity, inflammation or foreign body response. Studies have shown that nanosized HAp exhibits better resorbability in the body compared to its micron-sized counterpart. Various methods like chemical precipitation, solid-state synthesis and the hydrothermal methods are being used for synthesizing nano-sized HAp; however, in each method HAp is obtained with various different morphologies and dimensions, Figure 2.23 [100].

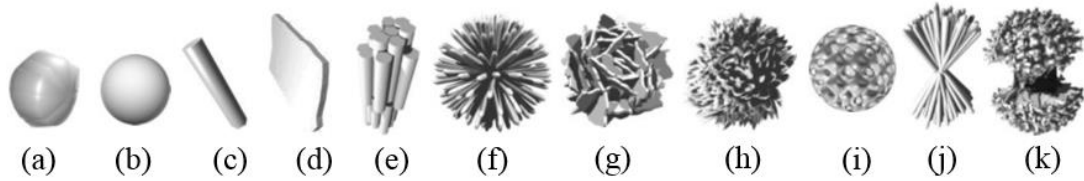


Figure 2.23 Various HAp nanostructures with modulated shapes (a) irregular, formless, sphere, (b) sphere, microsphere, nanosphere, ball, (c) rod, needle, tube, filament, fiber, wire, whisker, prism, worm, hexagonal prism, platelet, lath, strip, (d) plate, flake, sheet, (e) self-assembled nanorods, bundles of nanorods, oriented bundle, oriented raft, enamel prism-like structures, clusters of nanotubes, oriented array of bundled needles, packed nanorods, (f) dandelion, chrysanthemum, flower, feathery structure, bundle of fibers, selfassembled nanorods, rosette, (g) leaf, flake, sheet, plate, (h) flower, (i) porous microsphere, mesoporous sphere, (j) bowknot, self-assembled nanorods, and (k) dumbbell [100].

Since hydroxyapatite is relatively hard and brittle, it cannot be used as bone substitutes in load bearing applications. Therefore, for current load bearing materials, commonly metallic materials such as titanium are preferred as mentioned previously. However, there are some concerns about the surface bioactivity and biocompatibility of metallic materials. For such materials, bioactivities *in vivo* are predicted through the use of *in vitro* tests by checking AFA that is, the occurrence sooner or later of an apatite layer on the implant when immersed in SBF with ion concentrations nearly equal to those of human blood plasma. But, for some other materials which exhibit bioabsorbable character like magnesium bioactivity is not simply dependent on apatite forming ability [101].

Although there is no general rule for determination of bioactivity, it has been previously shown that the essential requirement for most of the artificial materials like titanium to bond to living bone *in vivo* is the formation of bonelike apatite on the surface when immersed in SBF during *in vitro* tests [102]. The *in vitro* tests are conducted by immersing the samples for various periods of time in SBF kept at 36.5 °C and solution pH value of 7.4. There are several studies which were conducted to find the correct SBF solution having composition most similar to that of human blood

plasma. For example, Kokubo et al. [102] designed the SBF consisting of only inorganic ions having the concentration nearly equal to human blood plasma shown in Table 2.5. Several SBFs (Table 2.5) were prepared in order to compensate the difference in concentrations. However, it was recognized that increase in HCO_3^- content may lead to precipitation of calcium carbonate from revised SBF (r-SBF) [102]. Additionally, it was seen after round robin testing that there is no significant difference between prepared SBFs in accordance with stability and reproducibility of apatite [102]. Therefore, corrected SBF with refined recipe has been used in vitro measurements to check the apatite forming abilities of materials. It is also stated that SBF is a supersaturated solution therefore during preparation, every steps should be done carefully [102].

Table 2.5 Ion concentration of various SBFs compared to that of human blood plasma [101,102].

Solution	Ion Concentration (mM)							
	Na^+	K^+	Mg^{2+}	Ca^{2+}	Cl^-	HCO_3^-	HPO_4^{2-}	SO_4^{2-}
Blood Plasma	142.0	3.6 - 5.5	1.0	2.1- 2.6	95.0- 107.0	27.0	0.65- 1.45	1.0
Human blood plasma	142.0	5.0	1.5	2.5	103.0	27.0	1.0	0.5
Original SBF	142.0	5.0	1.5	2.5	148.8	4.2	1.0	0
Corrected SBF (c-SBF)*	142.0	5.0	1.5	2.5	147.8	4.2	1.0	0.5
Revised SBF (r-SBF)	142.0	5.0	1.5	2.5	103.0	27.0	1.0	0.5
Newly improved SBF (n-SBF)	142.0	5.0	1.5	2.5	103.0	4.2	1.0	0.5

* Corrected SBF(c-SBF) is used in the present study

During soaking in SBF, it has been proposed that sodium titanate layer in NaOH alkali treated titanium surfaces dissolves in the solution and Na^+ ions are released from the surface and exchanged with H_3O^+ ions. These positively charged ions then react with Ti-OH groups and combine with Ca^{2+} ions. Then, surface becomes positively charged and available for possible nucleation of $(\text{PO}_4)^{3-}$ ions due to Columbic attraction

[82,85,87,99,103,104]. As a result, calcium and phosphate (Ca-P) based phase namely apatite is formed on the surface.

Several studies have been performed to evaluate the bone-bonding abilities of titanium and its alloys by using SBF. For example, in one of the Kokubo and his colleagues' studies, NaOH and heat treated titanium substrates were immersed in SBF for 1 day to observe the apatite formation ability or in other words bioactivity of the samples. In Figure 2.24(a), round apatite phase covered the treated titanium surfaces. Moreover, Figure 2.24(b) shows the dense composite of titanate coating having approximately 1 μm thickness and feather-like apatite phase formed on titanate layer.

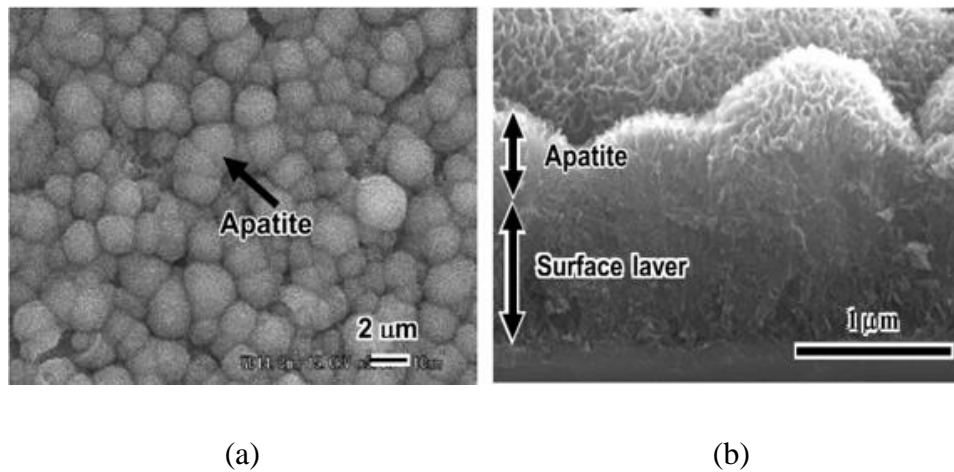
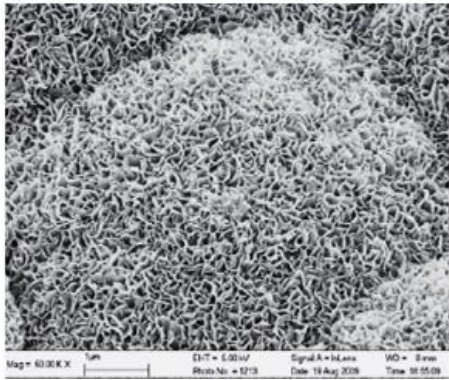
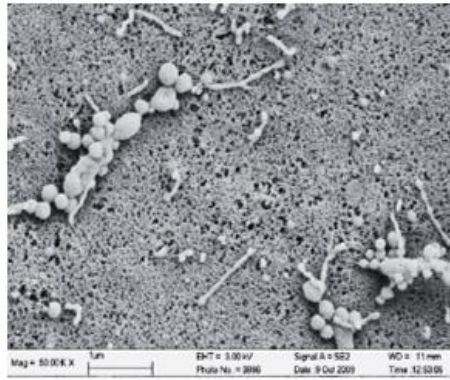


Figure 2.24 SEM images of NaOH and heat treated titanium (a) surface and (b) cross section after immersion in SBF for 1 day [103].

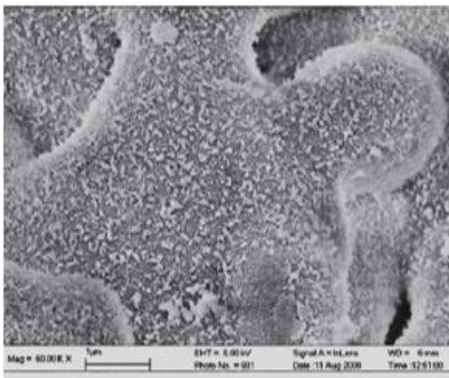
Apatite phase precipitated during SBF tests may have morphology similar to that shown in Figure 2.25(a); however, its morphology varies depending on the pH of the solution and degradation of solutes after immersing the samples. For example, in a study conducted with different glasses, it has been shown that at pH 7.4, spherulites of plate-like crystallites are formed on 45S5 bioglass, Figure 2.25(a), while increase in pH of the solution changes the morphology of apatite such that apatites in the form of multilayer porous structure form on borate glass, Figure 2.25(b), and rod-like shape on CaSiO_3 , Figure 2.25(c).



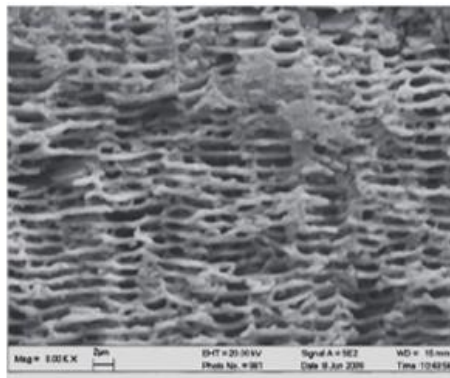
(a)



(b)



(c)



(d)

Figure 2.25 Morphology of apatite formed from SBF on various silicon based surfaces, (a) 45S5bioglass®, (b) borate glass, (c) CaSiO₃ and (d) borate glass (cross section) [101].

Apatite phase characterization is generally conducted by various techniques as presented in Table 2.6. Although SEM and TEM observation are performed to determine the morphological changes of apatite phase, additional characterization techniques like Thin Film (TF)-XRD should be done to clearly identify the formation of crystalline phases on the surfaces. In addition, XPS analysis is an effective way to determine the chemical composition as well as elemental states of bonding existed in apatite. Similarly, EDX analysis is carried out to determine especially the quantitative Ca/P atomic or molar ratios of possible apatite phases formed on the surfaces.

Table 2.6 Characterization methods for apatite phase.

Characterization techniques		Aim
Microscopic analysis	TEM	To check the presence of deposited phase To determine morphological features
	SEM	
X-Ray Diffraction (XRD) Analysis	TF-XRD	To identify crystallized phases
Chemical Analysis	XPS	To determine chemical states of bonding
	EDS	To display compositional change To determine the atomic ratio of Ca/P

CHAPTER 3

EXPERIMENTAL PROCEDURE

3.1 Raw Materials

3.1.1 Powders

In the present study, spherical Ti6Al7Nb alloy powders supplied from TLS Technik GmbH &Co were used as starting materials during foam production. The powders conforming to ASTM F1295-05 standard [105], Table 3.1, distributed in the range of 10-40 μm with an average particle size around 20 μm , Figure 3.1(a). All the powders possessed spherical shape with rarely observed satellites left from powder production step, Figure 3.2(a).

Table 3.1 Elemental composition analysis of bulk alloy and alloy powders compared with ASTM F1295-05 Standard Specification designed for Ti6Al7Nb Alloy [105].

Element	Chemical Composition (wt. %)		
	ASTM F1295-05 Standard	Materials used in the current study	
		Alloy powders	Bulk alloy
Aluminum	5.50 to 6.50	5.41 ± 0.06	6.0 ± 0.02
Niobium	6.50 to 7.50	6.58 ± 0.04	6.88 ± 0.03
Tantalum	0.50 max.	0.0053 ± 0.0001	0.0089 ± 0.0002
Iron	0.25 max.	0.0826 ± 0.0003	0.51 ± 0.02
Oxygen	0.20 max.	0.19	0.17
Carbon	0.08 max.	0.010	0.004
Nitrogen	0.05 max.	0.012	0.003
Hydrogen	0.009 max	0.005	0.003
Titanium	Balance	Balance	Balance

On the other hand, spherical magnesium powders (99.8% purity), Figure 3.2(b), provided by the Tangshan Weihao Magnesium Powder Co. LTD., were used as metallic spacers to generate pores in Ti6Al7Nb alloy foams. The average particle size of the magnesium powders was approximately 320 μm and the particle size range was observed to change between 200 and 500 μm , Figure 3.1(b).

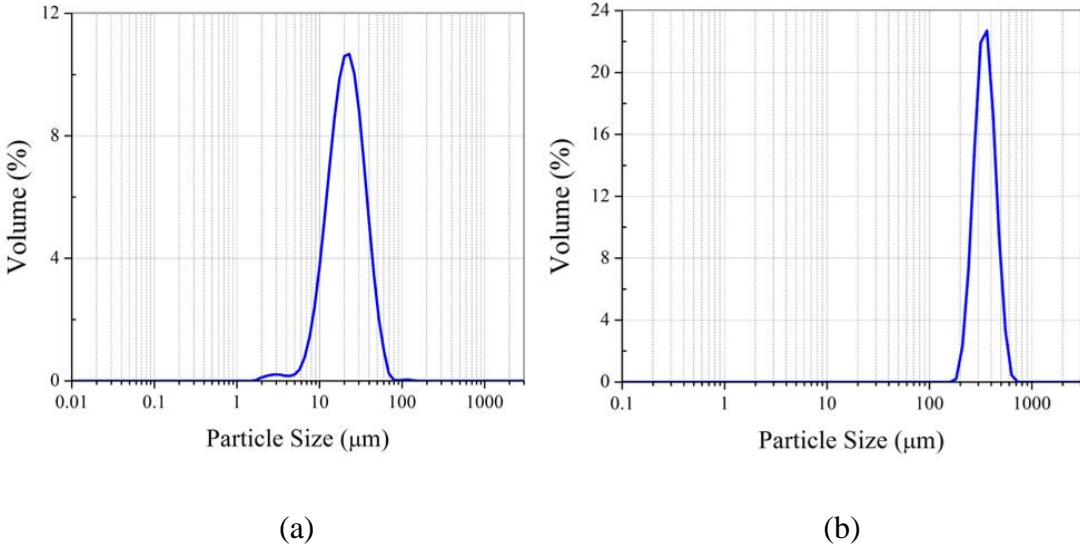


Figure 3.1 Particle size distribution of (a) Ti6Al7Nb alloy and (b) Mg powders.

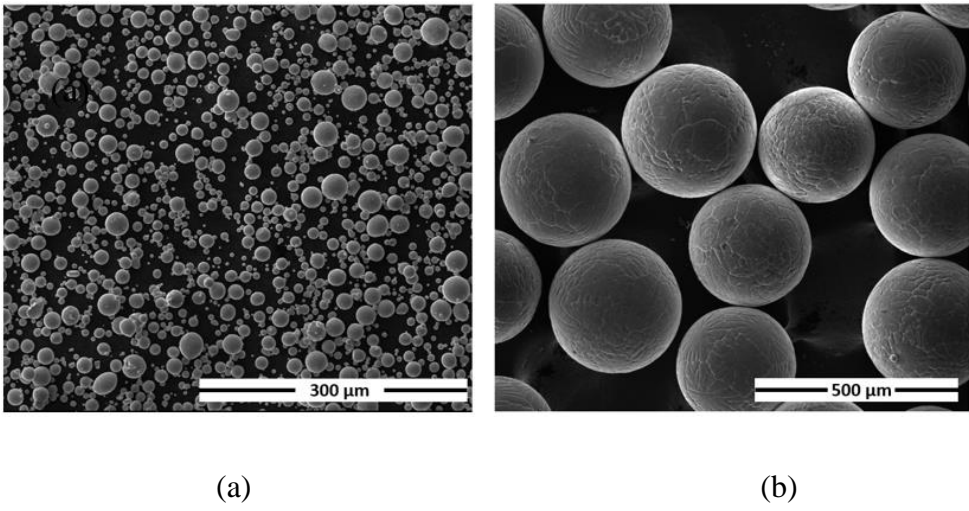


Figure 3.2 SEM images of (a) spherical Ti6Al7Nb alloy powders and (b) spherical Mg powders.

3.1.2 Bulk Ti6Al7Nb Alloy

In addition to alloy powders, bulk Ti6Al7Nb alloy in the form of bars (\varnothing 18 mm) were employed in the preliminary surface processing studies to determine the response of the alloy to various aqueous solutions including NaOH. The bars were supplied from Acnis International and conformed to ASTM F1295-05 standard [105], Table 3.1, designed for surgical implant applications. As-received bulk alloys had duplex microstructure containing equiaxed primary α phase in a lamellar matrix consisting of alternating layers of α and β phases as illustrated in Figure 3.3(a).

Prior to working with bulk alloys, all of them were heat treated to get similar microstructure to that of manufactured alloy foams. Following the betatizing treatment for $\frac{1}{2}$ h at 1100 °C under high purity argon gas, the alloy bars were allowed to cool in the furnace with a heating rate of 10 °C/min Initial duplex microstructure was turned into a lamellar Widmanstätten structure containing Al rich α -plates and Nb rich β -lathes in Figure 3.3(b) which will be further discussed in the results section.

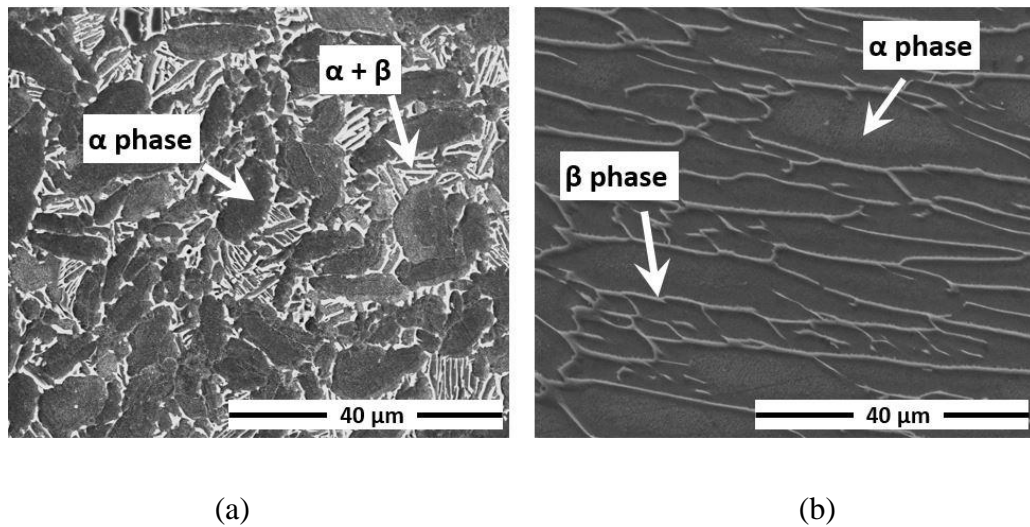


Figure 3.3 SEM images showing the microstructure of (a) as-received and (b) furnace cooled bulk Ti6Al7Nb alloy.

3.2 Production of Ti6Al7Nb Alloy Foams

In the manufacturing of porous Ti6Al7Nb alloys, space holder method was performed by means of evaporation of magnesium powders from cold compacted Ti6Al7Nb-Mg powder mixtures at elevated temperature under high purity argon atmosphere. One of the main reasons of choosing magnesium as a spacer material was its comparatively higher oxidation affinity even at low temperatures like 650 °C, which helps minimizing the oxidation of titanium during foam manufacture. Also, magnesium can be removed completely by evaporation unlike the other spacers like carbamide, ammonium hydrogen carbonate, and polymeric granules which may leave residue behind them. Additionally, insufficient evaporation of spacers other than magnesium may lead to a decrease in mechanical properties due to the contamination of the titanium by some interstitials that come from spacers [39,48].

Amount of the porosity, pore shape and size not only influence the mechanical properties of the foams but also play a significant role in bone growth and transportation of body fluid throughout the pores in biomedical applications. Therefore, to obtain desirable pore size during foam production, magnesium powders were sieved to get particle size in the range of 250-355 µm which is the suitable size for bone ingrowth. In addition, different amounts of magnesium powders; 60, 70 and 80 vol. %, were added to Ti6Al7Nb alloy powders to get foams with various porosity contents and mechanical properties.

The foam manufacturing started by mixing of spherical Ti6Al7Nb alloy powders and magnesium powders with the addition of around 5 wt. % of poly vinyl alcohol (PVA) solution (2.5 % PVA ([-CH₂CHOH-]_n)+pure water). PVA which acted as binder was also used to cover surfaces of Mg powders for better attachment of Ti6Al7Nb alloy powders during mixing step. After obtaining homogenous powder mixtures, cold compression of Ti6Al7Nb-Mg powder mixtures was carried out at an approximate compaction pressure of 500 MPa using a double ended steel die having 10 mm diameter. Prior to compaction, the die surfaces were covered with a thin layer of zinc stearate (C₃₆H₇₀O₄Zn) which acted as a lubricant to minimize the friction between die

and powder mixtures. Next, the obtained green compacts were inserted into titanium alloy crucibles prior to placing them in the hot zone of a laboratory type vertical atmosphere controlled tubular furnace. Additionally, titanium sponge particles (\varnothing 2-3 mm) were filled into crucibles above the green compacts, which were considered to minimize possible oxidation of samples due to flowing argon gas (Ar >99.999%, O₂ <5 vpm, H₂O <4 vpm) containing trace amount of oxidizing species.

