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# Diagnosis of malignant pleural mesothelioma from pleural fluid by Fourier transform-infrared spectroscopy coupled with chemometrics

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**Abstract.** This study was conducted to differentiate malignant pleural mesothelioma (MPM) from lung cancer (LC) and benign pleural effusion (BPE) from pleural fluids using the diagnostic power of Fourier transform-infrared spectroscopy with attenuated total reflectance mode coupled with chemometrics. Infrared spectra of MPM ( $n = 24$ ), LC ( $n = 20$ ), and BPE ( $n = 25$ ) were collected, and hierarchical cluster analysis (HCA) and principal component analysis (PCA) were applied to their spectra. HCA results indicated that MPM was differentiated from LC with 100% sensitivity and 100% specificity and from BPE, with 100% sensitivity and 88% specificity, which were also confirmed by PCA score plots. PCA loading plots indicated that these separations originated mainly from lipids, proteins, and nucleic acids-related spectral bands. There was significantly higher lipid, protein, nucleic acid, and glucose contents in the MPM and LC. However, the significant changes in triglyceride and cholesterol ester content, protein and nucleic acid structure, a lower membrane fluidity, and higher membrane order were only observed in the MPM. To check the classification success of some test samples/each group, soft independent modeling of class analogies was performed and 96.2% overall classification success was obtained. This approach can provide a rapid and inexpensive methodology for the efficient differentiation of MPM from other pleural effusions. © 2018 Society of Photo-Optical Instrumentation Engineers (SPIE) [DOI: 10.1117/1.JBO.23.10.105003]

Keywords: pleural fluid; malignant pleural mesothelioma; lung cancer; Fourier transform-infrared spectroscopy; chemometrics; benign pleural effusion.

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## 1 Introduction

Malignant pleural mesothelioma (MPM) is a highly aggressive, incurable malignancy originating from the mesothelial cells lining the pleural cavity.<sup>1,2</sup> It is mainly caused by occupational or environmental exposure to asbestos and erionite.<sup>3,4</sup> Due to its long latent period, its incidence will continue to increase during the next two decades worldwide especially in industrialized countries.<sup>5,6</sup>

The initial diagnosis/evaluation of MPM is based on the use of noninvasive imaging methods such as ultrasonography, computerized tomography (CT), and magnetic resonance imaging (MRI). To confirm the diagnosis, pathological assessment of pleural fluid and biopsy samples is required.<sup>7</sup> However, the cytological diagnosis of MPM on a pleural effusion is prone to error due to inadequate specimens. Moreover, obtaining biopsy samples during thoracoscopy is an invasive procedure and patients often refuse it or physicians postpone this procedure, resulting in significant delays in diagnosis.<sup>7,8</sup> Due to the mentioned disadvantages of the current diagnostic methods, the diagnosis of

MPM is really challenging. Furthermore, the confirmation of its diagnosis is prolonged due to difficulty in distinguishing MPM from lung cancer and benign pleural effusions (BPEs), which causes poor prognosis, a high mortality and morbidity. To decrease morbidity and improve survival of MPM, new diagnostic approaches which are accurate, minimally invasive, rapid, low-cost, operator-independent, and capable of generating reliable information, are urgently needed. Recent studies indicated that Fourier transform-infrared (FTIR) spectroscopy coupled with chemometric approach has the ability to diagnose different cancer types such as lung, prostate, bladder, and ovarian cancer,<sup>9-15</sup> since this technique enables to elucidate disease-induced alterations in molecules by performing qualitative and quantitative analysis on spectral bands. A recent study has indicated the efficiency of FTIR imaging in the resolving of tumor subtypes in diffuse malignant mesothelioma.<sup>16</sup> Our preliminary Infrared (IR) spectroscopy studies performed with a limited sample number of pleural fluid and serum of MPM, LC, and BPE patients indicated the discrimination of MPM from other two groups.<sup>17-19</sup> This study is going to be the first article on this subject which reveals, in addition to detailed

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spectral characterization studies, successful differentiation of MPM and LC from a benign group and from each other using pleural fluids by ATR-FTIR spectroscopy coupled chemometric methods as a rapid, low-cost, and operator-independent technique. To identify the relative spectral differences between MPM, LC, and BPE groups and to classify them, unsupervised, namely hierarchical cluster analysis (HCA) and principal component analysis (PCA) as well as supervised, namely soft-independent modeling of class analogy (SIMCA), chemometric approaches were performed since these analysis methods enable the categorization and extraction of meaningful information from complex and large spectral datasets and enhance the diagnostic efficiency of the IR spectroscopy.<sup>20,21</sup>

## 2 Experimental Section

### 2.1 Patients

The study protocol was approved by Hacettepe University Ethics Committee (HK 12/131-36). Before collecting pleural fluid samples, a written informed consent was taken from all patients following the ethical norms of the institute. The samples were collected from patients with MPM, LC, and BPE. All the patients were treatment-naïve. The characteristics of the patients are summarized in Table 1. BPE was considered as a control group since the pleural fluids of such patients were due to benign diseases, mostly congestive heart failure. MPM and LC diagnosis were confirmed by standard Hematoxyline-Eosin and immunohistochemical staining of biopsy specimens from open tumor biopsies obtained during thoracoscopy and stained as recommended by Hussain et al.<sup>7</sup> The diagnosis of BPE was confirmed according to light's criteria<sup>22</sup> via the analysis of protein and LDH levels in both pleural fluid and serum and cytologically.

### 2.2 ATR-FTIR Spectroscopy

#### 2.2.1 Sample preparation and spectral acquisition for FTIR spectroscopy

Before each FTIR measurement, frozen samples were thawed at room temperature. The IR spectra of the samples were collected using one bounce attenuated total reflectance (ATR) mode on a Perkin Elmer Spectrum 100 FTIR spectrometer (Perkin Elmer

Inc., Norwalk, Connecticut, USA) equipped with a universal ATR accessory. Briefly, 1  $\mu$ l of pleural fluid was placed on the diamond/ZnSe crystal of ATR unit and dried with mild nitrogen gas for 3 min to remove excess unbound water. This process was repeated two more times in order to produce enough sample thickness for ATR measurement attained via a total of 3  $\mu$ l of pleural fluid. Each spectral measurement was collected with 28 scans between the 4000 and 650  $\text{cm}^{-1}$  spectral range at a resolution of 4  $\text{cm}^{-1}$ . Since water molecules in the air affect IR spectra,<sup>23</sup> the spectrum of the empty diamond/ZnSe crystal was recorded as background and subtracted automatically using the appropriate software (Spectrum 100 software, Perkin Elmer). Recording and analysis of the spectral data were performed using Spectrum One software of Perkin Elmer. For each sample, three randomly taken replicates were scanned, which revealed almost identical spectra, and the spectral average of these replicates was used in further analysis. To check the reproducibility of this technique, all spectral collections were performed by two different operators at different times.

#### 2.2.2 Spectral preprocessing and data analysis

For chemometric analysis, the spectral preprocessing and manipulation operations were carried out by OPUS 6.5 software (OPUS, Bruker Optics, and Ettlingen, Germany). Raw IR spectra from all pleural fluid samples were baseline corrected using the OPUS 6.5 software function known as concave rubber band with 64 baseline points and 12 iteration points. This baseline correction method has been successfully and commonly used in other studies.<sup>24–26</sup> Then the spectra were vector normalized in order to remove the effect of overall scaling occurring during sample measurements.<sup>27,28</sup> The resulting preprocessed spectra were then used for further chemometric analysis.

