

## ON COMPLEMENTARY DUAL ADDITIVE CYCLIC CODES

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**ABSTRACT.** A code is said to be complementary dual if it meets its dual trivially. We give a sufficient condition for a special class of additive cyclic codes to be complementary dual.

### 1. INTRODUCTION

Additive cyclic codes (AC codes) are a nonlinear generalization of linear cyclic codes and introduced by Bierbrauer in [1]. The alphabet of these codes is not a finite field but a vector space  $E$  over the ground field  $\mathbb{F}_q$ . Properties of AC codes and their relations to quantum codes are studied in [1, 2]. Bierbrauer also obtained a BCH type lower bound on the minimum distance of AC codes. Another lower bound on the minimum distance of AC codes were recently obtained by the authors using the number of rational points of certain algebraic curves over finite fields ([7]). This bound is the only general bound on such codes aside from Bierbrauer's BCH bound.

Linear complementary dual (LCD) codes are linear codes that meet their dual trivially. These codes were introduced by Massey in [9]. They were rediscovered recently in the context of counter measures to passive and active side channel analysis on embedded crypto-systems ([4]). Characterization of cyclic LCD codes ([11]), their asymptotic goodness ([10]) and quasi-cyclic LCD codes ([8, 5]) have been studied so far. The purpose of this research is to study complementary dual subclass of AC codes.

### 2. PRELIMINARIES

Let  $q$  be a prime power,  $F = \mathbb{F}_{q^r}$  and  $E = \mathbb{F}_q^m$ , where  $m \leq r$  are positive integers. Let  $n \mid (q^r - 1)$  be a positive integer,  $W$  be the multiplicative subgroup of  $F^*$  of

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order  $n$  and  $\alpha$  be a generator of  $W$ . Fix  $A = \{i_1, \dots, i_s\} \subset \mathbb{Z}/n\mathbb{Z}$ . Let

$$\mathcal{P}(A) := \{a_1x^{i_1} + \dots + a_sx^{i_s} : a_1, \dots, a_s \in F\},$$

which is an  $F$ -linear space of polynomials and set

$$\mathcal{B}(A) := \{(f(\alpha^0), \dots, f(\alpha^{n-1})) : f(x) \in \mathcal{P}(A)\} \subset F^n.$$

Let  $\Gamma = \{\gamma_1, \dots, \gamma_m\} \subset F$  be a linearly independent set over  $\mathbb{F}_q$ . Define an  $F$ -linear code of length  $mn$

$$\begin{aligned} (\mathcal{B}(A), \Gamma) : &= \{(\gamma_1 f(\alpha^0), \dots, \gamma_m f(\alpha^0); \dots \\ &\dots ; \gamma_1 f(\alpha^{n-1}), \dots, \gamma_m f(\alpha^{n-1})) : f(x) \in \mathcal{P}(A)\}. \end{aligned}$$

Consider the  $\mathbb{F}_q$ -linear mapping

$$\begin{aligned} \phi_\Gamma : F &\longrightarrow E \\ x &\longmapsto (\text{Tr}(\gamma_1 x), \dots, \text{Tr}(\gamma_m x)), \end{aligned}$$

where  $\text{Tr}$  denotes the trace map from  $F$  to  $\mathbb{F}_q$ . Note that  $\phi_\Gamma$  is surjective since  $\Gamma$  is linearly independent. Extend  $\phi_\Gamma$  naturally as follows:

$$\begin{aligned} \phi_\Gamma : F^n &\longrightarrow E^n \\ (x_1, \dots, x_n) &\longmapsto (\phi_\Gamma(x_1), \dots, \phi_\Gamma(x_n)). \end{aligned}$$

**Definition 2.1.** An additive cyclic code of length  $n$  over  $E$  is defined as

$$\phi_\Gamma(\mathcal{B}(A)) = \left\{ \phi_\Gamma\left((f(\alpha^0), \dots, f(\alpha^{n-1}))\right) : f(x) \in \mathcal{P}(A) \right\}.$$

The set  $A$  is called the defining set of the code.