Before heating the tubular furnace, air in the furnace was removed by a rotary type vacuum pump and then, the furnace was re-filled with high purity argon gas which was allowed to flow at least 5 min. Vacuum degassing-argon purging cycle was repeated 3 times to ensure that the furnace was fully filled with high purity Ar gas before starting foam production and sintering process. Following this, compacted samples were heated up to sintering temperature, 1200 °C, with a heating rate of 10 °C/min so as to produce Ti₆Al₇Nb foams by evaporation of magnesium powders. The samples were kept at the sintering temperature for 1 h for complete removal of magnesium and to allow sufficient sintering of titanium alloy powders.

3.3 Surface Processing

Present part of the study was aimed to activate the surfaces of porous Ti₆Al₇Nb alloys by formation of sodium rich titanate phase (Na₂Ti_yO_{2y+1}), which is proposed to be bioactive and integrate with living bone by forming a bonelike apatite layer on its surface in body fluid. The hydrothermal surface processing method includes two steps, namely, treatment in NaOH aqueous solution and subsequent heat treatment of the coating layer. However, the hydrothermal processing parameters like the temperature of NaOH solution and its concentration have to be optimized in addition to subsequent heat treatment temperature to get chemically homogenous uniform sodium titanate phase on the porous surfaces. Since it is comparatively easier to process and characterize the bulk samples, preliminary process parameter optimization studies have been conducted by using bulk Ti₆Al₇Nb alloy samples. Then, porous samples were surface processed with optimized variables determined by the use of bulk alloys. Hydrothermal surface processing with aqueous NaOH solution was carried out in high

temperature (max. 300 °C) and high pressure vessel (max. 200 bar) named as PARR 5500 compact reactor with stirrer, shown in Figure 3.4.



Figure 3.4 PARR 5500 high temperature and pressure vessel with stirrer.

3.3.1 Surface Activation of Bulk Ti6Al7Nb Alloy

For preliminary surface processing, 3 mm thick round Ti6Al7Nb alloy bulk samples having similar microstructure (Widmanstätten type), Figure 3.3(b), to that of the porous samples were used.

Prior to surface processing, alloy samples were ground with SiC papers having grit size between 240 and 1000 to eliminate possible surface contamination. Next, the samples were cleaned with acetone, ethanol and deionized water in an ultrasonic cleaner for 10 min, respectively. Processing in NaOH solution or also called as NaOH-alkali treatment was started with immersing the samples in NaOH aqueous solutions having various concentrations (5 and 10 M) and temperatures (60 °C and 100 °C) for 24 h. Next, alkali treated samples were gently cleaned with deionized water in ultrasonic cleaner for 5 min and then dried in furnace at 40 °C for 24 h. After NaOH-

treatment, crystallization heat treatment was conducted at different temperatures (500, 600 and 700 °C). Details of investigated processing parameters have been presented in Table 3.2.

Table 3.2 NaOH-alkali and subsequent heat treatment process parameters.

SET		NaOH-alkali treatment			Heat treatment in air	
		Solution concentration (M)	Solution temperature (°C)	Holding time (h)	Temperature (°C)	Time (h)
SET A	A-1	5	60	24	No HT*	1
	A-2				500	
	A-3				600	
	A-4				700	
SET B	B-1	10	60		No HT*	
	B-2				500	
	B-3				600	
	B-4				700	
SET C	C-1	5	100		700	

*No heat treatment

3.3.2 Surface Activation of Porous Ti6Al7Nb Alloy

In the second part of the surface processing studies, optimized processing variables (concentration and temperature of NaOH solution and subsequent heat treatment temperature) obtained from bulk alloy surface studies were applied to porous Ti6Al7Nb alloys. For this purpose, the foam sample with around 73 % vol. porosity and interconnected pore structure was chosen to ensure that NaOH solution can penetrate to all pores during hydrothermal treatment. As-manufactured porous samples were initially immersed in 5 M NaOH solution at 60 °C for 24 h and subsequent heat treatment was performed at 600 °C in air for 1 h. Moreover, one group of porous samples was left in untreated condition to identify the changes during surface

processing. The experimental groups of porous samples based on the processing type have been given in Table 3.3.

Table 3.3 The experimental groups of the untreated and treated surfaces.

Sample name	Type of processing
Untreated	As-manufactured
NaOH	5 M NaOH solution at 60 °C for 24 h
NaOH-heat	5 M NaOH solution at 60 °C for 24 h + subsequent heat treatment in air at 600 °C for 1 h

3.4 In vitro Studies

It has been known that the essential requirement for an artificial material to bond to living bone is the formation of bonelike apatite on its surface when implanted in the living body [102]. Apatite phase formed on the surface of biomaterials is known to be a precursor of the bone and induce fast bone generation and prevent formation of fibrous tissue on the surfaces [106]. Therefore, most of the studies prefer in vitro studies other than in vivo to check the relative biocompatibilities of the surfaces and to screen bone bioactive materials before animal testing.

In vitro studies make use of SBF which has similar ion concentration to blood plasma and the materials are classified as bioactive when allow the formation of bone-like apatite after immersing in SBF. Likewise, in the present study, porous Ti6Al7Nb alloy samples with various surface characteristics were soaked in SBF (36.5 ± 0.5 °C, pH 7.4) for different immersion times. SBF was prepared by adapting the recipe prepared by Kokubo et al. [102]. The ion concentrations of human blood plasma and prepared SBF are given in Table 3.4.

Table 3.4 Ion concentration of prepared SBF and human blood plasma [102].

Ion	Ion Concentration (mM)	
	Blood plasma	SBF
Na ⁺	142.0	142.0
K ⁺	5.0	5.0
Mg ²⁺	1.5	1.5
Ca ²⁺	2.5	2.5
Cl ⁻	103.0	147.8
HCO ₃ ⁻	27.0	4.2
HPO ₄ ²⁻	1.0	1.0
SO ₄ ²⁻	0.5	0.5
pH	7.2-7.4	7.40

At the initial stage of the preparation of SBF, a polyethylene bottle containing 700 ml ion-exchanged deionized water with 8.4 pH was placed on a temperature controlled magnetic stirrer. Then, the temperature was set to 36.5 ± 0.5 °C and stirring speed was adjusted to moderate agitation mode. Reagents, shown in Table 3.5, were dissolved in the sequence of sodium chloride (NaCl), sodium hydrogen carbonate (NaHCO₃), potassium chloride (KCl), di-potassium hydrogen phosphate trihydrate (K₂HPO₄·3H₂O), magnesium chloride hexahydrate (MgCl₂·6H₂O), HCl, calcium chloride (CaCl₂) and sodium sulfate (Na₂SO₄). Special attention had been given during dissolving CaCl₂ and the weighted amount was added part by part in small quantities. Complete dissolution of the CaCl₂ particles was allowed by addition to solution in 7 or 8 steps.

Table 3.5 Order of mixing, formula weight and amount of the reagents needed for preparation of one liter SBF [102].

Order	Reagent	Formula weight	Amount
1	NaCl	58.4430	8.035 g
2	NaHCO ₃	84.0068	0.355 g
3	KCl	74.5515	0.225 g
4	K ₂ HPO ₄ . 3H ₂ O	228.2220	0.231 g
5	MgCl ₂ . 6H ₂ O	203.3034	0.311 g
6	1.0 M HCl	-	39 ml
7	CaCl ₂	110.9848	0.292 g
8	Na ₂ SO ₄	142.0428	0.072 g
9	Tris	121.1356	6.118 g
10	1.0 M HCl	-	0-5 ml

After each reagent dissolved completely, volume of the solution was increased to 900 ml. At this point, pH and temperature of this solution were tried to be kept around 2.0 ± 1.0 and 36.5 ± 0.5 °C. Then, the solution was buffered with addition of small amount of Tris-hydroxymethyl aminomethane (Tris, (HOCH₂)₃CNH₂) to prevent sudden increase in the pH value. Addition of Tris was continued until pH of the solution reached to 7.30 ± 0.05 when the temperature was 36.5 ± 0.5 °C. The final pH was adjusted to 7.4 by addition of Tris and 1.0 M hydrochloric acid (HCl) one by one with specific proportions to compensate the effect of each substance. After being ensured that pH was 7.4 and temperature exactly was at 36.5 °C, the volume of the solution was increased to 1000 ml. Finally, the prepared solution was allowed to cool down to room temperature naturally in open environment, then put into the refrigerator kept at around 5-10 °C.

After preparation of SBF, apatite formation ability of samples was investigated. In this context, as-manufactured porous samples and those which were exposed to different surface treatment processes were immersed in SBF for 1, 5 and 15 days, respectively. The temperature of the SBF solution during tests was maintained at 36.5 ± 0.5 °C and it was refreshed in every two days to stabilize ion concentration in the test

environment. After immersion for various times in SBF, specimens were rinsed gently with deionized water and then dried at 30 °C in furnace overnight. The experimental groups of samples according to processing type and immersion time in SBF have been presented in Table 3.6.

Table 3.6 Classification of SBF tested porous samples based on processing type and immersion time in SBF.

Type of processing	Group Code	Sample Code	Immersion time in SBF (days)
As-manufactured	S-A	S-A-0	0
		S-A-1	1
		S-A-5	5
		S-A-15	15
5 M NaOH, 60 °C, for 24 h	S-B	S-B-0	0
		S-B-1	1
		S-B-5	5
		S-B-15	15
5 M NaOH, 60 °C, for 24 h + subsequent heat treatment in air at 600 °C for 1 h	S-C	S-C-0	0
		S-C-1	1
		S-C-5	5
		S-C-15	15

3.5 Characterization

3.5.1 Particle Size

Particle size analyses of Ti6Al7Nb alloy and spherical magnesium (Mg) powders were performed by means of “Malvern Mastersizer 2000” which is capable of using Mie scattering technique and has the flexibility of allowing wet and dry measurements. The analyzer makes use of Helium neon laser as a source for red light in size determination of coarser particles and solid state light source to produce blue light for finer particle size measurement.

3.5.2 Chemical Composition Analysis

The elemental compositions of the as-received Ti6Al7Nb powders and bars were measured using inductively coupled plasma optical emission spectroscopy (ICP-OES) “Perkin Elmer Optima 4300DV”. Results were calculated by taking the average of three measurements. The analyzer measures the lower concentration of elements by using argon plasma (at 6000-10000 °K) to excite atoms in analysis sample. Elements are determined by measuring the characteristic radiation released from the excited atoms passed through the lower energy state.

3.5.3 O, H, N, C Contents

O, H and N contents as well as C content of Ti6Al7Nb alloy samples (as-received Ti6Al7Nb powders and bulk alloy and as-manufactured Ti6Al7Nb foams) were measured with “a LECO TCH 600 Simultaneous N, O, H Determinator” and “LECO CS 230 C, S Determinator”, respectively. For each specimen, three measurements were utilized in order to obtain more accurate results.

3.5.4 X-ray Photoelectron Spectroscopy (XPS)

The surface chemical compositions of as-manufactured and surface processed samples both in foam and bulk form were analyzed by X-ray Photoelectron Spectroscopy, XPS, “PHI 5000 VersaProbe” using monochromatised Al radiation. Samples were examined by general surface scan (Al mono 48.1 W, 200.0 μm, 187.85 eV) and to obtain a depth profile four layers’ chemical compositions were measured after sputtering with argon gas for at least 3 min at each depth (Al mono 24.5 W, 100.0 μm, 58.70 eV). The samples were positioned with respect to the analyzer at the electron take off angle 45° to the surface. The measured binding energies were corrected referring to the energy position of C1s in CH₂ (284.6 eV).

3.5.5 Structural Analysis by X-ray Diffraction Analysis (XRD)

X-ray diffraction (XRD) analysis was utilized to examine the phase constituents of as-manufactured and surface treated bulk and porous samples. Analysis was performed

by using a conventional X-ray diffractometer “Rigaku D/Max 2200/PC, Rigaku Corporation, Tokyo, Japan” (Cu-K α radiation operating at 40kV) by continuous scanning between 10⁰ and 70⁰ 2 θ angles with a scan speed of 1°/min

X-Ray diffractograms of SBF immersed samples were taken by continuous scanning (0.2 °/min) between 20⁰ to 40 2 θ angles using “Bruker D8 Advance Eco model X-Ray Diffractometer”.

Phases analysis of surface treated (NaOH and NaOH-heat) bulk Ti6Al7Nb alloy samples, on the other hand, were performed by Thin Film X-ray Diffraction (TF-XRD) technique so as to detect nano metric titanate layers on substrates’ surface. In this regard, TF-XRD analyses were performed with “Rigaku Ultima-IV X-ray Diffractometer” at 40kW between 10⁰ and 70⁰ 2 θ angles with a grazing angle of 1°.

3.5.6 Microstructural Analysis

Morphological and microstructural examination of as-received, as-manufactured and surface treated bulk and porous Ti6Al7Nb alloy samples were performed by “FEI 430 Nano Scanning Electron Microscope” (SEM) equipped with an energy dispersive X-ray spectroscopy (EDS) analyzer. Microstructural examination of as-manufactured Ti6Al7Nb foams were made across the cross-sections of epoxy resin impregnated foams, while the other samples were examined without applying the mounting process. Moreover, samples were examined in the etched condition after grinding and polishing the surfaces using SiC papers 220-2000 grit size and alumina (1 and 0.1 μ m) suspended solution, respectively. The etchant used throughout the metallographic examinations was Kroll’s Reagent (10 ml HF, 5 ml HNO₃ and 85 ml H₂O).

3.5.7 Atomic Force microscopy (AFM)

The surface roughness and three dimensional topography of as-received bulk Ti6Al7Nb surface prior to surface processing were examined with “Nanomagnetics Instruments Atomic Force Microscope” (AFM) on 10 μ m by 10 μ m scans using tapping mode.

3.5.8 Density Measurement

Density and porosity (total, open and closed) content of as-manufactured Ti6Al7Nb foams were evaluated by weight measurement on the basis of the Archimedes' principle using Xylol solution ($\text{CH}_3\text{C}_6\text{H}_4\text{CH}_3$) by PRECISA XB220A balance equipped with a density determination kit.

First of all, samples were weighed in dry condition in air and then submerged into xylol solution kept in desiccators. Vacuum was applied to desiccators for penetration of xylol solution completely in foams and vacuum pumping continued for 30 min in order to ensure full penetration of xylol solution throughout the pores. Then, foams were placed in a beaker filled with xylol solution and the weights of the foams were measured when they were in the solution. Finally, foams were taken from solution and immediately the weight of xylol impregnated foams were measured in air.

During determination of total porosity percentages of foams, P_{total} (%), by Eq. (3.3), volumes of the foams, V_{foam} , were initially calculated according to Eq. (3.1). Then, the densities of the foams, ρ_{foam} , were determined using Eq. (3.2) where density of xylol (ρ_{xylol}) and density of bulk Ti6Al7Nb alloy (ρ_{Ti6Al7Nb}) are taken as 0.861 g/cm^3 and 4.52 g/cm^3 , respectively.

$$V_{\text{foam}} = \frac{m_{a,x} - m_{x,x}}{\rho_{\text{xylol}}} \quad (3.1)$$

$$\rho_{\text{foam}} = \frac{m_a}{V_{\text{foam}}} \quad (3.2)$$

$$P_{\text{total}}(\%) = 100 - \left(\frac{\rho_{\text{foam}}}{\rho_{\text{Ti6Al7Nb}}} \times 100 \right) \quad (3.3)$$

Where,

m_a : mass of the foam in air

$m_{a,x}$: mass of xylol impregnated foam in air

$m_{x,x}$: mass of xylol impregnated foam in xylol solution

Percentages of open and closed porosities, P_{open} (%) and P_{close} (%), of the Ti6Al7Nb foams, on the other hand, were determined by Eqs. (3.4) and (3.5).

$$P_{open} (\%) = \frac{\text{volume of xylol in pores}}{\text{volume of the foam}} \times 100 \quad (3.4)$$
$$= \left(\frac{m_{a,x} - m_a / \rho_{xylol}}{m_{a,x} - m_{x,x} / \rho_{xylol}} \right) \times 100$$

$$P_{closed}(\%) = P_{total} (\%) - P_{open} (\%) \quad (3.5)$$

3.5.9 Mechanical Characterization

In order to characterize the stress-strain behavior of Ti6Al7Nb foams, compression tests were performed by a 100 kN capacity “Instron 5582 Universal Testing Machine” using a crosshead-speed of 0.5 mm/min. In addition, video extensometer equipment, “Instron 2663-821, Instron Co. LTD., Norwood, USA”, was also used to measure the dimensional change during the tests. Quasi-static compression tests were performed for both as-manufactured and surface processed foams produced using various processing parameters. For comparison, compression tests were also carried out on the bulk and as-sintered Ti6Al7Nb alloy samples. Height (H) / Diameter (D) ratio of the samples was maintained at around one to prevent buckling or non-homogenous

deformation. Compressive yield strength values were calculated by 0.2% offset method and the slope of the stress-strain diagram in linear region was used to calculate the elastic modulus of the foams. Finally, based on the experimental results empirical models were derived to index the porosity-elastic modulus and porosity-yield strength relations of the foams.

CHAPTER 4

RESULTS

This chapter consists of three main parts related to the production of Ti6Al7Nb alloy foams, surface processing of both bulk and porous Ti6Al7Nb alloys, and in vitro studies conducted using SBF to check apatite formation. Characterization studies including structural, image, surface, elemental and mechanical analyses are presented and also, the obtained results are discussed.

In the first part of the study, space holder method via evaporation of magnesium was utilized to produce highly porous Ti6Al7Nb alloy scaffolds having interconnected porous structure in order to prevent or alleviate the stress shielding problem encountered in bulk alloys. Then, the mechanical behavior of manufactured foams was investigated by quasi-static compression tests. Additionally, MSA model was used to index the mechanical properties, i.e. yield strength and elastic modulus-porosity relation of manufactured foams. Although porous structures enable mechanical fixation and create suitable place for bone ingrowth, they do not allow direct structural and functional connection with bone. Therefore, the second part of the study was aimed to provide desired surface properties for foams by conducting NaOH alkali and heat treatment which lead to formation of bioactive sodium titanate ($\text{Na}_2\text{Ti}_y\text{O}_{2y+1}$) layers. Before the surface activation of Ti6Al7Nb foams, optimum surface processing parameters were determined by the preliminary studies conducted on bulk Ti6Al7Nb samples. Then, porous Ti6Al7Nb samples were subjected to NaOH alkali and subsequent heat treatment processes using optimized variables. Finally, to check the bioactivity of alkali treated porous surfaces and the mechanism of apatite formation,

in vitro studies were conducted by immersing the untreated and treated porous samples in SBF for different periods of time.

4.1 Production of Ti6Al7Nb Alloy Foams

4.1.1 Chemical Composition and Microstructure

Ti6Al7Nb samples having three different porosity contents (around 53.0, 63.0 and 73.0%) were successfully produced by the spacer holder method via evaporation of magnesium during heating and by subsequent sintering the compacts at 1200 °C for 1 h under high purity argon. Figure 4.1 shows the front and top views of the produced cylindrical porous samples with the aspect ratio of around one. As can be seen, manufactured Ti6Al7Nb foams exhibited homogeneously distributed nearly spherical pores, and three dimensional porous structures were highly interconnected and distributed uniformly.

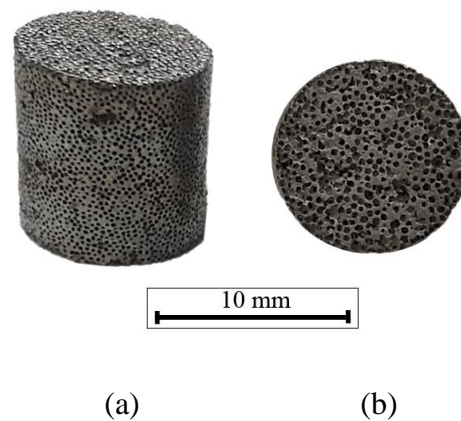


Figure 4.1 As-manufactured Ti6Al7Nb foams having 73.0 vol. % porosity content (a) front view and (b) top view.

Both the mechanical properties of foams and the success of bone ingrowth in pores via transfer of body fluids directly depend on porosity content, pore size and interconnection of the pores. As-manufactured Ti6Al7Nb alloy foams in the current study were observed to contain two types of pores, namely; macropores, Figure 4.2(a)

and (b), and micropores, Figure 4.2(c). As expected, macropores were created by the evaporation of magnesium particles, which directly influence the size, shape and amount of macropores. Micropores, on the other hand, were rarely observed in the cell walls or cell edges between the sintered spherical powders, Figure 4.2(c), and they were probably left in the structure due to inadequate sintering. The macropore size was in the range of 180-240 μm with an average size of around 200 μm . Although the pore size requirement for bone growth into porous structure has not been exactly defined in biomedical applications, the optimum size is stated to be between 100 and 500 μm [13] which covers the macropore size obtained in the present study.