For characterization studies, the quantitative spectral analyses including spectral band position, bandwidth, and band area ratio analyses were calculated from the baseline corrected averaged IR spectra using Spectrum One software (PerkinElmer Inc., Norwalk, Connecticut, USA). The spectral regions including 3800 to 2800  $\text{cm}^{-1}$  and 1800 to 650  $\text{cm}^{-1}$  were used for these analyses. The band position values were determined from the peak position corresponding to the center of weight from the baseline corrected spectra. The bandwidth value of  $\text{CH}_2$  anti-symmetric stretching band was measured from 0.8 $\times$  height of the absorption spectra in terms of  $\text{cm}^{-1}$ . The band area ratios were calculated by calculating the ratio of the areas of the relevant bands. Although it does not give precise information, to visually demonstrate spectral variations between studied groups a min–max normalization process was performed based on amide A band.

#### 2.2.3 Unsupervised chemometric analysis

HCA and PCA were performed using Unscrambler X (Camo Software, Inc.) program.

HCA enables to assess the similarity between samples by measuring the distances between their points in the space of measurement.<sup>13</sup> Similar samples lie close to one another, whereas dissimilar samples are distant from each other.<sup>29</sup> HCA was performed first, in pairs of MPM and LC groups and MPM and BPE groups in the 3300 to 2800  $\text{cm}^{-1}$  and 1800 to 650  $\text{cm}^{-1}$  spectral regions to check whether the spectra of each group have enough variation within each pair. Then HCA was performed for the three groups (MPM, LC, and BPE) in

**Table 1** Patient characteristics.

| Groups | Age (mean $\pm$ SD) years | Gender    | Diagnosis               |
|--------|---------------------------|-----------|-------------------------|
| BPE    | 64 $\pm$ 14.5             | 11 male   | 82% heart failure       |
|        |                           | 14 female | 18% others <sup>a</sup> |
| LC     | 63 $\pm$ 7.7              | 15 male   | 76% adenocarcinoma      |
|        |                           | 5 Female  | 24% others <sup>b</sup> |
| MPM    | 63.5 $\pm$ 9.7            | 14 male   | 100% MPM                |
|        |                           | 10 female |                         |

Note: BPE, benign pleural effusion; LC, lung cancer; MPM, malignant pleural mesothelioma.

<sup>a</sup>Premalignant effusion, postoperative effusion, acute renal failure, and chronic liver disease.

<sup>b</sup>Squamous cell ca, small cell ca, and adenocarcinoma.

**Table 2** Definitions for sensitivity and specificity for HCA based on ATR-FTIR spectroscopic data.

| Group            | Positive <sup>a</sup> | Negative <sup>a</sup> |                           |
|------------------|-----------------------|-----------------------|---------------------------|
| MPM              | A                     | B                     | Sensitivity = $A/(A + B)$ |
| Control (BPE)/LC | C                     | D                     | Specificity = $D/(C + D)$ |

<sup>a</sup>Positive and negative values are determined as follows:

A is the number of MPM patients identified in MPM group (true positive).

B is the number of MPM patients identified in only LC or BPE groups (false negative).

C is the number of LC or BPE patients identified in MPM group (false positive).

D is the number of LC or BPE patients identified in only LC or BPE groups (true negative).

the 3300 to 2800  $\text{cm}^{-1}$  and 1800 to 650  $\text{cm}^{-1}$  spectral regions to check whether the spectra of each group also have enough variation between themselves. To measure the performance of the differentiation method obtained from HCA, sensitivity and specificity were calculated as described in Table 2.<sup>30</sup>

PCA is used to reduce the dimensionality of the data by projecting the data using a linear transformation onto a new space consisting of orthogonal vectors. The transformation matrix consists of the eigenvectors of the correlation matrix of the whole set of spectra.<sup>31</sup> The coordinates of the new space are the principle components (PC) and each sample is represented by a score value along each PC.<sup>32</sup> Each PC describes the variations among samples in decreasing order. Thus the first principle component, i.e., PC1, expresses most of the variance in the data; PC2 expresses the second largest variance in the data and so on. Most of the time, only a few PCs are sufficient to approximate the whole data. Therefore, using a two-dimensional (2-D) or three-dimensional scores plot, it is possible to visualize the whole set of samples by points in a single graph each point representing a sample. As a result, information about the class separation is obtained by clustering similar samples from the scores plot. An increase in the spatial separation between two points in a scores plot corresponds to an increase in the dissimilarity between these two samples, i.e., the absorbance spectra in the case of FTIR spectra as the input.

PCA was carried out first for the 3300 to 2800  $\text{cm}^{-1}$  and 1800 to 650  $\text{cm}^{-1}$  spectral regions, for the MPM-LC and MPM-BPE pairs of groups and then to the three groups (MPM-LC-BPE). To obtain more specific results, PCA was also carried out for the C–H stretching (3050 to 2800  $\text{cm}^{-1}$ ) and fingerprint (1800 to 650  $\text{cm}^{-1}$ ) spectral regions. The analyses were performed on vector normalized spectra that were 11 points smoothed with the Savitzky–Golay algorithm. PCA was implemented using “The Unscrambler X” software Version 10.3. Nonlinear iterative partial least squares algorithm was used with full cross validation.

#### 2.2.4 Supervised chemometric analysis

SIMCA was carried out for the 3300 to 2800  $\text{cm}^{-1}$  and 1800 to 650  $\text{cm}^{-1}$  spectral regions using Unscrambler X (CAMO Software, Inc.) program. SIMCA is a PCA-based supervised chemometric approach. At first, PCA was carried out for the whole data set in order to identify different classes of observations and for each class a PCA model was obtained. Then new

samples were tested with each class model to see how similar they are to the tested class model.<sup>33</sup>

First, three samples from each group are randomly removed to be used as blind test samples. Then using the new groups, PCA models for each group were created and these models are tested with the blind samples. This process was repeated 10 times and the average accuracy value was calculated.

### 2.3 Statistical Analysis

All spectral results of MPM, LC, and BPE groups were expressed as mean  $\pm$  standard error of mean. First, all data were analyzed by Kolmogorov–Smirnov tests to see whether or not they are normally distributed. Then they were statistically compared using one-way ANOVA, and furthermore Dunnett’s test was used for the comparison of the studied groups in a pairwise manner (GraphPad Prism 6.01 (GraphPad Software, Inc.). A “*p*” value  $\leq 0.05$  was considered as statistically significant. The degree of significance was denoted as less than or equal to  $p < 0.05^*$ ,  $p < 0.01^{**}$ ,  $p < 0.001^{***}$ , and  $p < 0.0001^{****}$ . To justify the sample numbers in each group, a power analysis was performed based on the quantitative spectral results. Power values for each analysis was calculated using G\*Power 3.<sup>34</sup>