The code  $\phi_\Gamma(\mathcal{B}(A))$  is an additive subgroup of  $E^n$ . It is not difficult to see that  $\phi_\Gamma(\mathcal{B}(A)) \subset E^n$  is closed under cyclic shift. If we view the code in  $\mathbb{F}_q^{mn}$  as

$$\begin{aligned} \phi_\Gamma(\mathcal{B}(A)) &= \{(\text{Tr}(\gamma_1 f(\alpha^0)), \dots, \text{Tr}(\gamma_m f(\alpha^0)); \dots \\ &\dots ; \text{Tr}(\gamma_1 f(\alpha^{n-1}), \dots, \text{Tr}(\gamma_m f(\alpha^{n-1}))) : f(x) \in \mathcal{P}(A)\}, \end{aligned}$$

then it is an  $\mathbb{F}_q$ -linear code of length  $mn$  over  $\mathbb{F}_q$ , which is equal to  $\text{Tr}((\mathcal{B}(A), \Gamma))$ . Moreover, as a length  $mn$  code over  $\mathbb{F}_q$ , it is closed under shift by  $m$  coordinates. Hence over  $\mathbb{F}_q$ ,  $\phi_\Gamma(\mathcal{B}(A))$  is a quasi-cyclic code of length  $mn$  and index  $m$ . Classical cyclic codes correspond to the special case  $m = 1$ . In this case  $\phi_\Gamma(\mathcal{B}(A))$  is the cyclic code of length  $n$  over  $\mathbb{F}_q$  whose dual's defining zeros are  $\{\alpha^{i_1}, \dots, \alpha^{i_s}\}$ .

Define

$$\begin{aligned} V_F(Z) : &= \{(p_1(\alpha^0), \dots, p_m(\alpha^0); \dots \\ &\dots ; p_1(\alpha^{n-1}), \dots, p_m(\alpha^{n-1})) : p_i(x) \in \mathcal{P}(Z)\} \end{aligned}$$

for a  $q$ -cyclotomic coset  $Z \pmod n$ . To simplify notation, we will denote the codeword in  $V_F(Z)$  determined by  $p_i(x) \in \mathcal{P}(Z)$  as  $(p_1(x), \dots, p_m(x))$ .

Let  $(\overline{\mathcal{B}(A)}, \overline{\Gamma})$  be the Galois closure of  $(\mathcal{B}(A), \Gamma)$ , i.e. the smallest Galois closed code containing  $(\mathcal{B}(A), \Gamma)$ . Assume that  $(\overline{\mathcal{B}(A)}, \overline{\Gamma})^\perp = (\overline{\mathcal{B}(B)}, \overline{\Gamma'})$  for some  $B \subset \mathbb{Z}/n\mathbb{Z}$  and  $\Gamma' \subset F^m$ . Then  $\phi_\Gamma(\mathcal{B}(A))^\perp = \phi_{\Gamma'}(\mathcal{B}(B))$  ([7, Lemma 11]). Here the dual is with respect to the Euclidean dot product on  $E^n$ :  $(u_1, \dots, u_n) \cdot (v_1, \dots, v_n) =$

$\sum_{i=1}^n u_i \cdot v_i$ , for  $u_i, v_i \in E = \mathbb{F}_q^m$ , where  $u_i \cdot v_i$  is the Euclidean product. We can decompose  $\overline{(\mathcal{B}(A), \Gamma)}^\perp$  as

$$\overline{(\mathcal{B}(A), \Gamma)}^\perp = \bigoplus_Z \left[ \overline{(\mathcal{B}(A \cap Z), \Gamma)}^\perp \cap V_F(-Z) \right],$$

where  $Z$  runs through all  $q$ -cyclotomic cosets mod  $n$  ([7, Corollary 15]). If every summand here can be written in the form  $\overline{(\mathcal{B}(B_Z), \Gamma')}$ , then it follows that  $B = \bigcup_Z B_Z$ .