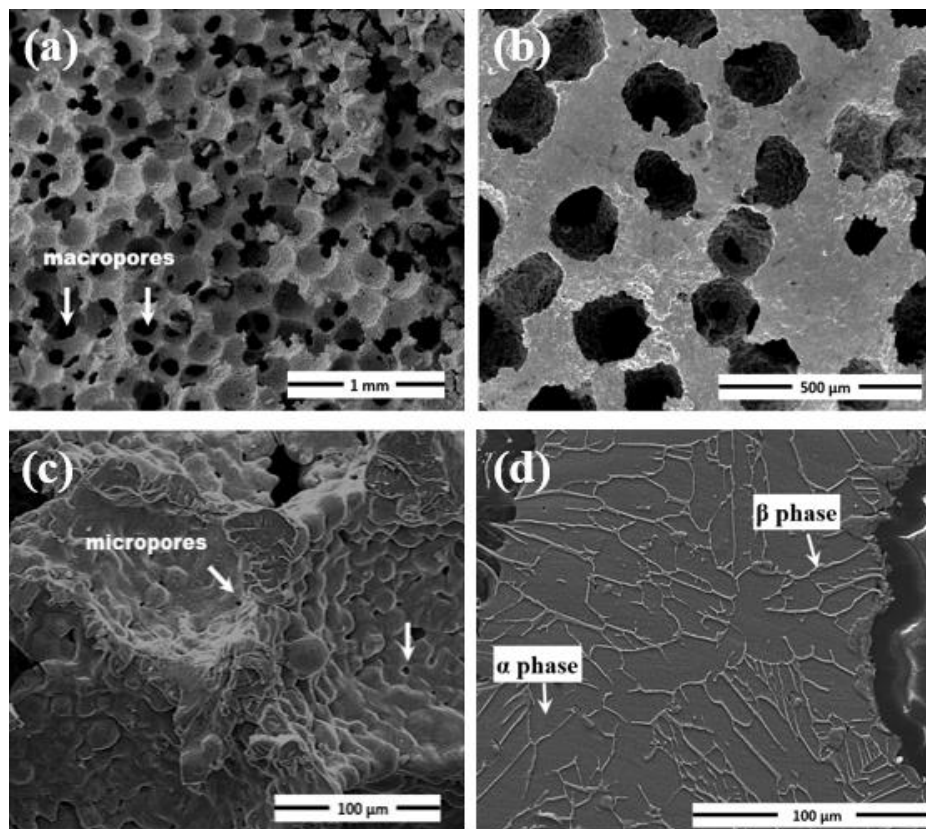


Figure 4.2 SEM images of as-manufactured Ti6Al7Nb foams showing (a) open macro porous structure at fracture surface, (b) macropores, (c) cell walls containing sintered powders with some micropores and (d) underlying microstructure of foams containing lamellar microstructure with α and β phases.

Figure 4.3 shows the effect of starting Mg content (Mg that is to be evaporated) on the resultant macro and micro porosity contents of foam samples. As expected, the total porosities of the foams increased by the Mg content present in the starting Ti6Al7Nb-Mg powder mixtures; however cell wall porosity content remained around 8.5%. Experiments had shown that the resultant total porosity content deviated from the expected porosity contents of foams which was supposed to be equivalent to starting Mg content. For all of the manufactured foams represented in Figure 4.4, the resultant porosities were less than that of targeted values and around 7% difference existed between added magnesium and total porosity content (Table 4.1). The discrepancy in porosities was attributed to sintering shrinkage existing due to the relatively high sintering temperature, 1200 °C utilized in the study.

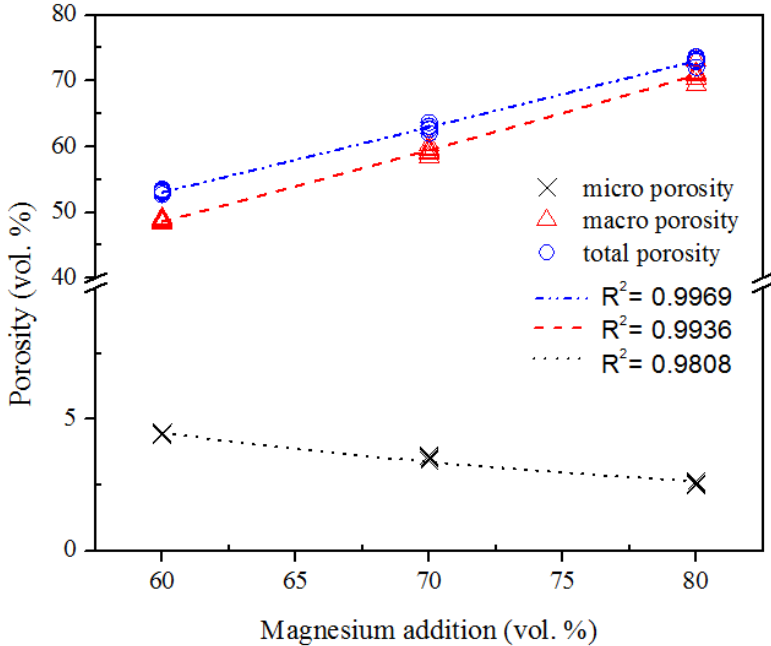


Figure 4.3 The change of total, macro and micro porosity content of as-manufactured Ti6Al7Nb foams with the magnesium powder content.

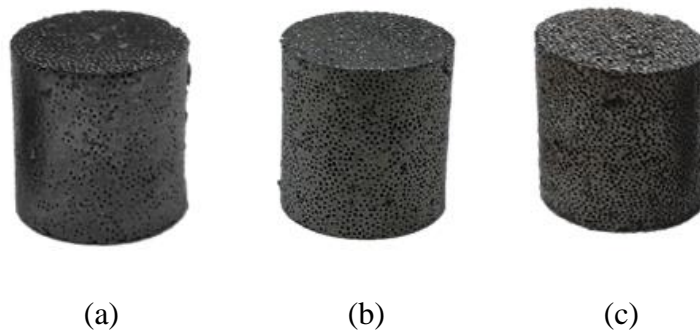


Figure 4.4 Ti6Al7Nb alloy foams with the total porosities of (a) 53.0%, (b) 63.0% and (c) 73.0%.

Table 4.1 Porosity changes of Ti6Al7Nb foams with addition of different magnesium contents.

Property	Added Magnesium (vol. %)		
	60	70	80
Density (g/cm ³)	2.1	1.6	1.2
Total porosity (vol. %)	53.0	63.0	73.0
Open porosity (vol. %)	49.0	62.0	73.0
Macro porosity (vol. %)	49.0	59.5	70.5
Micro porosity (vol. %)	4.0	3.5	2.5

The degree of openness or interconnection of pores which is crucial for transport of body fluids throughout the structure was observed to change in such a way that nearly all the pores were open in 80% Mg added samples, while some closed pores were detected when Mg content decreased to 60%. In low Mg content samples, Mg powders in starting Ti6Al7Nb-Mg powder mixtures were probably distributed as isolated particles and resulted in formation of micropores rather than macropores when they were removed from the compacts via evaporation. Although interconnection in foam is achieved mainly by macropores, it is known that micropores in the cell walls also enable and aid fluid transfer throughout the structure. The micro porosity content in manufactured foams linearly decreased with increasing starting magnesium content, as shown in Figure 4.3. As it has been shown previously, micropores were only present

on the cell walls and the volume percentages of cell walls decrease as Mg content increases. Therefore, micro porosity content in foam samples decreased as added Mg increased so that the content of macro porosity finally reached to a value closer to total porosity content.

The structural and functional properties of foams were affected from underlying microstructure as well as the type and content of porosity created. Figure 4.2(d) shows the underlying microstructures of Ti6Al7Nb foams that were cooled slowly subsequent to sintering at 1200 °C. Cooling slowly in the furnace from beta phase region, 1200°C, down to room temperature resulted in formation of Widmanstätten lamellar type microstructure consisting of alpha and beta phases. The dark alpha phase was rich in α -stabilizer element, namely, aluminum (8.60 wt. %Al, 6.49 wt. %Nb), while bright beta phase was aluminum depleted phase and contained relatively high content of niobium (5.60 wt. % Al, 18.45 wt. % Nb) as given in Table 4.2.

Table 4.2 EDX results of α and β phases in as-manufactured foams.

Phase	Element (wt. %)		
	Ti	Al	Nb
α -phase	84.91	8.60	6.49
β -phase	75.95	5.60	18.45

Figure 4.5 shows the XRD diffraction patterns of as-received Ti6Al7Nb powders and as-manufactured Ti6Al7Nb foams. As it can be seen, no residual magnesium was left and foam manufacturing process resulted in neither oxides such as TiO, Ti₂O or Ti₃O₅, nor nitrides of titanium despite the high chemical affinity of titanium in environment containing oxygen, water vapor or air [48].

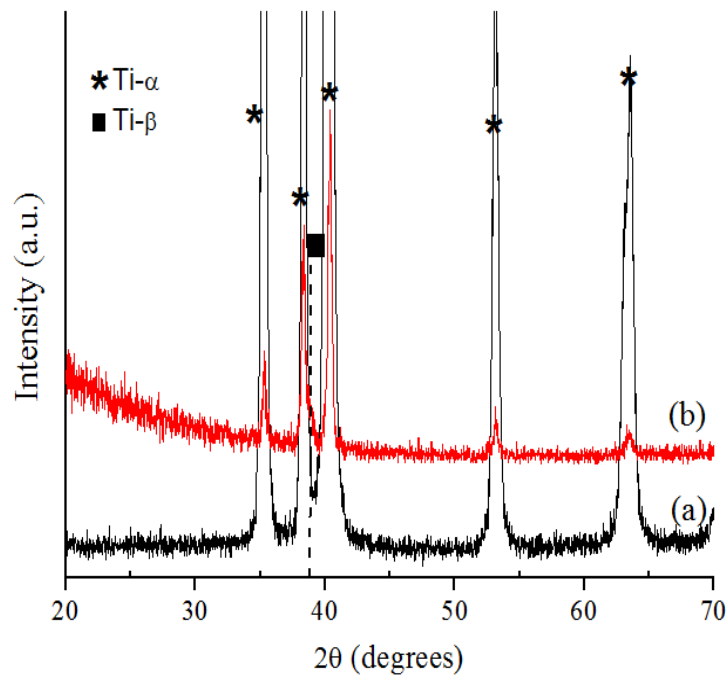


Figure 4.5 XRD diffractograms of (a) as-received Ti6Al7Nb powders and (b) as-manufactured Ti6Al7Nb alloy foams.

Magnesium has a comparatively higher oxidation affinity compared to titanium in the processing temperature range (≤ 1200 °C) as shown in Ellingham diagram, Figure 4.6. Because of that magnesium acts as a getter during its evaporation and prevents excessive oxidation of Ti-alloy structures. However, there are some points needed to be clarified related to the complete removal of magnesium from foams and its protection ability from oxidation thereafter. Although almost all of the magnesium was removed and no detectable magnesium remained as revealed by XRD results, Figure 4.5(b), magnesium has very limited solid solubility in pure titanium. Although the room temperature solubility of Mg in pure Ti under equilibrium conditions is less than 0.2 at. % (Figure 2.11), its solubility limit may change when the titanium is alloyed. Similarly, XPS results given in Figure 4.7, obtained from the outer surface layers of the manufactured foams revealed around 4 at. % Mg in Ti6Al7Nb alloy which was higher than the solubility limit of Mg in pure titanium.

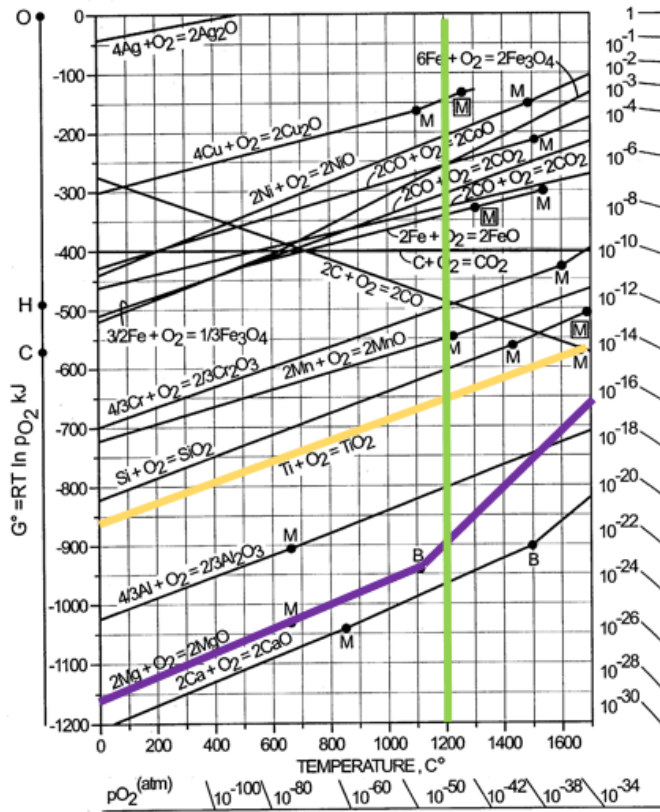


Figure 4.6 Ellingham diagram showing the relative stabilities of MgO and TiO₂ at sintering temperature, 1200 °C.

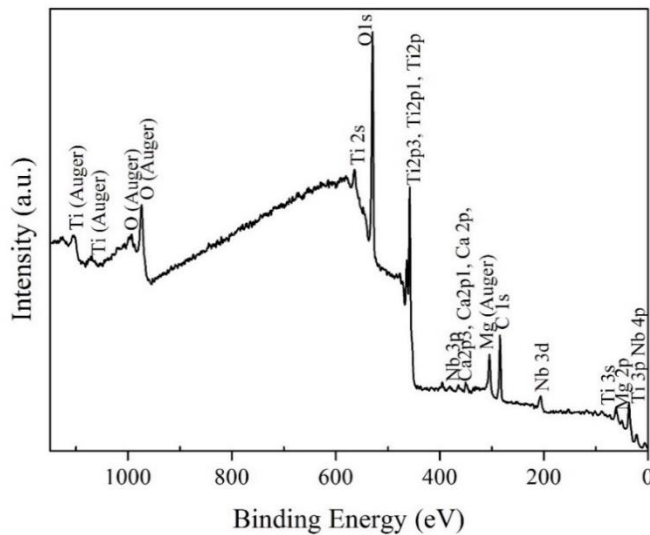


Figure 4.7 XPS survey spectrum of as-manufactured Ti6Al7Nb foams.

Although magnesium prevents excessive oxidation of titanium during its evaporation, it loses its protection function as removed completely from the compacts. Thus, the argon atmosphere alone will be responsible for creating protective atmosphere for the remaining period of sintering. Protectiveness of argon gas actually depends on its purity and flow rate above the titanium sample. The high purity argon gas utilized in the present study included some oxygen and water vapor (Ar >99.999%, O₂ <5 vpm, H₂O <4 vpm) and continuous flow of the gas might result in dissolution of interstitials in titanium to some extent. Mechanical properties of Ti6Al7Nb foams are known to be affected by interstitial atoms namely, hydrogen, oxygen, nitrogen and carbon elements. Especially, high diffusion rate of hydrogen at elevated temperatures in titanium causes excessive dissolution of element in the structure so that hydrogen embrittlement may be encountered [48]. On the other hand, oxygen has pronounced effect on two opposing characteristics, i.e. yield stress and ductility. Generally, solid solution strengthening of titanium alloys is very sensitive to dissolved oxygen content; however, some ductility is lost during strengthening. Because of that, in titanium alloys the solubility of interstitial atoms should also be carefully controlled in addition to type of compounds formed.

In the present study, the concentrations of O, H, N and C elements are presented in Table 4.3 for as-received Ti6Al7Nb alloy powders and as-manufactured Ti6Al7Nb foams. Oxygen content of the manufactured foams was relatively higher compared to that of as-received powders (Table 4.3). The observed increase in oxygen content was attributed to the continuous flow of high purity Ar gas during sintering process. Although Ar gas contained oxygen gas less than 5 vpm, continuous flow for a period of time may have caused excessive dissolution of oxygen in the structure. Additionally, the usage of 2.5% PVA [-CH₂CHOH-]_n solution, which included chemically bound oxygen and water in the solution, was thought to induce contamination of foam samples during heating. Likewise, hydrogen and nitrogen contents of manufactured foams were relatively higher compared to as-received powders as expected; however, the contamination was not as severe as the effect of oxygen.

Besides, high solubility of C element in the produced foams compared to as-received powder, Table 4.3, can be explained by the high diffusion rate of carbon at high temperatures. Additionally, attachment of hydrocarbons on the foam surfaces subsequent to foam production and dissolution of carbon present in PVA solution may lead to increase in carbon concentrations.

Table 4.3 O, H, N and C contents of as-received powders and as-manufactured Ti6Al7Nb alloy foams.

Specimen	Element (wt. %)			
	Oxygen	Hydrogen	Nitrogen	Carbon
As-received powder	0.19	0.005	0.012	0.010
As-manufactured foam	0.61	0.008	0.015	0.034

4.1.2 Compression Behavior of Foam Samples

Quasi-static compression tests were utilized to determine the compression behavior of as-manufactured foams. Figure 4.8 shows the stress-strain curves of alloy foams consisting of three main stages similar to elastic-plastic foams. First stage in the curves was identified as the linear elastic region, in which small strains with recoverable deformation were observed up to the yield point. Subsequent to yielding of cell walls, a second region named as plateau region was observed with nearly constant flow stresses up to high strain values. All the curves were characterized by a maximum flow stress seen just after yielding and a sudden drop of flow stress caused by initial collapse of the cell walls. In the densification region, the third region of deformation, which was clearly seen in foam sample with 53.0% porosity, all the pores became closed so that stress increased sharply since the foam started to display mechanical response similar to that of bulk samples, Figure 4.8.

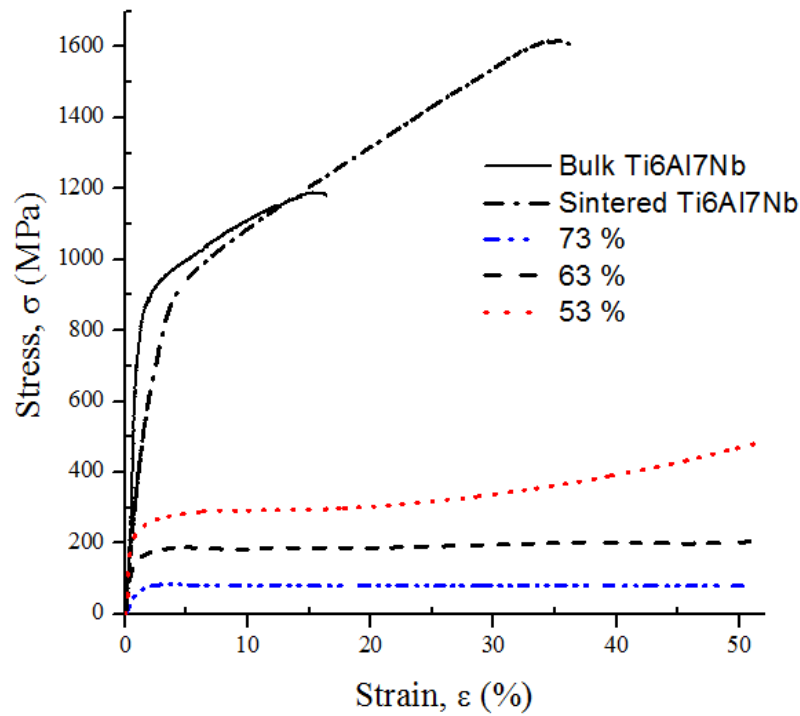


Figure 4.8 Stress-strain curves of Ti6Al7Nb foams with total porosities of 53.0%, 63.0% and 73.0% compared with sintered and bulk Ti6Al7Nb alloy.

Additionally, bulk and as-sintered Ti6Al7Nb samples having similar microstructure with foams were also tested in order to compare the mechanical response under the same quasi-static conditions. As shown in Figure 4.8, both samples exhibited linear elastic behavior at small strains and followed by the yielding. After reaching the maximum stress, sudden decrease was observed at around 16% and 35% strain value for bulk and as-sintered Ti6Al7Nb samples, respectively. Consequently, both samples were observed to fail at an angle 45° to the compression axis as shown in Figure 4.9(a) and (b). It was interesting that as-sintered alloy had higher compression strength compared to bulk sample and relatively higher strain hardening region was observed mainly due to the presence of micropores in the structure.

In contrast to bulk and as-sintered alloy samples, collapsing and fracturing of cell walls in foam samples occurred both in plateau and densification stages; however, samples with 53.0% and 63.0% porosities did not fracture completely, Figure 4.9(c) and (d),

when the tests were ceased at around 40-50% strain. On the other hand, highly porous Ti6Al7Nb samples with 73.0% porosity fractured totally at the end of test, Figure 4.9(e).

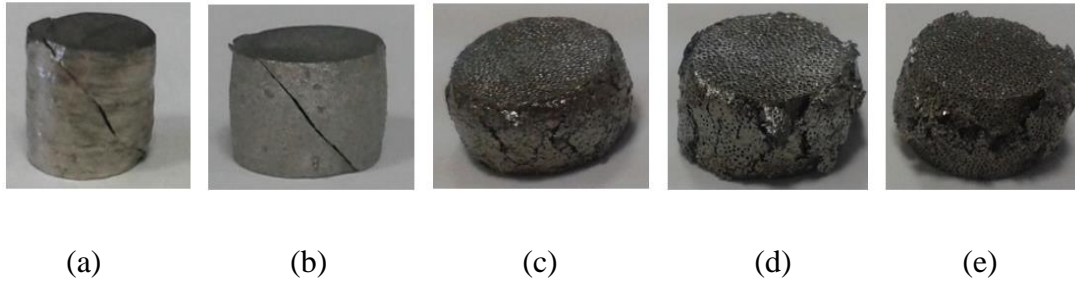


Figure 4.9 Ti6Al7Nb alloy samples after compression tests; (a) bulk alloy, (b) sintered alloy and foams with the total porosities of (c) 53.0%, (d) 63.0% and (e) 73.0%.

Despite their similar compression mechanical responses, foams having different porosities exhibited different mechanical properties according to added Mg content or resultant porosity content. From the stress-strain curves shown in Figure 4.8, it can be inferred that introduction of more pores to the structure resulted in higher decreases both in yield strength and elastic modulus values of foams. On the other hand, plateau region became wider as porosity content increases.

Table 4.4 lists the elastic moduli and yield strength of Ti6Al7Nb alloy foams with varying porosity contents, i.e. 53.0-73.0 %. The elastic modulus of foams were observed to be in the range of 5-44 GPa and yield strength values were between 65 and 191 MPa within the investigated porosity range. On the other hand, yield strength value of sintered and bulk Ti6Al7Nb samples were found to be approximately 820.0 MPa and 830.0 MPa, respectively, which match well with the wrought bulk Ti6Al7Nb alloys [105]. Additionally, elastic moduli values of sintered and bulk alloys were determined as 50.0 ± 5.0 GPa and 95.0 ± 5.0 GPa, respectively.

Table 4.4 Mechanical properties of bone and Ti6Al7Nb samples in different forms.