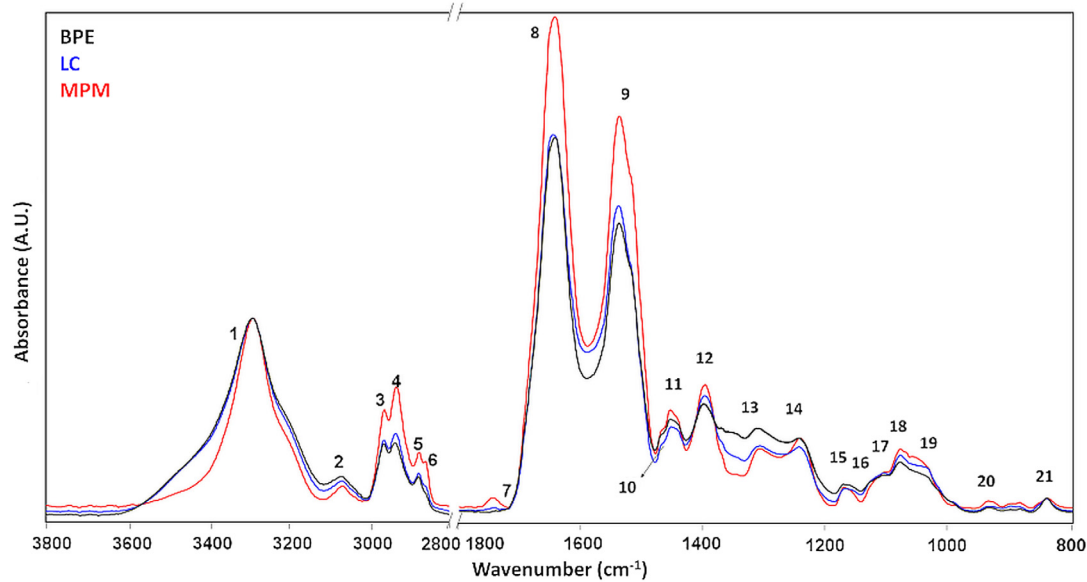
## 3 Results and Discussions

The current study was conducted, for the first time, to explore the diagnostic potential of FTIR spectroscopy coupled with chemometrics in the differentiation of MPM from both LC and BPE groups. This spectroscopic method enables to elucidate the disease-induced global alterations in the biochemical makeup of cells, tissues, and even biofluids and thus discriminates the diseases from healthy conditions.<sup>9,11,13,15,30,35,36</sup> Although the FTIR microspectroscopy technique is more suitable for non-homogenous/homogenous liquid samples, in the current study, the ATR mode is preferred due to its easy use and relatively lower cost. ATR-FTIR spectroscopy has been proposed as an efficient useful diagnostic tool for a variety of diseases within the biomedical field toward translational medicine.<sup>37,38</sup>

In order to visually demonstrate the spectral differences between studied groups, the average spectra of MPM, LC, and BPE pleural fluids are shown in Fig. 1, in the 3800 to 800  $\text{cm}^{-1}$  spectral region. As seen from this figure, the pleural fluid spectrum contains different vibrational modes of various functional groups belonging to various cellular constituents such as lipids, proteins, carbohydrates, and nucleic acids. The definition and assignment of these modes are indicated in Table 3. The spectra of the groups under study indicated that there are obvious differences in the constituents of MPM fluids, compared to the fluids from patients with LC and BPE (Fig. 1).

Based on their spectral differences, to test whether there is a differentiation between the groups under study, we first performed HCA to their IR spectra. Figures 2(a) and 2(b) show the HCA results of the MPM-LC and MPM-BPE groups, respectively, in the 3300 to 2800  $\text{cm}^{-1}$  and 1800 to 650  $\text{cm}^{-1}$  spectral regions. MPM was successfully differentiated from the BPE and LC fluids (Fig. 2). To measure the efficiency of this differentiation, we calculated the sensitivity and specificity based on the obtained cluster dendrograms. 100% sensitivity and 100% specificity were achieved in the differentiation of MPM and LC fluid samples, whereas 100% sensitivity and 88% specificity were obtained in the differentiation of MPM and BPE fluid samples. We also applied the same analysis to