In the case  $m = 2$ , the following result gives the set  $B$  explicitly, hence the dual code.

**Theorem 2.2** ([7, Theorem 4]). *Let  $m = 2$ ,  $\Gamma = (1, \gamma)$  and  $b = [\mathbb{F}_q(\gamma) : \mathbb{F}_q] > 1$ . Let  $Z = \{i, iq, \dots, iq^{s-1}\}$  be a  $q$ -cyclotomic coset mod  $n$  of length  $s$ . For  $\Gamma' = (-\gamma, 1)$ , we have the following:*

- i. If  $A \cap Z = \emptyset$ , then  $B_Z = -Z$ .*
- ii. If  $A \cap Z = \{iq^{u_1}, iq^{u_2}, \dots, iq^{u_t}\}$  for some  $0 \leq u_1 < u_2 < \dots < u_t \leq s - 1$  and  $b$  does not divide  $s$ , then  $B_Z = \emptyset$ .*
- iii. If  $A \cap Z = \{iq^{u_1}, iq^{u_2}, \dots, iq^{u_t}\}$  for some  $0 \leq u_1 < u_2 < \dots < u_t \leq s - 1$  and  $b$  divides  $s$ , set  $\hat{A}_Z = \{iq^{u_a + \ell b} \bmod n : 0 \leq \ell \leq r - 1\}$  for some  $a \in \{1, \dots, t\}$ .*

*Then*

- $B_Z = \emptyset$  if  $A \cap Z \not\subseteq \hat{A}_Z$ .*
- $B_Z = -\hat{A}_Z$  if  $A \cap Z \subseteq \hat{A}_Z$ .*

### 3. ADDITIVE CYCLIC CODES WITH COMPLEMENTARY DUALS

Let  $n = q^r - 1$  and  $m = 2$  in this section. For  $\Gamma = (1, \gamma)$ , the dual of  $\phi_\Gamma(\mathcal{B}(A))$  is  $\phi_{\Gamma'}(\mathcal{B}(B))$ , where  $\Gamma' = (-\gamma, 1)$  and the set  $B$  is determined explicitly in Theorem 2.2. Elements of  $\phi_\Gamma(\mathcal{B}(A))$  and its dual  $\phi_{\Gamma'}(\mathcal{B}(B))$  are of the form  $c_f = (\text{Tr}(f(x)), \text{Tr}(\gamma f(x)))$  for  $f(x) \in \mathcal{P}(A)$  and  $c_g = (\text{Tr}(-\gamma g(x)), \text{Tr}(g(x)))$  for  $g(x) \in \mathcal{P}(B)$ , respectively. Then  $\phi_\Gamma(\mathcal{B}(A))$  is not complementary dual if and only if there exist  $f(x) \in \mathcal{P}(A)$  and  $g(x) \in \mathcal{P}(B)$  such that  $c_f \neq \vec{0} \neq c_g$  and  $c_f = c_g$ . We will use the following result.

**Lemma 3.1** ([6, Proposition 2.3]). *Let  $\lambda_j \in \mathbb{F}_{q^r}$  and  $i_j$  be positive integers, for  $j = 1, 2, \dots, s$ . Assume that the  $q$ -cyclotomic cosets containing  $i_j$ 's are distinct. Then  $\text{Tr}(\lambda_1 x^{i_1} + \lambda_2 x^{i_2} + \dots + \lambda_s x^{i_s}) = 0$  for all  $x$  in  $\mathbb{F}_{q^r}$  if and only if  $\text{Tr}(\lambda_j x^{i_j}) = 0$  for all  $x$  in  $\mathbb{F}_{q^r}$  and for all  $j = 1, 2, \dots, s$ .*

A slight modification of Lemma 3.1 is needed for our purposes.