Material	Yield Strength, σ_y (MPa)	Elastic Modulus, E (GPa)
Bulk Ti6Al7Nb alloy	830.0 ± 5.0	95.0 ± 5.0
As-sintered alloy (no Mg addition)	820.0 ± 5.0	50.0 ± 5.0
Foam-53.0 vol.% porosity	210.0 ± 5.0	43.8 ± 2.0
Foam-63.0 vol.% porosity	133.0 ± 5.0	18.4 ± 2.0
Foam-73.0 vol.% porosity	65.0 ± 5.0	5.2 ± 2.0
Bone [107]	-	1-40

As previously elucidated, foam samples used in hard tissue replacements should be mechanically compatible to bone to prevent stress-shielding effect. Mechanical match is evaluated in terms of elastic moduli similarity of foam and the bone, and stress-shielding effect is lessened through the use of foams with elastic modulus similar to that of bone. In the current study, especially samples with 62.0% and 73.0% porosities seem to be potential replacements for hard tissues since elastic moduli values of the foams lie in the elastic modulus range of bone, $E_{\text{bone}}=1\text{-}40$ GPa, (Table 4.4).

In order to understand the relationship between porosity content and mechanical properties of porous materials, MSA model was applied successfully to foams with varying degrees of porosities. Relative mechanical properties, $M^*/M_{\text{cell wall}}$ -macro porosity fraction, p_{macro} relation, was described by the equation given in Eq. (4.1), where superscript “*” and subscript “cell wall” describes foam and cell wall properties. The exponent “n” in Eqs. (4.1) and (4.2) in general reflects both foam properties and macro porosity characteristics.

$$\frac{M^*}{M_{\text{cell wall}}} = (1 - p_{\text{macro}})^n \quad (4.1)$$

$$M^* = A \cdot (1 - p_{\text{macro}})^n \quad (4.2)$$

Eq. (4.1) was modified in the current study and Eq. (4.2) was utilized to predict the foam mechanical properties and the proportionality constant ‘A’ was assumed to reflect cell wall properties. Figure 4.10 shows the yield strength, σ_y , and elastic modulus, E, changes of foams as a function of macro porosity fraction, p_{macro} . It has been clearly seen from the fitted curves that improvements in both σ_y and E were observed with decrease in macro porosity content and empirical relations, given in Eqs. (4.3) and (4.4) were obtained for yield strength and elastic moduli of foams. The fitted curves were observed to obey well the power law relation given in empirical equation defined by Eq. (4.2).

$$\sigma_y(\text{MPa}) = 826.9 (1 - p_{\text{macro}})^{2.0} \quad (4.3)$$

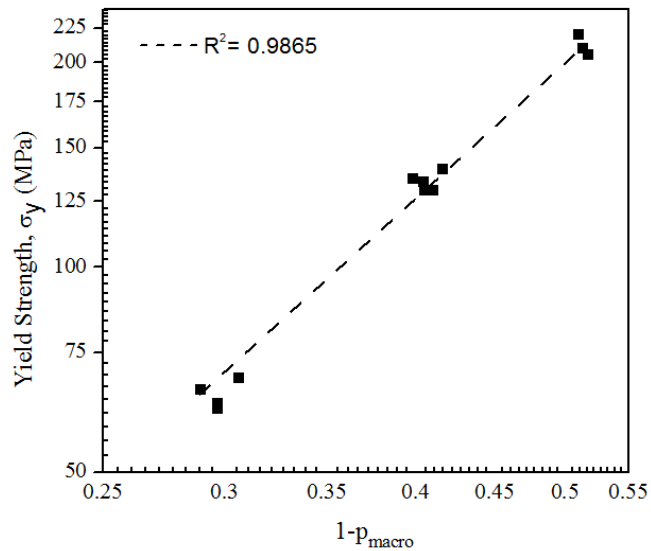
$$E(\text{GPa}) = 658.0 (1 - p_{\text{macro}})^{4.1} \quad (4.4)$$

The proportionality constant found in Eq. (4.3) is known to reflect the yield strength of the cell wall structure as given in Eq. (4.2). Thus, the cell wall yield strength of the foam samples was found as 826.9 MPa. The calculated cell wall yield strength value by use of empirical equations was observed to match well with experimentally calculated values such that the yield strength of as-sintered alloy samples which simulate the cell wall structure of the foams was found to be around 820.0 ± 5.0 MPa, Table 4.4.

Although, elastic modulus-macro porosity relation of foams was also defined by the power law relation given in Eq. (4.4), the cell-wall elastic moduli (658.0 GPa, proportionality constant in Eq. (4.4)) was far from the experimentally determined cell wall elastic modulus (50.0 ± 5.0 GPa). The inconsistency between elastic moduli calculated by empirical models and experimental studies could not be defined clearly and needs further investigation. The tested foam samples had both open and closed type of porosities within the examined porosity range. Because of that, the samples

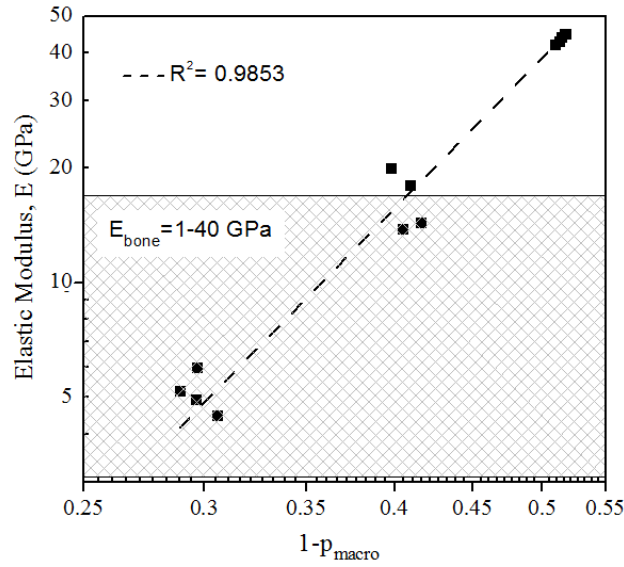
might have showed different elastic responses under compression loads and thus, deviation from the theoretical models was observed.

The exponents ‘‘n’’, found in Eqs. (4.3) and (4.4) were around 2.0 and 4.1, respectively. They reflect the structure and properties of cell walls and edges, and macropore character, i.e. interconnectivity of macropores, orientation and size distribution of pores [34]. Therefore, it could be concluded that mechanical properties predicted by MSA model not only depended on macro porosity characteristic formed as a result of evaporation of magnesium, but were also affected by the properties of the cell walls which depend on production processes, sintering condition, resultant microstructure.



(a)

Figure 4.10 Mechanical property change with macro porosity fraction; (a) yield strength, σ_y and (b) elastic modulus, E.



(b)

Figure 4.10 (cont'd).

4.2 Surface Modifications

As stated previously, porous structures in Ti6Al7Nb alloy foams enable tuning of mechanical properties and thus, stress-shielding problem encountered in bulk alloys may be alleviated in this way. Pores, additionally, create free spaces for ingrowth of bone and transport of body fluids in biomedical applications; however, they are not efficient in inducing the direct attachment of bone tissues to surfaces. Accordingly, pores' surfaces are required to be modified by various surface processing techniques that enable the attachment of bone tissues on the surfaces.

In this part, results of surface processing operations through the use of alkali treatment technique are presented for both bulk and manufactured Ti6Al7Nb alloy foams. Initially, preliminary surface processing experiments were conducted to obtain optimum surface processing conditions (NaOH aqueous solution concentration, temperature and post heat treatment temperature) given in Table 3.2 for the formation of biocompatible sodium titanate coating layers. Then, the porous samples were surface processed according to optimum parameters determined by the preliminary experiments.

4.2.1 Preliminary Surface Studies Using Bulk Ti6Al7Nb Alloys

4.2.1.1 Starting Bulk Ti6Al7Nb Alloy

In the current study, microstructures of as-received bulk Ti6Al7Nb alloys used in preliminary studies were initially examined by SEM and EDX analysis. It is shown in Figure 4.11 that as-received bulk Ti6Al7Nb samples were found to be in “mill annealed” condition which is obtained by homogenization above beta transus temperature and by hot deformation in $\alpha+\beta$ region followed by air cooling. Resultant microstructure is named as duplex microstructure containing aluminum rich (8 wt.% Al, 5 wt.% Nb) primary α phase marked as A and niobium rich (21 wt.% Nb, 6 wt.% Al) bright beta phase labelled as B in Figure 4.11.

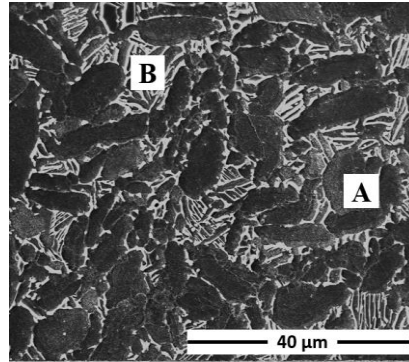


Figure 4.11 SEM image showing the microstructure of as-received bulk Ti6Al7Nb samples.

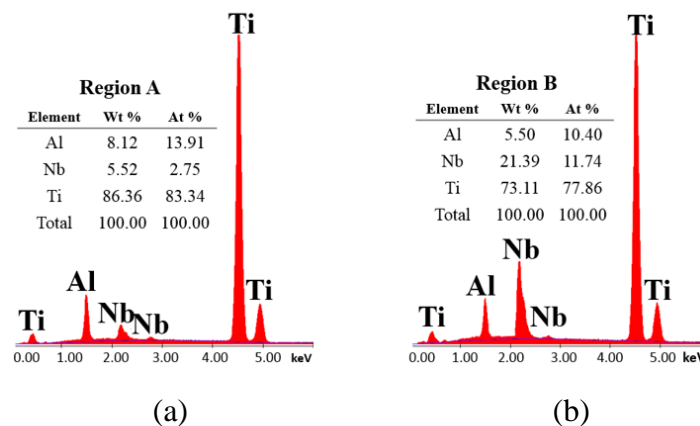


Figure 4.12 EDX results of as-received bulk Ti6Al7Nb consisting of (a) primary α (region A) and (b) lamellar $\alpha+\beta$ (region B).

Underlying microstructure affects the response of a surface to aqueous solution during surface processing. Because of that, microstructures of as-received bulk samples were converted to similar lamellar microstructures obtained in manufactured alloy foam samples, Figure 4.2(d). Therefore, bulk alloys were initially betatized in beta region, Figure 4.13, then, cooled in the furnace slowly. Equilibrium cooling of bulk samples from 1100 °C after betatizing for 20 min resulted in transformation of duplex microstructure into lamellar type Widmanstätten microstructure, Figure 4.14, seen in foam samples. Dark regions show aluminum rich α phase grown in various orientations in β phase (bright region). EDX analysis (Figure 4.15) revealed that α phase consisted of relatively high amount of aluminum element (7.58 wt. % Al, 5.69 wt. % Nb) compared to β phase (22.03 wt. % Nb, 4.68 wt. % Al) as expected.

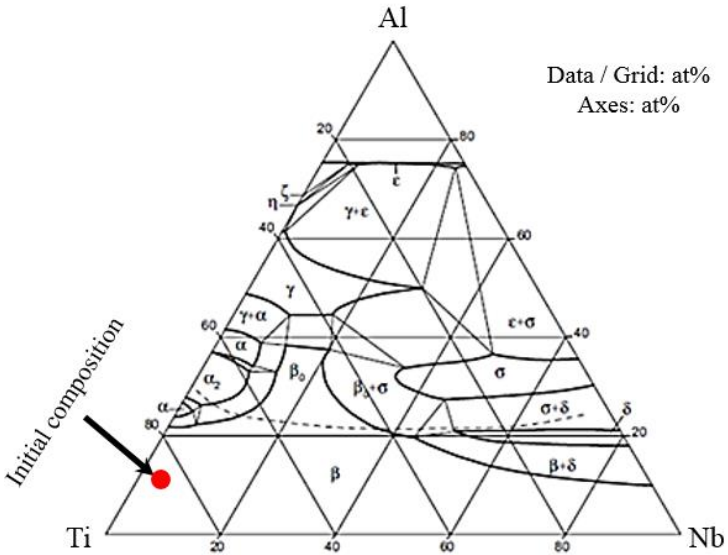


Figure 4.13 Isotherm drawn at 1100 °C, Ti-Al-Nb ternary system [108].

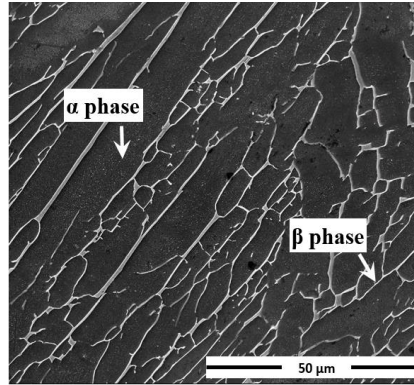


Figure 4.14 SEM images of furnace cooled Ti6Al7Nb bulk alloy showing the lamellar microstructure consisting of α -platelets and β -laths.

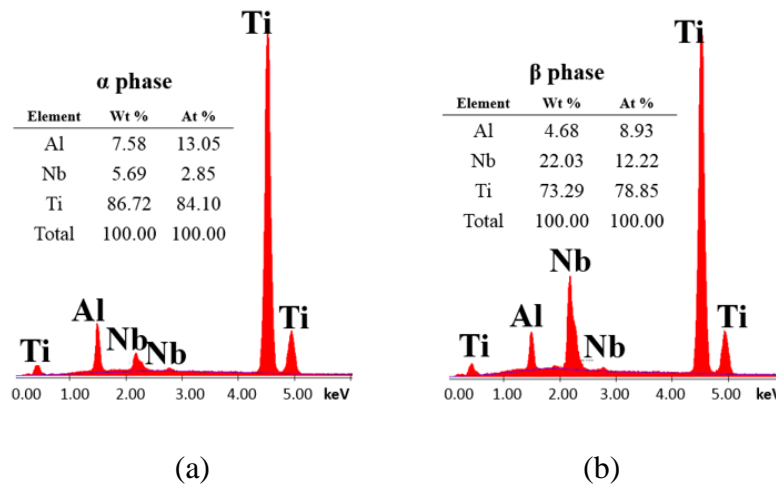


Figure 4.15 EDX results of furnace cooled Ti6Al7Nb alloy consists of (a) α phase and (b) β phase.

Then, heat treated disk shaped bulk alloy samples (18 mm \varnothing and 5 mm in thickness) were used for preliminary surface studies to determine the optimum process parameters. Prior to surface treatments, the heat treated bulk samples were ground with SiC paper up to 2000 grit and washed with acetone, ethanol and finally with distilled water in an ultrasonic cleaner. Figure 4.16(a) shows the relatively smooth bulk alloy surface with rarely observed grinding scratches. In addition, Figure 4.16(b) shows the

AFM three dimensional (3D) microtopography of the starting bulk Ti6Al7Nb alloy surface. The average roughness of ground surface was found to be 24 ± 7 nm. As it was previously stated that spontaneously formed oxide layer affects the response of a surface to NaOH solution during surface treatment process. Thereby, the existence of the oxide layer on the surface of bulk alloy was investigated by the XPS analysis (Figure 4.17). Analysis conducted on starting bulk alloy's surface revealed doublet peaks of Ti2p at around 458.5 eV (2p_{3/2}) and 464.1 eV (2p_{1/2}) and a main peak of O1s at 530.4 eV. The observed binding energies of Ti2p and O1s agreed well with the Ti-O bonds present in TiO₂ (Table 4.5) and confirmed the presence of titanium oxide on the starting bulk Ti6Al7Nb surface.

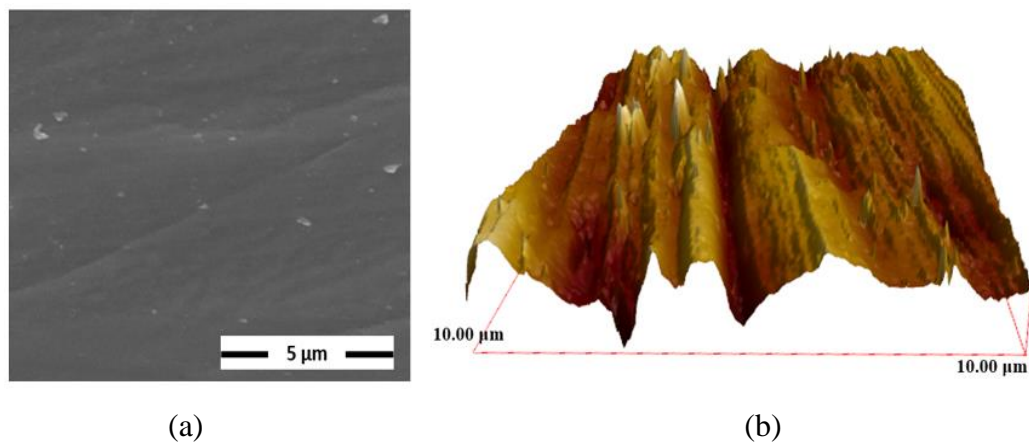


Figure 4.16 Furnace cooled starting bulk Ti6Al7Nb alloy (a) SEM image, (b) AFM 3D microtopography.

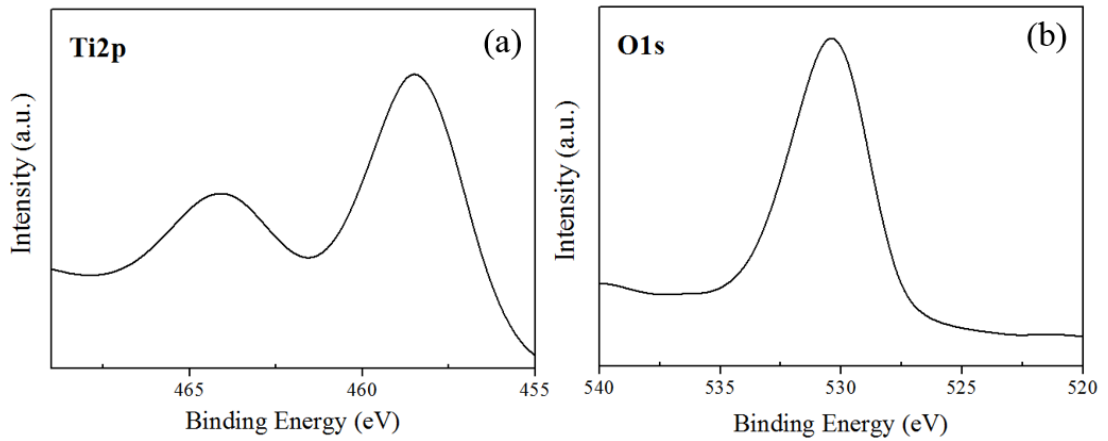


Figure 4.17 XPS spectra of (a) Ti2p and (b) O1s for untreated bulk Ti6Al7Nb alloy surfaces.

Table 4.5 Binding energy values of heat treated starting bulk Ti6Al7Nb alloy surfaces.

	Binding Energy (eV)		
	Ti2p		O1s
Reference*	Ti-O bond in TiO ₂		
	458.0 - 459.2	464.2 - 464.7	529.4 - 531.2
Untreated	458.5	464.1	530.4

*Ti2p and O1s binding energies in TiO₂, [109] and [106]

4.2.1.2 Effect of NaOH Solution Concentration and Post Heat Treatment Temperature

After preparing the bulk alloy samples' surfaces and internal microstructures for surface processing, the samples were subjected to various surface treatments, called alkali treatment, to obtain biocompatible Na-rich coating layer. In the present study, surface processing was conducted using aqueous solutions containing NaOH. Then, alkali method was followed by heat treatment in air to dehydrate and transform the Na-rich phases into more stable sodium titanate phase (Na₂Ti_yO_{2y+1}). The samples were treated initially using 5-10 M NaOH aqueous solutions at 60-100 °C for 24 h and subsequently, they were heat treated in air at various temperatures of 500, 600 and 700 °C for 1 h.

In the first stage of the preliminary studies, the effect of NaOH solution concentration (5 M and 10 M) and post processing heat treatment temperatures (500, 600 and 700 °C) on the biocompatible sodium titanate formation were examined simultaneously. All the other variables, namely, solution temperature, holding time in solution and heat treatment time were kept constant at 60 °C, 24 h and 1 h, respectively, Table 3.2.

Figure 4.18 shows the change in surface morphologies of bulk Ti6Al7Nb specimens belonging to two different sets of samples, set A; treated with 5 M NaOH solution and set B; treated with 10 M NaOH solution. The effects of solution concentration and subsequent heat treatment temperature on the surface structure were clearly recognized. The coating layer having porous network structure was observed on both sets of samples' surfaces; however, some variations in surface morphologies existed due to the differences in solution concentrations (5 M and 10 M). The main difference between 5 M and 10 M treated samples was that set A samples' surfaces had continuous and relatively coarser porous coating structure, while deep cracks were formed on the coating layers of 10 M treated set B samples and the coating layer was observed to be non-uniform, Figure 4.18.