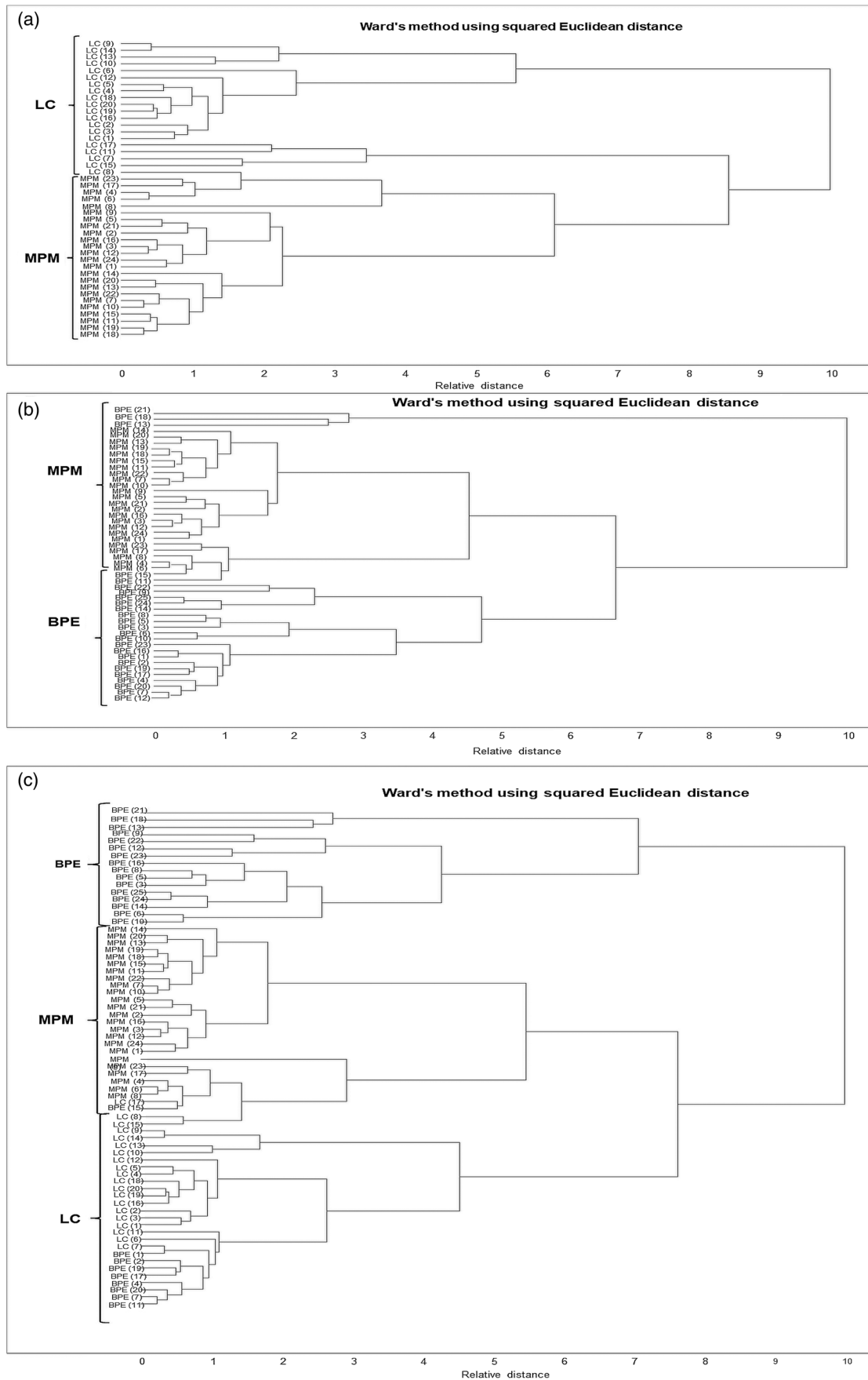




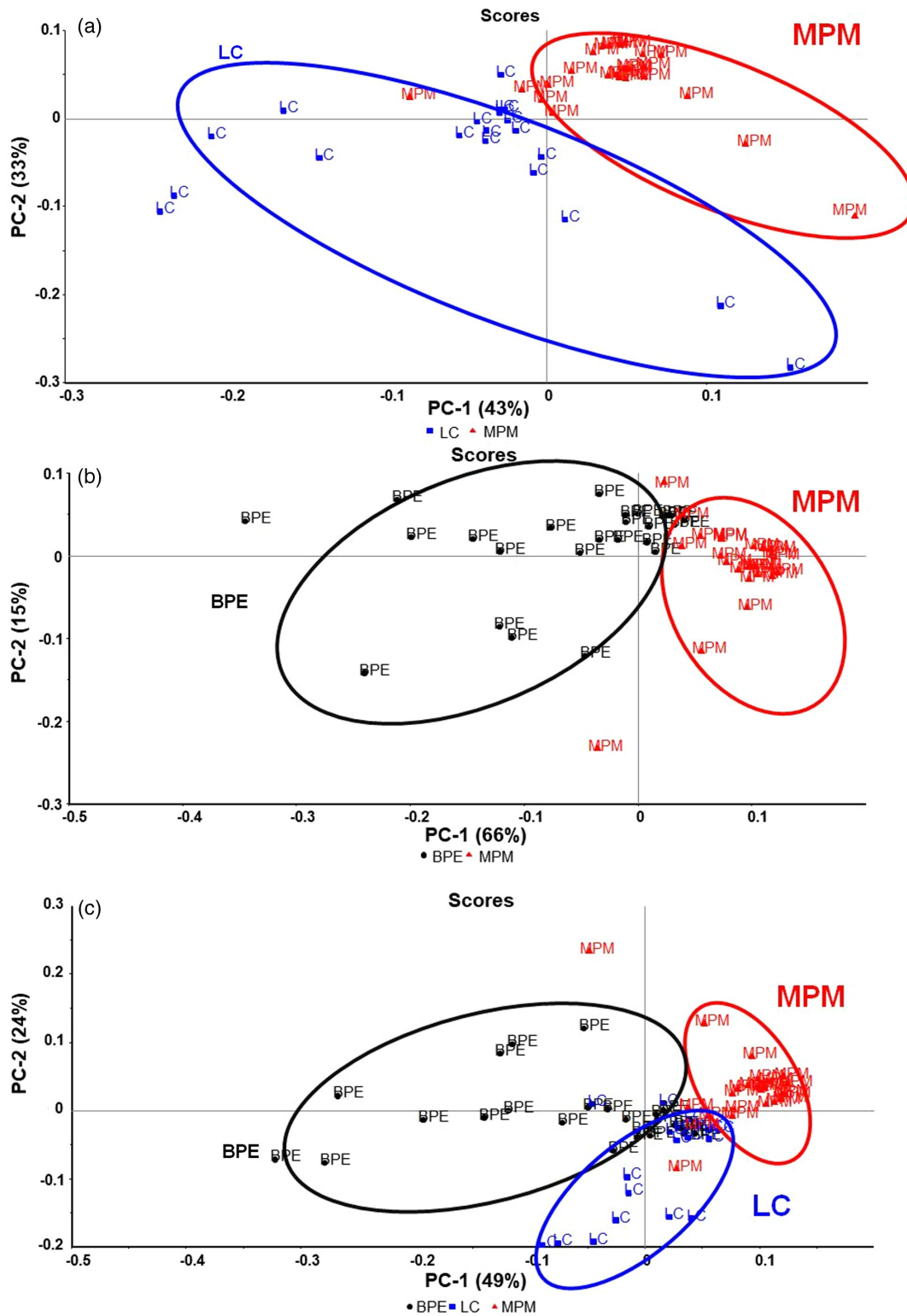
**Fig. 1** The average FTIR absorption spectra of pleural fluid from BPE (black), LC (blue), and MPM (red) patients in the 3800 to 800  $\text{cm}^{-1}$  spectral region. Min-max normalization process was performed based on amide A band.

**Table 3** General band assignment of an ATR-FTIR spectrum of pleural fluid.

| Band number | Band position ( $\text{cm}^{-1}$ ) | Definition  | References |
|-------------|------------------------------------|---|------------|
| 1           | 3286                               | Amide A: protein (N–H stretching)   | 39 and 40  |
| 2           | 3063                               | Amide B: protein  | 39         |
| 3           | 2959                               | $\text{CH}_3$ antisymmetric stretching: mainly lipid                              | 41–43      |
| 4           | 2928                               | $\text{CH}_2$ antisymmetric stretching: mainly lipid                              | 41–43      |
| 5           | 2871                               | $\text{CH}_3$ symmetric stretching: mainly protein                                | 39 and 41  |
| 6           | 2852                               | $\text{CH}_2$ symmetric stretching: mainly lipid                                  | 41 and 42  |
| 7           | 1739                               | Ester C=O stretching: triglycerides and cholesterol esters                        | 44         |
| 8           | 1643                               | Amide I: protein (80% C=O stretching, 10% N–H bending, 10% C–N stretching)        | 39         |
| 9           | 1537                               | Amide II: protein (60% N–H bending, 40% C–N stretching)                           | 39         |
| 10          | 1470                               | $\text{CH}_2$ scissoring: lipid   | 45         |
| 11          | 1451                               | $\text{CH}_3$ antisymmetric bending: protein                                      | 35         |
| 12          | 1397                               | $\text{COO}^-$ symmetric stretching: fatty acids aminoacids                       | 46         |
| 13          | 1308                               | Amid III: C–N stretching and ve N–H bending                                       | 47         |
| 14          | 1239                               | $\text{PO}_2^-$ antisymmetric stretching: nucleic acids                           | 48 and 49  |
| 15          | 1163                               | C–OH antisymmetric stretching: serine, tyrosine, threonine aminoacids of proteins | 35         |
| 16          | 1123                               | C–O stretching: ribose  | 50         |
| 17          | 1104                               | P–O–P symmetric stretching: RNA   | 50 and 51  |
| 18          | 1076                               | $\text{PO}_2^-$ symmetric stretching: nucleic acids and phospholipids             | 9          |
| 19          | 1030                               | C–O stretching and C–O bending carbohydrates                                      | 51 and 52  |
| 20          | 931                                | Z-form of DNA   | 53         |
| 21          | 836                                | DNA B-form helix conformation   | 54         |



**Fig. 2** HCA dendrograms of (a) MPM-LC, (b) MPM, BPE, and (c) MPM-LC-BPE groups in the 3300 to 2800  $\text{cm}^{-1}$  and 1800 to 650  $\text{cm}^{-1}$  spectral regions.

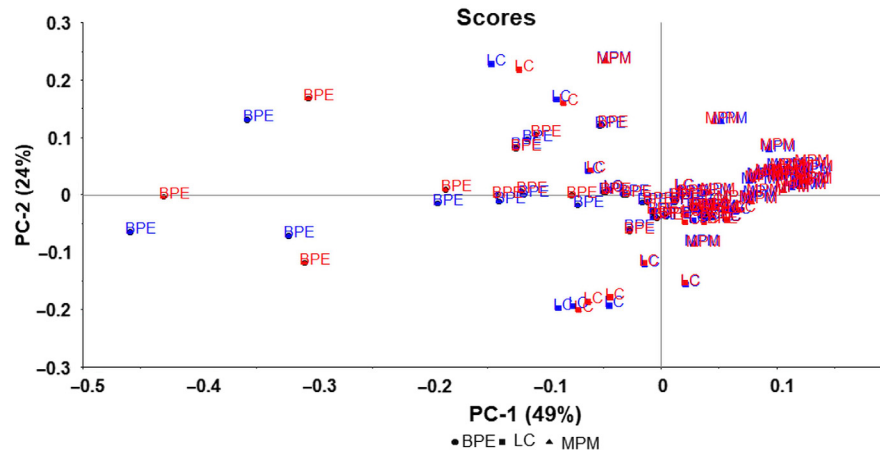


**Fig. 3** PCA score plots of (a) MPM-LC, (b) MPM-BPE, and (c) MPM-LC-BPE groups in the 3300 to 2800  $\text{cm}^{-1}$  and 1800 to 650  $\text{cm}^{-1}$  spectral regions.

differentiate the three groups from each other, as shown in Fig. 2(c). Successful separation of these groups from each other with a high heterogeneity value was obtained.