**Lemma 3.2.** *Let  $\lambda_0, \lambda_j \in F$  and  $i_j$  be positive integers, for  $j = 1, 2, \dots, s$ . Assume that the  $q$ -cyclotomic cosets mod  $n$  containing  $i_j$ 's are distinct. Then  $\text{Tr}(\lambda_0 + \lambda_1 x^{i_1} + \lambda_2 x^{i_2} + \dots + \lambda_s x^{i_s}) = 0$  for all  $x$  in  $F^*$  if and only if  $\text{Tr}(\lambda_0) = 0$  and  $\text{Tr}(\lambda_j x^{i_j}) = 0$  for all  $x$  in  $F^*$  and for all  $j = 1, 2, \dots, s$ .*

*Proof.* Assume  $\text{Tr}(\lambda_0 + \lambda_1 x^{i_1} + \lambda_2 x^{i_2} + \dots + \lambda_s x^{i_s}) = 0$  for all  $x$  in  $F^*$ . By linearity of the trace map,  $\text{Tr}(\lambda_1 x^{i_1} + \lambda_2 x^{i_2} + \dots + \lambda_s x^{i_s}) = -\text{Tr}(\lambda_0) =: c$  for all  $x$  in  $F^*$ . Then

$$(q^r - 1)c = \sum_{x \in F^*} \text{Tr}(\lambda_1 x^{i_1} + \dots + \lambda_s x^{i_s})$$

$$\begin{aligned}
&= \operatorname{Tr}\left(\sum_{x \in F^*} (\lambda_1 x^{i_1} + \cdots + \lambda_s x^{i_s})\right) \\
&= \operatorname{Tr}\left(\lambda_1 \sum_{x \in F^*} x^{i_1} + \cdots + \lambda_s \sum_{x \in F^*} x^{i_s}\right) \\
&= 0
\end{aligned}$$

where the last equality follows from the fact that if  $i$  is not a multiple of  $q^r - 1$ , then  $\sum_{x \in F^*} x^i = 0$ . Therefore  $c = 0$ , i.e.  $\operatorname{Tr}(\lambda_0) = 0$  and  $\operatorname{Tr}(\lambda_1 x^{i_1} + \lambda_2 x^{i_2} + \cdots + \lambda_s x^{i_s}) = 0$  for all  $x$  in  $F^*$ . By Lemma 3.1,  $\operatorname{Tr}(\lambda_0) = 0$  and  $\operatorname{Tr}(\lambda_j x^{i_j}) = 0$  for all  $x$  in  $F^*$  and for all  $j = 1, 2, \dots, s$ .

The converse is immediate from linearity of the trace map.  $\square$

For  $A \subseteq \mathbb{Z}/n\mathbb{Z}$ , denote by  $\bar{A}$  the union of all  $q$ -cyclotomic cosets mod  $n$  intersecting  $A$  nontrivially.

**Proposition 3.3.** *Let  $A$  and  $B$  be defining sets for the additive cyclic code and its dual as before. If  $\bar{A} \cap B = \emptyset$ , then  $\phi_\Gamma(\mathcal{B}(A))$  is complementary dual.*

*Proof.* Let  $f(x) \in \mathcal{P}(A)$  and  $g(x) \in \mathcal{P}(B)$ , and suppose  $c_f = c_g$ . Then  $\operatorname{Tr}(f(x) + \gamma g(x)) = 0$  and  $\operatorname{Tr}(\gamma f(x) - g(x)) = 0$  for all  $x \in F^*$ . By the assumption  $\bar{A} \cap B = \emptyset$ , exponents of  $f$  and  $g$  cannot lie in the same cyclotomic coset. Some exponents that appear in  $f$  (or in  $g$ ) may be from the same cyclotomic coset. This is no harm for concluding  $\operatorname{Tr}(f(x)) = 0 = \operatorname{Tr}(\gamma g(x))$  and  $\operatorname{Tr}(\gamma f(x)) = 0 = \operatorname{Tr}(g(x))$  for all  $x$  in  $F^*$  (by Lemma 3.2), since  $\operatorname{Tr}(ax^j + bx^{jq}) = \operatorname{Tr}((a + b^{1/q})x^j)$ . Therefore,  $c_f = \vec{0} = c_g$ , i.e. anything in the intersection  $\phi_\Gamma(\mathcal{B}(A)) \cap \phi_{\Gamma'}(\mathcal{B}(B))$  has to be  $\vec{0}$ .  $\square$