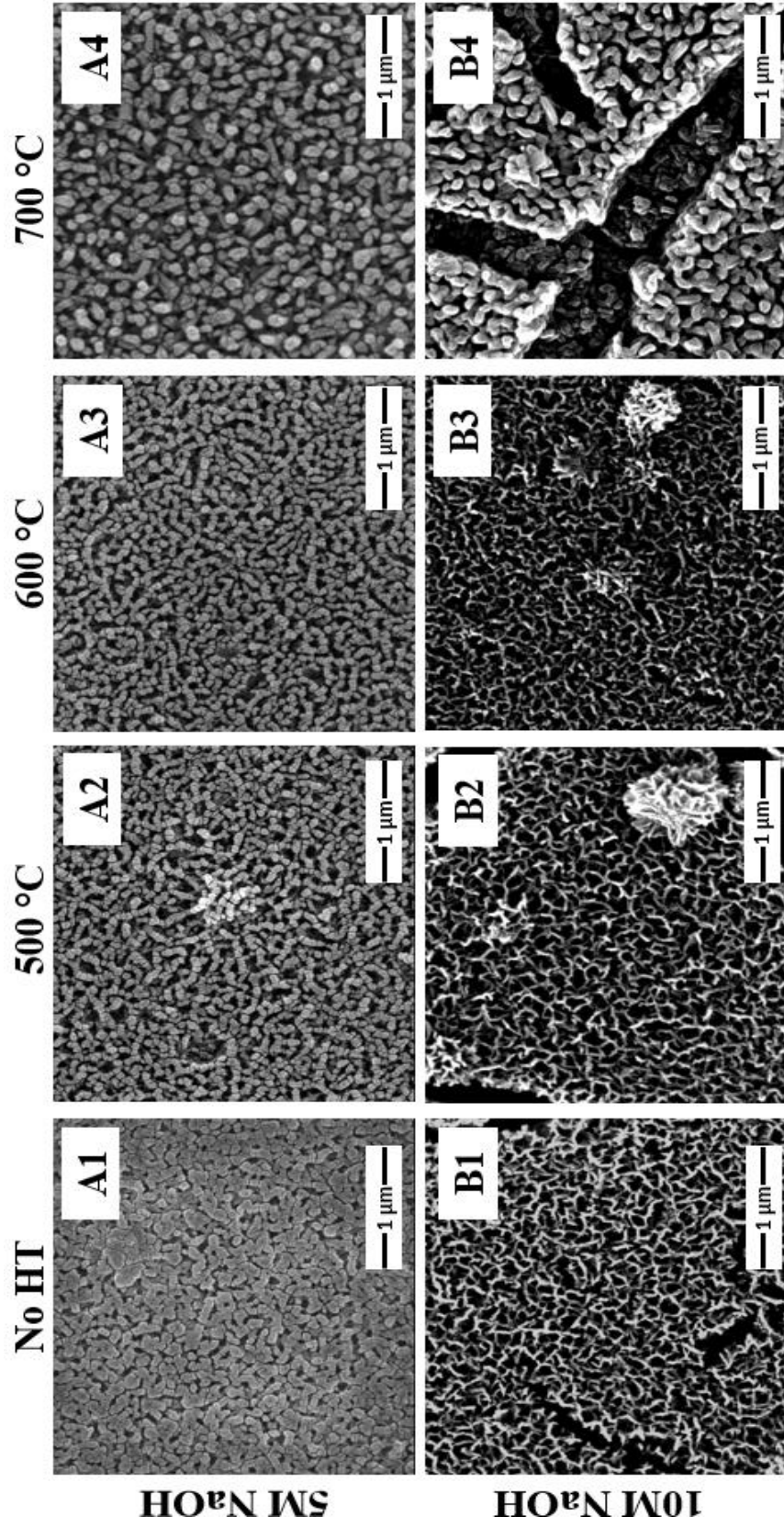


Figure 4.18 SEM images of bulk Ti6Al7Nb surfaces of set A (A1) alkali treated with 5 M NaOH at 60 °C then, (A2) heat treated at 500 °C, (A3) 600 °C and (A4) 700 °C, and set B specimens; (B1) alkali treated with 10 M NaOH at 60 °C then, (B2) heat treated at 500 °C, (B3) 600 °C and (B4) 700 °C.

As shown in Figure 4.18, prior to heat treatment process, as-NaOH treated A1 samples contained two dimensional relatively coarse continuous layers. As a result of post processing heat treatment, the layers transformed into finer rod-like structures named as “nano fibers” each having diameter approximately 100 nm, Figure 4.18, (A2, 3 and 4). Unlike the set A samples, coating layers of as-NaOH treated B1 samples have been observed to consist of finer network structure; however, the structures in some heat treated samples, B2 and B3, were not uniform such that semi-spherical sodium rich particles (Table 4.6) formed on the network structure, Figure 4.18. Additionally, changing of heat treatment temperature from 500 °C to 700 °C caused coarsening of coating layers obtained both in set A and B samples. But, coarsening effect was more severe in 10 M NaOH treated set B samples. Moreover, unlike the 5 M NaOH treated samples, post processing heat treatment at 700 °C distorted continuity of the coating layers and caused formation of relatively deeper cracks on 10 M treated samples’ surfaces. The differences in thermal expansion coefficients of titanium substrate and high sodium rich coating layer in 10 M treated samples might be one of the reasons of intensified cracking due to heat treatment at 700 °C. In addition, the formation of cracks in some samples was also attributed to higher thickness and relatively high water content of the coating layer formed after 10 M alkali treatment. Likewise, in a previous study conducted by similar solution concentration [97], it was reported that 10 M NaOH alkali treatment causes the formation of thicker sodium titanate hydrogel ($\text{Na}_2\text{Ti}_3\text{O}_7 \cdot \text{H}_2\text{O}$) layer which has relatively higher water content compared to samples treated with 5 M NaOH. Therefore, the shrinkage of thicker hydrogel layer during drying and heat treatment via releasing of water was shown to be responsible for intensified cracking.

The EDX analysis of set A and B samples given in Table 4.6 displayed the presence of Na and O elements on the surfaces. It is apparent that relatively high amounts of Na and O elements were incorporated into the surface of Ti6Al7Nb alloys after surface treatment which implies the formation of the Na-rich coating layers on all surfaces. However, no direct correlation between sodium, oxygen contents of the coating layers and the processing variables (solution concentration and heat treatment temperatures)

was detected. The observed inconsistency in Na and O concentrations was believed to arise because of the differences in thicknesses of the coating layers which may lead to changes in the intensity of EDX signals received from substrate and the coating layer.

Table 4.6 EDX results of set A and B samples.

SET		Element (wt. %)					
		Ti	Al	Nb	Na	O	Na/Ti
SET A	A-1	65.57	4.98	5.53	4.05	19.43	0.0612
	A-2	67.86	5.02	6.16	3.13	17.83	0.046
	A-3	64.89	4.41	6.02	5.96	18.37	0.092
	A-4	61.88	4.87	6.17	6.3	20.45	0.102
SET B	B-1	62.71	3.97	8.76	3.5	20.14	0.056
	B-2	57.9	4.68	8.97	3.52	23.82	0.061
	B-3	55.05	11.4	6.79	3.69	22.1	0.067
	B-4	64.45	4.16	9.08	3.02	18.71	0.046

As EDX analysis could not reveal the presence of titanate layers on coating layers, TF-XRD studies were performed to index phases on NaOH and NaOH-heat treated samples. Since the set B samples treated with 10 M solution exhibited non-homogenous coating layers with cracks, further characterization studies have been done for only 5 M NaOH treated set A samples. Figure 4.19 shows the TF-XRD patterns of set A sample surfaces before and after alkali treatment with 5 M NaOH solution at 60 °C followed by various heat treatments (at 500, 600 and 700 °C for 1 h in air). Main peaks of α -titanium were clearly distinguished for all surfaces, on the other hand, variations in XRD data showed the formation of different phases after both NaOH treatment and following heat treatments. For instance, hardly resolved shallow peak appeared on the as-NaOH treated A1 surface between 25° and 30°, Figure 4.19(b), indicated the formation of amorphous sodium titanate hydrogel ($\text{Na}_2\text{Ti}_3\text{O}_7 \cdot \text{H}_2\text{O}$) phase. As subsequent heat treatment was conducted at 500 °C, amorphous peak was observed to disappear, Figure 4.19(c), and there was no additional peaks other than main peaks of titanium which meant that heat treatment at 500 °C was not sufficient to transform amorphous hydrogel layer to sodium titanate

phase ($\text{Na}_2\text{Ti}_y\text{O}_{2y+1}$). However, broad sodium titanate hydrogel peak became a bit narrower and shifted to lower angles, Figure 4.20, when heat treatment temperature was risen to 600 °C and also a new peak appeared at around 48°, Figure 4.19(d). Although both peaks were hardly resolved, they were identified as sodium titanate phases (ST). Moreover, titanium oxide phase resulting from the oxidation was seen at around 42°, 2θ degrees. Conversely, formation of sodium titanate phase could not be observed on the surface of the sample heat treated at 700 °C subsequent to NaOH-alkali treatment, Figure 4.19(e) and no remarkable X-Ray signals were detected.

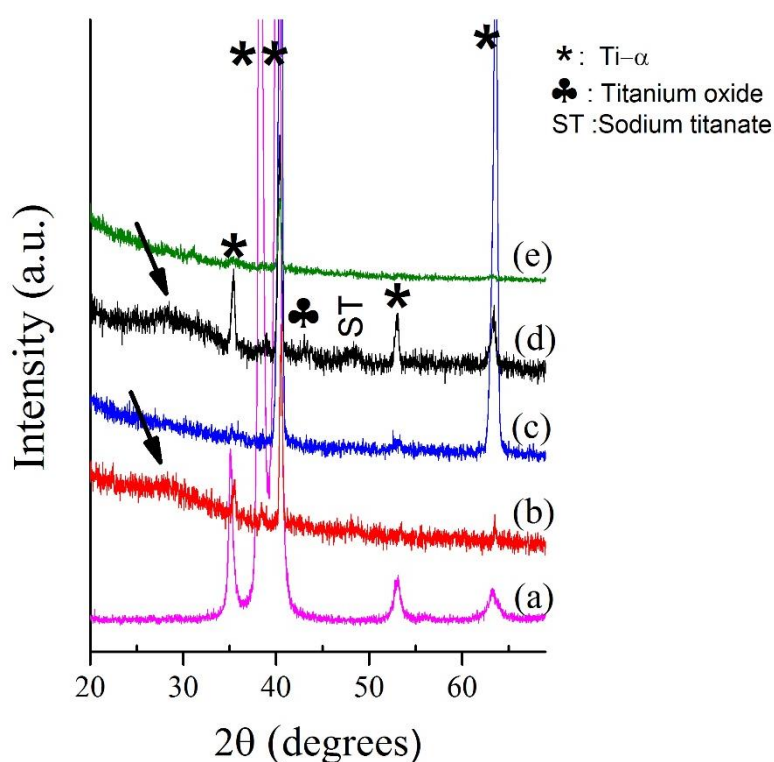


Figure 4.19 TF-XRD patterns of (a) untreated Ti6Al7Nb alloy surface and set A samples' surfaces; (b) alkali treated with 5 M NaOH at 60 °C, and then heat treated at (c) 500 °C, (d) 600 °C and (e) 700 °C for 1 h in air.

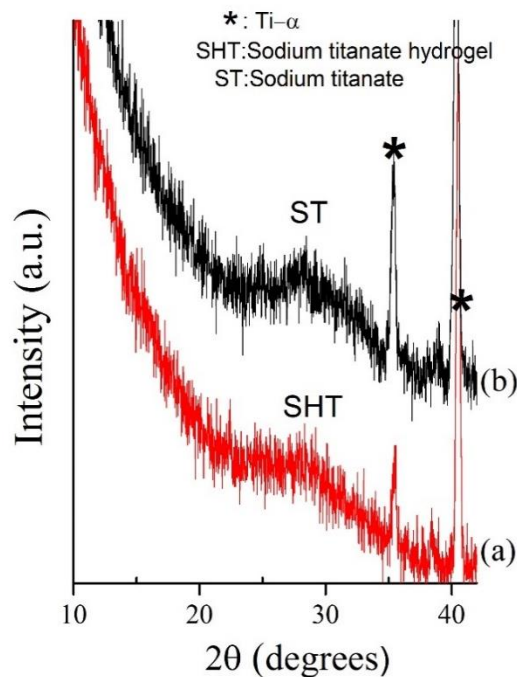


Figure 4.20 Enlarged TF-XRD patterns of set A samples' surface treated with (a) 5 M NaOH treatment at 60 °C (A1) , (b) 5 M NaOH treatment at 60 °C and heat treated at 600 °C (A3).

4.2.1.3 Effect of NaOH Solution Temperature

In the second stage of the preliminary studies, the effect of NaOH-solution temperature on sodium titanate formation was investigated. For this purpose, NaOH solution concentration and holding time in solution were kept constant at 5 M and 24 h, respectively. Also, the post processing heat treatment was conducted at a single temperature, at 700 °C, for 1 h in air, Table 3.2.

For the first group of specimens, the solution temperature was adjusted to 60 °C (set A, A4 sample) and the second group of specimens was treated at 100 °C (set C, C1 sample). Results have shown that the effect of solution temperature is more severe compared to the effect of solution concentration. Significant difference was observed in the structure and morphologies of samples' surfaces treated at 60 °C and 100 °C such that Na rich phase, Figure 4.21, was uniformly coated at 60 °C (sample A4), while

obviously inhomogeneous coating layer including large agglomerates was detected when the temperature was increased to 100 °C (sample C1), Figure 4.21, probably due to enhanced precipitation kinetics of Na-rich phases.

Additionally, three dimensional network structures with nano fibers having 100 nm diameter in the samples processed at 60 °C were lost as the samples were kept at 100 °C. EDX results revealed that C1 sample had excessive amount of Na element on its surface (Figure 4.21), while relatively low amount of Na was detected in surfaces of A4 sample. The discrepancy observed between Na contents of the samples was attributed to difference in coating thicknesses. When the coating thickness increases as in the case of 100 °C treated samples, most of the signals are received from the coating layer. Conversely, more signals from titanium substrate are gathered if the thickness of coating decreases. Because of that, in the current state EDX analysis can only be used for detection of elements like Na, Ti and O which sodium titanate phase contains.

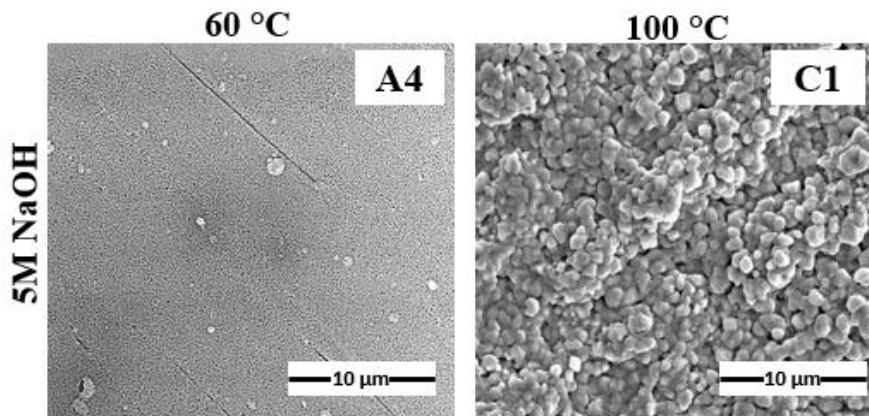


Figure 4.21 SEM images of bulk Ti6Al7Nb surfaces of set A (A4) alkali treated with 5 M NaOH at 60 °C then heat treated at 700 °C, and set C specimens; (C1) alkali treated with 5 M NaOH at 100 °C then heat treated at 700 °C.

Table 4.7 EDX analysis of A4 and C1 samples.

Specimens	Element (wt. %)				
	Ti	Al	Nb	Na	O
A4	61.41	4.87	4.87	7.48	20.98
C1	35.61	-	3.62	32.75	28.02

Figure 4.22 shows the TF-XRD results of C1 samples subjected to 5 M NaOH at 100 °C and subsequently heat treated at 700 °C. Although the presence of Na and O rich layer was detected by EDX analysis, formation of the highly crystalline sodium titanate phase, $\text{Na}_2\text{Ti}_6\text{O}_{13}$, was confirmed with TF-XRD results given in Figure 4.22. In addition, titanium oxide phase, TiO_2 , was clearly recognized on the treated surface due to the oxidation after heat treatment at 700 °C. Although highly crystalline sodium titanate phase formed when 100 °C solution temperature was utilized, its highly coarse structure caused elimination of the treatment at 100 °C due to the possibility of cracking in the body fluid environment.

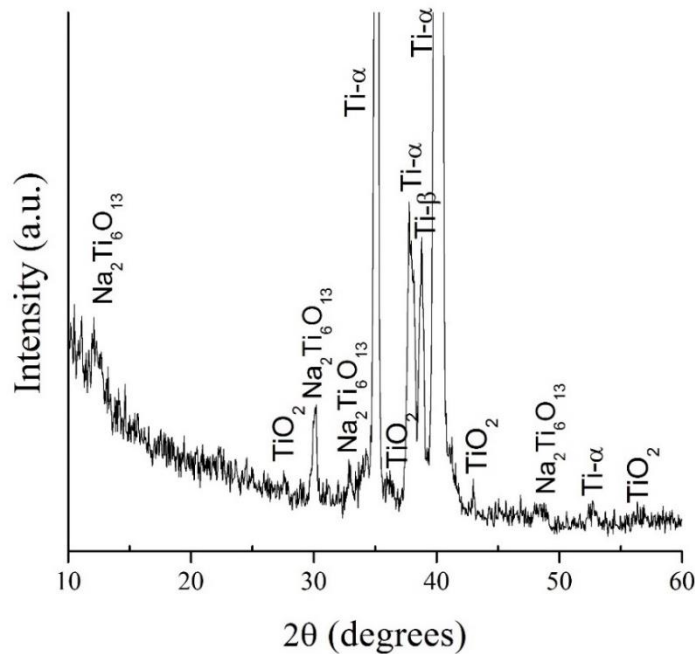


Figure 4.22 TF-XRD results of bulk Ti6Al7Nb surfaces of set C (C1) alkali treated with 5 M NaOH at 100 °C then heat treated at 700 °C.

The formation of sodium titanate phase on the titanium surface is a kind of diffusional process in which exchange of atoms between titanium substrate and NaOH solution takes place through a series of reactions. As solution temperature increases as in the case of surface treated samples at 100 °C, reaction rate increases due to strong temperature dependency of diffusion processes. Figure 4.23 shows the structural changes on bulk Ti6Al7Nb surface during alkali and heat treatment proposed by Kim et al. [82]. Initially, native titanium oxide layer on the starting surface, which was confirmed by XPS studies, Figure 4.17, partially dissolves in NaOH solution according to Eq. (4.5). Then, the hydration of Ti simultaneously occurs in accordance with the following reactions given in Eqs. (4.6)- (4.8). Further reactions between hydroxyl and hydrated TiO₂ lead to formation of negatively charged ions (HTiO₃⁻) on the substrate surfaces, Eq. (4.9). These negatively charged ions combine with Na⁺ ions to form sodium hydrogel titanate layer (Na_xH_{2-x}Ti_yO_{2y+1}·nH₂O). Furthermore, post heat treatment conducted at various temperatures leads to densification and transformation of sodium titanate hydrogel to crystalline sodium titanate (Na₂Ti_yO_{2y+1}) phase as shown in Figure 4.23.

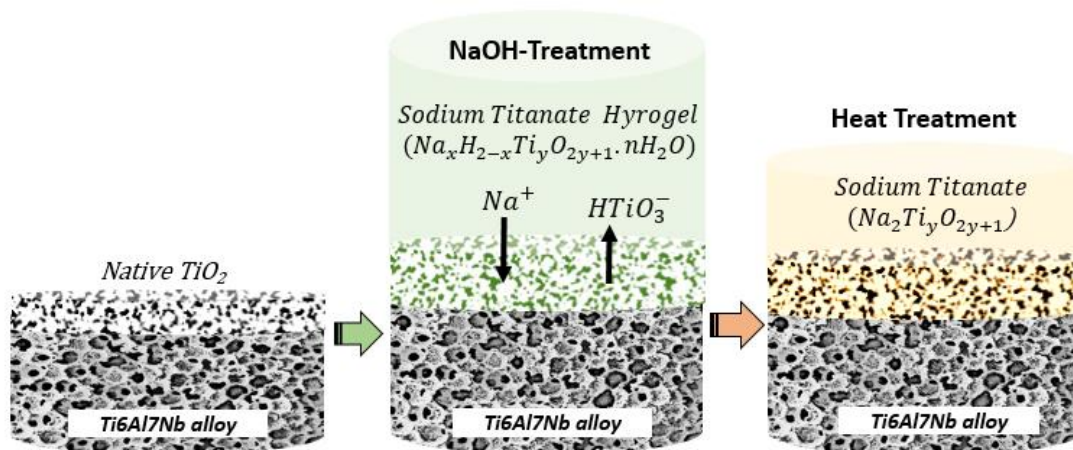
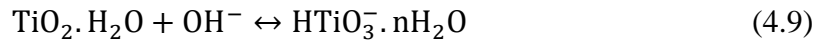
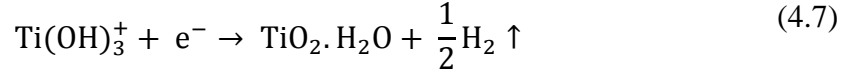
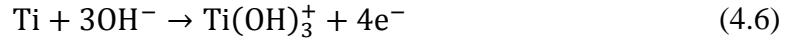
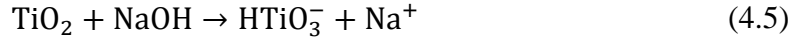


Figure 4.23 Schematic representation of surface structural change of Ti metal during alkali and heat treatments [103].



To sum up, fine homogenous and uniform sodium rich coating layers were obtained under some specific conditions which were defined as optimum processing parameters. As stated previously, increasing the NaOH solution concentration from 5 M to 10 M resulted in large deep surface cracks and non-homogenous coating layers. On the other hand, solution temperature strongly changed precipitation tendency of sodium rich layer such that Na-rich phases formed as large agglomerates and three dimensional network structures were lost as solution temperature increased from 60 °C to 100 °C. Post processing heat treatment which was performed to stabilize and crystallize the Na-rich phase also changed the surface structure. Although Na-containing network structure was relatively finer as the heat treatment temperature changed between 500 °C and 600 °C, the coating layer become coarser in samples heat treated at 700 °C mainly due to excessive oxidation. The effects of holding time in solution and heat treatment time were not considered since their influences on formation and structure of Na-rich phases were thought to be considerably small compared to the effect of solution temperature.

As a result of preliminary studies, 5 M, 60 °C, 24 h were chosen as optimum solution concentration, solution temperature and holding time, respectively. Suitable heat treatment temperature and time were assigned as 600 °C and 1 h which were the possible lowest temperature and time that enabled the formation of sodium titanate phase without undesirable excessive oxide phases.