To confirm the separation of the MPM, LC, and BPE groups from each other, PCA was also applied to their spectra in

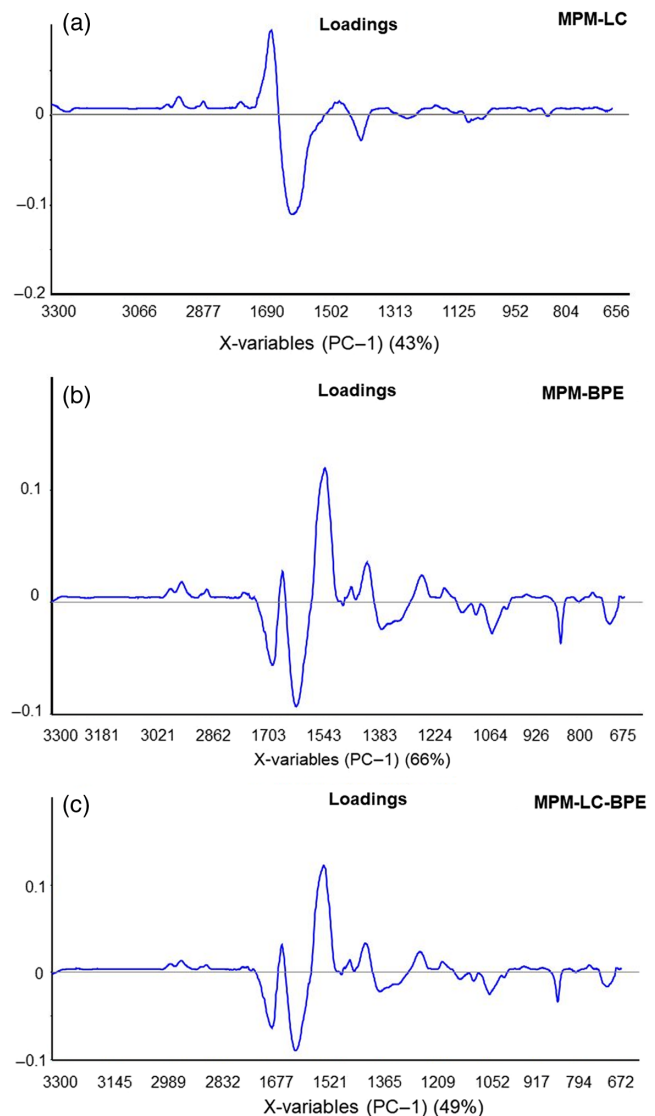
the 3300 to 2800  $\text{cm}^{-1}$  and 1800 to 650  $\text{cm}^{-1}$  spectral regions. Two dimensional scores plot of the first two PC for MPM-LC and MPM-BPE pairs are given in Figs. 3(a) and 3(b), respectively. Analysis of the scores plot for the MPM-LC pair demonstrated 43% of its variation to be accounted by the PC1



**Fig. 4** PCA score plots for the whole 3300 to 2800  $\text{cm}^{-1}$  and 1800 to 650  $\text{cm}^{-1}$  spectral regions. The score plots for the calibration set (blue) and the scores for leave-one-out validation for each spectrum (red).

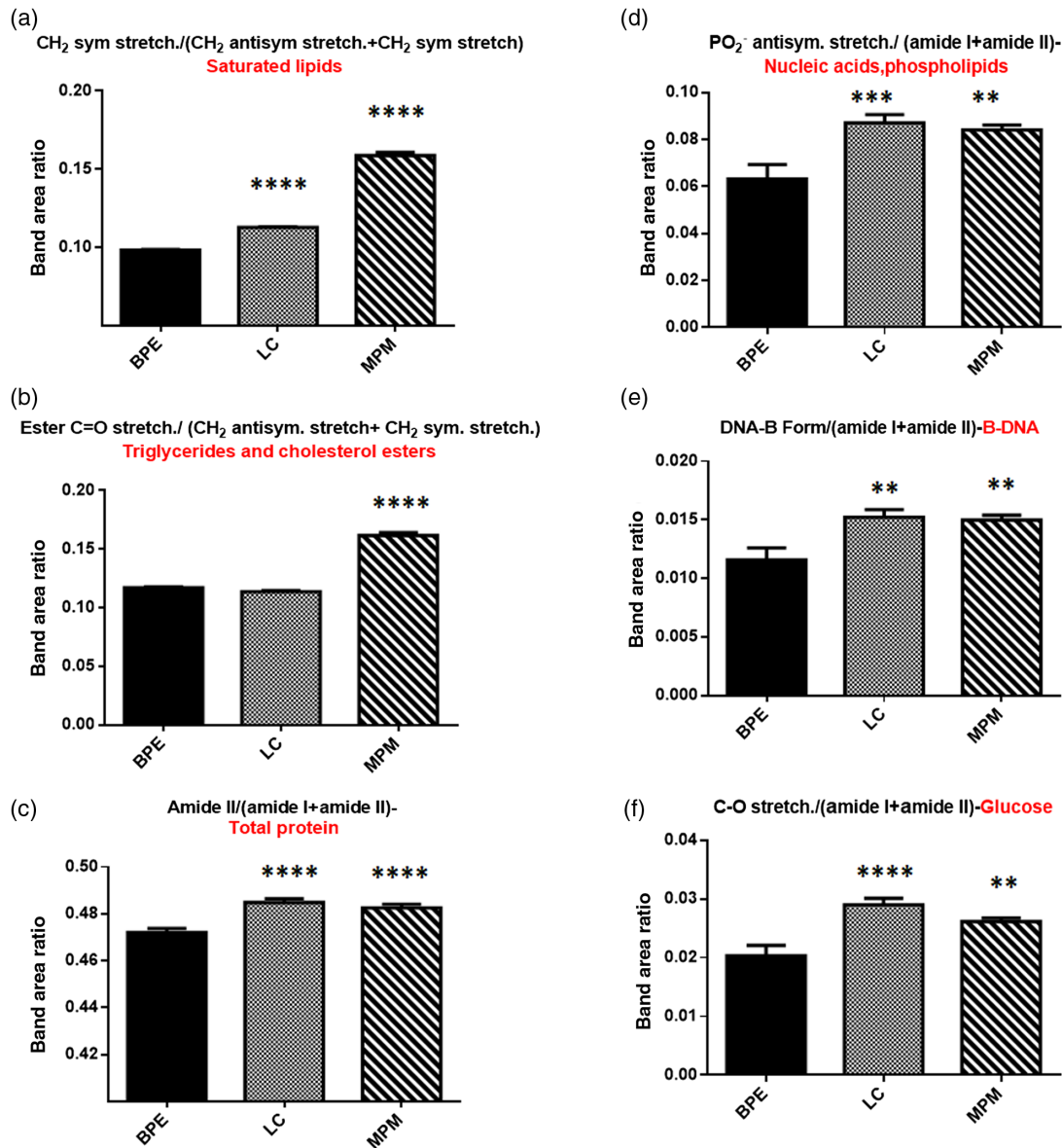
and 33% by the PC2. The same plot for the MPM-BPE pair showed that 66% of the variation was accounted by the PC1 and 15% by the PC2. These score plots clearly indicated the segregation of the MPM fluids from the LC and BPE fluids. PCA scores plot for MPM, LC, and BPE groups is shown in Fig. 3(c). Analysis of the scores plot for the whole region showed that 49% of the variation was accounted by the PC1 and 24% by the PC2. As can be seen from this figure, the MPM and LC fluids are well segregated from the BPE group and from each other. PCA score and loading plots were also obtained for the fingerprint and C—H regions (data not shown), indicating a clear separation between the groups with PC1 and PC2 in most cases. For all the PCAs performed in this work, full cross validation (i.e., leave-one-out validation) was used. The score plots obtained from the calibration set and the cross validation set were compared so that prediction errors are kept at a minimum level. Figure 4 shows the PCA result obtained from the calibration set (blue) and the scores corresponding to leave-one-out cross validation (red) for each sample. As seen from this figure, the calibration and cross validation results are very close to each other, and therefore, the PCA model obtained is reliable.