**Theorem 3.4.** *Let  $b = [\mathbb{F}_q(\gamma) : \mathbb{F}_q] > 1$ . Then  $\phi_\Gamma(\mathcal{B}(A))$  is complementary dual if the following conditions are satisfied by every  $q$ -cyclotomic coset  $Z$  mod  $n$ :*

- i.  $A \cap Z = \emptyset$  if and only if  $A \cap (-Z) = \emptyset$ .
- ii. If  $A \cap Z \neq \emptyset$ , then  $A \cap Z$  is not contained in the  $q^b$ -cyclotomic coset mod  $n$  of some element in  $A \cap Z$ .

*Proof.* If a cyclotomic coset  $Z$  does not intersect  $A$ , then we also have  $\bar{A} \cap Z = \emptyset$ . Therefore, such a cyclotomic coset cannot contribute to  $\bar{A} \cap B$ .

Now assume that a cyclotomic coset  $Z$  intersects  $A$ . By assumption i, we have  $A \cap (-Z) \neq \emptyset$  too. If  $b$  does not divide  $|Z| = |-Z|$ , then by Theorem 2.2 part ii, we have  $B_{-Z} = B \cap Z = \emptyset$  and such  $Z$  cannot contribute to  $\bar{A} \cap B$ . So assume that  $b$  divides  $|Z| = |-Z|$ . Note that  $\hat{A}_{-Z}$  is nothing but the  $q^b$ -cyclotomic coset mod  $n$  of some element in  $A \cap (-Z)$ . Hence assumption ii implies that  $A \cap (-Z) \not\subseteq \hat{A}_{-Z}$  and therefore (by Theorem 2.2), we have  $B_{-Z} = B \cap Z = \emptyset$ . Therefore such a coset  $Z$  cannot contribute to  $\bar{A} \cap B$  even if  $b$  divides  $|Z|$ . The result follows from Proposition 3.3.  $\square$

**Corollary 3.5.** *Let  $b = [\mathbb{F}_q(\gamma) : \mathbb{F}_q] = r$ . Then  $\phi_\Gamma(\mathcal{B}(A))$  is complementary dual if the following conditions are satisfied by every  $q$ -cyclotomic coset  $Z$  mod  $n$ :*

- i.  $A \cap Z = \emptyset$  if and only if  $A \cap (-Z) = \emptyset$ .
- ii. If  $A \cap Z \neq \emptyset$ , then there exists at least two elements from  $Z$  in  $A$ .

*Proof.* Since  $b = r$ ,  $q^b$ -cyclotomic coset mod  $n$  of any element in  $A \cap Z$  consists of a single element. Hence by ii,  $A \cap Z$  satisfies condition ii in Theorem 3.4 and the result follows.  $\square$

In the following table, by using our results we present examples of AC complementary dual codes over  $E = \mathbb{F}_2^2$ . In this table,  $M$  and  $d$  stand for the size and minimum distance of the code, respectively. The computational algebra system Magma [3] is used for computations.

$r$	$b$	$A$	$M$	$d$
4	4, 2	{1, 2, 7, 11}	$4^8$	4
4	4	{1, 4, 7, 11}	$4^8$	4
4	4, 2	{3, 6, 5, 10}	$4^6$	6
5	5	{1, 2, 15, 23}	$4^{10}$	10
6	6, 3	{1, 4, 31, 47}	$4^{12}$	24
6	6, 3, 2	{1, 2, 31, 47}	$4^{12}$	24
6	6, 3, 2	{1, 2, 31, 47, 21, 42}	$4^{14}$	22
7	7	{1, 2, 63, 126}	$4^{14}$	54
8	8, 4, 2	{4, 8, 127, 191}	$4^{16}$	112
8	8, 2	{1, 16, 127, 191}	$4^{16}$	112

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