4.2.1.4 Characterization of Bulk Alloys Treated by Optimized Parameters

Prior to surface processing of Ti6Al7Nb alloy foams by using optimized surface treatment variables the effect of NaOH and NaOH-heat treatment on surface morphology, chemical composition of the outmost coating layer and distribution of elements through the coating thickness have been investigated further in detail for samples processed by optimized variables (5 M NaOH at 60 °C for 24 h and subsequent heat treatment in air at 600 °C for 1 h.).

Starting surface morphology of bulk Ti6Al7Nb sample shown in Figure 4.16 was entirely changed after surface processing using optimized NaOH solution variables. Figure 4.24(a) reveals relatively homogenous, fine and crack free porous network structure having approximately 200 nm pore size after NaOH treatment. This network structure was not fundamentally changed by subsequent heat treatment (600 °C, air, 1 h), as stated previously and given in Figure 4.24(b). However, the porous structure was relatively coarser compared to NaOH treated surfaces basically owing to thickening of network structure due to oxidation in air.

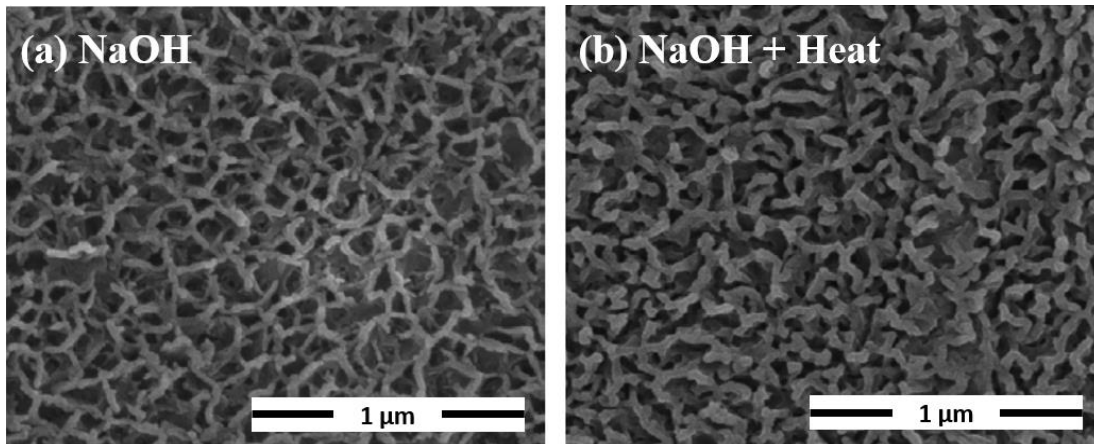


Figure 4.24 Surface structure of bulk Ti6Al7Nb surface; (a) 5 M NaOH-treated at 60 °C, 24 h then (b) heat treated at 600 °C, 1 h.

Table 4.8 shows the EDX results of untreated starting alloy, NaOH and NaOH-heat treated surfaces. Starting Ti6Al7Nb alloy's surface (Figure 4.11) contained only Ti (81.45 wt. %), Al (6.19 wt. %), Nb (7.13 wt. %) alloying elements and also, O (5.23 wt. %) due to the presence of oxide layer. On the other hand, surface processing in NaOH solution enabled attachment of Na element on the surface and about 5.45 wt. % of Na was detected in addition to oxygen. Subsequent heat treatment in air at 600 °C changed the relative amounts of elements, i.e. Na and O especially. First of all, some Na was lost during heat treatment and Na/Ti ratio, which was around 0.09 in alkali treated samples, decreased to 0.05 as a result of heat treatment. The lost sodium was observed to evaporate and condense as a Na-rich white powder on the internal walls of quartz tube utilized during heat treatment experiments. It is well known and given previously that NaOH treatment enables the formation of sodium titanate hydrogel, $\text{Na}_x\text{H}_{2-x}\text{Ti}_y\text{O}_{2y+1}\cdot n\text{H}_2\text{O}$, while subsequent heat treatment at 600 °C leads to the densification of hydrogel layer to more stable sodium titanate phase, $\text{Na}_2\text{Ti}_y\text{O}_{2y+1}$, by removal of physically attached water [86]. This transformation may partially explain the relative change of oxygen concentration after heat treatment.

Table 4.8 EDX results of bulk Ti6Al7Nb samples.

Specimen	Element (wt. %)				
	Ti	Al	Nb	O	Na
Untreated	81.45	6.19	7.13	5.23	-
NaOH-treated	63.63	4.50	6.52	19.90	5.45
NaOH-heat-treated	68.91	4.93	6.74	15.63	3.79

In addition to EDX studies, the chemical compositions in outmost surfaces of untreated and treated bulk samples were also examined by XPS analysis which measures binding energies of elements within around 10 nm depth, Figure 4.25. As it can be seen in Figure 4.25 and Table 4.9, all the samples contained high amount of C element since carbon is always present on the outer surfaces due to adsorption of organic molecules. In addition to carbon, trace amounts of calcium elements were also detected on the untreated samples probably due to contamination during sample preparation step.

Additionally, untreated starting Ti6Al7Nb surface consisted of mainly Ti2p and O1s elements, Figure 4.25(a), instead of alloying elements of aluminum and niobium due to presence of titanium oxide phase on the top surface as shown previously in Figure 4.17 and Table 4.5.

Upon treatment in NaOH solution, Na and O elements were detected on the surfaces due to formation of sodium titanate hydrogel phase. After subsequent heat treatment at 600 °C, amount of Na element increases from 14.7 at. % to 16.4 at. %, Table 4.9, because of the formation of stable sodium titanate phase as confirmed by TF-XRD patterns in Figure 4.19.

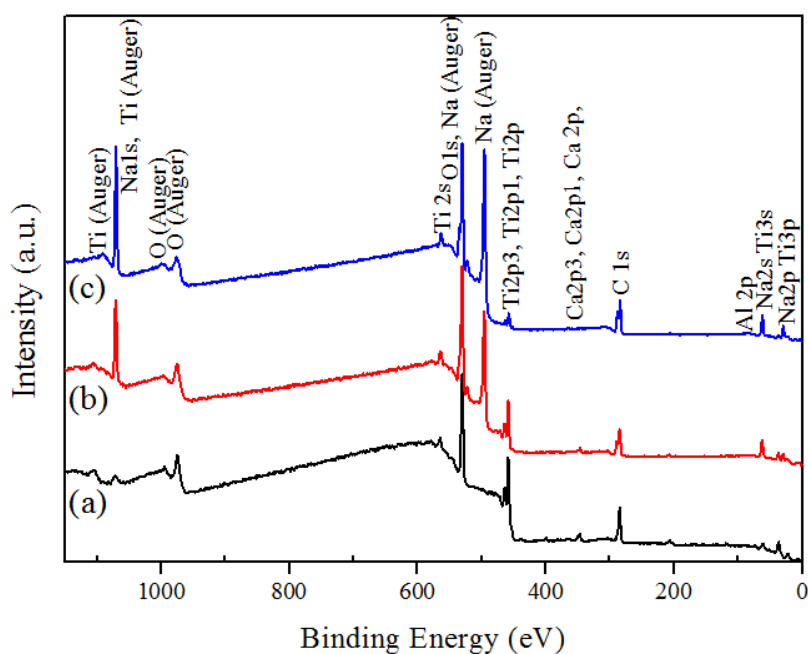


Figure 4.25 XPS survey spectra of bulk Ti6Al7Nb (a) untreated, (b) NaOH and (c) NaOH-heat treated.

Table 4.9 XPS analysis results showing the elemental compositions of bulk Ti6Al7Nb surfaces.

Specimen	Element (at. %)						
	O1s	C1s	Ti2p	Al2p	Nb3d	Ca2p	Na1s
Untreated	44.6	35.5	12.8	3.9	-	1.6	-
NaOH treated	48.6	29.9	6.2	-	-	0.6	14.7
NaOH-heat treated	46.7	35.2	1.7	-	-	-	16.4

In addition to chemical composition of the outermost layer, the change of concentration through the coating layer thickness gives useful information about the depth profile of the coating layer formed after surface processing. Although the outermost surface layers' composition was determined by XPS surface scan, the compositional change through coating thickness was best evaluated using XPS depth profiles obtained by sputtering the surfaces layer by layer.

The depth profile of untreated alloy displayed a gradual decrease in oxygen content from surface to interior region, while the other elements, Nb and Al, showed an increase in their concentrations, Figure 4.26(a). The high concentration of oxygen at the surface was due to presence of native oxide of titanium which has been already shown by XPS spectra and binding energies in Figure 4.17 and Table 4.5. However, in the interior regions, with the decrease of oxygen level composition of the alloy tends to reach its original alloy composition. Since the outermost layer of the specimens were covered with titanium oxide, NaOH solution first reacted with the TiO₂ layer as given in Eq. (4.5). As a result of surface processing in NaOH solution, outermost surfaces of the samples became sodium rich and a slight decrease was detected in Na concentration through samples' interior sections with an increase in sputter time, Figure 4.26(b). In contrast to untreated samples, oxygen was remained nearly constant throughout section examined in NaOH treated samples due to formation of sodium titanate hydrogel layer as confirmed by TF-XRD (Figure 4.19 and Figure 4.20). The elemental distribution for NaOH and heat treated sample was more or less the same as expected with nearly similar sodium concentration distribution, Figure 4.26(c);

however, Na gradually decreased with increasing sputter time toward the interior. On the other hand, oxygen concentration remained the same throughout the section which was due to the formation of densified sodium titanate phase.

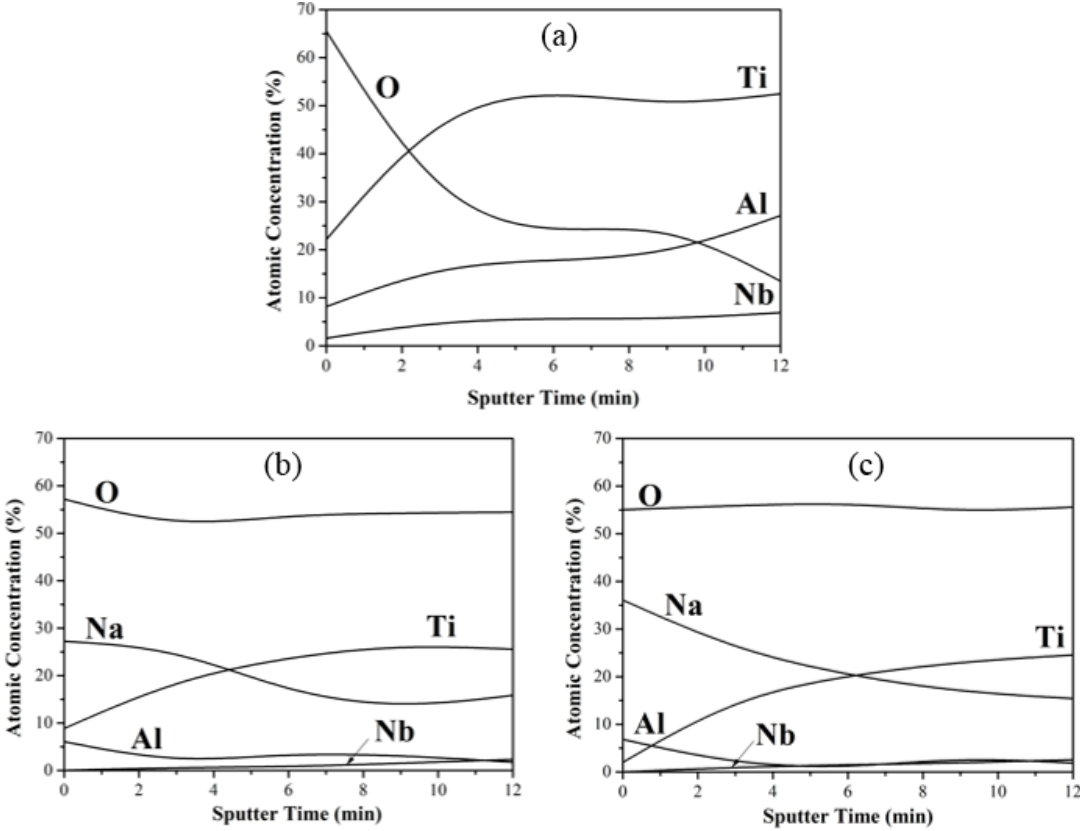


Figure 4.26 XPS depth profile analysis result of bulk Ti6Al7Nb (a) untreated, (b) NaOH and (c) NaOH-heat treated.

4.2.2 Surface Activation of Porous Ti6Al7Nb Alloy

In this part of the study, the influence of surface processing on the surface structure, chemical composition and mechanical behavior of manufactured Ti6Al7Nb foams are presented. The surface processing used to obtain sodium titanate layer by NaOH solutions was applied using optimized processing variables derived from preliminary studies. Ti6Al7Nb foams having around 73.0% porosity were chosen as substrate materials. The reason of the selection may be explained in terms of porosity type and

mechanical property of the foam samples. The first reason of the selection is that the sample with 73.0% porosity has open porous structure which enables transfer and penetration of NaOH solution through the whole structure to form homogenous coating layers. Secondly, 73.0% porous sample's elastic modulus matches the elastic modulus of cancellous bone especially, which aids in lessening the stress-shielding in load bearing applications.

4.2.2.1 Surface Structure and Chemical Composition

Figure 4.27 shows the SEM images of Ti6Al7Nb alloy foams' surfaces before and after NaOH and subsequent heat treatment conducted using optimized variables. Untreated starting alloy surface composed of sintered powders exhibited relatively smooth surfaces, Figure 4.27(a). NaOH treated surfaces, on the other hand, had non uniform Na-rich porous network structure, Figure 4.27(b). Additionally, in contrast to bulk samples some shallow surface cracks were observed to form on surface treated foams' surfaces. The surface cracks could not be eliminated although different drying routes was followed subsequent to NaOH treatment and the size of the cracks slightly increased by additional heat treatment in air, Figure 4.27(c), probably due to differences in thermal expansion coefficients of titanium substrate and sodium rich coating layer. After subsequent heat treatment at 600 °C in air, no obvious change was observed in surface coating morphology obtained by NaOH treatment, while heat treatment densified the coating, and made it more uniform and also the rod like nature of the coating became more evident as seen in Figure 4.27(c).

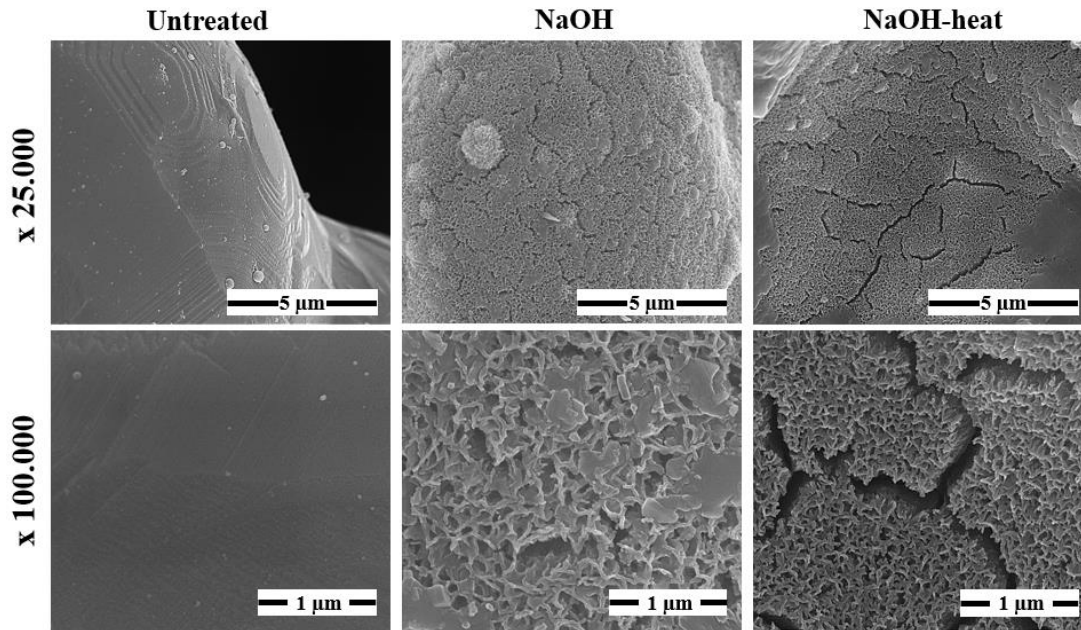


Figure 4.27 SEM images of Ti6Al7Nb foams' surfaces at x25.000 and x100.000 magnifications.

Unlike the bulk samples, additional heat treatment after NaOH treatment did not cause a decrease in Na concentration on porous coating layers. Calculated Na/Ti ratio of around 0.08 in NaOH treated samples was increased to 0.15 by additional heat treatment, Table 4.10. The relative differences in surface compositions of bulk and foam samples after surface processing were attributed to structural differences between two types of samples. In bulk samples, sodium probably escaped from the free surfaces easily during heat treatment while in foam samples Na lost was minimized or escape of Na became difficult due to wavy porous nature of the foams.

Table 4.10 EDX results of porous Ti6Al7Nb surfaces before and after various treatments.

Specimen	Element (wt. %)				
	Ti	Al	Nb	O	Na
Untreated	83.11	4.98	8.53	3.38	-
NaOH-treated	70.41	2.61	4.77	16.63	5.59
NaOH-heat treated	55.28	3.75	8.21	24.19	8.58

The structural and compositional changes of the coating layers in foams were further investigated by XPS analysis shown in Figure 4.28. As presented in Section 4.1.1 and shown in Figure 4.7, starting porous alloy contained some dissolved Mg on the surfaces which comes from the pore generation step including evaporation of magnesium. In this respect, the starting untreated foams' surfaces were different than that of bulk alloys with an additional dissolved Mg at around 4.2 at. % (Table 4.11). Therefore, further investigation was performed to check whether magnesium found on the surface was in the elemental state or oxide form. Mg2p spectra, Figure 4.29(a), showed Mg peak at a binding energy of around 49.76 eV which could be assigned to dissolved pure Mg whose binding energies were found to be in the range of 49.40-49.77 eV [109]. However, Mg peak in the starting foams disappeared as a result of surface processing by NaOH and NaOH-heat treatments, Figure 4.28(b) and (c), since XPS signals only received from outer layer of the Na-rich coating layer. Additionally, surface of the starting untreated foam contained some carbon and trace amount of calcium, Table 4.11, due to adsorption of hydrocarbons and contamination of the surface during cleaning step, respectively. Although small amount of Nb also was detected on NaOH-heat treated surface, no Al element was found at the outermost surfaces mainly due to presence of TiO₂ layer at the outermost layer. XPS spectra, Figure 4.29(b) and (c), taken from untreated foam surfaces displayed doublet peaks for Ti2p at 458.0 eV (Ti2p_{3/2}) and 464.0 eV (Ti2p_{1/2}) and O1s at 530.0 eV which corresponded binding energies of elements in Ti-O bond of native titanium oxide according to reference-1, Table 4.12.

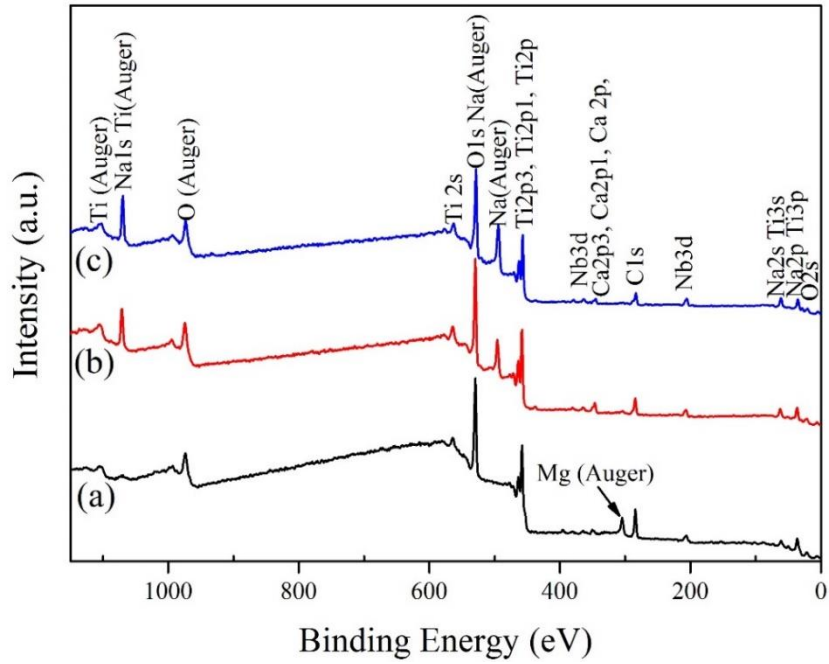


Figure 4.28 XPS survey spectra of porous Ti6Al7Nb (a) untreated, (b) NaOH, and (c) NaOH-heat treated.

Table 4.11 XPS analysis results showing the elemental compositions of porous Ti6Al7Nb surfaces.