In order to identify that spectral bands contribute to the differentiation of MPM, LC, and BPE groups, we analyzed loading plots of PCA. The plots obtained for the analyzed spectral regions for MPM-LC, MPM-BPE, and MPM-LC-BPE groups are shown in Figs. 5(a)–5(c), respectively. They clearly exhibited that the spectral differences between the groups occurred most dramatically in the CH and fingerprint regions. The positive and negative peaks observed in the loading plots indicate that these peaks strongly affect the chemical composition of the sample at the particular band position. A high-positive score value for the corresponding loading plot indicates a positive contribution for the band position with high-positive peak while a negative contribution for a negative peak, and vice versa for a high-negative score value. The loading plots for MPM-LC pair demonstrated three positive peaks at 2956, 2924, and 2852  $\text{cm}^{-1}$  in the CH region and one positive peak at 1655  $\text{cm}^{-1}$  and two negative peaks at 1590 and 1387  $\text{cm}^{-1}$  in the fingerprint region. Analysis of PC1 loading plot for MPM-BPE pair indicated that three positive peaks at 2958, 2928, and 2851  $\text{cm}^{-1}$  were observed in the C—H region,



**Fig. 5** PCA loading plots of (a) MPM-LC, (b) MPM-BPE, and (c) MPM-LC-BPE groups in the 3300 to 2800  $\text{cm}^{-1}$  and 1800 to 650  $\text{cm}^{-1}$  spectral regions.



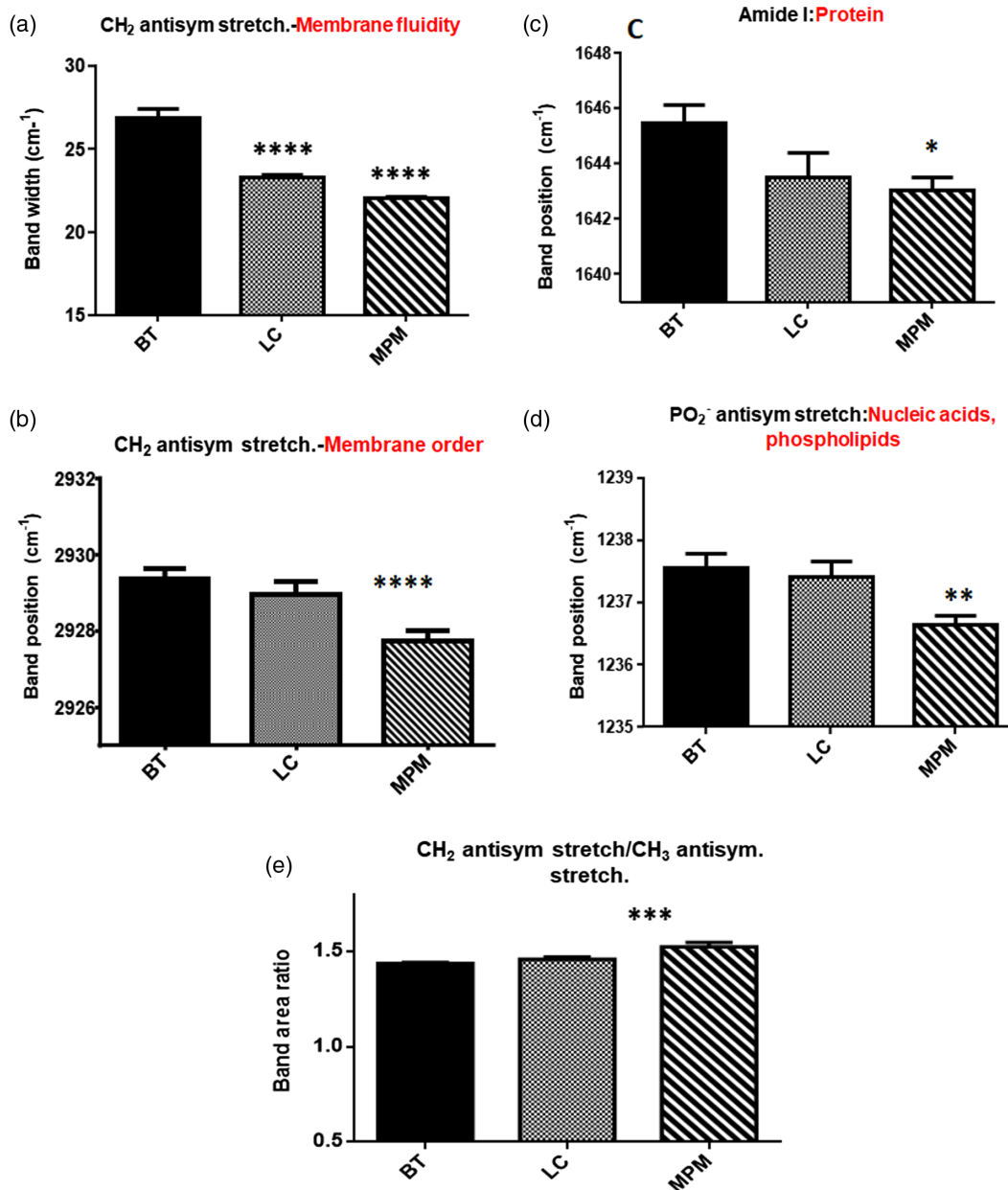


**Fig. 6** (a) Band area ratio values of saturated lipids (3050 to 2800  $\text{cm}^{-1}$ ), (b) triglycerides and cholesterol ester (1800 to 650  $\text{cm}^{-1}$ ), (c) protein (1800 to 650  $\text{cm}^{-1}$ ), (d) nucleic acids and phospholipids (1800 to 650  $\text{cm}^{-1}$ ), (e) B-DNA (1800 to 650  $\text{cm}^{-1}$ ), and (f) glucose (1800 to 650  $\text{cm}^{-1}$ ) bands from the IR spectra of the MPM, LC, and BPE groups.