Specimen	Element (at. %)							
	O1s	C1s	Ti2p	Al2p	Nb3d	Ca2p	Na1s	Mg2p
Untreated	47.0	30.2	14.5	-	-	0.8	-	4.2
NaOH-treated	51.1	20.7	14.9	-	-	1.8	10.7	-
NaOH-heat treated	52.1	17.9	13.6	-	1.0	0.8	14.7	-

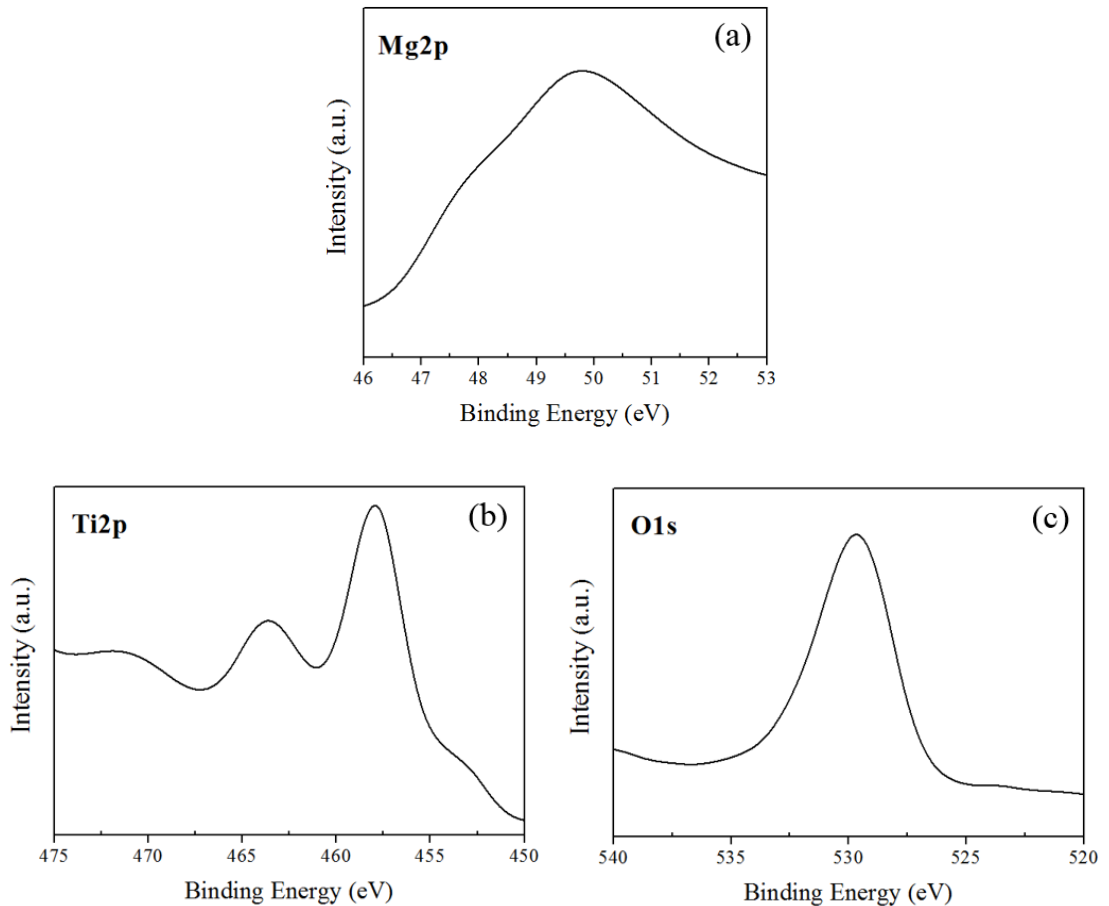


Figure 4.29 XPS spectra for as-manufactured porous Ti6Al7Nb samples (a) Mg2p, (b) Ti2p, and (c) O1s.

In NaOH and NaOH-heat treated samples, similar elements were detected on the surfaces expect that there were additional Na elements on the surfaces, Figure 4.28(b) and (c), and Table 4.11. Similar to EDX results, XPS analysis revealed a slight increase in the relative amount of Na element as a result of subsequent heat treatment at 600 °C, Table 4.11.

Na1s spectra shown in Figure 4.30(a) revealed single peaks at 1070.0 eV and 1072.0 eV for NaOH and NaOH-heat treated surface, respectively. The chemical shift implied the formation of sodium titanate phase. The Na1s and O1s spectra and binding energies given respectively in Figure 4.30 and Table 4.12 confirmed stable sodium titanate

phase as a result of subsequent heat treatment. The binding energies of Na1s, O1s and Ti2p in NaOH-heat treated sample given in Table 4.12 were observed to match well with the sodium titanate phase according to reference-2 [6]. However, stable sodium titanate phase was not detected by examining the binding energies of elements in as NaOH treated samples.

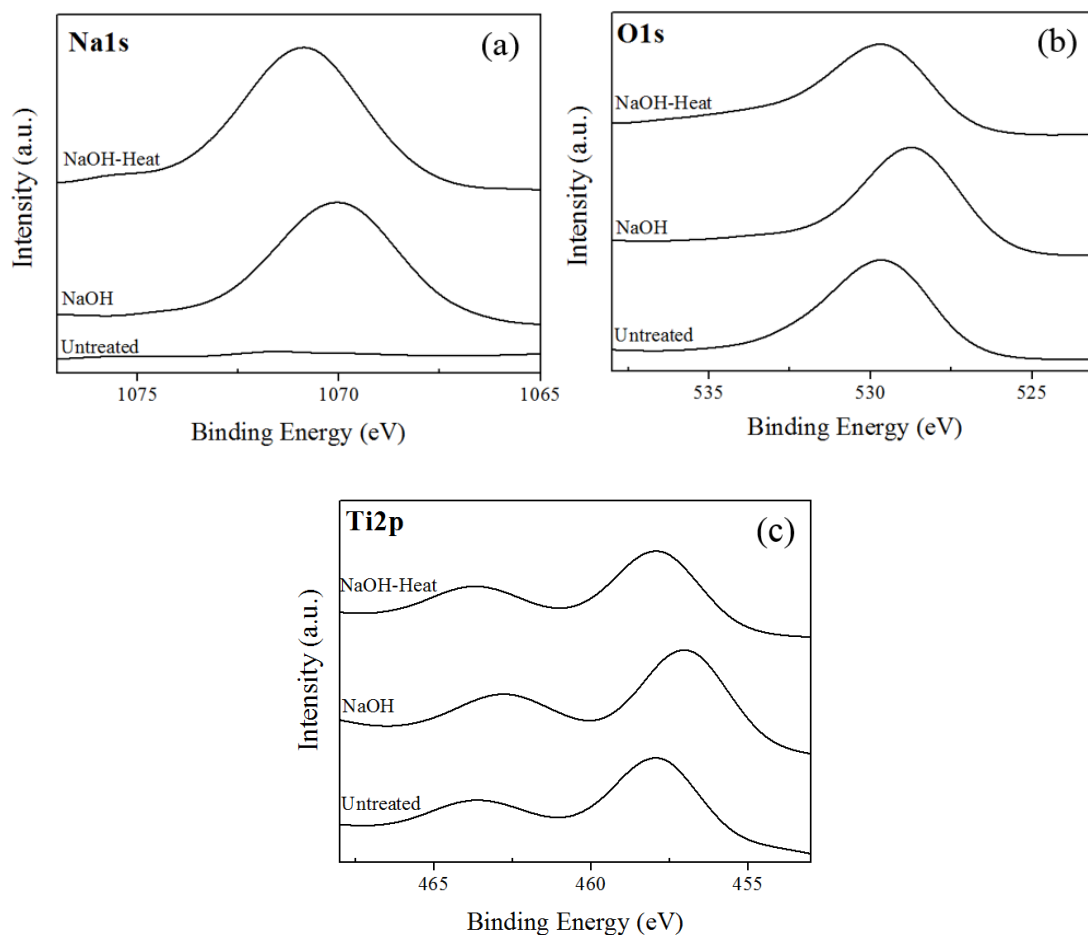


Figure 4.30 XPS spectra of (a) Na1s, (b) O1s and (c) Ti2p for untreated and treated porous surfaces.

Table 4.12 Binding energy values of untreated and treated porous samples.

Specimen	Binding Energy (eV)			
	Ti2p		O1s	Na1s
Reference-1	Ti-O bond in TiO ₂ *			
	458.0-459.2	464.2-464.7	529.4-531.2	-
Untreated	458.0	464.0	530.0	-
Reference-2	Sodium Titanate**			
	458.2	464.0	529.8	1072.0
NaOH-treated	457.0	462.9	528.8	1070.0
NaOH-heat treated	457.9	463.8	529.8	1072.0

*, ** Reference binding energies of elements, [109] and [106]

Besides XPS analysis, presence of various phases on the foam surfaces was also tried to be verified by TF-XRD analysis. Because of difficulties in collecting X-Ray data from porous surfaces, TF-XRD analysis was conducted using surface processed sintered Ti6Al7Nb pellets which simulate the cell wall structure of as-manufactured Ti6Al7Nb foams.

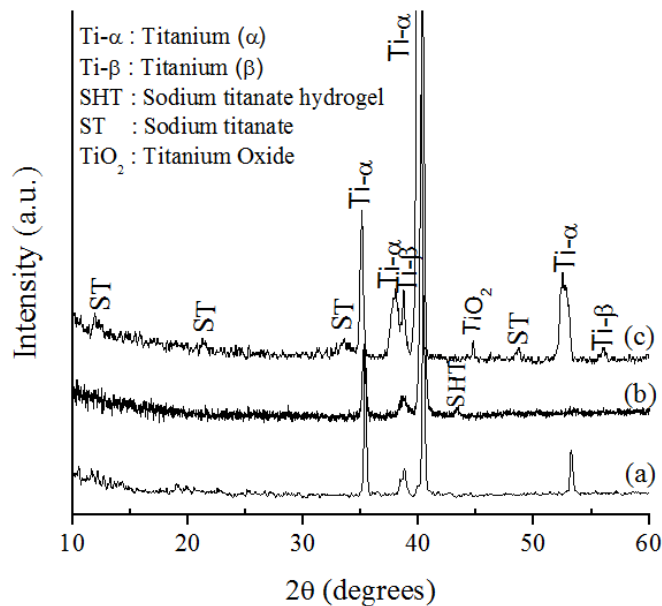


Figure 4.31 TF-XRD results of Ti6Al7Nb samples; (a) untreated, (b) NaOH-treated, and (c) NaOH and subsequent heat treated at 600 °C.

Figure 4.31 shows the TF-XRD results before and after NaOH and subsequent heat treatment. Although untreated Ti6Al7Nb foam contained only main peaks of α -Ti, Figure 4.31(a), NaOH treated foam surfaces were observed to consist of sodium titanate hydrogel, $\text{Na}_x\text{H}_{2-x}\text{Ti}_y\text{O}_{2y+1}\cdot n\text{H}_2\text{O}$, (SHT) phases, Figure 4.31(b), as expected. Additional heat treatment at 600 °C allowed the formation of crystalline sodium titanate phase, $\text{Na}_2\text{Ti}_y\text{O}_{2y+1}$, (ST) by removal of physically bond water, Figure 4.31(c), as also confirmed by XPS studies. Relatively low amount of TiO_2 phase was also observed owing to oxidation of Ti6Al7Nb foams. As shown in Figure 4.31(c), relative intensities of sodium titanate (ST) layer were very small compared to that of α -Ti because of its relatively thin structure.

4.2.2.2 Mechanical Properties of Coating Layer and Surface Processed Ti6Al7Nb Foams

In this part of the study, some experiments were conducted to measure the mechanical stability of the sodium titanate coating layer obtained by NaOH-heat treatment via crush tests and the effect of surface processing on the compression mechanical properties of foams was examined through a series of compression tests.

The sodium titanate coating layer obtained as a result of NaOH-heat treatment was very thin, around 500 nm, and homogenous as shown in Figure 4.32. Although the outer layer of the titanate layer contained some surface cracks, Figure 4.27(c), fracture in the coating did not follow these preexisting surface cracks during crush tests and conversely, fracturing in the coating layer occurred in the same plane of substrate fracture. Accordingly, the coating was classified as a mechanically stable layer because it preserved its integrity after crushing the surface treated samples.

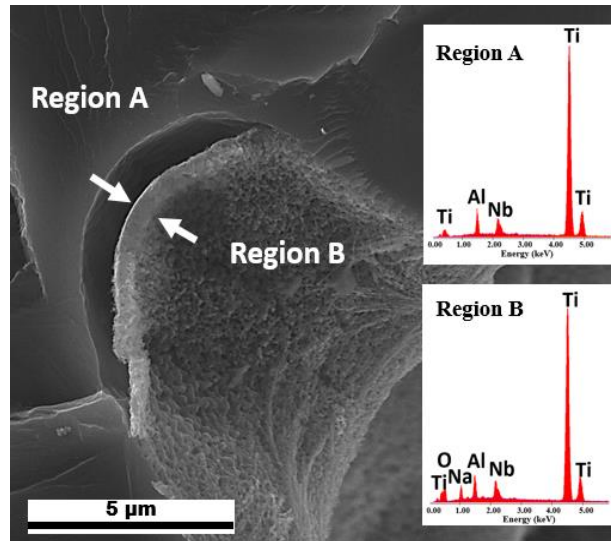


Figure 4.32 SEM photograph of fracture surface showing the mechanically stable sodium titanate coating layer (region B) formed on porous Ti6Al7Nb substrates (region A) as a result of NaOH and subsequent heat treatment in air at 600 °C.

As previously discussed, stress shielding problem can be avoided or lessened by obtaining porous samples having similar elastic modulus to that of bone. In the present study, mechanical behaviors of surface treated Ti6Al7Nb alloy foams were compared with that of untreated foams to observe the effect of sodium titanate coating layer on mechanical properties. As it can be seen in Figure 4.33, surface processing did not alter the mechanical responses of the untreated foams, and both NaOH and NaOH-heat treated samples also contained elastic region, plateau stage and densification region. However, surface processing changed the mechanical properties such that elastic moduli values of surface treated foams were changed slightly; however, compression strength variation was more pronounced, Table 4.13. Since additional surface treatment did not alter elastic moduli significantly, the foam samples preserved the mechanical matches with bone, $E_{\text{bone}}=1\text{-}40\text{ GPa}$ [107].

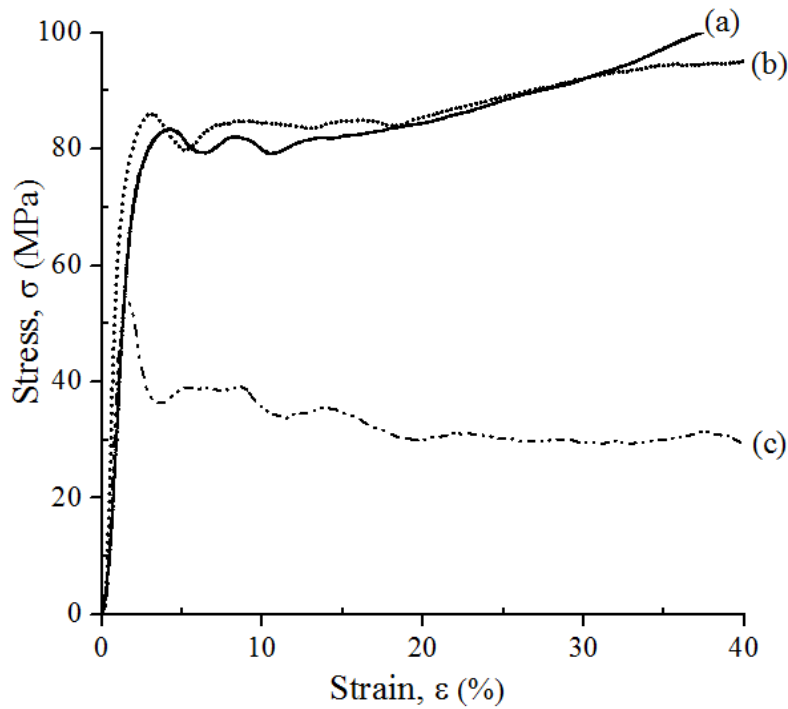


Figure 4.33 Stress-strain curves of Ti6Al7Nb foams; curve (a) untreated, (b) NaOH and (c) NaOH-heat treated.

Table 4.13 Mechanical properties of untreated and treated Ti6Al7Nb foams.

Samples	Yield Strength, σ_y (MPa)	Elastic Modulus, E (GPa)
Untreated	71.0 ± 2.0	6.0 ± 1.0
NaOH-treated	73.0 ± 2.0	7.5 ± 1.0
NaOH-heat treated	53.0 ± 2.0	6.3 ± 1.0

On the other hand, compressive strengths of the foams decreased considerably and around 25% decrease was detected by additional heat treatment applied subsequent to NaOH treatment, Figure 4.33(c). The reason of observed decrease was attributed to excessive oxidation of thin cell walls due to heat treatment in air. Formation of TiO_2 phase, which was verified by X-Ray results, Figure 4.31, made the foam structure brittle and caused to have compressive strength values as low as 53 MPa.

To sum up, more uniform and continuous coating layer was obtained after subsequent heat treatment in air but, NaOH treated foams displayed better mechanical properties. However, in terms of mechanical stability of the coating and the bioactivity, which will be presented in the following parts, NaOH-heat treated samples exhibited superior performance. Use of different heat treatment atmospheres such as heat treatment under argon may minimize the degradation of compressive strength caused due to oxidation in NaOH-air heat treated samples.

4.3 In vitro Studies with SBF

Functional properties, i.e. bioactivities, of untreated and surface treated Ti6Al7Nb alloy foams were tested and compared using SBF at $36.5 \pm 0.5^\circ\text{C}$ (pH=7.4). As it is known, during interaction with SBF, biologically active materials' surfaces have ability to form apatite layer which is the main requirement for an artificial implant to bond to living bone [102]. Therefore, bioactivities of various samples were tested by evaluating the apatite formation on the surfaces in different periods of time, i.e. one day, 5 and 15 days in SBF.

Figure 4.34 shows the surface morphologies of porous samples before and after immersion in SBF for different periods. It is easy to see the effect of SBF on surface morphology of porous Ti6Al7Nb surfaces, namely, untreated (sample S-A-0), NaOH treated (sample S-B-0) and NaOH-heat treated (sample S-C-0), Figure 4.34. Surface morphologies of all porous samples were slightly changed after immersion in SBF for one day (S-A-1, S-B-1 and S-C-1, Figure 4.34) and precipitation of white particles has been observed rarely. The frequency and size of the precipitates were largely dependent on whether surfaces were subjected to NaOH treatment or not. The bright particles on untreated sample's surface were relatively small, Figure 4.34 (S-A-1), while surface treated samples led to the formation of relatively coarser particles as seen in Figure 4.34 (S-B-1) and (S-C-1). According to EDX results presented in Table 4.14, the precipitated particles observed after one day were rich in Ca and P elements.

As it is known, biological apatite found in bone and synthetic hydroxyapatite contain mainly Ca and P elements and the Ca/P atomic ratios in both apatite are found to be 1.655 and 1.667, respectively [100]. However, Ca/P ratios calculated in one day tested samples were observed to change between 2.1 and 2.3, Table 4.14, which is far from the ideal Ca/P ratio seen in biological apatite. Accordingly, it was concluded that the surfaces were only supersaturated with Ca element within one day of immersion.

The sizes and chemical compositions of precipitated particles in 5 and 15 days were completely different. After soaking for 5 days, although the precipitated particles' morphologies did not change considerably, their sizes increased and agglomerated particles were observed at specific regions of NaOH and NaOH-heat treated samples, Figure 4.34. Likewise, at the end of 15 days, precipitated particles become coarser in NaOH and NaOH-heat treated samples. Moreover, almost all the surfaces of NaOH treated samples were covered with the precipitated particles which turned into hemispherical shape with around 1 μm in diameter. Although the frequency of the precipitated particles in NaOH treated samples was not as high as seen in NaOH-heat treated samples, it was relatively higher compared to untreated samples' particles.

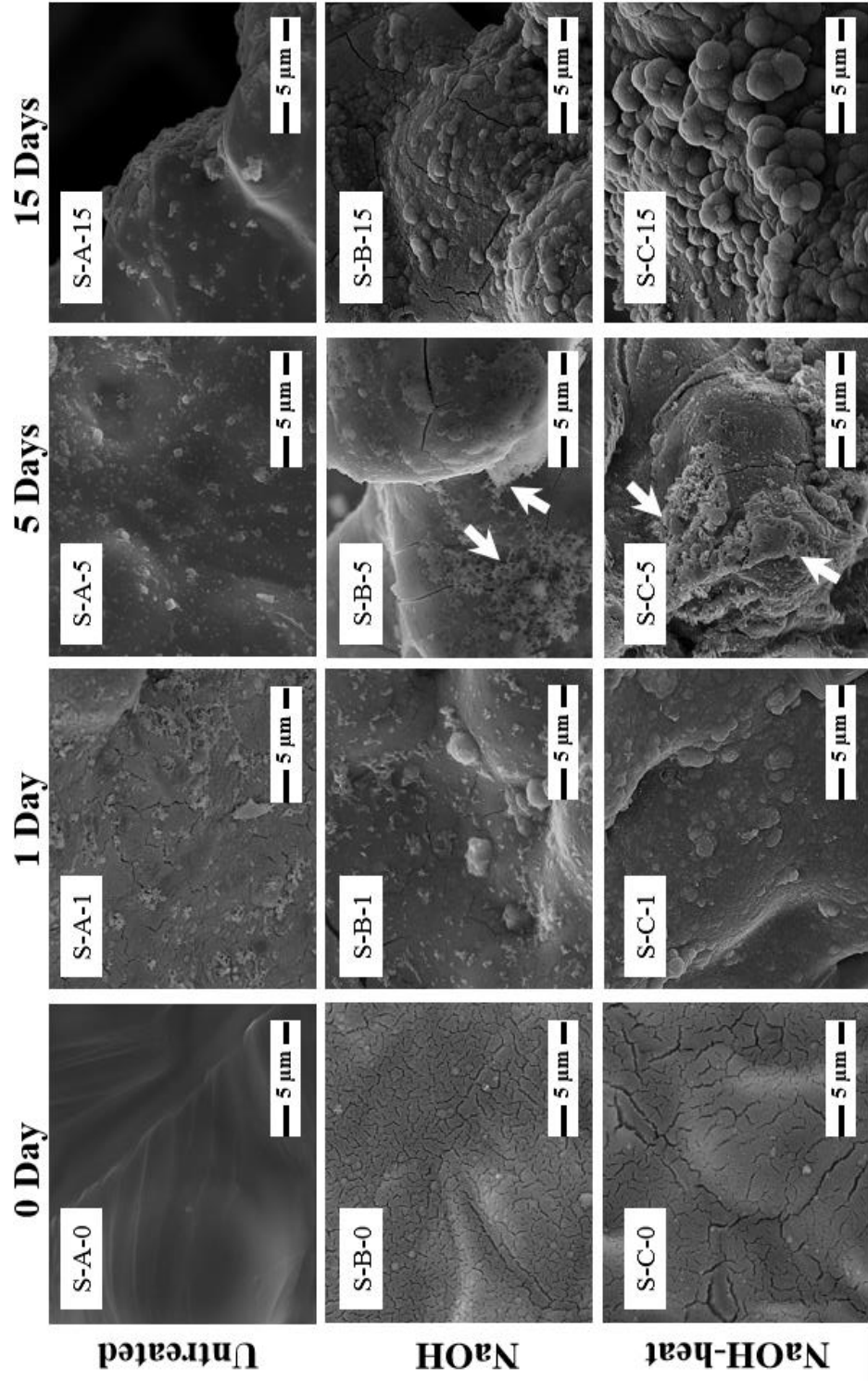


Figure 4.34 SEM images of different sets of porous Ti6Al7Nb samples immersed in SBF for 0, 1, 5 and 15 days. A: untreated sample, B: NaOH treated sample, C: NaOH-heat treated sample

In addition to morphology and size, considerable change was observed in chemical composition of precipitated particles after 5 and 15 days SBF tests. As presented in Table 4.11 and Figure 4.35, Ca/P ratio displayed a decrease as immersion time increased to 5 and 15 days and finally, the ratio reached to a value around 1.5 close to ratio found in bone. The initial supersaturation and decrease of Ca^{2+} ions may be explained on the basis of apatite formation mechanism proposed by Kim et al [82]. During the interaction of titanium surface with SBF, it is asserted that Ca^{2+} ions initially combines with Ti-OH groups and forms positively charged surface rather than negatively charged surface containing $(\text{PO}_4)^-$ ions, Figure 4.36. Therefore, initially Ca concentration becomes higher than P, which may explain the initial high calcium concentration in one day tested samples. As time passes, Ca and P concentrations are thought to be stabilized spontaneously by consumption of P ions from SBF in addition to Ca ions. When Ca ions accumulate on the surfaces, they react with negatively charged phosphate ions and form calcium phosphate on the surface according to Eq. (4.10), Figure 4.36. Thereby, the Ca/P ratio reaches to equilibrium values of nearly equal to 1.60 [86,110], which is close to the ratio in 15 days tested sample.