whereas negative peaks at 1666, 1595, 1118, 1077, 1030, and 834  $\text{cm}^{-1}$  and positive peaks at 1435, 1389, 1230, and 1163  $\text{cm}^{-1}$  were detected in the finger print region. The same plot for all three groups denoted positive peaks at 2958, 2928, and 2851  $\text{cm}^{-1}$  for the C–H region and negative peaks at 1664 and 1593  $\text{cm}^{-1}$  and positive peaks at 1436, 1395, 1236, and 1166  $\text{cm}^{-1}$  in the fingerprint region. In these plots, the peaks observed at 2958, 2928, and 2851  $\text{cm}^{-1}$  are attributed to lipids and fatty acids.<sup>55,56</sup> Moreover, the peaks seen at 1664  $\text{cm}^{-1}$  are due to the  $\alpha$ -helix structure of proteins. The peaks at 1395 and 1166  $\text{cm}^{-1}$  originate from  $\text{COO}^-$  symmetric stretching of fatty acids and C–OH antisymmetric stretching of serine and tyrosine, respectively.<sup>56–59</sup>

To acquire the quantitative differences in these spectral peaks observed in the loading plots of the groups under study, spectral band area ratios for relevant peaks were calculated from their

absorbance spectra. The band area ratio results of these peaks are shown in Fig. 6. The band area ratios of saturated lipids ( $\text{CH}_2$  sym. stretch./ $\text{CH}_2$  sym. Stretch +  $\text{CH}_2$  antisym. stretch.), protein (amide II/amide I+amideII), B-DNA (DNA B form/amide I + amide II), and glucose (C–O stretching/amide I + amide II) were found to be significantly higher for the MPM and LC groups with respect to the control group indicating that these spectral parameters can be used as biomarkers in cancer diagnosis. The band area ratio of the triglycerides and cholesterol ester (ester C=O stretching/amide I + amide II) was significantly higher only in the MPM groups with regards to the BPE group indicating that this spectral parameter can be used as a biomarker in the MPM diagnosis. The bandwidth and band position of the  $\text{CH}_2$  antisymmetric stretching band were also analyzed whose results are shown in Figs. 7(a) and 7(b), respectively. The bandwidth values of this band for the MPM



**Fig. 7** (a) Bandwidth and (b) band position values of the CH<sub>2</sub> antisymmetric stretching band (3050 to 2800 cm<sup>-1</sup>), (c) band position values of amide I, (d) PO<sub>2</sub><sup>-</sup> antisymmetric stretching band (1800 to 650 cm<sup>-1</sup>), and (e) band area ratio value of CH<sub>2</sub> antisymmetric/CH<sub>3</sub> antisymmetric stretching bands (3050 to 2800 cm<sup>-1</sup>) from the IR spectra of the MPM, LC, and BPE groups.

and LC groups were observed to be significantly lower than that of the BPE group indicating that this spectral parameter can be specific biomarker to diagnose cancer. Moreover, a significant shift to lower values of the band position of the same band was found for the MPM group with respect to the BPE group, indicating that this spectral parameter can be used as a specific biomarker for the diagnosis of MPM. The band position analyses of amide I and PO<sub>2</sub><sup>-</sup> antisymmetric stretching bands, which are demonstrated in Figs. 7(c) and 7(d), respectively, exhibited only a significant shift to lower values in the MPM group with respect to the BPE group. Figure 7(e) shows the changes in the band area ratio of CH<sub>2</sub>/CH<sub>3</sub> antisymmetric stretching bands, indicating a significantly higher ratio only in the MPM group with respect to the BPE group. The results of Figs. 7(c)–7(e)

also indicate that these spectral parameters can also be used as specific biomarkers for the diagnosis of MPM.

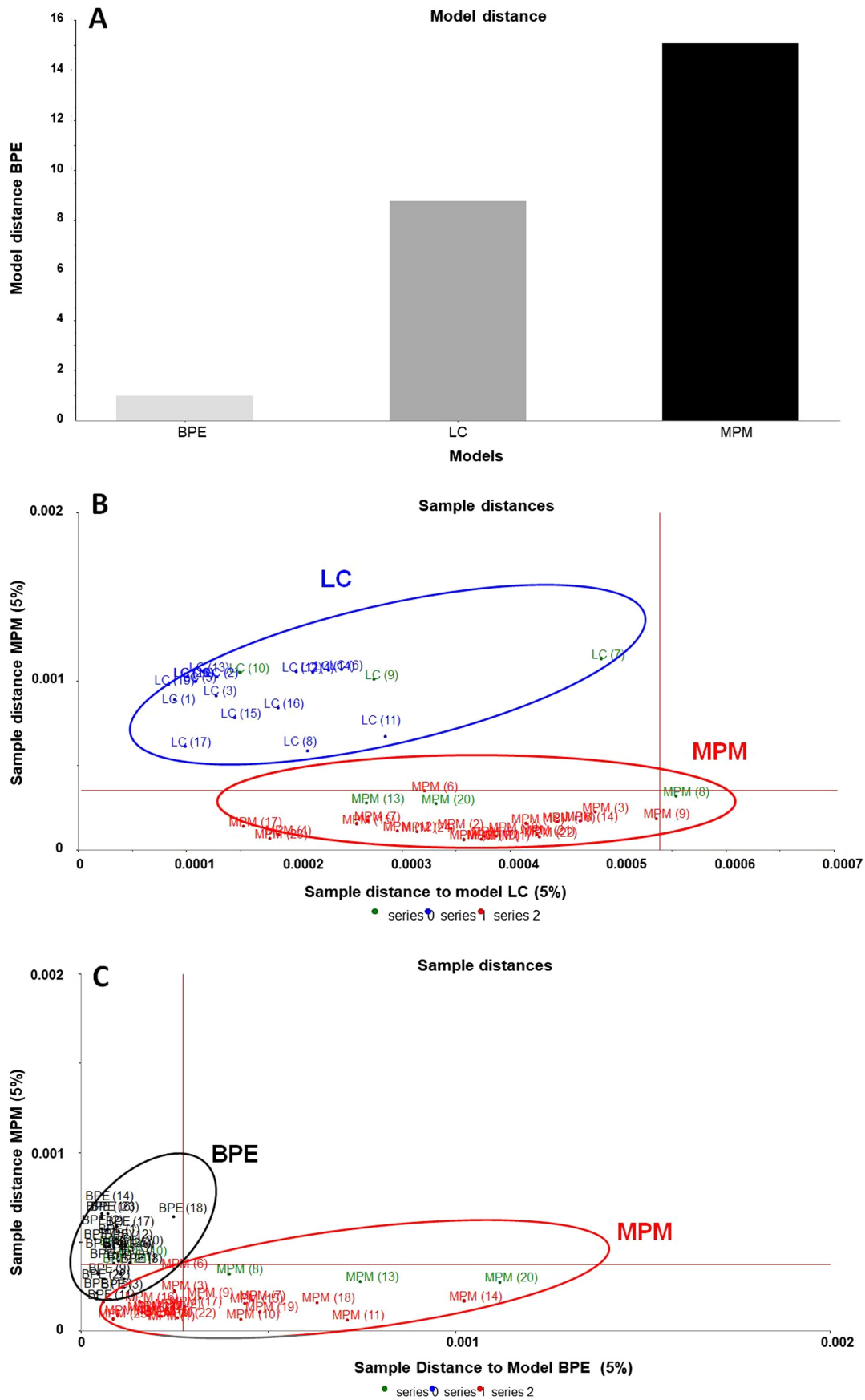
It is evident that in absorption spectroscopy, according to the Beer–Lambert law, an increase in the band area/intensity of a spectral band indicates an increased concentration of its respective functional group.<sup>56</sup> Therefore, the higher band area in the lipid, protein, and nucleic acid bands indicates a higher concentration of these molecules in MPM pleural fluid samples. Higher lipid content might be due to the higher lipid biosynthesis. This interpretation was supported by the higher band area ratio of the lipid related bands (CH<sub>2</sub> antisymmetric/CH<sub>3</sub> antisymmetric stretching), which indicates higher chain length in lipids, and thus elevated lipid synthesis.<sup>60,61</sup> This higher lipid synthesis in cancer cells arises from the need to compensate