Table 4.14 Concentration of Ca and P (at.%) of porous Ti6Al7Nb surface immersed in SBF for 1, 5 and 15 days, obtained from EDX analysis. A: untreated sample, B: NaOH treated sample, C: NaOH-heat treated sample

Element	at. %								
	1 day immersion			5 days immersion			15 days immersion		
	S-A-1	S-B-1	S-C-1	S-A-5	S-B-5	S-C-5	S-A-15	S-B-15	S-C-15
Ca	6.92	6.49	8.45	0.97	4.01	15.93	0.83	5.0	24.89
P	3.23	2.78	3.87	0.84	3.56	9.29	1.0	3.27	15.9
Ca/P	2.14	2.33	2.18	1.15	1.13	1.72	0.83	1.53	1.56

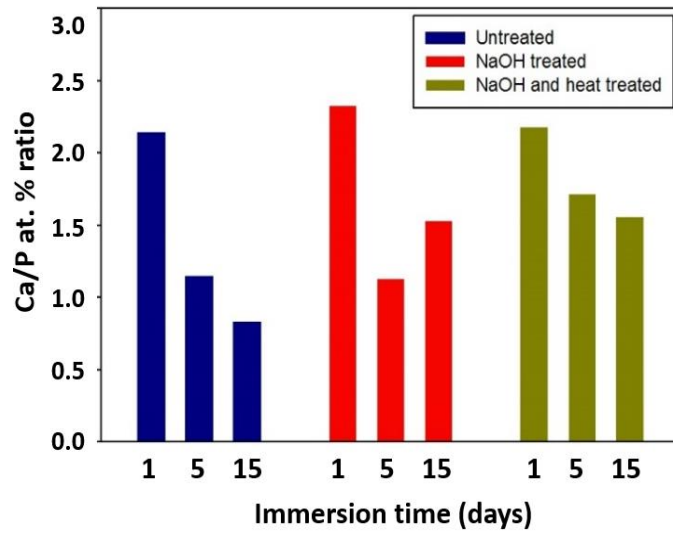


Figure 4.35 Change in Ca/P (at. %) ratio of untreated and treated foams with 1, 5 and 15 days of immersion in SBF.

Among the samples tested for various periods, 15 days immersion seemed to be sufficient for formation of equilibrium particles since Ca/P ratio became closer the ratio found in bone. Thus, the samples tested for 15 days were examined in detail to explore the presence of hydroxyapatite.

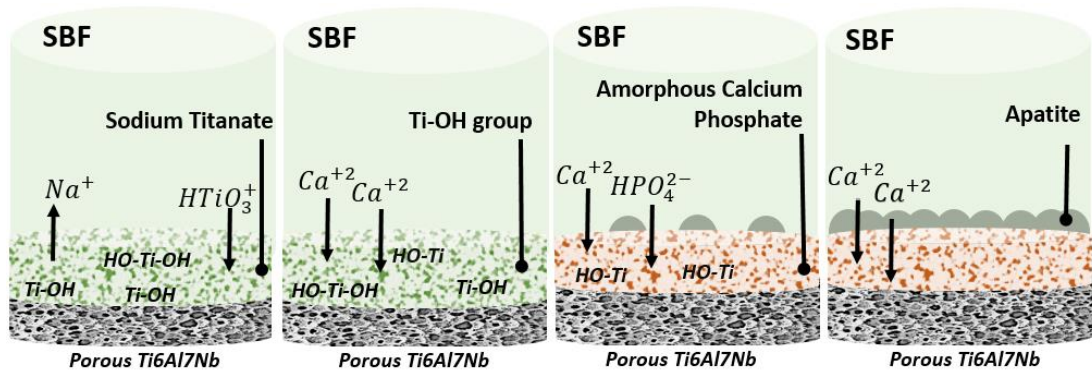
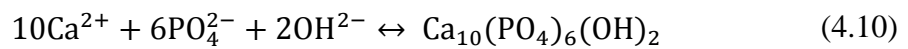


Figure 4.36 Schematic illustration of the apatite formation mechanism on the surface of NaOH- and heat-treated titanium in SBF [103].



As seen in Figure 4.37, formed particles after immersion for 15 days on both NaOH and NaOH-heat treated samples, which were believed to be apatite particles, were similar to synthetic hydroxyapatite, $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$, with flower-like appearance as mentioned in section 2.6., Figure 2.23(h). Closer examination of particles formed on untreated samples (S-A-15), Figure 4.37, revealed comparatively small amounts of Ca and P elements with Ca/P ratio equal to 0.83. In addition, considerable amounts of Na and Cl were also detected, Figure 4.37, which basically came from NaCl present in SBF. Similarly, Na and Cl elements were also found on NaOH treated surfaces (S-B-15, Figure 4.37); however, Ca/P ratio reached to 1.53 at this time. On the other hand, NaOH-heat treated sample's surface (S-C-15, Figure 4.37) was completely covered with denser and compacted Ca-P rich particles free of Na and Cl with Ca/P ratio of 1.56, nearly equal to ideal Ca/P ratio of natural bone [91]. Thus, it can be inferred from these findings that heat treatment after NaOH treatment enhances the attachment of Ca and P rich particles on the surfaces and increases the bioactivity of Ti6Al7Nb alloy. Also, it could be said that the possibility of Ca-P rich phase formation was relatively higher for NaOH-heat treated foams within the same period of time.

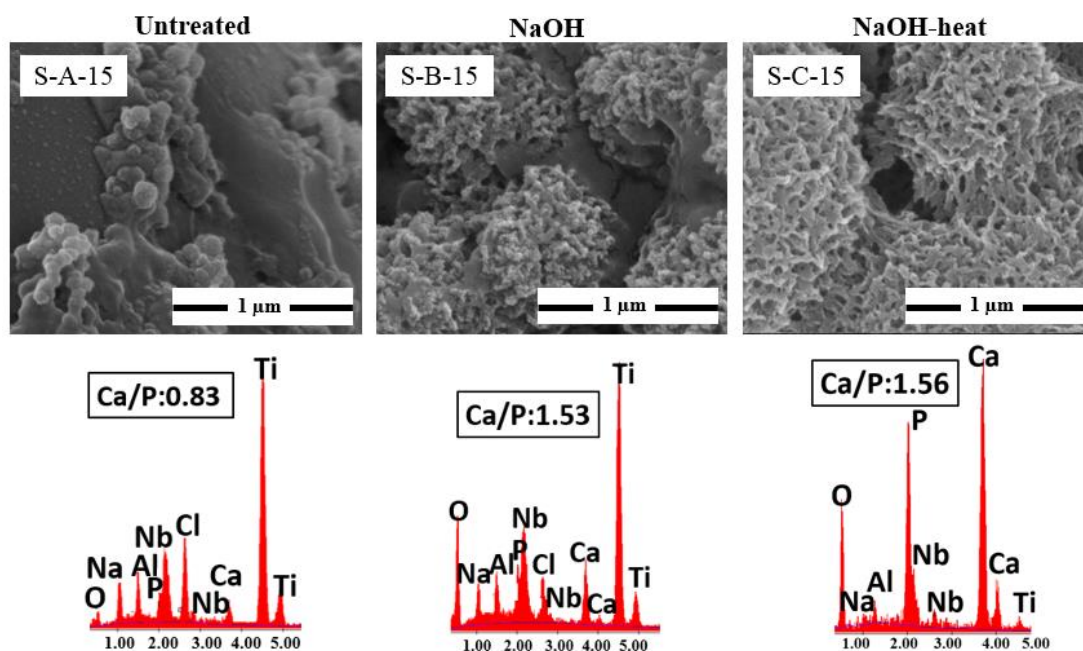


Figure 4.37 SEM and EDX results showing morphology and chemical composition of apatite deposited after immersion of porous Ti6Al7Nb samples in SBF for 15 days.

Figure 4.38 shows the XRD patterns of untreated and treated porous surfaces after interaction with SBF solution for 15 days. Formation of hydroxyapatite phase on untreated sample's surface could not be recognized, Figure 4.38(A). Although apatite peaks were hardly resolved for NaOH treated surfaces, subsequent heat treatment induced the crystalline hydroxyapatite phase, Figure 4.38(C). Since XRD characterized surfaces contained macropores and had high roughness, detected signals which came from outermost layers were relatively weak. Thus, XRD results did not give sufficient information about the formation of hydroxyapatite phase. Because of that XPS analysis was also conducted so as to ensure the presence of hydroxyapatite on activated surfaces.

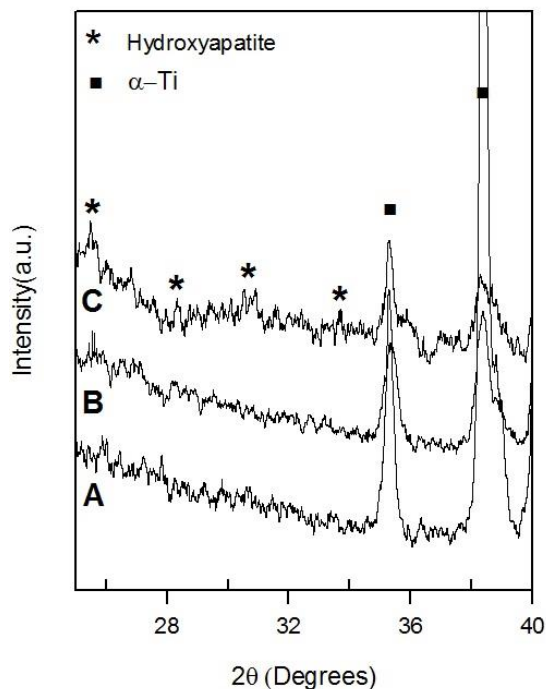


Figure 4.38 XRD patterns of porous samples after immersion in SBF for 15 days; (A) untreated, (B) NaOH treated, and (C) NaOH and subsequent heat treated at 600 °C.

Figure 4.39 shows the XPS analysis results of SBF tested samples for 15 days and Table 4.15 summarizes the calculated binding energies of Ca2p, P2p and O1s elements. Although Ca2p spectra of untreated sample was quite weak, both treated surfaces contained main Ca2p peaks at 347.0 and 348.0 eV and shoulders at around ~350.0 eV, respectively. These bonding energies were observed to match with the bonding energies between Ca-P in hydroxyapatite, $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$ [111]. Presence of the hydroxyapatite phase was also confirmed by P2p peaks detected at 132.8 and 133.6 eV (Table 4.15) for NaOH and NaOH-heat samples, respectively, which also belongs to Ca-P bonds in hydroxyapatite phase [111].

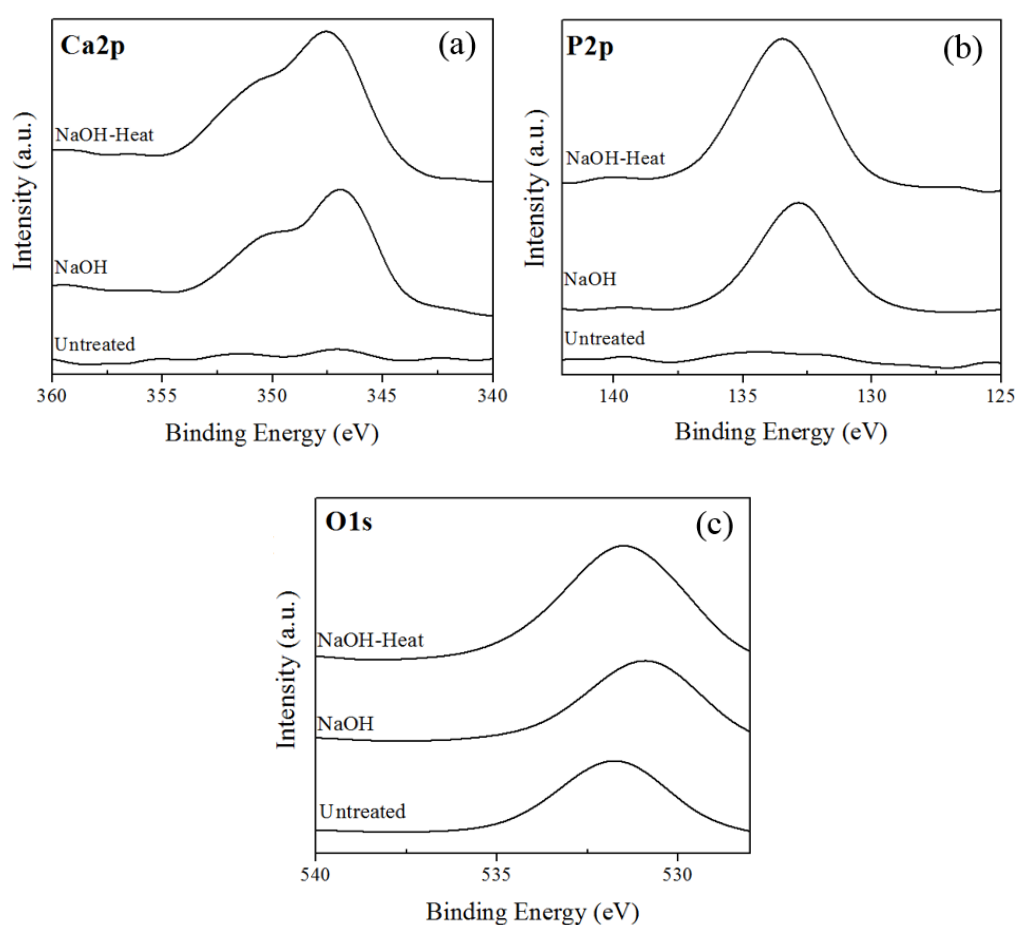


Figure 4.39 XPS spectra of (a) Ca2p, (b) P2p, and (c) O1s for untreated and treated porous surface after immersion in SBF for 15 days

Table 4.15 Binding energy values of porous sample surface after immersion in SBF for 15 days

	Binding Energy (eV)			
	O1s	Ca2p		P2p
Reference	O-P bond	Ca-P bond		
		531.8*	347.8*	350.1**
Untreated-SBF15days	531.8	-	-	-
NaOH-SBF15days	530.95	346.8	350.0	132.8
NaOH-heat-SBF15days	531.5	347.5	350.2	133.4

*O1s, Ca2p3/2 and P2p values in $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$, [109]

**Ca2p1/2 value in $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})$, [111]

CHAPTER 5

CONCLUSION

The most obvious findings and conclusions of the current study are listed as follows;

1. The manufactured Ti6Al7Nb alloy foams with varying porosities between 53.0 and 73.0% were observed to contain two types of pores, namely, macropores formed by evaporation of magnesium and micropores left in the cell walls due to insufficient sintering. The interconnectivity of pores was observed to be achieved mainly by both macro and micropores in high porosity samples, while in low porosity foams only micropores provided the interconnectivity.
2. Although no additional compounds like titanium oxides or nitrides were detected in foams due to the high oxidation affinity of magnesium which prevented excessive oxidation of titanium, XPS revealed around 4.2 at. % dissolved elemental magnesium. However, almost all the magnesium was removed from the samples except the dissolved quantity.
3. The structure and chemical composition of the sodium rich coating was largely affected from solution temperature, solution concentration and post heat treatment temperature during alkali treatment. Although high solution temperature, i.e. 100 °C, increased the precipitation tendency and the crystallinity of sodium rich phase, nonhomogeneous and coarse coating layers were obtained. On the other hand, increasing the solution concentration from 5 M to 10 M, caused the formation of deep cracks on Na-rich coating layer probably due to increased mismatch between thermal expansion coefficients of

coating layer and the substrate. Moreover, a significant change in the structure of Na-rich phase was only observed by post heat treatment at 600 °C, while no remarkable changes were detected at lower or higher temperatures.

4. Alkali treatment with 5 M NaOH solution at 60 °C for 24 h and subsequent heat treatment at 600 °C for 1 h was selected as optimum processing parameters since they provided fine, uniform and homogenous sodium titanate coating layer.
5. Heat treatment at 600 °C applied subsequent to NaOH alkali treatment induced transformation of sodium titanate hydrogel, $\text{Na}_x\text{H}_{2-x}\text{Ti}_y\text{O}_{2y+1}\cdot n\text{H}_2\text{O}$, into a more uniform, dense and mechanically stable sodium titanate, $\text{Na}_2\text{Ti}_y\text{O}_{2y+1}$, layer co-existing with TiO_2 .
6. As-manufactured foams, especially with 63.0% and 73.0% porosities matched the mechanical needs of implant material due to their elastic moduli (5-18 GPa) closer to that of bone (1-40 GPa), which may lessen the stress shielding problem. Although the surface processing did not affect the elastic moduli of the samples significantly, compressive yield strength of NaOH-heat treated foams decreased remarkably due to oxidation of thin cell walls after heat treatment in air at 600 °C.
7. Mechanical properties of foams were observed to be dependent on macro porosity fraction, p_{macro} , and micro porous cell wall properties, A, and the relation was found to obey a power law in the form of $M^* = A(1-p_{\text{macro}})^n$, where M^* and the exponent ‘n’ were assumed to display foam mechanical property, and cell wall and porosity character, respectively.
8. It was observed that compressive yield strength change of the foams matched well with the empirical power law relation; however, elastic modulus change calculated by MSA model deviated from the experimentally measured elastic modulus values probably due to foams having different pore morphologies, i.e. open and closed porosity, within the tested porosity range.

9. Surface processing enhanced the bioactivities of foam samples such that apatite formation on surfaces having sodium titanate, $\text{Na}_2\text{Ti}_y\text{O}_{2y+1}$, phase was better compared to untreated surfaces and the surfaces with sodium titanate hydrogel, $\text{Na}_x\text{H}_{2-x}\text{Ti}_y\text{O}_{2y+1}.n\text{H}_2\text{O}$ phase. Although, the surfaces of NaOH and NaOH-heat treated foams were covered with flower like hydroxyapatite phase, $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$, with Ca/P ratio of 1.53 and 1.56, respectively, close to ratio found in natural bone, untreated surfaces allowed only precipitation of Ca-P rich particles other than hydroxyapatite within 15 days.
10. After immersion the foam samples in SBF for one day, the surfaces were observed to be supersaturated with Ca elements with high Ca/P ratio; however as time passes, the ratio was decreased and finally reached to an equilibrium value of around 1.5 close to the ratio seen in bone. The relative change of Ca/P ratio was attributed to spontaneous attachment of $(\text{PO}_4)^-$ ions from SBF to the surfaces as previous studies suggested.

In order to enhance the performance of NaOH alkali and heat treatment method, and to characterize the structure of Na-rich coating and apatite layer more efficiently, the followings future studies are recommended;

- It would be interesting to investigate the effect of protective heat treatment environment, i.e. argon, on sodium titanate formation. By doing this, excessive oxidation of thin cell wall may be eliminated and also it would be possible to change the structure of sodium titanate, thereby in vitro responses of surfaces possibly change.
- It was thought that hydroxyapatite formation could be affected by the structure of the sodium titanate phase since there is an ion exchange between surface and SBF. Therefore, detailed study about the effect of sodium titanate phase crystallinity may be conducted.
- Although XPS analysis gives valuable information about chemical composition and binding energies of elements, detailed research should be

done to understand the chemical state of elements. For this purpose, practical curve fitting software may be used to clarify the backgrounds and shoulders of XPS curves more precisely.

- Although sodium titanate coating layer formed after NaOH-treatment was defined as mechanically stable layer, crush test did not give sufficient information about how well the coating layer was attached to Ti6Al7Nb substrate. Because of that, scratch test should be performed to determine the adhesive strength of the coating layer.
- Sodium titanate phase forms on the substrate's surface with a gradual change in composition through its thickness. Thus, there is neither a sharp change in coating structure nor a clear interface between substrate and the coating. Accordingly, it is hard to detect the coating thickness by examining the fracture surfaces. In this regard, auger electron spectroscopy (AES) analysis should be carried out to determine the depth profile of sodium titanate coating.
- In addition to in vitro studies, apatite formation mechanisms on Ti6Al7Nb foams should be further investigated by measuring the pH changes of the solution and ion concentration change in SBF in different time intervals. By this way, it would be possible to understand the ion exchange mechanisms between SBF solution and foams more clearly.

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