their energy needs.<sup>62,63</sup> An increase in fatty acid synthase, the primary enzyme for the synthesis of fatty acids in MPM, was reported by Gabrielson et al.<sup>64</sup>, confirming the elevated lipid synthesis and thus our results. Moreover, we have also found a higher triglyceride and cholesterol ester amount in the MPM pleural fluid group. Recently, Ravipati et al.<sup>65</sup> demonstrated the elevated plasma triglyceride level in lung cancer patients, which is in agreement with our results. Lower membrane fluidity and higher membrane order in the MPM group were also indicated from the lower bandwidth value and lower band position value of CH<sub>2</sub> antisymmetric stretching band. These alterations in membrane parameters can be associated with alterations in lipid composition, i.e., types of lipids and their relative concentrations, which affect the ion binding capacity of lipids, lipid membrane thickness, and lipid–lipid and lipid–protein interactions.<sup>66,67</sup> Moreover, the higher protein content in the MPM group may be associated with higher protein biosynthesis. The increment in this synthesis in cancer cells was demonstrated in recent studies.<sup>68,69</sup> Additionally, the increase in the soluble mesothelin related protein content in pleural fluid of mesothelioma patient has been previously reported in another study, supporting the reliability of our finding.<sup>70</sup> The higher protein content was also supported by the greater nucleic acid and B-DNA content in the MPM group. In addition to these findings, we also found conformational differences in the protein and nucleic acid structure of the MPM group as indicated by the shift in the band position values of the amide I (protein) and PO<sub>2</sub><sup>-</sup> antisymmetric stretching (nucleic acid) bands.<sup>71–73</sup> Parallel to these results, changes in the protein secondary structure in colon cancer tissues was demonstrated by Chen et al.<sup>74</sup> Our finding related to the higher glycogen/glucose concentration in the MPM group was also supported by other studies, which demonstrated an alteration in glycogen content in mesothelioma patients. Based on all the compositional differences between the biomolecules of the MPM, LC, and BPE groups mentioned above, we can infer that these differences significantly contribute their differentiation from each other. Since our sample size is not very high, to be able to justify these differences between studied groups, we have performed power analysis for each quantitative spectral results. A range between 92% and 100% for power values were obtained, implying that sample size in each group are sufficient to draw conclusion about the obtained results. These findings also prove that IR spectroscopy coupled with chemometric approaches carries a promising diagnostic potential in MPM diagnosis. Therefore, to confirm this potential, we applied a PCA-based supervised classification technique, SIMCA, to the pleural fluid spectra of the studied samples in the 3300 to 2800 cm<sup>-1</sup> and 1800 to 650 cm<sup>-1</sup> spectral regions since it enables good classification of samples even with low sample size and high variability within-class.<sup>75</sup> To perform SIMCA analysis, three PCA models were developed from the spectra of the samples that make up the training data (BPE: 22, LC: 17, and MPM: 21). Figure 8(a) shows the distance of MPM and LC models to the BPE models, which was 8 and 16. The differentiation of the MPM group from the LC and BPE groups with a 5% significance level are also seen in the Cooman's plot presented in Figs. 8(b) and 8(c), respectively. In order to test our models, a test group was formed by randomly selecting three samples from each group, which were not included in the SIMCA training set. Then SIMCA analysis was performed to this test group. The selection and analysis process of samples were repeated 10 times and each

time the percentage of correct classification of spectra was calculated. A correct classification ranges between 82% to 100% from 1st to 10th trials were obtained with an average percent value equal to 96.2%. In SIMCA analysis, the model distance plot enables one to determine how different each model from each other with respect to PC space and thus the success of the classification method. A model distance >3 indicates that models are significantly different from each other. The high-distance values >3, as obtained in the model distance plot of the MPM, LC, and BPE groups, indicate a very robust and clear differentiation of both groups from the BPE fluids-group and from each other.<sup>76–78</sup> Moreover, Cooman's plot obtained from SIMCA analysis was also used to show the differentiation between two classes and the distance of test samples to their model. Cooman's plot of MPM versus LC and MPM versus BPE models demonstrated the correct classification of tested samples from each group with an average of 96.2% accuracy. It has been demonstrated that image-based diagnostic techniques for MPM including CT, positron emission tomography (PET), combination PET/CT, and MRI have a range of 88% to 94% sensitivity and 87% to 93% specificity.<sup>79</sup> Moreover, diagnostic studies based on marker identification from pleural fluids and serums indicate that the sensitivity of serum and pleural fluid soluble mesothelin-related proteins (SMPRs) are 61% and 79%, respectively, whereas their specificity are 87% and 85%, respectively.<sup>80</sup> Similar results from serum SMPRs have also been demonstrated by Luo et al.<sup>81</sup> In comparison to these results, in this study, higher sensitivity (100%) and specificity values (100% and 88%) for MPM diagnosis were obtained. Moreover, using the SIMCA method, a higher accuracy (96.2%) for the identification of MPM groups was acquired. With respect to the other diagnostic techniques for MPM diagnosis, our diagnostic approach, namely ATR-FTIR spectroscopy coupled with multivariate analysis, is a nondestructive, fast, reliable, relatively low-cost, operator-independent, and highly reproducible method.<sup>82</sup> Therefore, we propose that this approach has a promising potential for diagnosis with a higher accuracy.

## 4 Conclusion

In this study, high-throughput biomolecular profiling by FTIR spectroscopy with ATR mode revealed that the biomolecular composition and structure of pleural fluids of MPM samples differs from those of both LC and BPE pleural fluid samples. The characteristic biomolecular features of these fluids enable the differentiation of the all groups from each other, with several spectral findings that have diagnostic relevance. For example, we obtained dramatic changes in several spectral parameters such as lipid order, lipid dynamics, triglyceride, cholesterol ester concentration, and protein and nucleic acid structure, which are only specific to MPM patients. We obtained a very successful separation of MPM fluids from LC and BPE fluids with a high sensitivity and specificity as indicated by HCA and PCA. Moreover, we accurately identified test samples in each group by SIMCA analysis. We propose that compared to other conventional diagnostic techniques, FTIR spectroscopy coupled with multivariate analysis can improve and accelerate the diagnosis of MPM using pleural fluids in a label-free, rapid, robust, cost-effective, nondestructive, and reliable manner, in a time span of a few minutes. Moreover, this technical approach to diagnosis appears well suited for follow up and early diagnosis of high-risk groups, such as asbestos workers, carriers of germline BAP1 mutations, and individuals living in areas where



**Fig. 8** (a) Distance in PCA space of MPM and LC calibration models from BPE model. Cooman's plot of (b) MPM (red)-LC (blue) groups and (c) MPM (red)-BPE (black) groups with test samples (green).



asbestos is present in the environment, such as in Turkey, North Dakota, and Nevada. When these individuals accumulate pleural fluids, FTIR spectroscopy coupled with multivariate analysis would provide a rapid and inexpensive method to rule out MPM or suggest the diagnosis of MPM that could then be confirmed by thoracoscopy and open biopsy without unnecessary delays.

### Disclosures

The authors declare no conflict of interest.

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